

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Dufry AG

Meeting Date: 10/06/2020      Country: Switzerland      Primary Security ID: H2082J107  
Record Date:      Meeting Type: Special      Ticker: DUFN

Shares Voted: 6,671

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Elect Yves Gerster as Chairman of the Meeting	Mgmt	For	For	For
2	Approve CHF 125 Million Capital Increase with Preemptive Rights	Mgmt	For	For	For
3	Approve Increase in Size of Board to Eleven Members	Mgmt	For	For	For
4	Elect Ranjan Sen as Director	Mgmt	For	For	For
5	Amend Articles Re: Annulment of the Contribution in Kind Clause	Mgmt	For	For	For
6	Transact Other Business (Voting)	Mgmt	For	Against	Against

*Voting Policy Rationale: A vote AGAINST is warranted because: \* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and\* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.*

Just Eat Takeaway.com NV

Meeting Date: 10/07/2020      Country: Netherlands      Primary Security ID: N4753E105  
Record Date: 09/09/2020      Meeting Type: Special      Ticker: TKWY

Shares Voted: 2,905

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Special Meeting Agenda	Mgmt			
	Open Meeting	Mgmt			
2A	Approve All-Share Combination with Grubhub Inc. in Accordance with the Merger Agreement	Mgmt	For	For	For

*Voting Policy Rationale: A vote FOR this item is warranted because: \* The compelling strategic rationale where the company demonstrated the strategic fit and how this is aligned with the company's growth strategy of achieving scale;\* There is no concern identified with the corporate governance structure and the transaction terms from the company's perspective.*

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Just Eat Takeaway.com NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2B	Grant Board Authority to Issue Shares and/or Grant Rights to Acquire Shares in Connection with the Transaction	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this item is warranted because:* The compelling strategic rationale where the company demonstrated the strategic fit and how this is aligned with the company's growth strategy of achieving scale;* There is no concern identified with the corporate governance structure and the transaction terms from the company's perspective.					
2C	Authorize Board to Exclude or Limit Preemptive Rights in Connection with the Transaction	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this item is warranted because:* The compelling strategic rationale where the company demonstrated the strategic fit and how this is aligned with the company's growth strategy of achieving scale;* There is no concern identified with the corporate governance structure and the transaction terms from the company's perspective.					
2D	Elect Matthew Maloney to Management Board	Mgmt	For	For	For
2E	Elect Lloyd Frink to Supervisory Board	Mgmt	For	For	For
Voting Policy Rationale: A vote for the election of David Fisher is warranted because: The nominee is elected for a period not exceeding four years; The candidate appears to possess the necessary qualifications for board membership; and There is no known controversy concerning the candidate.					
2F	Elect David Fisher to Supervisory Board	Mgmt	For	For	For
Voting Policy Rationale: A vote for the election of David Fisher is warranted because: The nominee is elected for a period not exceeding four years; The candidate appears to possess the necessary qualifications for board membership; and There is no known controversy concerning the candidate.					
2G	Approve Supplement to the Remuneration Policy of the Management Board in Respect of Matthew Maloney	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST is warranted because:* No performance criteria are attached to the LTIP and is in deviation of best market practice;* Vesting period for options and RSUs is less than three years; and* The CEO may resign within 12 months after completion and receive increased severance payment and full vesting applies.					
3	Other Business (Non-Voting)	Mgmt			
4	Close Meeting	Mgmt			

Cairn Homes Plc

Meeting Date: 10/12/2020	Country: Ireland	Primary Security ID: G1858L107
Record Date: 10/10/2020	Meeting Type: Special	Ticker: CSH
Shares Voted: 9,877		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Acquisition of the Stillorgan Site from the Emerald Fund ICAV by Cairn Homes Properties Limited	Mgmt	For	For	For

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Unilever Plc

Meeting Date: 10/12/2020      Country: United Kingdom      Primary Security ID: G92087165  
Record Date: 10/10/2020      Meeting Type: Special      Ticker: ULVR

Shares Voted: 11,350

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Matters Relating to the Unification of the Unilever Group under a Single Parent Company	Mgmt	For	For	For

Unilever Plc

Meeting Date: 10/12/2020      Country: United Kingdom      Primary Security ID: G92087165  
Record Date: 10/10/2020      Meeting Type: Court      Ticker: ULVR

Shares Voted: 11,350

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Court Meeting	Mgmt			
1	Approve the Cross-Border Merger between Unilever PLC and Unilever N.V.	Mgmt	For	For	For

JNBY Design Limited

Meeting Date: 10/13/2020      Country: Cayman Islands      Primary Security ID: G55044104  
Record Date: 10/07/2020      Meeting Type: Annual      Ticker: 3306

Shares Voted: 3,876,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3A1	Elect Wu Jian as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
3A2	Elect Li Lin as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					

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JNBY Design Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3A3	Elect Han Min as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
3B	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
4	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5A	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent.* The company has not specified the discount limit.					
5B	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
5C	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent.* The company has not specified the discount limit.					

BHP Group Plc

Meeting Date: 10/15/2020	Country: United Kingdom	Primary Security ID: G10877127
Record Date: 10/13/2020	Meeting Type: Annual	Ticker: BHP
Shares Voted: 2,293		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Management Proposals	Mgmt			
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Authorise the Risk and Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
4	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
5	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
6	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For

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### BHP Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Approve Remuneration Report for UK Law Purposes	Mgmt	For	For	For
8	Approve Remuneration Report for Australian Law Purposes	Mgmt	For	For	For
9	Approve Grant of Awards under the Group's Incentive Plans to Mike Henry	Mgmt	For	For	For
10	Approve Leaving Entitlements	Mgmt	For	For	For
11	Elect Xiaoqun Clever as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
12	Elect Gary Goldberg as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
13	Elect Mike Henry as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
14	Elect Christine O'Reilly as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
15	Elect Dion Weisler as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
16	Re-elect Terry Bowen as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
17	Re-elect Malcolm Broomhead as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
18	Re-elect Ian Cockerill as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
19	Re-elect Anita Frew as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
20	Re-elect Susan Kilsby as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
21	Re-elect John Mogford as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
22	Re-elect Ken MacKenzie as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
	Shareholder Proposals	Mgmt			
23	Amend Constitution of BHP Group Limited	SH	Against	Against	Against

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BHP Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
24	Adopt Interim Cultural Heritage Protection Measures	SH	Against	Against	Against
25	Approve Suspension of Memberships of Industry Associations where COVID-19 Related Advocacy is Inconsistent with Paris Agreement Goals	SH	Against	Against	Against

Xinjiang Goldwind Science & Technology Co., Ltd.

Meeting Date: 10/16/2020	Country: China	Primary Security ID: Y97237112
Record Date: 09/15/2020	Meeting Type: Special	Ticker: 2208
Shares Voted: 590,151		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
1	Elect Dong Zhenyu as Director	SH	For	For	For
2	Amend Rules and Procedures Regarding Meetings of Board of Directors	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these proposals is warranted given the lack of disclosure on the revisions to the proposed Rules.					
3	Amend Rules and Procedures Regarding Meetings of Supervisory Committee	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these proposals is warranted given the lack of disclosure on the revisions to the proposed Rules.					

OCI NV

Meeting Date: 10/20/2020	Country: Netherlands	Primary Security ID: N6667A111
Record Date: 09/22/2020	Meeting Type: Special	Ticker: OCI
Shares Voted: 2,231,899		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Special Meeting Agenda	Mgmt			
1	Open Meeting	Mgmt			
2	Elect Heike Van De Kerkhof as Non-Executive Director	Mgmt	For	For	For

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OCI NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Close Meeting	Mgmt			

Signify NV

Meeting Date: 10/27/2020	Country: Netherlands	Primary Security ID: N8063K107
Record Date: 09/29/2020	Meeting Type: Special	Ticker: LIGHT
Shares Voted: 14,268		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Special Meeting Agenda	Mgmt			
1	Elect Francisco Javier van Engelen Sousa to Management Board	Mgmt	For	For	For

Zoomlion Heavy Industry Science and Technology Co., Ltd.

Meeting Date: 10/27/2020	Country: China	Primary Security ID: Y9895V103
Record Date: 10/22/2020	Meeting Type: Special	Ticker: 1157
Shares Voted: 22,177,985		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
	RESOLUTIONS IN RELATION TO THE ADJUSTED NON-PUBLIC ISSUANCE	Mgmt			
1.1	Approve Class and Nominal Value of Shares to be Issued	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited given the following:* The Adjusted Non-Public Issuance is proposed in order to raise funds for certain projects which aim to improve operational efficiencies, reduce production costs, and increase the company's competitiveness, and to also replenish the company's liquidity, enhance its overall risk resistance capability and capital strength.* The Adjusted Non-Public Issuance will be conducted in accordance with relevant rules and regulations and the board and management have provided undertakings which are expected to enhance their accountability to the company and its shareholders.					
1.2	Approve Issue Method and Time	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited given the following:* The Adjusted Non-Public Issuance is proposed in order to raise funds for certain projects which aim to improve operational efficiencies, reduce production costs, and increase the company's competitiveness, and to also replenish the company's liquidity, enhance its overall risk resistance capability and capital strength.* The Adjusted Non-Public Issuance will be conducted in accordance with relevant rules and regulations and the board and management have provided undertakings which are expected to enhance their accountability to the company and its shareholders.					

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## Zoomlion Heavy Industry Science and Technology Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.3	Approve Target Subscribers and Subscription Method	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR is merited given the following: * The Adjusted Non-Public Issuance is proposed in order to raise funds for certain projects which aim to improve operational efficiencies, reduce production costs, and increase the company's competitiveness, and to also replenish the company's liquidity, enhance its overall risk resistance capability and capital strength. * The Adjusted Non-Public Issuance will be conducted in accordance with relevant rules and regulations and the board and management have provided undertakings which are expected to enhance their accountability to the company and its shareholders.</i></p>					
1.4	Approve Issue Price and Pricing Principles	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR is merited given the following: * The Adjusted Non-Public Issuance is proposed in order to raise funds for certain projects which aim to improve operational efficiencies, reduce production costs, and increase the company's competitiveness, and to also replenish the company's liquidity, enhance its overall risk resistance capability and capital strength. * The Adjusted Non-Public Issuance will be conducted in accordance with relevant rules and regulations and the board and management have provided undertakings which are expected to enhance their accountability to the company and its shareholders.</i></p>					
1.5	Approve Issue Size	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR is merited given the following: * The Adjusted Non-Public Issuance is proposed in order to raise funds for certain projects which aim to improve operational efficiencies, reduce production costs, and increase the company's competitiveness, and to also replenish the company's liquidity, enhance its overall risk resistance capability and capital strength. * The Adjusted Non-Public Issuance will be conducted in accordance with relevant rules and regulations and the board and management have provided undertakings which are expected to enhance their accountability to the company and its shareholders.</i></p>					
1.6	Approve Lock-Up Period	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR is merited given the following: * The Adjusted Non-Public Issuance is proposed in order to raise funds for certain projects which aim to improve operational efficiencies, reduce production costs, and increase the company's competitiveness, and to also replenish the company's liquidity, enhance its overall risk resistance capability and capital strength. * The Adjusted Non-Public Issuance will be conducted in accordance with relevant rules and regulations and the board and management have provided undertakings which are expected to enhance their accountability to the company and its shareholders.</i></p>					
1.7	Approve Arrangement of Accumulated Profit Distribution Prior to Completion of the Issue	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR is merited given the following: * The Adjusted Non-Public Issuance is proposed in order to raise funds for certain projects which aim to improve operational efficiencies, reduce production costs, and increase the company's competitiveness, and to also replenish the company's liquidity, enhance its overall risk resistance capability and capital strength. * The Adjusted Non-Public Issuance will be conducted in accordance with relevant rules and regulations and the board and management have provided undertakings which are expected to enhance their accountability to the company and its shareholders.</i></p>					
1.8	Approve Listing Venue	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR is merited given the following: * The Adjusted Non-Public Issuance is proposed in order to raise funds for certain projects which aim to improve operational efficiencies, reduce production costs, and increase the company's competitiveness, and to also replenish the company's liquidity, enhance its overall risk resistance capability and capital strength. * The Adjusted Non-Public Issuance will be conducted in accordance with relevant rules and regulations and the board and management have provided undertakings which are expected to enhance their accountability to the company and its shareholders.</i></p>					



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## Zoomlion Heavy Industry Science and Technology Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.9	Approve Use of Proceeds	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR is merited given the following: * The Adjusted Non-Public Issuance is proposed in order to raise funds for certain projects which aim to improve operational efficiencies, reduce production costs, and increase the company's competitiveness, and to also replenish the company's liquidity, enhance its overall risk resistance capability and capital strength. * The Adjusted Non-Public Issuance will be conducted in accordance with relevant rules and regulations and the board and management have provided undertakings which are expected to enhance their accountability to the company and its shareholders.</i></p>					
1.10	Approve Validity Period of the Resolution	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR is merited given the following: * The Adjusted Non-Public Issuance is proposed in order to raise funds for certain projects which aim to improve operational efficiencies, reduce production costs, and increase the company's competitiveness, and to also replenish the company's liquidity, enhance its overall risk resistance capability and capital strength. * The Adjusted Non-Public Issuance will be conducted in accordance with relevant rules and regulations and the board and management have provided undertakings which are expected to enhance their accountability to the company and its shareholders.</i></p>					
2	Approve Adjusted Non-Public Issuance	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR is merited given the following: * The Adjusted Non-Public Issuance is proposed in order to raise funds for certain projects which aim to improve operational efficiencies, reduce production costs, and increase the company's competitiveness, and to also replenish the company's liquidity, enhance its overall risk resistance capability and capital strength. * The Adjusted Non-Public Issuance will be conducted in accordance with relevant rules and regulations and the board and management have provided undertakings which are expected to enhance their accountability to the company and its shareholders.</i></p>					
3	Approve Feasibility Analysis Report on the Use of Proceeds of the Adjusted Non-Public Issuance	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR is merited given the following: * The Adjusted Non-Public Issuance is proposed in order to raise funds for certain projects which aim to improve operational efficiencies, reduce production costs, and increase the company's competitiveness, and to also replenish the company's liquidity, enhance its overall risk resistance capability and capital strength. * The Adjusted Non-Public Issuance will be conducted in accordance with relevant rules and regulations and the board and management have provided undertakings which are expected to enhance their accountability to the company and its shareholders.</i></p>					
4	Approve Measures on Making up Diluted Returns for the Current Period Due to the Adjusted Non-Public Issuance	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR is merited given the following: * The Adjusted Non-Public Issuance is proposed in order to raise funds for certain projects which aim to improve operational efficiencies, reduce production costs, and increase the company's competitiveness, and to also replenish the company's liquidity, enhance its overall risk resistance capability and capital strength. * The Adjusted Non-Public Issuance will be conducted in accordance with relevant rules and regulations and the board and management have provided undertakings which are expected to enhance their accountability to the company and its shareholders.</i></p>					
5	Authorize Board to Handle All Matters Relating to the Adjusted Non-Public Issuance	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR is merited given the following: * The Adjusted Non-Public Issuance is proposed in order to raise funds for certain projects which aim to improve operational efficiencies, reduce production costs, and increase the company's competitiveness, and to also replenish the company's liquidity, enhance its overall risk resistance capability and capital strength. * The Adjusted Non-Public Issuance will be conducted in accordance with relevant rules and regulations and the board and management have provided undertakings which are expected to enhance their accountability to the company and its shareholders.</i></p>					

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St. Barbara Limited

Meeting Date: 10/28/2020      Country: Australia      Primary Security ID: Q8744Q173  
Record Date: 10/26/2020      Meeting Type: Annual      Ticker: SBM

Shares Voted: 1,179,757

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Remuneration Report	Mgmt	For	For	For
2	Elect Tim Netscher as Director	Mgmt	For	For	For
3	Approve Issuance of FY20 Performance Rights to Craig Jetson	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the FY20 and FY21 grants of performance rights (Items 3 and 4) to CEO Craig Jetson is warranted given that the terms of the grants (e.g. three-year vesting provision, TSR performance requirement for vesting, prohibition on accelerated vesting upon change in control) are consistent with shareholder interests and market practice, and disclosure is clear and concise.					
4	Approve Issuance of FY21 Performance Rights to Craig Jetson	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the FY20 and FY21 grants of performance rights (Items 3 and 4) to CEO Craig Jetson is warranted given that the terms of the grants (e.g. three-year vesting provision, TSR performance requirement for vesting, prohibition on accelerated vesting upon change in control) are consistent with shareholder interests and market practice, and disclosure is clear and concise.					

Alstom SA

Meeting Date: 10/29/2020      Country: France      Primary Security ID: F0259M475  
Record Date: 10/27/2020      Meeting Type: Special      Ticker: ALO

Shares Voted: 12,789

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Ordinary Business	Mgmt			
	Elect Caisse de Depot et Placement du Quebec as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the nomination of the non-independent nominee CDPQ, represented by Ms. Kim Thomassin is warranted given the satisfactory level of board independence (including all board members: 67 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 67 percent vs 50 percent recommended) and the absence of specific concerns.A vote FOR the nomination of the independent nominee Serge Godin as director is warranted in the absence of specific concerns.					
2	Elect Serge Godin as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the nomination of the non-independent nominee CDPQ, represented by Ms. Kim Thomassin is warranted given the satisfactory level of board independence (including all board members: 67 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 67 percent vs 50 percent recommended) and the absence of specific concerns.A vote FOR the nomination of the independent nominee Serge Godin as director is warranted in the absence of specific concerns.					

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## Alstom SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Approve Amendment of Remuneration Policy of Chairman and CEO	Mgmt	For	For	For
	Extraordinary Business	Mgmt			
4	Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 790 Million to be issued in Connection with Acquisition	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR the resolutions enabling the acquisition of Bombardier Transport are warranted, although the following concern is raised: * The target's recent performance, which fell short of expectations, as well as the development of the COVID-19 crisis, increase the risks attached to the deal, whose value creation potential relies on Alstom's ability to restore the target's profit margins and deliver on promised synergies. The main reasons for support are: * The compelling strategic rationale underpinned by EUR 400 million of expected run rate cost synergies; * The company likely carried out additional due diligence following the announcement of disappointing interim results by the target's mother company and the board negotiated a downward price adjustment; * The offer consideration takes into account the work that Alstom would need to perform to bring back the target's performance to standard levels; * Alstom will abandon its double voting right system.</i></p>					
5	Authorize New Class of Preferred Stock and Amend Bylaws Accordingly	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR the resolutions enabling the acquisition of Bombardier Transport are warranted, although the following concern is raised: * The target's recent performance, which fell short of expectations, as well as the development of the COVID-19 crisis, increase the risks attached to the deal, whose value creation potential relies on Alstom's ability to restore the target's profit margins and deliver on promised synergies. The main reasons for support are: * The compelling strategic rationale underpinned by EUR 400 million of expected run rate cost synergies; * The company likely carried out additional due diligence following the announcement of disappointing interim results by the target's mother company and the board negotiated a downward price adjustment; * The offer consideration takes into account the work that Alstom would need to perform to bring back the target's performance to standard levels; * Alstom will abandon its double voting right system.</i></p>					
6	Authorization of Capital Issuance of Class B Preferred Shares without Preemptive Rights Reserved for CDP Investissements Inc. to Aggregate Nominal Amount of EUR 570 Million to be issued in Connection with Acquisition	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR the resolutions enabling the acquisition of Bombardier Transport are warranted, although the following concern is raised: * The target's recent performance, which fell short of expectations, as well as the development of the COVID-19 crisis, increase the risks attached to the deal, whose value creation potential relies on Alstom's ability to restore the target's profit margins and deliver on promised synergies. The main reasons for support are: * The compelling strategic rationale underpinned by EUR 400 million of expected run rate cost synergies; * The company likely carried out additional due diligence following the announcement of disappointing interim results by the target's mother company and the board negotiated a downward price adjustment; * The offer consideration takes into account the work that Alstom would need to perform to bring back the target's performance to standard levels; * Alstom will abandon its double voting right system.</i></p>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Alstom SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Authorization of Capital Issuance of Ordinary Shares without Preemptive Rights Reserved for CDP Investissements Inc. to Aggregate Nominal Amount of EUR 570 Million to be issued in Connection with Acquisition	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the resolutions enabling the acquisition of Bombardier Transport are warranted, although the following concern is raised:* The target's recent performance, which fell short of expectations, as well as the development of the COVID-19 crisis, increase the risks attached to the deal, whose value creation potential relies on Alstom's ability to restore the target's profit margins and deliver on promised synergies. The main reasons for support are:* The compelling strategic rationale underpinned by EUR 400 million of expected run rate cost synergies;* The company likely carried out additional due diligence following the announcement of disappointing interim results by the target's mother company and the board negotiated a downward price adjustment;* The offer consideration takes into account the work that Alstom would need to perform to bring back the target's performance to standard levels;* Alstom will abandon its double voting right system.</i>					
8	Authorization of Capital Issuance of Ordinary Shares without Preemptive Rights Reserved for Bombardier UK Holding Limited to Aggregate Nominal Amount of 120 Million to be Issued in Connection with Acquisition	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the resolutions enabling the acquisition of Bombardier Transport are warranted, although the following concern is raised:* The target's recent performance, which fell short of expectations, as well as the development of the COVID-19 crisis, increase the risks attached to the deal, whose value creation potential relies on Alstom's ability to restore the target's profit margins and deliver on promised synergies. The main reasons for support are:* The compelling strategic rationale underpinned by EUR 400 million of expected run rate cost synergies;* The company likely carried out additional due diligence following the announcement of disappointing interim results by the target's mother company and the board negotiated a downward price adjustment;* The offer consideration takes into account the work that Alstom would need to perform to bring back the target's performance to standard levels;* Alstom will abandon its double voting right system.</i>					
9	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR this authorization of capital issuance for use in employee stock purchase is warranted as it does not raise specific concerns.</i>					
10	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR this authorization of capital issuance for use in employee stock purchase is warranted as it does not raise specific concerns.</i>					
11	Remove Double-Voting Rights for Long-Term Registered Shareholders and Amend Article 15 of Bylaws Accordingly	Mgmt	For	For	For
12	Authorize Filing of Required Documents/Other Formalities	Mgmt	For	For	For

## ITM Power Plc

**Meeting Date:** 10/29/2020      **Country:** United Kingdom      **Primary Security ID:** G4985J102  
**Record Date:** 10/27/2020      **Meeting Type:** Annual      **Ticker:** ITM

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

ITM Power Plc

Shares Voted: 67,847

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Elect Juergen Nowicki as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of Katherine Roe is warranted because no significant concerns have been identified.					
2	Elect Katherine Roe as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of Katherine Roe is warranted because no significant concerns have been identified.					
3	Re-elect Sir Roger Bone as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of Katherine Roe is warranted because no significant concerns have been identified.					
4	Reappoint Grant Thornton UK LLP as Auditors and Authorise Their Remuneration	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST this resolution is warranted in light of the absence of the annual report. Without the said document, details regarding this proposal cannot be fully analysed.					
5	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
6	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					

Yandex NV

Meeting Date: 10/29/2020Country: NetherlandsPrimary Security ID: N97284108  
Record Date: 10/01/2020Meeting Type: AnnualTicker: YNDX

Shares Voted: 274,495

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
1	Adopt Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Discharge of Directors	Mgmt	For	For	For
3	Reelect Arkady Volozh as Executive Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Yandex NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Reelect Mikhail Parakhin as Non-Executive Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates.					
5	Approve Cancellation of Outstanding Class C Shares	Mgmt	For	For	For
6	Ratify Auditors	Mgmt	For	For	For
7	Grant Board Authority to Issue Class A Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these proposals is warranted because:* The authorization to issue shares is not in line with commonly used safeguards regarding volume and duration;* The authorization to issue shares would last for 60 months.					
8	Authorize Board to Exclude Preemptive Rights from Share Issuances	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these proposals is warranted because:* The authorization to issue shares is not in line with commonly used safeguards regarding volume and duration;* The authorization to issue shares would last for 60 months.					
9	Authorize Repurchase of Up to 20 Percent of Issued Share Capital	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST is warranted because:* The proposal is not in line with the commonly used safeguards regarding volume;* The authorization would allow Yandex to repurchase up to 20 percent of the outstanding share capital, exceeding commonly accepted safeguards.					

Omega Geracao SA

Meeting Date: 11/09/2020	Country: Brazil	Primary Security ID: P7S591105
Record Date:	Meeting Type: Special	Ticker: OMGE3
Shares Voted: 91,262		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Acquisition of 78 Percent of the Share Capital of Santa Vitoria do Palmar Holding S.A. (SPE - Lote 1) Held by Centrais Eletricas Brasileiras S.A. (Eletrobras)	Mgmt	For	For	For
2	Elect Bruno Meirelles Salotti as Fiscal Council Member and Tiago Curi Isaac as Alternate	Mgmt	For	For	For
3	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Hays plc

Meeting Date: 11/11/2020      Country: United Kingdom      Primary Security ID: G4361D109  
Record Date: 11/09/2020      Meeting Type: Annual      Ticker: HAS

Shares Voted: 4,136

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Policy	Mgmt	For	For	For
3	Approve Remuneration Report	Mgmt	For	For	For
4	Re-elect Andrew Martin as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
5	Re-elect Alistair Cox as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
6	Re-elect Paul Venables as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
7	Re-elect Torsten Kreindl as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
8	Re-elect Cheryl Millington as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
9	Re-elect Susan Murray as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
10	Re-elect MT Rainey as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
11	Re-elect Peter Williams as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
12	Reappoint PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
13	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
14	Authorise EU Political Donations and Expenditure	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Hays plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
15	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted, although it is not without concerns on account of:* In April 2020, the Company conducted a cash box placing and used the authorities approved at the previous AGM in a manner inconsistent with its stated commitments.The main reasons for support are:* The Company explains that the Placing was put forward to strengthen the Company's balance sheet, working capital and liquidity position due to the impact of Covid-19. The Placing was conducted generally in line with the recommendations of the Pre-Emption Group.* The proposed amounts and durations are within recommended limits.					
16	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted, although it is not without concerns on account of:* In April 2020, the Company conducted a cash box placing and used the authorities approved at the previous AGM in a manner inconsistent with its stated commitments.The main reasons for support are:* The Company explains that the Placing was put forward to strengthen the Company's balance sheet, working capital and liquidity position due to the impact of Covid-19. The Placing was conducted generally in line with the recommendations of the Pre-Emption Group.* The proposed amounts and durations are within recommended limits.					
17	Authorise Market Purchase of Ordinary shares	Mgmt	For	For	For
18	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

ITM Power Plc

Meeting Date: 11/11/2020	Country: United Kingdom	Primary Security ID: G4985J102
Record Date: 11/09/2020	Meeting Type: Special	Ticker: ITM
Shares Voted: 67,847		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Authorise Issue of Equity in Connection with the Fundraising	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this transaction is warranted, but it is not without concern for shareholders because:* The issuance of new ordinary shares is highly dilutive to non-participating shareholders; and* Certain Directors of the Company will participate in the Firm Placing.The main reasons for support are:* The proposed Fundraising includes an element of open offer which could minimise the impact of dilution to existing shareholders;* The Directors will only subscribe for a small portion of the Firm Placing shares; and* The rationale and use of proceeds of the Fundraising have been clearly explained.					
2	Authorise Issue of Equity without Pre-emptive Rights in Connection with the Fundraising	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this transaction is warranted, but it is not without concern for shareholders because:* The issuance of new ordinary shares is highly dilutive to non-participating shareholders; and* Certain Directors of the Company will participate in the Firm Placing.The main reasons for support are:* The proposed Fundraising includes an element of open offer which could minimise the impact of dilution to existing shareholders;* The Directors will only subscribe for a small portion of the Firm Placing shares; and* The rationale and use of proceeds of the Fundraising have been clearly explained.					



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Ping An Healthcare & Technology Company Limited

Meeting Date: 11/11/2020      Country: Cayman Islands      Primary Security ID: G71139102  
Record Date: 11/05/2020      Meeting Type: Special      Ticker: 1833

Shares Voted: 2,217,514

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Elect Fang Weihao as Director	Mgmt	For	For	For
2	Approve 2020 Provision of Products and Services Framework Agreement, 2020 Services Purchasing Framework Agreement, 2020 Financial Service Framework Agreement, Proposed Annual Caps and Related Transactions	Mgmt	For	Against	Against

Voting Policy Rationale: A vote AGAINST this proposal is warranted because the proposed related-party transactions include a financial service agreement with the group finance company, which may expose the company to unnecessary risks.

Tongda Hong Tai Holdings Limited

Meeting Date: 11/11/2020      Country: Cayman Islands      Primary Security ID: G8919C109  
Record Date: 11/05/2020      Meeting Type: Special      Ticker: 2363

Shares Voted: 1

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Open Offer, Placing Agreement and Related Transactions	Mgmt	For	For	For
2	Approve Underwriting Agreement and Related Transactions	Mgmt	For	For	For
3	Approve Set Off and Related Transactions	Mgmt	For	For	For
4	Approve Special Deal	Mgmt	For	For	For

Voting Policy Rationale: A vote FOR these proposals is warranted given the following: \* the shareholders of the company will have equal opportunities to maintain their proportionate interest in the company; and\* the Open Offer could strengthen the company's financial position and could provide additional funding to the group.

Voting Policy Rationale: A vote FOR these proposals is warranted given the following: \* the shareholders of the company will have equal opportunities to maintain their proportionate interest in the company; and\* the Open Offer could strengthen the company's financial position and could provide additional funding to the group.

Voting Policy Rationale: A vote FOR these proposals is warranted given the following: \* the shareholders of the company will have equal opportunities to maintain their proportionate interest in the company; and\* the Open Offer could strengthen the company's financial position and could provide additional funding to the group.

Voting Policy Rationale: A vote FOR these proposals is warranted given the following: \* the shareholders of the company will have equal opportunities to maintain their proportionate interest in the company; and\* the Open Offer could strengthen the company's financial position and could provide additional funding to the group.

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Tongda Hong Tai Holdings Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Approve Whitewash Waiver	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted given the following:* the shareholders of the company will have equal opportunities to maintain their proportionate interest in the company; and* the Open Offer could strengthen the company's financial position and could provide additional funding to the group.					

Weichai Power Co., Ltd.

Meeting Date: 11/13/2020Country: ChinaPrimary Security ID: Y9531A109  
Record Date: 11/09/2020Meeting Type: SpecialTicker: 2338

Shares Voted: 5,029,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
1	Approve Supplemental Agreement to the Weichai Sale and Processing Services Agreement and Relevant New Caps	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted given:* the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.					
2	Approve Supplemental Agreement to the Weichai Holdings Utilities Services Agreement and Chongqing Weichai Utilities Services and Relevant New Caps	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted given:* the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.					
3	Approve Supplemental Agreement to the Weichai Heavy Machinery Purchase and Processing Services Agreement and Relevant New Caps	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted given:* the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Weichai Power Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Approve Supplemental Agreement to the Weichai Power Diesel Engines Supply Framework Agreement and the Weichai Yangzhou Diesel Engines Supply Framework Agreement and Relevant New Caps	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given: * the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.</i>					
5	Approve Supplemental Agreement to the Transmissions Supply Framework Agreement and Relevant New Caps	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given: * the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.</i>					
6	Approve Supplemental Agreement to the Axles Supply Framework Agreement and Relevant New Caps	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given: * the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.</i>					
7	Approve Supplemental Agreement to the Weichai Westport Supply Agreement and Relevant New Caps	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given: * the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.</i>					
8	Approve Supplemental Agreement to the Weichai Westport Purchase Agreement and Relevant New Caps	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given: * the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.</i>					
9	Approve Supplemental Agreement to the Weichai Westport Logistics Agreement and Relevant New Caps	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given: * the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Weichai Power Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10	Approve Supplemental Agreement to the Weichai Westport Leasing Agreement and Relevant New Caps	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given:* the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.</i>					
11	Approve Grant of the Guarantee(s) by the Company for the Benefit of Weichai Power Hong Kong International Development Co., Limited in Respect of Certain Loans	Mgmt	For	For	For
12	Amend Articles of Association	Mgmt	For	For	For

### Embracer Group AB

**Meeting Date:** 11/16/2020      **Country:** Sweden      **Primary Security ID:** W2504N101  
**Record Date:** 11/06/2020      **Meeting Type:** Special      **Ticker:** EMBRAC.B

**Shares Voted:** 25,388

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting	Mgmt			
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
2	Elect Chairman of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
3	Prepare and Approve List of Shareholders	Mgmt			
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
4	Designate Inspector(s) of Minutes of Meeting	Mgmt			
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
5	Acknowledge Proper Convening of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
6	Approve Agenda of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
7	Approve Issuance of Class B Shares of up to 10 Percent of Issued Shares without Preemptive Rights	Mgmt	For	For	For
8	Close Meeting	Mgmt			

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

UBS Group AG

Meeting Date: 11/19/2020Country: SwitzerlandPrimary Security ID: H42097107  
Record Date:Meeting Type: SpecialTicker: UBSG

Shares Voted: 121,786

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Extraordinary Dividend of USD 0.365 per Share	Mgmt	For	For	For
2	Transact Other Business (Voting)	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST is warranted because:* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.					

The Weir Group Plc

Meeting Date: 11/23/2020Country: United KingdomPrimary Security ID: G95248137  
Record Date: 11/19/2020Meeting Type: SpecialTicker: WEIR

Shares Voted: 195

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Matters Relating to the Disposal by the Company of the Oil & Gas Division	Mgmt	For	For	For

NWS Holdings Limited

Meeting Date: 11/25/2020Country: BermudaPrimary Security ID: G66897110  
Record Date: 11/19/2020Meeting Type: AnnualTicker: 659

Shares Voted: 8,536,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3a	Elect Cheng Kar Shun, Henry as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

NWS Holdings Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3b	Elect Ma Siu Cheung as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
3c	Elect Ho Gilbert Chi Hang as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
3d	Elect Chow Tak Wing as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
3e	Elect Cheng Wai Chee, Christopher as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
3f	Elect Wong Kwai Huen, Albert as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
3g	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
4	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent.* The company has not specified the discount limit.					
6	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
7	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent.* The company has not specified the discount limit.					
8	Adopt New Bye-Laws	Mgmt	For	For	For

New World Development Company Limited

Meeting Date: 11/26/2020	Country: Hong Kong	Primary Security ID: Y6266R109
Record Date: 11/18/2020	Meeting Type: Annual	Ticker: 17
Shares Voted: 648,250		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

New World Development Company Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3a	Elect Cheng Kar-Shun, Henry as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR all nominees is warranted.				
3b	Elect Doo Wai-Hoi, William as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR all nominees is warranted.				
3c	Elect Cheng Kar-Shing, Peter as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR all nominees is warranted.				
3d	Elect Liang Cheung-Biu, Thomas as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR all nominees is warranted.				
3e	Elect Cheng Chi-Man, Sonia as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR all nominees is warranted.				
3f	Elect Huang Shaomei, Echo as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR all nominees is warranted.				
3g	Elect Chiu Wai-Han, Jenny as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR all nominees is warranted.				
3h	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
4	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
6	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
	Voting Policy Rationale: A vote AGAINST this resolution is warranted given that the specified discount limit exceeds 10 percent.				
7	Approve Grant of Options Under the Share Option Scheme	Mgmt	For	Against	Against
	Voting Policy Rationale: A vote AGAINST this resolution is warranted because:* The company could be considered a mature company, and the limit under the scheme, together with other share incentive schemes of the company, exceeds 5 percent of the company's issued capital.* The scheme lacks meaningful vesting periods.* Performance conditions have not been disclosed.* The directors eligible to receive options under the scheme are involved in its administration.				
8	Adopt New Articles of Association	Mgmt	For	For	For

ITM Power Plc

Meeting Date: 11/27/2020	Country: United Kingdom	Primary Security ID: G4985J102
Record Date: 11/25/2020	Meeting Type: Special	Ticker: ITM

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

ITM Power Plc

Shares Voted: 234,795

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against	For
<i>Voting Policy Rationale: A vote AGAINST this resolution is warranted because of the following reasons:* The vesting of the long-term incentive awards granted to the Executive Directors during the year under review is subject to continued employment only;* The Company has paid exceptional bonuses to Executive Directors during the year. It is noted that these bonuses were not based on pre-set targets, which is contrary to UK best practice.* Significant increases on base salaries will be granted to Executive Directors for the ensuing year following a benchmarking exercise. This is despite employees below board level being furloughed due to the Covid-19 pandemic. It is highlighted that salaries of executives were not reduced during the year under review.</i>					

Karoon Energy Ltd.

Meeting Date: 11/27/2020Country: AustraliaPrimary Security ID: Q5210P101  
Record Date: 11/25/2020Meeting Type: AnnualTicker: KAR

Shares Voted: 6,374,068

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Elect Peter Botten as Director	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Approve the Spill Resolution	Mgmt	Against	Against	Against

Pernod Ricard SA

Meeting Date: 11/27/2020Country: FrancePrimary Security ID: F72027109  
Record Date: 11/25/2020Meeting Type: Annual/SpecialTicker: RI

Shares Voted: 3,611

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Extraordinary Business	Mgmt			
	Amend Articles 35 and 36 of Bylaws Re: AGM and EGM Majority Votes	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR Items 1, 19 and 20 are warranted as they reflect legal changes which are considered non-contentious and with a neutral impact for shareholders' interest.</i>				
	Ordinary Business	Mgmt			



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Pernod Ricard SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve Financial Statements and Statutory Reports	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.</i>					
3	Approve Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.</i>					
4	Approve Allocation of Income and Dividends of EUR 2.66 per Share	Mgmt	For	For	For
5	Reelect Alexandre Ricard as Director	Mgmt	For	Against	For
<i>Voting Policy Rationale: * A vote FOR the election of this independent nominee is warranted in the absence of specific concerns (Item 8). * Votes FOR the reelections of Cesar Giron and Wolfgang Colberg, non-independent nominees are warranted given the satisfactory level of board independence (including all board members: 42.9 percent vs 33.3 percent recommended; excluding employee representatives: 50.0 percent vs 50 percent recommended) and the absence of specific concerns (Items 6-7). * As the functions of chairman and CEO are combined, a vote AGAINST the reelection of Alexandre Ricard (Item 5) is warranted.</i>					
6	Reelect Cesar Giron as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: * A vote FOR the election of this independent nominee is warranted in the absence of specific concerns (Item 8). * Votes FOR the reelections of Cesar Giron and Wolfgang Colberg, non-independent nominees are warranted given the satisfactory level of board independence (including all board members: 42.9 percent vs 33.3 percent recommended; excluding employee representatives: 50.0 percent vs 50 percent recommended) and the absence of specific concerns (Items 6-7). * As the functions of chairman and CEO are combined, a vote AGAINST the reelection of Alexandre Ricard (Item 5) is warranted.</i>					
7	Reelect Wolfgang Colberg as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: * A vote FOR the election of this independent nominee is warranted in the absence of specific concerns (Item 8). * Votes FOR the reelections of Cesar Giron and Wolfgang Colberg, non-independent nominees are warranted given the satisfactory level of board independence (including all board members: 42.9 percent vs 33.3 percent recommended; excluding employee representatives: 50.0 percent vs 50 percent recommended) and the absence of specific concerns (Items 6-7). * As the functions of chairman and CEO are combined, a vote AGAINST the reelection of Alexandre Ricard (Item 5) is warranted.</i>					
8	Elect Virginie Fauvel as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: * A vote FOR the election of this independent nominee is warranted in the absence of specific concerns (Item 8). * Votes FOR the reelections of Cesar Giron and Wolfgang Colberg, non-independent nominees are warranted given the satisfactory level of board independence (including all board members: 42.9 percent vs 33.3 percent recommended; excluding employee representatives: 50.0 percent vs 50 percent recommended) and the absence of specific concerns (Items 6-7). * As the functions of chairman and CEO are combined, a vote AGAINST the reelection of Alexandre Ricard (Item 5) is warranted.</i>					
9	Approve Remuneration of Directors in the Aggregate Amount of EUR 1.25 Million	Mgmt	For	For	For
10	Approve Compensation of Alexandre Ricard, Chairman and CEO	Mgmt	For	For	For
11	Approve Compensation of Corporate Officers	Mgmt	For	For	For
12	Approve Remuneration Policy for Alexandre Ricard, Chairman and CEO	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Pernod Ricard SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
13	Approve Remuneration Policy of Corporate Officers	Mgmt	For	For	For
14	Approve Auditors' Special Report on Related-Party Transactions Mentioning the Absence of New Transactions	Mgmt	For	For	For
15	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
16	Change Location of Registered Office to 5, Cours Paul Ricard, 75008 Paris and Amend Article 4 of Bylaws Accordingly	Mgmt	For	For	For
	Extraordinary Business	Mgmt			
17	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR are warranted because the plans could increase employee participation to a level of ownership that would remain acceptable for savings-related share purchase plans.</i>					
18	Authorize Capital Issuances for Use in Employee Stock Purchase Plans Reserved for Employees of the Group's Subsidiaries	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR are warranted because the plans could increase employee participation to a level of ownership that would remain acceptable for savings-related share purchase plans.</i>					
19	Amend Article 21 of Bylaws Re: Board Members Deliberation via Written Consultation	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR Items 1, 19 and 20 are warranted as they reflect legal changes which are considered non-contentious and with a neutral impact for shareholders' interest.</i>					
20	Amend Bylaws to Comply with Legal Changes	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR Items 1, 19 and 20 are warranted as they reflect legal changes which are considered non-contentious and with a neutral impact for shareholders' interest.</i>					
21	Authorize Filing of Required Documents/Other Formalities	Mgmt	For	For	For

### Sinch AB

<b>Meeting Date:</b> 11/27/2020	<b>Country:</b> Sweden	<b>Primary Security ID:</b> W835AF166
<b>Record Date:</b> 11/19/2020	<b>Meeting Type:</b> Special	<b>Ticker:</b> SINCH

**Shares Voted:** 3,614

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting	Mgmt			
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Sinch AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Elect Chairman of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
3	Designate Inspector(s) of Minutes of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
4	Acknowledge Proper Convening of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
5	Approve Agenda of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
6	Acknowledge Proper Convening of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
7	Approve Stock Option Plan LTI II 2020 for Key Employees	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this resolution is warranted because the vesting schedule for part of the warrants is less than three years.</i>					
8	Close Meeting	Mgmt			

### DRDGOLD Ltd.

**Meeting Date:** 12/01/2020      **Country:** South Africa      **Primary Security ID:** S22362107  
**Record Date:** 11/10/2020      **Meeting Type:** Annual      **Ticker:** DRD

**Shares Voted:** 75,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
	Ordinary Resolutions	Mgmt			
1	Reappoint KPMG Inc as Auditors with Riegert Stoltz as the Designated External Audit Partner	Mgmt	For	For	For
2	Elect Tim Cumming as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 2-6A vote FOR these Directors is warranted:* No issues have been identified in relation to the election or re-election of these Directors.Item 7: Re-elect Toko Mnyango as a DirectorA vote FOR this Director is warranted, although it is not without concern:* Toko Mnyango attended less than 75% of eligible Board and Committee meetings held during the year under review.The main reason for support is:* This is the first year that attendance issues with Toko Mnyango have been identified. Her attendance will be kept under review.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### DRDGOLD Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Elect Charmel Flemming as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 2-6A vote FOR these Directors is warranted:* No issues have been identified in relation to the election or re-election of these Directors.Item 7: Re-elect Toko Mnyango as a DirectorA vote FOR this Director is warranted, although it is not without concern:* Toko Mnyango attended less than 75% of eligible Board and Committee meetings held during the year under review.The main reason for support is:* This is the first year that attendance issues with Toko Mnyango have been identified. Her attendance will be kept under review.</i>					
4	Re-elect Niel Pretorius as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 2-6A vote FOR these Directors is warranted:* No issues have been identified in relation to the election or re-election of these Directors.Item 7: Re-elect Toko Mnyango as a DirectorA vote FOR this Director is warranted, although it is not without concern:* Toko Mnyango attended less than 75% of eligible Board and Committee meetings held during the year under review.The main reason for support is:* This is the first year that attendance issues with Toko Mnyango have been identified. Her attendance will be kept under review.</i>					
5	Re-elect Johan Holtzhausen as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 2-6A vote FOR these Directors is warranted:* No issues have been identified in relation to the election or re-election of these Directors.Item 7: Re-elect Toko Mnyango as a DirectorA vote FOR this Director is warranted, although it is not without concern:* Toko Mnyango attended less than 75% of eligible Board and Committee meetings held during the year under review.The main reason for support is:* This is the first year that attendance issues with Toko Mnyango have been identified. Her attendance will be kept under review.</i>					
6	Re-elect Jean Nel as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 2-6A vote FOR these Directors is warranted:* No issues have been identified in relation to the election or re-election of these Directors.Item 7: Re-elect Toko Mnyango as a DirectorA vote FOR this Director is warranted, although it is not without concern:* Toko Mnyango attended less than 75% of eligible Board and Committee meetings held during the year under review.The main reason for support is:* This is the first year that attendance issues with Toko Mnyango have been identified. Her attendance will be kept under review.</i>					
7	Re-elect Toko Mnyango as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 2-6A vote FOR these Directors is warranted:* No issues have been identified in relation to the election or re-election of these Directors.Item 7: Re-elect Toko Mnyango as a DirectorA vote FOR this Director is warranted, although it is not without concern:* Toko Mnyango attended less than 75% of eligible Board and Committee meetings held during the year under review.The main reason for support is:* This is the first year that attendance issues with Toko Mnyango have been identified. Her attendance will be kept under review.</i>					
8	Authorise Board to Issue Shares for Cash	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this item is warranted:* The proposed authority, if fully used, could result in shareholders being diluted to unacceptable levels.</i>					
9.1	Re-elect Johan Holtzhausen as Chairman of the Audit Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 9.1, 9.3 and 9.4A vote FOR these Directors is warranted as no significant concerns have been identified.Item 9.2: Re-elect Jean Nel as Member of the Audit CommitteeA vote AGAINST this Director is warranted:* Jean Nel is a non-independent member of the Audit Committee. This is not in line with the recommended best practice in South Africa.</i>					
9.2	Re-elect Jean Nel as Member of the Audit Committee	Mgmt	For	Against	Against
<i>Voting Policy Rationale: Items 9.1, 9.3 and 9.4A vote FOR these Directors is warranted as no significant concerns have been identified.Item 9.2: Re-elect Jean Nel as Member of the Audit CommitteeA vote AGAINST this Director is warranted:* Jean Nel is a non-independent member of the Audit Committee. This is not in line with the recommended best practice in South Africa.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### DRDGOLD Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9.3	Re-elect Prudence Lebina as Member of the Audit Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 9.1, 9.3 and 9.4A vote FOR these Directors is warranted as no significant concerns have been identified.Item 9.2: Re-elect Jean Nel as Member of the Audit CommitteeA vote AGAINST this Director is warranted:* Jean Nel is a non-independent member of the Audit Committee. This is not in line with the recommended best practice in South Africa.</i>					
9.4	Elect Charmel Flemming as Member of the Audit Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 9.1, 9.3 and 9.4A vote FOR these Directors is warranted as no significant concerns have been identified.Item 9.2: Re-elect Jean Nel as Member of the Audit CommitteeA vote AGAINST this Director is warranted:* Jean Nel is a non-independent member of the Audit Committee. This is not in line with the recommended best practice in South Africa.</i>					
10	Approve Remuneration Policy	Mgmt	For	For	For
11	Approve Implementation Report	Mgmt	For	For	For
12	Authorise Ratification of Approved Resolutions	Mgmt	For	For	For
	Special Resolutions	Mgmt			
1	Authorise Repurchase of Issued Share Capital	Mgmt	For	For	For
2	Approve Financial Assistance in Terms of Sections 44 and 45 of the Companies Act	Mgmt	For	For	For
3	Approve Non-executive Directors' Remuneration	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this item is warranted:* The proposed level of fees to be paid to NEDs appears relatively high for a Company of this size.</i>					

### HDFC Bank Limited

<b>Meeting Date:</b> 12/01/2020	<b>Country:</b> India	<b>Primary Security ID:</b> Y3119P190
<b>Record Date:</b> 10/30/2020	<b>Meeting Type:</b> Special	<b>Ticker:</b> 500180
<b>Shares Voted:</b> 3,357,063		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Postal Ballot	Mgmt			
1	Elect Sashidhar Jagdishan as Director	Mgmt	For	For	For
2	Approve Appointment and Remuneration of Sashidhar Jagdishan as Managing Director & Chief Executive Officer	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Sibanye Stillwater Ltd.

Meeting Date: 12/01/2020      Country: South Africa      Primary Security ID: S7627K103  
Record Date: 11/20/2020      Meeting Type: Special      Ticker: SSW

Shares Voted: 39,093,656

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Ordinary Resolutions	Mgmt			
	Authorise Implementation of the Odd-lot Offer	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted: * It will allow small shareholders to realise value for their shares in a cost-effective manner; and* It will enable the Company to reduce administration costs.					
2	Authorise Ratification of Approved Resolutions	Mgmt	For	For	For
	Special Resolutions	Mgmt			
1	Amend Memorandum of Incorporation	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR these resolutions is warranted: * It will allow small shareholders to realise value for their shares in a cost-effective manner; and* It will enable the Company to reduce administration costs.				
2	Authorise Specific Repurchase of Shares from the Odd-lot Holders	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR these resolutions is warranted: * It will allow small shareholders to realise value for their shares in a cost-effective manner; and* It will enable the Company to reduce administration costs.				
3	Authorise Specific Repurchase of Shares from the Specific Holders	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR these resolutions is warranted: * It will allow small shareholders to realise value for their shares in a cost-effective manner; and* It will enable the Company to reduce administration costs.				

Microsoft Corporation

Meeting Date: 12/02/2020      Country: USA      Primary Security ID: 594918104  
Record Date: 10/08/2020      Meeting Type: Annual      Ticker: MSFT

Shares Voted: 100,428

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Reid G. Hoffman	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.2	Elect Director Hugh F. Johnston	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Microsoft Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.3	Elect Director Teri L. List-Stoll	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.4	Elect Director Satya Nadella	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.5	Elect Director Sandra E. Peterson	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.6	Elect Director Penny S. Pritzker	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.7	Elect Director Charles W. Scharf	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.8	Elect Director Arne M. Sorenson	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.9	Elect Director John W. Stanton	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.10	Elect Director John W. Thompson	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.11	Elect Director Emma N. Walmsley	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.12	Elect Director Padmasree Warrior	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
4	Report on Employee Representation on the Board of Directors	SH	Against	Against	Against

Axis Bank Limited

Meeting Date: 12/09/2020	Country: India	Primary Security ID: Y0487S137
Record Date: 10/30/2020	Meeting Type: Special	Ticker: 532215

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Axis Bank Limited

Shares Voted: 679,068

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Postal Ballot	Mgmt			
	Reelect Ketaki Bhagwati as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
2	Elect Meena Ganesh as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.				
3	Elect Gopalaraman Padmanabhan as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.				

GVC Holdings Plc

Meeting Date: 12/09/2020Country: Isle of ManPrimary Security ID: G427A6103  
Record Date: 12/07/2020Meeting Type: SpecialTicker: GVC

Shares Voted: 7,307

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Change of Company Name to Entain plc; Adopt New Memorandum and Articles of Association	Mgmt	For	For	For

Ceres Power Holdings plc

Meeting Date: 12/10/2020Country: United KingdomPrimary Security ID: G2091U128  
Record Date: 12/08/2020Meeting Type: AnnualTicker: CWR

Shares Voted: 70,463

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Reappoint BDO LLP as Auditors and Authorise Their Remuneration	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST this resolution is warranted in light of the absence of the annual report. Without the said document, details regarding this proposal cannot be fully analysed.					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Ceres Power Holdings plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Elect Warren Finegold as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Items 2, 5, 6 &amp; 7A vote FOR the election/re-election of Warren Finegold, Steve Callaghan, Caroline Hargrove and Richard Preston is warranted because no significant concerns have been identified. Items 3 &amp; 4 Despite potential concerns due to his non-independence, a vote FOR the election of Uwe Glock and Qinggui Hao is warranted as they are not members of the Audit and Remuneration Committees.</i>				
3	Elect Uwe Glock as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Items 2, 5, 6 &amp; 7A vote FOR the election/re-election of Warren Finegold, Steve Callaghan, Caroline Hargrove and Richard Preston is warranted because no significant concerns have been identified. Items 3 &amp; 4 Despite potential concerns due to his non-independence, a vote FOR the election of Uwe Glock and Qinggui Hao is warranted as they are not members of the Audit and Remuneration Committees.</i>				
4	Elect Qinggui Hao as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Items 2, 5, 6 &amp; 7A vote FOR the election/re-election of Warren Finegold, Steve Callaghan, Caroline Hargrove and Richard Preston is warranted because no significant concerns have been identified. Items 3 &amp; 4 Despite potential concerns due to his non-independence, a vote FOR the election of Uwe Glock and Qinggui Hao is warranted as they are not members of the Audit and Remuneration Committees.</i>				
5	Re-elect Stephen Callaghan as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Items 2, 5, 6 &amp; 7A vote FOR the election/re-election of Warren Finegold, Steve Callaghan, Caroline Hargrove and Richard Preston is warranted because no significant concerns have been identified. Items 3 &amp; 4 Despite potential concerns due to his non-independence, a vote FOR the election of Uwe Glock and Qinggui Hao is warranted as they are not members of the Audit and Remuneration Committees.</i>				
6	Re-elect Caroline Hargrove as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Items 2, 5, 6 &amp; 7A vote FOR the election/re-election of Warren Finegold, Steve Callaghan, Caroline Hargrove and Richard Preston is warranted because no significant concerns have been identified. Items 3 &amp; 4 Despite potential concerns due to his non-independence, a vote FOR the election of Uwe Glock and Qinggui Hao is warranted as they are not members of the Audit and Remuneration Committees.</i>				
7	Re-elect Richard Preston as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Items 2, 5, 6 &amp; 7A vote FOR the election/re-election of Warren Finegold, Steve Callaghan, Caroline Hargrove and Richard Preston is warranted because no significant concerns have been identified. Items 3 &amp; 4 Despite potential concerns due to his non-independence, a vote FOR the election of Uwe Glock and Qinggui Hao is warranted as they are not members of the Audit and Remuneration Committees.</i>				
8	Authorise Issue of Equity	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>				
9	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>				
10	Adopt New Articles of Association	Mgmt	For	Against	For
	<i>Voting Policy Rationale: A vote AGAINST this resolution is warranted because: * The New Articles appear to provide the possibility for virtual-only shareholder meetings. While there are benefits from allowing participation at shareholder meetings via electronic means, virtual-only meetings may hinder meaningful exchanges between management and shareholders, and enable management to avoid uncomfortable questions.</i>				

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

China Oilfield Services Limited

Meeting Date: 12/11/2020      Country: China      Primary Security ID: Y15002101  
Record Date: 11/20/2020      Meeting Type: Special      Ticker: 2883

Shares Voted: 3,938,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.01	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
	ELECT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			
	Elect Xu Yugao as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR both nominees is warranted given the absence of any known issues concerning the nominees.					
1.02	Elect Zhao Baoshun as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR both nominees is warranted given the absence of any known issues concerning the nominees.					

Pharmaron Beijing Co., Ltd.

Meeting Date: 12/11/2020      Country: China      Primary Security ID: Y989K6119  
Record Date: 11/10/2020      Meeting Type: Special      Ticker: 3759

Shares Voted: 33,200

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
	Approve 2020 Restricted A Share Incentive Scheme (Draft) and Its Summary	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted given that the directors eligible to receive awards under the scheme are involved in the administration of the proposed scheme.					
2	Approve Assessment Management Measures for the Implementation and Assessment of the 2020 Restricted A Share Incentive Scheme	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted given that the directors eligible to receive awards under the scheme are involved in the administration of the proposed scheme.					
3	Approve Authorization to the Board to Handle Matters Pertaining to the A Share Incentive Scheme	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted given that the directors eligible to receive awards under the scheme are involved in the administration of the proposed scheme.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Pharmaron Beijing Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Approve First H Share Award and Trust Scheme (Draft)	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted given that the directors eligible to receive awards under the scheme are involved in the administration of the proposed scheme.					
5	Approve Authorization to the Board and/or the Delegatee to Handle Matters Pertaining to the First H Share Award and Trust Scheme	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted given that the directors eligible to receive awards under the scheme are involved in the administration of the proposed scheme.					
6	Amend Articles of Association	Mgmt	For	For	For
Voting Policy Rationale: Given that the amendments are mainly proposed to reflect changes in the relevant laws and regulations, a vote FOR these proposals is warranted.					
7	Authorize the Board to Handle All Matters in Relation to the Amendment of Articles of Association	Mgmt	For	For	For
Voting Policy Rationale: Given that the amendments are mainly proposed to reflect changes in the relevant laws and regulations, a vote FOR these proposals is warranted.					
8	Elect Feng Shu as Supervisor	SH	For	For	For

Pharmaron Beijing Co., Ltd.

Meeting Date: 12/11/2020	Country: China	Primary Security ID: Y989K6119
Record Date: 11/10/2020	Meeting Type: Special	Ticker: 3759
Shares Voted: 33,200		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt			
1	Approve 2020 Restricted A Share Incentive Scheme (Draft) and Its Summary	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted given that the directors eligible to receive awards under the scheme are involved in the administration of the proposed scheme.					
2	Approve Assessment Management Measures for the Implementation and Assessment of the 2020 Restricted A Share Incentive Scheme	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted given that the directors eligible to receive awards under the scheme are involved in the administration of the proposed scheme.					
3	Approve Authorization to the Board to Handle Matters Pertaining to the A Share Incentive Scheme	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted given that the directors eligible to receive awards under the scheme are involved in the administration of the proposed scheme.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### ABN AMRO Bank NV

**Meeting Date:** 12/15/2020 **Country:** Netherlands **Primary Security ID:** N0162C102  
**Record Date:** 11/17/2020 **Meeting Type:** Special **Ticker:** ABN

**Shares Voted:** 176,059

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Special Meeting Agenda	Mgmt			
1	Open Meeting and Announcements	Mgmt			
2i	Announce Supervisory Board's Nomination for Appointment	Mgmt			
2ii	Receive Explanation and Motivation by Mariken Tannemaat	Mgmt			
2iii	Elect Mariken Tannemaat to Supervisory Board	Mgmt	For	For	For
3	Close Meeting	Mgmt			

### KWS SAAT SE & Co. KGaA

**Meeting Date:** 12/16/2020 **Country:** Germany **Primary Security ID:** D39062100  
**Record Date:** 11/24/2020 **Meeting Type:** Annual **Ticker:** KWS

**Shares Voted:** 3,537

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports for Fiscal 2019/20	Mgmt	For	For	For
2	Approve Allocation of Income and Dividends of EUR 0.70 per Share	Mgmt	For	For	For
3	Approve Discharge of the Former Management Board (from July 1, 2019 until July 2, 2019) for Fiscal 2019/20	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4	Approve Discharge of Personally Liable Partner (from July 2, 2019 until June 30, 2020)for Fiscal 2019/20	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

KWS SAAT SE & Co. KGaA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Approve Discharge of Supervisory Board (from July 1, 2019 until July 2, 2019) for Fiscal 2019/20	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.					
6	Approve Discharge of Supervisory Board (from July 2, 2019 until June 30, 2020) for Fiscal 2019/20	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.					
7	Ratify Ernst & Young GmbH as Auditors for Fiscal 2020/21	Mgmt	For	For	For
8	Amend Articles Re: Proof of Entitlement	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed article amendments are warranted.					
9.1	Amend Articles Re: Electronic Participation in the General Meeting	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed article amendments are warranted.					
9.2	Amend Articles Re: Absentee Vote	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed article amendments are warranted.					
9.3	Amend Articles Re: Video and Audio Transmission of the General Meeting	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed article amendments are warranted.					
9.4	Amend Articles Re: Board Attendance at General Meetings	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed article amendments are warranted.					
10	Approve Affiliation Agreement with KWS INTERSAAT GmbH	Mgmt	For	For	For
11	Approve Creation of EUR 10 Million Pool of Capital without Preemptive Rights	Mgmt	For	For	For

Telecom Plus Plc

Meeting Date: 12/16/2020      Country: United Kingdom      Primary Security ID: G8729H108  
Record Date: 12/14/2020      Meeting Type: Special      Ticker: TEP

Shares Voted: 202

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Amendments to the Remuneration Policy	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Telecom Plus Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve Deferred Share Bonus Plan	Mgmt	For	For	For

Terna Energy SA

Meeting Date: 12/16/2020	Country: Greece	Primary Security ID: X8979G108
Record Date: 12/10/2020	Meeting Type: Special	Ticker: TENERGY
Shares Voted: 7,108		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Special Meeting Agenda	Mgmt			
1	Approve Special Dividend	Mgmt	For	For	For
2	Approve Restricted Stock Plan	Mgmt	For	Against	Abstain

Voting Policy Rationale: A vote AGAINST this item is warranted because the company has provided insufficient information on the vesting requirements, individual limits, performance metrics and targets, metric weight, and the treatment of awards in the event of early termination.

Detsky Mir PJSC

Meeting Date: 12/17/2020	Country: Russia	Primary Security ID: X1810L113
Record Date: 11/22/2020	Meeting Type: Special	Ticker: DSKY
Shares Voted: 11,238,540		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Interim Dividends of RUB 5.08 per Share for First Nine Months of Fiscal 2020	Mgmt	For	For	For
2	Fix Number of Directors	Mgmt	For	Against	Against

Voting Policy Rationale: A vote AGAINST this resolution is warranted because the proposal might have a negative impact on minority shareholders.

3	Approve New Edition of Charter	Mgmt	For	Against	Against
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Voting Policy Rationale: A vote AGAINST is warranted because the proposed amendments could have a negative impact on shareholders' rights.

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Stillfront Group AB

Meeting Date: 12/17/2020      Country: Sweden      Primary Security ID: W87819145  
Record Date: 12/09/2020      Meeting Type: Special      Ticker: SF

Shares Voted: 4,546

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting	Mgmt			
	Voting Policy Rationale: These are routine meeting formalities.				
2	Elect Chairman of Meeting	Mgmt	For	For	For
	Voting Policy Rationale: These are routine meeting formalities.				
3	Prepare and Approve List of Shareholders	Mgmt	For	For	For
	Voting Policy Rationale: These are routine meeting formalities.				
4	Approve Agenda of Meeting	Mgmt	For	For	For
	Voting Policy Rationale: These are routine meeting formalities.				
5	Designate Inspector(s) of Minutes of Meeting	Mgmt	For	For	For
	Voting Policy Rationale: These are routine meeting formalities.				
6	Acknowledge Proper Convening of Meeting	Mgmt	For	For	For
	Voting Policy Rationale: These are routine meeting formalities.				
7	Approve Stock Option Plan LTIP 2020/2024 II for Key Employees	Mgmt	For	Against	Against
	Voting Policy Rationale: A vote AGAINST this plan is warranted as the vesting period falls below three years.				
8	Approve 10:1 Stock Split; Amend Articles Accordingly	Mgmt	For	For	For
9	Approve Issuance of up to 10 Percent of Share Capital without Preemptive Rights	Mgmt	For	For	For
10	Close Meeting	Mgmt			

Geely Automobile Holdings Limited

Meeting Date: 12/22/2020      Country: Cayman Islands      Primary Security ID: G3777B103  
Record Date: 12/16/2020      Meeting Type: Special      Ticker: 175

Shares Voted: 20,894,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Master CKDs and Automobile Components Sales Agreement, Annual Caps and Related Transactions	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Geely Automobile Holdings Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given:* the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.</i>					
2	Approve Master CKDs and Automobile Components Purchase Agreement, Annual Caps and Related Transactions	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given:* the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.</i>					
3	Approve New Powertrain Sales Agreement, Annual Caps and Related Transactions	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given:* the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.</i>					
4	Approve LYNK & CO Finance Cooperation Agreement, Annual Caps and Related Transactions	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given:* the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.</i>					
5	Approve Fengsheng Finance Cooperation Agreement, Annual Caps and Related Transactions	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given:* the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.</i>					
6	Approve Geely Holding Finance Cooperation Agreement, Annual Caps and Related Transactions	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given:* the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.</i>					

### Invinity Energy Systems Plc

<b>Meeting Date:</b> 12/22/2020	<b>Country:</b> Jersey	<b>Primary Security ID:</b> G4939R101
<b>Record Date:</b> 12/18/2020	<b>Meeting Type:</b> Special	<b>Ticker:</b> IES



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Invinity Energy Systems Plc

Shares Voted: 135,187

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Issue of Equity without Pre-emptive Rights in Connection with the Open Offer	Mgmt	For	For	For

Times Neighborhood Holdings Ltd.

Meeting Date: 12/22/2020Country: Cayman IslandsPrimary Security ID: G8903A101  
Record Date: 12/18/2020Meeting Type: SpecialTicker: 9928

Shares Voted: 51,498,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve 2020 Asiaciti Agreement, 2020 Times China Agreement, 2021 Agreement, Proposed Annual Caps, and Authorize Board to Deal with All Matters in Relation to the Agreements	Mgmt	For	For	For

Xinjiang Goldwind Science & Technology Co., Ltd.

Meeting Date: 12/22/2020Country: ChinaPrimary Security ID: Y97237112  
Record Date: 11/20/2020Meeting Type: SpecialTicker: 2208

Shares Voted: 139,863

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
1	Approve Estimated Annual Cap for Continuing Connected Transactions (A Share) for 2021	Mgmt	For	For	For
2	Approve Application for a Letter of Guarantee from the Bank on Behalf of Australia White Rock Wind Farm	Mgmt	For	For	For
3	Approve Purchasing Liability Insurance for Directors, Supervisors and Senior Management	Mgmt	For	For	For
4	Approve Proposed Revision of Annual Caps for Continuing Connected Transactions (H Share) for 2020 and 2021 Under the Product Sales Framework Agreement (2019-2021)	SH	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Hainan Meilan International Airport Company Limited

Meeting Date: 12/23/2020      Country: China      Primary Security ID: Y3245V103  
Record Date: 12/02/2020      Meeting Type: Special      Ticker: 357

Shares Voted: 2,211,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Elect Tu Haidong as Director and Authorize Board to Enter Into Service Contract with Him	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
2	Elect Yuan Yubao as Director and Authorize Board to Enter Into Service Contract with Him	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
3	Elect Deng Tianlin as Director and Authorize Board to Fix His Remuneration	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
4	Elect Fung Ching, Simon as Director and Authorize Board to Fix His Remuneration	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
5	Elect George F Meng as Director and Authorize Board to Fix His Remuneration	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
6	Elect Hu Yunyun as Supervisor and Authorize Board to Enter Into Service Contract with Him	Mgmt	For	For	For
7	Approve Proposals Put Forward by Any Shareholder(s) Holding Three Percent or More of the Shares Entitled to Vote	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted given the lack of information.					

iClick Interactive Asia Group Ltd.

Meeting Date: 12/23/2020      Country: Cayman Islands      Primary Security ID: 45113Y104  
Record Date: 11/23/2020      Meeting Type: Annual      Ticker: ICLK

Shares Voted: 2,051,193

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Elect Director Matthew Chu Pong Fong	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

iClick Interactive Asia Group Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Other Business (Voting)	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this proposal is warranted, as proposals which are detrimental to shareholder value may arise without shareholders having the opportunity to make a fully informed vote on the issue.					

Flutter Entertainment Plc

Meeting Date: 12/29/2020	Country: Ireland	Primary Security ID: G3643J108
Record Date: 12/27/2020	Meeting Type: Special	Ticker: FLTR
Shares Voted: 4,117		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Acquisition of the Fastball Shares by TSE Holdings Limited	Mgmt	For	For	For

The Phoenix Mills Limited

Meeting Date: 12/29/2020	Country: India	Primary Security ID: Y6973B132
Record Date: 11/20/2020	Meeting Type: Court	Ticker: 503100
Shares Voted: 6,647,537		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Court-Ordered Meeting for Shareholders	Mgmt			
	Approve Scheme of Amalgamation (Merger by Absorption)	Mgmt	For	For	For

Companhia Brasileira de Distribuicao

Meeting Date: 12/31/2020	Country: Brazil	Primary Security ID: P30558103
Record Date:	Meeting Type: Special	Ticker: PCAR3
Shares Voted: 5,029,500		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Ratify Magalhaes Andrade S/S Auditores Independentes as the Independent Firm to Appraise Proposed Transaction	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Companhia Brasileira de Distribuicao

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of the assets and liabilities to be spun off and absorbed;* The company has provided a reasonable rationale for the spinoff transactions, as well as the underlying restructuring of the company;* The transaction will not result in any transfer of cash or shares away from the company's shareholders; and* The market reaction has been positive.</i>					
2	Approve Independent Firm's Appraisal Re: Sendas Distribuidora S.A. (Sendas)	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of the assets and liabilities to be spun off and absorbed;* The company has provided a reasonable rationale for the spinoff transactions, as well as the underlying restructuring of the company;* The transaction will not result in any transfer of cash or shares away from the company's shareholders; and* The market reaction has been positive.</i>					
3	Approve Agreement for Partial Spin-Off of Sendas Distribuidora S.A. (Sendas) and Absorption of Partial Spun-Off Assets	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of the assets and liabilities to be spun off and absorbed;* The company has provided a reasonable rationale for the spinoff transactions, as well as the underlying restructuring of the company;* The transaction will not result in any transfer of cash or shares away from the company's shareholders; and* The market reaction has been positive.</i>					
4	Approve Partial Spin-Off of Sendas Distribuidora S.A. (Sendas) and Absorption of Partial Spun-Off Assets	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of the assets and liabilities to be spun off and absorbed;* The company has provided a reasonable rationale for the spinoff transactions, as well as the underlying restructuring of the company;* The transaction will not result in any transfer of cash or shares away from the company's shareholders; and* The market reaction has been positive.</i>					
5	Authorize Executives to Ratify and Execute Approved Resolutions	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine formalities is warranted.</i>					
6	Ratify Magalhaes Andrade S/S Auditores Independentes as the Independent Firm to Appraise Proposed Transaction	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of the assets and liabilities to be spun off and absorbed;* The company has provided a reasonable rationale for the spinoff transactions, as well as the underlying restructuring of the company;* The transaction will not result in any transfer of cash or shares away from the company's shareholders; and* The market reaction has been positive.</i>					
7	Approve Independent Firm's Appraisal Re: Companhia Brasileira de Distribuicao (CBD)	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of the assets and liabilities to be spun off and absorbed;* The company has provided a reasonable rationale for the spinoff transactions, as well as the underlying restructuring of the company;* The transaction will not result in any transfer of cash or shares away from the company's shareholders; and* The market reaction has been positive.</i>					
8	Approve Agreement for Partial Spin-Off of Companhia Brasileira de Distribuicao (CBD) and Absorption of Partial Spun-Off Assets by Sendas Distribuidora S.A. (Sendas)	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of the assets and liabilities to be spun off and absorbed;* The company has provided a reasonable rationale for the spinoff transactions, as well as the underlying restructuring of the company;* The transaction will not result in any transfer of cash or shares away from the company's shareholders; and* The market reaction has been positive.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Companhia Brasileira de Distribuicao

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9	Approve Partial Spin-Off of Companhia Brasileira de Distribuicao (CBD) and Absorption of Partial Spun-Off Assets by Sendas Distribuidora S.A. (Sendas)	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of the assets and liabilities to be spun off and absorbed;* The company has provided a reasonable rationale for the spinoff transactions, as well as the underlying restructuring of the company;* The transaction will not result in any transfer of cash or shares away from the company's shareholders; and* The market reaction has been positive.					
10	Authorize Executives to Ratify and Execute Approved Resolutions	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these routine formalities is warranted.					
11	Amend Article 4 to Reflect Changes in Capital	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these requests is warranted because:* The proposed amendments reflect a reduction in share capital, as part of the GPA assets spinoff and corporate restructuring; and* Other changes reflect of the issuance of shares to service the company's equity compensation plans, which were previously approved by shareholders.					
12	Consolidate Bylaws	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these requests is warranted because:* The proposed amendments reflect a reduction in share capital, as part of the GPA assets spinoff and corporate restructuring; and* Other changes reflect of the issuance of shares to service the company's equity compensation plans, which were previously approved by shareholders.					

Tongcheng-Elong Holdings Limited

Meeting Date: 12/31/2020	Country: Cayman Islands	Primary Security ID: G8918W106
Record Date: 12/24/2020	Meeting Type: Special	Ticker: 780
Shares Voted: 2,182,800		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Tencent Payment Services Framework Agreement, Relevant Annual Caps and Related Transactions	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted given that:* the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.					
2	Authorize Board to Deal with All Matters in Relation to the Tencent Payment ServicesFramework Agreement	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted given that:* the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Tongcheng-Elong Holdings Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Approve Trip.com Travelling Resources Provision Framework Agreement, Relevant Annual Caps and Related Transactions	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given that:* the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.</i>					
4	Authorize Board to Deal with All Matters in Relation to the Trip.com Travelling Resources Provision Framework Agreement	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given that:* the transactions to be contemplated under this proposal are within the ordinary and usual course of the company's business and are on normal commercial terms; and* the independent non-executive directors and independent financial advisor believe that the transactions are fair and reasonable for the company's shareholders.</i>					

### Bank of Ireland Group Plc

**Meeting Date:** 01/19/2021      **Country:** Ireland      **Primary Security ID:** G0756R109  
**Record Date:** 01/17/2021      **Meeting Type:** Special      **Ticker:** BIRG

**Shares Voted:** 181,217

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Migration of the Migrating Shares to Euroclear Bank's Central Securities Depository	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.</i>					
2	Adopt New Articles of Association	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.</i>					
3	Authorise Company to Take All Actions to Implement the Migration	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.</i>					

### Flutter Entertainment Plc

**Meeting Date:** 01/19/2021      **Country:** Ireland      **Primary Security ID:** G3643J108  
**Record Date:** 01/17/2021      **Meeting Type:** Special      **Ticker:** FLTR

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Flutter Entertainment Plc

Shares Voted: 4,117

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Migration of the Migrating Shares to Euroclear Bank's Central Securities Depository	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					
2	Amend Articles of Association	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					
3A	Conditional upon the Adoption of Resolutions 1 and 2, Adopt New Articles of Association	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this resolution is warranted as no significant concerns have been identified.					
3B	Conditional upon the Adoption of Resolution 1 and Resolution 2 not being Validly Adopted, Adopt New Articles of Association	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this resolution is warranted as no significant concerns have been identified.					
4	Authorise Company to Take All Actions to Implement the Migration	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					

Grafton Group Plc

Meeting Date: 01/21/2021      Country: Ireland      Primary Security ID: G4035Q189  
Record Date: 01/19/2021      Meeting Type: Special      Ticker: GFTU

Shares Voted: 2,289

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Migration of the Migrating Shares to the Euroclear System	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					
2	Adopt New Articles of Association Re: Migration	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					
3	Authorise Company to Take All Actions to Implement the Migration	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					
4	Adopt New Articles of Association Re: Article 5	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this resolution is considered warranted as no significant concerns have been identified.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Grafton Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Approve Capital Reorganisation	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this resolution is considered warranted as no significant concerns have been identified.					
6	Amend Articles of Association	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this resolution is considered warranted as no significant concerns have been identified.					

Melrose Industries Plc

Meeting Date: 01/21/2021	Country: United Kingdom	Primary Security ID: G59731178
Record Date: 01/19/2021	Meeting Type: Special	Ticker: MRO
Shares Voted: 317,122		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Employee Share Plan	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is considered warranted:* While a cap on the number of shares that can be awarded has been introduced, the incentive plan continues to provide for high levels of reward which are tied to a single, market-related performance metric; and* The scheme mechanics are complex, with less clear line-of-sight on the potential value being offered to the participants as compared to typical equity incentive schemes.					
2	Approve Amendments to the Remuneration Policy	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST the proposed amendments is considered warranted:* While a cap on the number of shares that can be awarded has been introduced, the incentive plan continues to provide for high levels of reward which are tied to a single, market-related performance metric; and* The scheme mechanics are complex, with less clear line-of-sight on the potential value being offered to the participants as compared to typical equity incentive schemes.					

Musti Group Oyj

Meeting Date: 01/21/2021	Country: Finland	Primary Security ID: X5S9LB122
Record Date: 01/11/2021	Meeting Type: Annual	Ticker: MUSTI
Shares Voted: 19,811		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting	Mgmt			
Voting Policy Rationale: These are routine meeting formalities.					
2	Call the Meeting to Order	Mgmt			
Voting Policy Rationale: These are routine meeting formalities.					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Musti Group Oyj

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Designate Inspector or Shareholder Representative(s) of Minutes of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
4	Acknowledge Proper Convening of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
5	Prepare and Approve List of Shareholders	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
6	Receive Financial Statements and Statutory Reports	Mgmt			
7	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
8	Approve Allocation of Income and Approve Repayment of Capital of EUR 0.38 Per Share	Mgmt	For	For	For
9	Approve Discharge of Board and President	Mgmt	For	For	For
10	Approve Remuneration Policy And Other Terms of Employment For Executive Management	Mgmt	For	Against	Abstain
<i>Voting Policy Rationale: A vote AGAINST this item is warranted because the proposed remuneration policy allows uncapped discretionary awards.</i>					
11	Approve Monthly Remuneration of Directors in the Amount of EUR 60,000 for Chairman, EUR 35,000 for Vice Chairman and EUR 30,000 for Other Directors; Approve Remuneration for Committee Work and Meeting Fees	Mgmt	For	For	For
12	Fix Number of Directors at 4	Mgmt	For	For	For
13	Reelect Jeffrey David, Ingrid Jonasson Blank and Juho Frilander as Directors; Elect Ilkka Laurila as New Director	Mgmt	For	For	For
14	Approve Remuneration of Auditors	Mgmt	For	For	For
15	Renew Appointment of Ernst & Young Ltd as Auditors	Mgmt	For	For	For
16	Authorize Share Repurchase Program	Mgmt	For	For	For
17	Approve Issuance of up to 3,185,000 Shares without Preemptive Rights	Mgmt	For	For	For
18	Close Meeting	Mgmt			

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Becton, Dickinson and Company

Meeting Date: 01/26/2021      Country: USA      Primary Security ID: 075887109  
Record Date: 12/07/2020      Meeting Type: Annual      Ticker: BDX

Shares Voted: 18,811

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Catherine M. Burzik	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1b	Elect Director R. Andrew Eckert	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1c	Elect Director Vincent A. Forlenza	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1d	Elect Director Claire M. Fraser	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1e	Elect Director Jeffrey W. Henderson	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1f	Elect Director Christopher Jones	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1g	Elect Director Marshall O. Larsen	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1h	Elect Director David F. Melcher	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1i	Elect Director Thomas E. Polen	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1j	Elect Director Claire Pomeroy	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1k	Elect Director Rebecca W. Rimel	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1l	Elect Director Timothy M. Ring	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1m	Elect Director Bertram L. Scott	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Becton, Dickinson and Company

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this proposal is warranted. As a result of the impact of the pandemic, certain NEOs, other than the CEO, received one-time grants of stock appreciation rights following the end of the fiscal year to offset a portion of the lower value of recently earned or in-flight performance shares. The use of one-time awards as a replacement for forfeited or underperforming performance-based awards is problematic and sufficient mitigating factors have not been identified.					
4	Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Against	For	For
Voting Policy Rationale: A vote FOR this proposal is warranted as a lower threshold would enhance the current shareholder right to call special meetings.					

UDG Healthcare Plc

Meeting Date: 01/26/2021	Country: Ireland	Primary Security ID: G9285S108
Record Date: 01/24/2021	Meeting Type: Special	Ticker: UDG
Shares Voted: 1,106		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Migration of the Migrating Shares to Euroclear Bank's Central Securities Depository	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					
2	Adopt New Articles of Association	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					
3	Authorise Company to Take All Actions to Implement the Migration	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					

UDG Healthcare Plc

Meeting Date: 01/26/2021	Country: Ireland	Primary Security ID: G9285S108
Record Date: 01/24/2021	Meeting Type: Annual	Ticker: UDG
Shares Voted: 1,106		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

UDG Healthcare Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve Final Dividend	Mgmt	For	For	For
3	Approve Remuneration Report	Mgmt	For	For	For
4a	Re-elect Peter Chambre as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4b	Re-elect Nigel Clerkin as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4c	Re-elect Shane Cooke as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4d	Re-elect Myles Lee as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4e	Re-elect Brendan McAtamney as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4f	Re-elect Lisa Ricciardi as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4g	Elect Liz Shanahan as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4h	Re-elect Erik Van Snippenberg as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4i	Elect Anna Whitaker as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4j	Re-elect Linda Wilding as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
5	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
6	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
7	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amount and duration are within recommended limits.BACKGROUND INFORMATIONPolicies: Capital/Restructuring					
8	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amount and duration are within recommended limits.BACKGROUND INFORMATIONPolicies: Capital/Restructuring					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

UDG Healthcare Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amount and duration are within recommended limits.BACKGROUND INFORMATIONPolicies: Capital/Restructuring					
10	Authorise Market Purchase of Shares	Mgmt	For	For	For
11	Determine Price Range at which Treasury Shares May be Re-issued Off-Market	Mgmt	For	For	For

Visa Inc.

Meeting Date: 01/26/2021	Country: USA	Primary Security ID: 92826C839
Record Date: 11/27/2020	Meeting Type: Annual	Ticker: V
Shares Voted: 58,374		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Lloyd A. Carney	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1b	Elect Director Mary B. Cranston	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1c	Elect Director Francisco Javier Fernandez-Carbajal	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1d	Elect Director Alfred F. Kelly, Jr.	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1e	Elect Director Ramon Laguarta	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1f	Elect Director John F. Lundgren	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1g	Elect Director Robert W. Matschullat	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1h	Elect Director Denise M. Morrison	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1i	Elect Director Suzanne Nora Johnson	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Visa Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1j	Elect Director Linda J. Rendle	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1k	Elect Director John A. C. Swainson	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1l	Elect Director Maynard G. Webb, Jr.	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
4	Amend Omnibus Stock Plan	Mgmt	For	For	For
5	Provide Holders of At Least 15% Class A Common Stock Right to Call Special Meeting	Mgmt	For	For	For
6	Provide Right to Act by Written Consent	SH	Against	For	For
Voting Policy Rationale: A vote FOR this proposal is warranted given that the ability to act by written consent would enhance shareholder rights.					
7	Amend Principles of Executive Compensation Program	SH	Against	Against	Against

Cairn Homes Plc

Meeting Date: 01/27/2021Country: IrelandPrimary Security ID: G1858L107  
Record Date: 01/25/2021Meeting Type: SpecialTicker: C5H

Shares Voted: 14,630

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Migration of the Migrating Shares to Euroclear Bank's Central Securities Depository	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is considered warranted as no material concerns have been identified.					
2	Adopt New Articles of Association	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is considered warranted as no material concerns have been identified.					
3	Authorise Company to Take All Actions to Implement the Migration	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is considered warranted as no material concerns have been identified.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Dalata Hotel Group Plc

Meeting Date: 01/29/2021      Country: Ireland      Primary Security ID: G2630L100  
Record Date: 01/27/2021      Meeting Type: Special      Ticker: DHG

Shares Voted: 183,687

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Migration of the Migrating Shares to Euroclear Bank's Central Securities Depository	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					
2	Adopt New Articles of Association	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					
3	Authorise Company to Take All Actions to Implement the Migration	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					

Weichai Power Co., Ltd.

Meeting Date: 01/29/2021      Country: China      Primary Security ID: Y9531A109  
Record Date: 01/25/2021      Meeting Type: Special      Ticker: 2338

Shares Voted: 11,090,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
1	Approve Grant of the General Mandate to Issue Shares to the Board	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted for the following:* The share issuance limit is greater than 10 percent.* The company has not specified the discount limit.					
2	Approve Compliance with the Conditions of Non-Public Issuance of A Shares	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted in view of the following:* The proposed Non-public Issuance of A Shares is expected to enhance the company's capital strength, expand the company's scale and improve its overall competitiveness.* The undertakings provided by the board and senior management are expected to enhance their accountability to the company and its shareholders, and the proposals are made in line with the relevant rules and regulations.					
	RESOLUTIONS IN RELATION TO THE ISSUANCE PROPOSAL FOR THE PROPOSED NON-PUBLIC ISSUANCE OF A SHARES	Mgmt			

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Weichai Power Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3.1	Approve Class and Par Value of Shares to be Issued	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted in view of the following:* The proposed Non-public Issuance of A Shares is expected to enhance the company's capital strength, expand the company's scale and improve its overall competitiveness.* The undertakings provided by the board and senior management are expected to enhance their accountability to the company and its shareholders, and the proposals are made in line with the relevant rules and regulations.</i>					
3.2	Approve Method and Time of Issuance	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted in view of the following:* The proposed Non-public Issuance of A Shares is expected to enhance the company's capital strength, expand the company's scale and improve its overall competitiveness.* The undertakings provided by the board and senior management are expected to enhance their accountability to the company and its shareholders, and the proposals are made in line with the relevant rules and regulations.</i>					
3.3	Approve Target Subscribers and Subscription Method	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted in view of the following:* The proposed Non-public Issuance of A Shares is expected to enhance the company's capital strength, expand the company's scale and improve its overall competitiveness.* The undertakings provided by the board and senior management are expected to enhance their accountability to the company and its shareholders, and the proposals are made in line with the relevant rules and regulations.</i>					
3.4	Approve Pricing Benchmark Date, Issue Price and Pricing	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted in view of the following:* The proposed Non-public Issuance of A Shares is expected to enhance the company's capital strength, expand the company's scale and improve its overall competitiveness.* The undertakings provided by the board and senior management are expected to enhance their accountability to the company and its shareholders, and the proposals are made in line with the relevant rules and regulations.</i>					
3.5	Approve Number of Shares to be Issued	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted in view of the following:* The proposed Non-public Issuance of A Shares is expected to enhance the company's capital strength, expand the company's scale and improve its overall competitiveness.* The undertakings provided by the board and senior management are expected to enhance their accountability to the company and its shareholders, and the proposals are made in line with the relevant rules and regulations.</i>					
3.6	Approve Lock-Up Period Arrangement	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted in view of the following:* The proposed Non-public Issuance of A Shares is expected to enhance the company's capital strength, expand the company's scale and improve its overall competitiveness.* The undertakings provided by the board and senior management are expected to enhance their accountability to the company and its shareholders, and the proposals are made in line with the relevant rules and regulations.</i>					
3.7	Approve Place of Listing of the New A Shares to be Issued	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted in view of the following:* The proposed Non-public Issuance of A Shares is expected to enhance the company's capital strength, expand the company's scale and improve its overall competitiveness.* The undertakings provided by the board and senior management are expected to enhance their accountability to the company and its shareholders, and the proposals are made in line with the relevant rules and regulations.</i>					
3.8	Approve Arrangement of Accumulated Undistributed Profits	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted in view of the following:* The proposed Non-public Issuance of A Shares is expected to enhance the company's capital strength, expand the company's scale and improve its overall competitiveness.* The undertakings provided by the board and senior management are expected to enhance their accountability to the company and its shareholders, and the proposals are made in line with the relevant rules and regulations.</i>					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Weichai Power Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3.9	Approve Validity of the Resolutions in Respect of the Non-Public Issuance of A Shares	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted in view of the following:* The proposed Non-public Issuance of A Shares is expected to enhance the company's capital strength, expand the company's scale and improve its overall competitiveness.* The undertakings provided by the board and senior management are expected to enhance their accountability to the company and its shareholders, and the proposals are made in line with the relevant rules and regulations.</i>					
3.10	Approve Use of Proceeds	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted in view of the following:* The proposed Non-public Issuance of A Shares is expected to enhance the company's capital strength, expand the company's scale and improve its overall competitiveness.* The undertakings provided by the board and senior management are expected to enhance their accountability to the company and its shareholders, and the proposals are made in line with the relevant rules and regulations.</i>					
4	Approve Plan for the Non-Public Issuance of A Shares	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted in view of the following:* The proposed Non-public Issuance of A Shares is expected to enhance the company's capital strength, expand the company's scale and improve its overall competitiveness.* The undertakings provided by the board and senior management are expected to enhance their accountability to the company and its shareholders, and the proposals are made in line with the relevant rules and regulations.</i>					
5	Approve Feasibility Analysis Report on the Use of Proceeds from the Non-Public Issuance of A Shares	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted in view of the following:* The proposed Non-public Issuance of A Shares is expected to enhance the company's capital strength, expand the company's scale and improve its overall competitiveness.* The undertakings provided by the board and senior management are expected to enhance their accountability to the company and its shareholders, and the proposals are made in line with the relevant rules and regulations.</i>					
6	Approve Absence of Need to Prepare a Report on the Use of Previously Raised Proceeds	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted in view of the following:* The proposed Non-public Issuance of A Shares is expected to enhance the company's capital strength, expand the company's scale and improve its overall competitiveness.* The undertakings provided by the board and senior management are expected to enhance their accountability to the company and its shareholders, and the proposals are made in line with the relevant rules and regulations.</i>					
7	Approve Remedial Measures for the Dilution of Immediate Returns Upon the Non-Public Issuance of A Shares and Undertakings by the Relevant Persons	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted in view of the following:* The proposed Non-public Issuance of A Shares is expected to enhance the company's capital strength, expand the company's scale and improve its overall competitiveness.* The undertakings provided by the board and senior management are expected to enhance their accountability to the company and its shareholders, and the proposals are made in line with the relevant rules and regulations.</i>					
8	Approve Plan on Shareholders' Return for the Upcoming Three Years (2021-2023)	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted in view of the following:* The proposed Non-public Issuance of A Shares is expected to enhance the company's capital strength, expand the company's scale and improve its overall competitiveness.* The undertakings provided by the board and senior management are expected to enhance their accountability to the company and its shareholders, and the proposals are made in line with the relevant rules and regulations.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Weichai Power Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9	Authorize Board and Its Authorized Persons Board to Deal with All Matters in Relation to the Non-Public Issuance of A Shares	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted in view of the following:* The proposed Non-public Issuance of A Shares is expected to enhance the company's capital strength, expand the company's scale and improve its overall competitiveness.* The undertakings provided by the board and senior management are expected to enhance their accountability to the company and its shareholders, and the proposals are made in line with the relevant rules and regulations.					
10	Approve Proposed Amendments to the Management Measures on the Use of Raised Proceeds	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted in view of the following:* The proposed Non-public Issuance of A Shares is expected to enhance the company's capital strength, expand the company's scale and improve its overall competitiveness.* The undertakings provided by the board and senior management are expected to enhance their accountability to the company and its shareholders, and the proposals are made in line with the relevant rules and regulations.					

Agronomics Ltd.

Meeting Date: 02/02/2021	Country: Isle of Man	Primary Security ID: G0133Q105
Record Date: 01/29/2021	Meeting Type: Annual	Ticker: ANIC
Shares Voted: 1,684,560		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against	Abstain
Voting Policy Rationale: A vote AGAINST this resolution is warranted because of the following reason:* A copy of the annual report has not been made available at the time of the report;* An Executive Director chairs the Audit Committee; and* The Remuneration Committee is not fully independent.					
2	Re-elect Richard Reed as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the re-election of Richard Reed and David Giampaolo is warranted because no significant concerns have been identified.					
3	Re-elect David Giampaolo as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the re-election of Richard Reed and David Giampaolo is warranted because no significant concerns have been identified.					
4	Ratify KPMG Audit LLC, Isle of Man as Auditors	Mgmt	For	For	For
5	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Dolby Laboratories, Inc.

Meeting Date: 02/02/2021      Country: USA      Primary Security ID: 25659T107  
Record Date: 12/07/2020      Meeting Type: Annual      Ticker: DLB

Shares Voted: 102,527

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Kevin Yeaman	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.2	Elect Director Peter Gotcher	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.3	Elect Director Micheline Chau	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.4	Elect Director David Dolby	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.5	Elect Director Simon Segars	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.6	Elect Director Roger Siboni	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.7	Elect Director Anjali Sud	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.8	Elect Director Avadis Tevanian, Jr.	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For	For

DCC Plc

Meeting Date: 02/04/2021      Country: Ireland      Primary Security ID: G2689P101  
Record Date: 02/02/2021      Meeting Type: Special      Ticker: DCC

Shares Voted: 16,313

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Migration of the Migrating Shares to Euroclear Bank's Central Securities Depository	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### DCC Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
<i>Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.</i>					
2	Adopt New Articles of Association	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.</i>					
3	Authorise Company to Take All Actions to Implement the Migration	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.</i>					

### Smurfit Kappa Group Plc

**Meeting Date:** 02/05/2021      **Country:** Ireland      **Primary Security ID:** G8248F104  
**Record Date:** 02/03/2021      **Meeting Type:** Special      **Ticker:** SKG

**Shares Voted:** 45,719

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Migration of the Migrating Shares to Euroclear Bank's Central Securities Depository	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.</i>					
2	Adopt New Articles of Association	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.</i>					
3	Authorise Company to Take All Actions to Implement the Migration	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.</i>					

### ThyssenKrupp AG

**Meeting Date:** 02/05/2021      **Country:** Germany      **Primary Security ID:** D8398Q119  
**Record Date:** 01/14/2021      **Meeting Type:** Annual      **Ticker:** TKA

**Shares Voted:** 63,813

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2019/20(Non-Voting)	Mgmt			

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

ThyssenKrupp AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve Discharge of Management Board for Fiscal Year 2019/20	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.					
3	Approve Discharge of Supervisory Board for Fiscal Year 2019/20	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.					
4	Ratify PricewaterhouseCoopers GmbH as Auditors for Fiscal Year 2020/21	Mgmt	For	For	For
5	Amend Articles Re: Supervisory Board Term of Office	Mgmt	For	For	For
6	Elect Verena Volpert to the Supervisory Board	Mgmt	For	For	For
7	Approve Remuneration Policy for the Management Board	Mgmt	For	For	For
8	Approve Remuneration of Supervisory Board	Mgmt	For	For	For

Equitas Small Finance Bank Ltd.

Meeting Date: 02/08/2021Country: IndiaPrimary Security ID: Y2R9VF103  
Record Date: 12/25/2020Meeting Type: SpecialTicker: 543243

Shares Voted: 4,212,198

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Postal Ballot	Mgmt			
	Ratify the ESFB Employees Stock Option Scheme 2019 and Grant of Stock Options to Employees of the Company under the Scheme	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted because:* The Plan permits stock options to be issued with an exercise price at a discount to the current market price.* Performance conditions have not been disclosed.					
2	Ratify the Resolutions Passed for Grant of Options to Employees of the Holding Companyunder the ESFB Employees Stock Option Scheme 2019	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted because:* The Plan permits stock options to be issued with an exercise price at a discount to the current market price.* Performance conditions have not been disclosed.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Bharti Airtel Limited

Meeting Date: 02/09/2021	Country: India	Primary Security ID: Y0885K108
Record Date: 01/01/2021	Meeting Type: Special	Ticker: 532454
Shares Voted: 6,788,404		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Postal Ballot	Mgmt			
	Approve Shifting of Registered Office of the Company	Mgmt	For	For	For

CRH Plc

Meeting Date: 02/09/2021	Country: Ireland	Primary Security ID: G25508105
Record Date: 02/07/2021	Meeting Type: Special	Ticker: CRH
Shares Voted: 30,924		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Migration of the Migrating Shares to Euroclear Bank's Central Securities Depository	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					
2	Adopt New Articles of Association	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					
3	Authorise Company to Take All Actions to Implement the Migration	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					
4	Adopt New Articles of Association Re: Article 51(d)	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					
5	Approve Capital Reorganisation	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					
6	Amend Articles of Association	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					

Glenveagh Properties Plc

Meeting Date: 02/10/2021	Country: Ireland	Primary Security ID: G39155109
Record Date: 02/08/2021	Meeting Type: Special	Ticker: GVR

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Glenveagh Properties Plc

Shares Voted: 9,811

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Migration of the Migrating Shares to Euroclear Bank's Central Securities Depository	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					
2	Adopt New Articles of Association	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					
3	Authorise Company to Take All Actions to Implement the Migration	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the resolutions is considered warranted as no material concerns have been identified.					

Tesco Plc

Meeting Date: 02/11/2021Country: United KingdomPrimary Security ID: G87621101  
Record Date: 02/09/2021Meeting Type: SpecialTicker: TSCO

Shares Voted: 2,717

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Special Dividend	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this resolution is warranted:* In line with previous communication to shareholders, the Board proposes to return a portion of the proceeds from a recent disposal to shareholders by way of a special dividend. No concerns are raised.					
2	Approve Share Consolidation	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this resolution is warranted:* In line with previous communication to shareholders, the Board proposes to return a portion of the proceeds from a recent disposal to shareholders by way of a special dividend. No concerns are raised.					
3	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
4	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
5	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Tesco Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For

EDP Renovaveis SA

Meeting Date: 02/22/2021Country: SpainPrimary Security ID: E3847K101  
Record Date: 02/15/2021Meeting Type: SpecialTicker: EDPR

Shares Voted: 130

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.A	Ratify Appointment of and Elect Miguel Stilwell de Andrade as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR Item 1.A is warranted, as the company has specified that nominee Miguel Stilwell de Andrade will combine the board chairman and CEO positions for an limited period only, probably until the 2021 AGM.A vote FOR the election of NI-NED Ana Marques under Item 1.B is warranted because the board meets the independence requirements for controlled companies in this market.A vote FOR Item 1.C is warranted due to a lack of concerns about the independent director nominee					
1.B	Ratify Appointment of and Elect Ana Paula Garrido Pina Marques as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR Item 1.A is warranted, as the company has specified that nominee Miguel Stilwell de Andrade will combine the board chairman and CEO positions for an limited period only, probably until the 2021 AGM.A vote FOR the election of NI-NED Ana Marques under Item 1.B is warranted because the board meets the independence requirements for controlled companies in this market.A vote FOR Item 1.C is warranted due to a lack of concerns about the independent director nominee					
1.C	Ratify Appointment of and Elect Joan Avalyn Dempsey as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR Item 1.A is warranted, as the company has specified that nominee Miguel Stilwell de Andrade will combine the board chairman and CEO positions for an limited period only, probably until the 2021 AGM.A vote FOR the election of NI-NED Ana Marques under Item 1.B is warranted because the board meets the independence requirements for controlled companies in this market.A vote FOR Item 1.C is warranted due to a lack of concerns about the independent director nominee					
2.A	Dismiss Antonio Luis Guerra Nunes Mexia as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR Items 2.A and 2.B are warranted due to a lack of concerns about these dismissals.					
2.B	Dismiss Joao Manuel Manso Neto as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR Items 2.A and 2.B are warranted due to a lack of concerns about these dismissals.					
3	Fix Number of Directors at 12	Mgmt	For	For	For
4	Amend Articles Re: General Meetings	Mgmt	For	For	For
5	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For	For



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

## Infineon Technologies AG

**Meeting Date:** 02/25/2021      **Country:** Germany      **Primary Security ID:** D35415104  
**Record Date:**      **Meeting Type:** Annual      **Ticker:** IFX

**Shares Voted:** 62,932

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal 2020 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 0.22 per Share	Mgmt	For	For	For
3.1	Approve Discharge of Management Board Member Reinhard Ploss for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
3.2	Approve Discharge of Management Board Member Helmut Gassel for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
3.3	Approve Discharge of Management Board Member Jochen Hanebeck for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
3.4	Approve Discharge of Management Board Member Sven Schneider for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.1	Approve Discharge of Supervisory Board Member Wolfgang Eder for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.2	Approve Discharge of Supervisory Board Member Peter Bauer (until Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.3	Approve Discharge of Supervisory Board Member Xiaoqun Clever (from Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.4	Approve Discharge of Supervisory Board Member Johann Dechant for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Infineon Technologies AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.5	Approve Discharge of Supervisory Board Member Herbert Diess (until Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.6	Approve Discharge of Supervisory Board Member Friedrich Eichner (from Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.7	Approve Discharge of Supervisory Board Member Annette Engelfried for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.8	Approve Discharge of Supervisory Board Member Peter Gruber for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.9	Approve Discharge of Supervisory Board Member Gerhard Hobbach (until Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.10	Approve Discharge of Supervisory Board Member Hans-Ulrich Holdenried for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.11	Approve Discharge of Supervisory Board Member Renate Koecher (until Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.12	Approve Discharge of Supervisory Board Member Susanne Lachenmann for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.13	Approve Discharge of Supervisory Board Member Geraldine Picaud for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.14	Approve Discharge of Supervisory Board Member Manfred Puffer for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Infineon Technologies AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.15	Approve Discharge of Supervisory Board Member Melanie Riedl (from Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.16	Approve Discharge of Supervisory Board Member Kerstin Schulzendorf for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.17	Approve Discharge of Supervisory Board Member Juergen Scholz for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.18	Approve Discharge of Supervisory Board Member Ulrich Spiesshofer (from Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.19	Approve Discharge of Supervisory Board Member Margret Suckale (from Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.20	Approve Discharge of Supervisory Board Member Eckart Suenner (until Feb. 20, 2020) for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4.21	Approve Discharge of Supervisory Board Member Diana Vitale for Fiscal 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
5	Ratify KPMG AG as Auditors for Fiscal 2021	Mgmt	For	For	For
6	Approve Remuneration Policy	Mgmt	For	For	For
7	Approve Remuneration of Supervisory Board	Mgmt	For	For	For
8	Approve Creation of EUR 30 Million Pool of Capital for Employee Stock Purchase Plan	Mgmt	For	For	For
9	Amend Articles Re: Information for Registration in the Share Register	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the proposed article amendments are warranted.</i>					
10	Amend Articles Re: Supervisory Board's Rules of Procedure	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the proposed article amendments are warranted.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Embracer Group AB

**Meeting Date:** 02/26/2021 **Country:** Sweden **Primary Security ID:** W2504N101  
**Record Date:** 02/18/2021 **Meeting Type:** Special **Ticker:** EMBRAC.B

**Shares Voted:** 21,056

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting	Mgmt			
Voting Policy Rationale: These are routine meeting formalities.					
2	Elect Chairman of Meeting	Mgmt	For	For	For
Voting Policy Rationale: These are routine meeting formalities.					
3	Prepare and Approve List of Shareholders	Mgmt			
Voting Policy Rationale: These are routine meeting formalities.					
4	Designate Inspector(s) of Minutes of Meeting	Mgmt			
Voting Policy Rationale: These are routine meeting formalities.					
5	Acknowledge Proper Convening of Meeting	Mgmt	For	For	For
Voting Policy Rationale: These are routine meeting formalities.					
6	Approve Agenda of Meeting	Mgmt	For	For	For
Voting Policy Rationale: These are routine meeting formalities.					
7	Approve Issuance of up to 41.7 Million Class B Shares without Preemptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the issuance authorization under Item 7 is warranted because the company has provided compelling rationale for that request.A vote FOR the general issuance authorization under Item 8 is warranted as it would replace a previous general issuance authorization which has been largely unused. The vote is qualified however, as the request would grant the company authority to issue capital above the limit for general capital requests during this fiscal year.					
8	Approve Issuance of up to 10 Percent of Total Amount of Shares without Preemptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the issuance authorization under Item 7 is warranted because the company has provided compelling rationale for that request.A vote FOR the general issuance authorization under Item 8 is warranted as it would replace a previous general issuance authorization which has been largely unused. The vote is qualified however, as the request would grant the company authority to issue capital above the limit for general capital requests during this fiscal year.					
9	Close Meeting	Mgmt			

### Alibaba Health Information Technology Limited

**Meeting Date:** 03/01/2021 **Country:** Bermuda **Primary Security ID:** G0171K101  
**Record Date:** 02/23/2021 **Meeting Type:** Special **Ticker:** 241

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Alibaba Health Information Technology Limited

Shares Voted: 5,132,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Revised Annual Cap Under the Technical Services Framework Agreement	Mgmt	For	For	For

China Biologic Products Holdings, Inc.

Meeting Date: 03/01/2021Country: Cayman IslandsPrimary Security ID: G21515104  
Record Date: 02/01/2021Meeting Type: SpecialTicker: CBPO

Shares Voted: 2,613

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Merger Agreement	Mgmt	For	Against	Against
Voting Policy Rationale: Given the defects in process and valuation, and the low likelihood of non-approval that would in turn lead to downside pressure on share price, a symbolic vote AGAINST the transaction is warranted.					
2	Approve Authorization of the Board to Handle All Matters Related to the Merger Agreement	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this item is warranted, as the underlying transaction does not warrant support.					
3	Adjourn Meeting	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this item is warranted, as the underlying transaction does not warrant support.					

Nexi SpA

Meeting Date: 03/03/2021Country: ItalyPrimary Security ID: T6S18J104  
Record Date: 02/22/2021Meeting Type: SpecialTicker: NEXI

Shares Voted: 36,435

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Extraordinary Business	Mgmt			
	Approve Merger by Incorporation of Nets Topco 2 Sarl into Nexi SpA	Mgmt	For	For	For
	Ordinary Business	Mgmt			

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Nexi SpA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Approve Increase in Size of Board from 13 to 15	Mgmt	For	Against	Against
Voting Policy Rationale: These items warrant a vote AGAINST because board independence is currently lower than 50 percent, and the two proposed candidates are non-independent directors.					
1.2	Elect Bo Nilsson and Stefan Goetz as Directors	Mgmt	For	Against	Against
Voting Policy Rationale: These items warrant a vote AGAINST because board independence is currently lower than 50 percent, and the two proposed candidates are non-independent directors.					
1.3	Fix Board Terms for New Directors	Mgmt	For	Against	Against
Voting Policy Rationale: These items warrant a vote AGAINST because board independence is currently lower than 50 percent, and the two proposed candidates are non-independent directors.					
1.4	Approve Remuneration of New Directors	Mgmt	For	Against	Against
Voting Policy Rationale: These items warrant a vote AGAINST because board independence is currently lower than 50 percent, and the two proposed candidates are non-independent directors.					

New Oriental Education & Technology Group, Inc.

Meeting Date: 03/08/2021	Country: Cayman Islands	Primary Security ID: G6470A108
Record Date: 02/01/2021	Meeting Type: Special	Ticker: 9901
Shares Voted: 7,270		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Share Subdivision	Mgmt	For	For	For
2	Adopt Chinese Name as Dual Foreign Name of the Company	Mgmt	For	For	For
3	Adopt Amended and Restated Memorandum and Articles of Association	Mgmt	For	For	For

New Oriental Education & Technology Group, Inc.

Meeting Date: 03/08/2021	Country: Cayman Islands	Primary Security ID: G6470A116
Record Date: 02/01/2021	Meeting Type: Special	Ticker: 9901
Shares Voted: 16,564		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

New Oriental Education & Technology Group, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Share Subdivision	Mgmt	For	For	For
2	Adopt Chinese Name as Dual Foreign Name of the Company	Mgmt	For	For	For
3	Adopt Amended and Restated Memorandum and Articles of Association	Mgmt	For	For	For

The Walt Disney Company

Meeting Date: 03/09/2021Country: USAPrimary Security ID: 254687106  
Record Date: 01/11/2021Meeting Type: AnnualTicker: DIS

Shares Voted: 62,414

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Susan E. Arnold	Mgmt	For	For	For
Voting Policy Rationale: A cautionary vote FOR compensation committee members Mary T. Barra, Maria Elena Lagomasino, and Mark G. Parker is warranted in light of only a limited degree of responsiveness to the prior year's say-on-pay vote result.A vote FOR the remaining director nominees is warranted.					
1b	Elect Director Mary T. Barra	Mgmt	For	For	For
Voting Policy Rationale: A cautionary vote FOR compensation committee members Mary T. Barra, Maria Elena Lagomasino, and Mark G. Parker is warranted in light of only a limited degree of responsiveness to the prior year's say-on-pay vote result.A vote FOR the remaining director nominees is warranted.					
1c	Elect Director Safra A. Catz	Mgmt	For	For	For
Voting Policy Rationale: A cautionary vote FOR compensation committee members Mary T. Barra, Maria Elena Lagomasino, and Mark G. Parker is warranted in light of only a limited degree of responsiveness to the prior year's say-on-pay vote result.A vote FOR the remaining director nominees is warranted.					
1d	Elect Director Robert A. Chapek	Mgmt	For	For	For
Voting Policy Rationale: A cautionary vote FOR compensation committee members Mary T. Barra, Maria Elena Lagomasino, and Mark G. Parker is warranted in light of only a limited degree of responsiveness to the prior year's say-on-pay vote result.A vote FOR the remaining director nominees is warranted.					
1e	Elect Director Francis A. deSouza	Mgmt	For	For	For
Voting Policy Rationale: A cautionary vote FOR compensation committee members Mary T. Barra, Maria Elena Lagomasino, and Mark G. Parker is warranted in light of only a limited degree of responsiveness to the prior year's say-on-pay vote result.A vote FOR the remaining director nominees is warranted.					
1f	Elect Director Michael B.G. Froman	Mgmt	For	For	For
Voting Policy Rationale: A cautionary vote FOR compensation committee members Mary T. Barra, Maria Elena Lagomasino, and Mark G. Parker is warranted in light of only a limited degree of responsiveness to the prior year's say-on-pay vote result.A vote FOR the remaining director nominees is warranted.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

The Walt Disney Company

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1g	Elect Director Robert A. Iger	Mgmt	For	For	For
Voting Policy Rationale: A cautionary vote FOR compensation committee members Mary T. Barra, Maria Elena Lagomasino, and Mark G. Parker is warranted in light of only a limited degree of responsiveness to the prior year's say-on-pay vote result.A vote FOR the remaining director nominees is warranted.					
1h	Elect Director Maria Elena Lagomasino	Mgmt	For	For	For
Voting Policy Rationale: A cautionary vote FOR compensation committee members Mary T. Barra, Maria Elena Lagomasino, and Mark G. Parker is warranted in light of only a limited degree of responsiveness to the prior year's say-on-pay vote result.A vote FOR the remaining director nominees is warranted.					
1i	Elect Director Mark G. Parker	Mgmt	For	For	For
Voting Policy Rationale: A cautionary vote FOR compensation committee members Mary T. Barra, Maria Elena Lagomasino, and Mark G. Parker is warranted in light of only a limited degree of responsiveness to the prior year's say-on-pay vote result.A vote FOR the remaining director nominees is warranted.					
1j	Elect Director Derica W. Rice	Mgmt	For	For	For
Voting Policy Rationale: A cautionary vote FOR compensation committee members Mary T. Barra, Maria Elena Lagomasino, and Mark G. Parker is warranted in light of only a limited degree of responsiveness to the prior year's say-on-pay vote result.A vote FOR the remaining director nominees is warranted.					
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this proposal is warranted. CEO pay and company performance are reasonably aligned for the year in review given the significant reduction in the new CEO's total pay as compared to that of his predecessor. Nevertheless, concerns are raised regarding the compensation committee's responsiveness to several years of low say-on-pay support as well as the continued large size of the former CEO's pay in his current role as executive chairman. The company reached out to and engaged with a broad portion of shareholders and made certain changes to the program surrounding new CEO Chapek's pay. However, the proxy does not disclose shareholders' specific concerns as they relate to the previous year's low vote result. Without specific feedback disclosed in the proxy, shareholders' ability to fully evaluate the committee's responses is significantly inhibited. It is also concerning that no target pay opportunity adjustments were made to Robert Iger's pay in connection with his transition from CEO to executive chairman. As such, he will remain the most highly compensated NEO, with relatively outsized pay opportunities, that do not appropriately reflect the change in role.					
4	Report on Lobbying Payments and Policy	SH	Against	For	For
Voting Policy Rationale: A vote FOR this proposal is warranted, as additional disclosure of the company's indirect lobbying-related oversight mechanisms, along with its trade association payments, would help shareholders better assess the risks and benefits associated with the company's participation in the public policy process.					
5	Adopt a Policy to Include Non-Management Employees as Prospective Director Candidates	SH	Against	Against	Against

Banco Bradesco SA

Meeting Date: 03/10/2021	Country: Brazil	Primary Security ID: P1808G117
Record Date: 02/08/2021	Meeting Type: Annual	Ticker: BBDC4



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Banco Bradesco SA

Shares Voted: 14,216,188

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Meeting for ADR Preferred Holders	Mgmt			
	Elect Cristiana Pereira as Fiscal Council Member and Ava Cohn as Alternate Appointed by Preferred Shareholder	SH	None	For	For

Hologic Inc.

Meeting Date: 03/11/2021      Country: USA      Primary Security ID: 436440101  
Record Date: 01/12/2021      Meeting Type: Annual      Ticker: HOLX

Shares Voted: 186,154

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Stephen P. MacMillan	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1b	Elect Director Sally W. Crawford	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1c	Elect Director Charles J. Dockendorff	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1d	Elect Director Scott T. Garrett	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1e	Elect Director Ludwig N. Hantson	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1f	Elect Director Namal Nawana	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1g	Elect Director Christiana Stamoulis	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1h	Elect Director Amy M. Wendell	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Hologic Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this proposal is warranted, as the pay-for-performance misalignment for the year in review was not mitigated and further, concerns are raised regarding severance payments and benefits made to an NEO upon his voluntary retirement. For 2020 long-term incentives, the company incorporated a one-year performance period for the new free cash flow metric and the proxy discloses that an additional metric will utilize an annual performance period for fiscal 2021 awards. While some investors may have comfort with temporarily shortened performance periods in response to the pandemic, given that the company made this change prior to March of 2020, it is unclear if the shortened performance periods are meant to be temporary. Most investors prefer for the majority of equity awards to be tied to multi-year performance periods. The rigor of the LTI program's relative TSR target goal also raises some concern, as the metric targets merely the median of peers without an apparent cap on payouts if absolute TSR is negative. In addition, there are significant concerns surrounding the payments and benefits provided to an NEO in connection with his retirement. Specifically, the NEO received severance pay consistent with what he would have received in connection with a qualifying termination, while receiving continued vesting of his time-vesting awards and accelerated vesting of his deferred compensation balance as if he were "retirement eligible," though the proxy did not indicate that his termination was involuntary and states no NEOs were eligible for such retirement benefits during the year in review.</i>					
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

Pandora AS

Meeting Date: 03/11/2021	Country: Denmark	Primary Security ID: K7681L102
Record Date: 03/04/2021	Meeting Type: Annual	Ticker: PNDORA
Shares Voted: 11,316		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Report of Board	Mgmt			
2	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
3	Approve Remuneration Report (Advisory Vote)	Mgmt	For	For	For
4	Approve Remuneration of Directors	Mgmt	For	For	For
5	Approve Allocation of Income and Omission of Dividends	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the omission of dividend is warranted because supporting rationale has been provided by the company and the proposal is in line with its current strategy.</i>					
6.1	Reelect Peter A. Ruzicka (Chair) as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>					
6.2	Reelect Christian Frigast (Vice Chair) as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Pandora AS

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6.3	Reelect Birgitta Stymne Goransson as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>				
6.4	Reelect Isabelle Parize as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>				
6.5	Reelect Catherine Spindler as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>				
6.6	Reelect Marianne Kirkegaard as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>				
6.7	Elect Heine Dalsgaard as New Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>				
6.8	Elect Jan Zijderveld as New Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>				
7	Ratify Ernst & Young as Auditor	Mgmt	For	For	For
8	Approve Discharge of Management and Board	Mgmt	For	For	For
9.1	Approve Creation of DKK 50 Million Pool of Capital with Preemptive Rights	Mgmt	For	For	For
9.2	Approve Creation of DKK 10 Million Pool of Capital without Preemptive Rights	Mgmt	For	For	For
9.3	Allow Shareholder Meetings to be Held by Electronic Means Only	Mgmt	For	For	For
9.4	Approve Guidelines for Incentive-Based Compensation for Executive Management and Board	Mgmt	For	For	For
9.5	Authorize Board to Distribute Dividends of up to DKK 15 Per Share	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR the omission of dividend is warranted because supporting rationale has been provided by the company and the proposal is in line with its current strategy.</i>				
9.6	Authorize Editorial Changes to Adopted Resolutions in Connection with Registration with Danish Authorities	Mgmt	For	For	For
10	Other Business	Mgmt			

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Soilbuild Business Space REIT

Meeting Date: 03/11/2021      Country: Singapore      Primary Security ID: Y806D3102  
Record Date:      Meeting Type: Court      Ticker: SV3U

Shares Voted: 1,711,500

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Court-Ordered Meeting	Mgmt			
1	Approve Proposed Trust Scheme of Arrangement	Mgmt	For	For	For

Soilbuild Business Space REIT

Meeting Date: 03/11/2021      Country: Singapore      Primary Security ID: Y806D3102  
Record Date:      Meeting Type: Special      Ticker: SV3U

Shares Voted: 1,711,500

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve SB Trust Deed Amendments	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given that: * The Proposed Scheme would serve as an opportunity for the unitholders to realize their investment in the trust at an attractive premium over the unaffected closing price of the units and amidst the COVID-19 pandemic. * The Adjusted Scheme Consideration is deemed reasonable being at a premium over the prevailing market prices of the units. * The overall terms of the SB Trust Deed Amendments and the Australian Asset Disposal are deemed reasonable and these are expected to better facilitate the implementation of the Proposed Scheme and the delisting of the trust.</i>					
2	Approve Proposed Australian Asset Disposal	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given that: * The Proposed Scheme would serve as an opportunity for the unitholders to realize their investment in the trust at an attractive premium over the unaffected closing price of the units and amidst the COVID-19 pandemic. * The Adjusted Scheme Consideration is deemed reasonable being at a premium over the prevailing market prices of the units. * The overall terms of the SB Trust Deed Amendments and the Australian Asset Disposal are deemed reasonable and these are expected to better facilitate the implementation of the Proposed Scheme and the delisting of the trust.</i>					

POSCO

Meeting Date: 03/12/2021      Country: South Korea      Primary Security ID: Y70750115  
Record Date: 12/31/2020      Meeting Type: Annual      Ticker: 005490

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

**POSCO**

Shares Voted: 190,936

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2	Amend Articles of Incorporation	Mgmt	For	For	For
3.1	Elect Choi Jeong-Woo as Inside Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes AGAINST non-independent director nominees Hag-dong Kim (Item 3.2), Jung-son Chon (Item 3.3), Tak Jeong (Item 3.4), Chang-hwa Jeong (Item 3.5), and Tae-gyun Kwon (Item 4.2) are warranted given that the company is a large company and the board is not majority independent. Votes FOR independent directors Sung-jin Kim (Item 5) and Young-suk Yoo (Item 4.1) are warranted given the absence of any known issues concerning these nominees. A vote FOR Jeong-woo Choi (Item 3.1), who is the CEO of the company, is warranted despite the board being less than majority independent as his removal would be expected to have a material negative impact on shareholder value.</i>					
3.2	Elect Kim Hag-dong as Inside Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: Votes AGAINST non-independent director nominees Hag-dong Kim (Item 3.2), Jung-son Chon (Item 3.3), Tak Jeong (Item 3.4), Chang-hwa Jeong (Item 3.5), and Tae-gyun Kwon (Item 4.2) are warranted given that the company is a large company and the board is not majority independent. Votes FOR independent directors Sung-jin Kim (Item 5) and Young-suk Yoo (Item 4.1) are warranted given the absence of any known issues concerning these nominees. A vote FOR Jeong-woo Choi (Item 3.1), who is the CEO of the company, is warranted despite the board being less than majority independent as his removal would be expected to have a material negative impact on shareholder value.</i>					
3.3	Elect Chon Jung-son as Inside Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: Votes AGAINST non-independent director nominees Hag-dong Kim (Item 3.2), Jung-son Chon (Item 3.3), Tak Jeong (Item 3.4), Chang-hwa Jeong (Item 3.5), and Tae-gyun Kwon (Item 4.2) are warranted given that the company is a large company and the board is not majority independent. Votes FOR independent directors Sung-jin Kim (Item 5) and Young-suk Yoo (Item 4.1) are warranted given the absence of any known issues concerning these nominees. A vote FOR Jeong-woo Choi (Item 3.1), who is the CEO of the company, is warranted despite the board being less than majority independent as his removal would be expected to have a material negative impact on shareholder value.</i>					
3.4	Elect Jeong Tak as Inside Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: Votes AGAINST non-independent director nominees Hag-dong Kim (Item 3.2), Jung-son Chon (Item 3.3), Tak Jeong (Item 3.4), Chang-hwa Jeong (Item 3.5), and Tae-gyun Kwon (Item 4.2) are warranted given that the company is a large company and the board is not majority independent. Votes FOR independent directors Sung-jin Kim (Item 5) and Young-suk Yoo (Item 4.1) are warranted given the absence of any known issues concerning these nominees. A vote FOR Jeong-woo Choi (Item 3.1), who is the CEO of the company, is warranted despite the board being less than majority independent as his removal would be expected to have a material negative impact on shareholder value.</i>					
3.5	Elect Jeong Chang-hwa as Inside Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: Votes AGAINST non-independent director nominees Hag-dong Kim (Item 3.2), Jung-son Chon (Item 3.3), Tak Jeong (Item 3.4), Chang-hwa Jeong (Item 3.5), and Tae-gyun Kwon (Item 4.2) are warranted given that the company is a large company and the board is not majority independent. Votes FOR independent directors Sung-jin Kim (Item 5) and Young-suk Yoo (Item 4.1) are warranted given the absence of any known issues concerning these nominees. A vote FOR Jeong-woo Choi (Item 3.1), who is the CEO of the company, is warranted despite the board being less than majority independent as his removal would be expected to have a material negative impact on shareholder value.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

POSCO

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.1	Elect Yoo Young-suk as Outside Director	Mgmt	For	For	For
Voting Policy Rationale: Votes AGAINST non-independent director nominees Hag-dong Kim (Item 3.2), Jung-son Chon (Item 3.3), Tak Jeong (Item 3.4), Chang-hwa Jeong (Item 3.5), and Tae-gyun Kwon (Item 4.2) are warranted given that the company is a large company and the board is not majority independent.Votes FOR independent directors Sung-jin Kim (Item 5) and Young-suk Yoo (Item 4.1) are warranted given the absence of any known issues concerning these nominees.A vote FOR Jeong-woo Choi (Item 3.1), who is the CEO of the company, is warranted despite the board being less than majority independent as his removal would be expected to have a material negative impact on shareholder value.					
4.2	Elect Kwon Tae-gyun as Outside Director	Mgmt	For	Against	Against
Voting Policy Rationale: Votes AGAINST non-independent director nominees Hag-dong Kim (Item 3.2), Jung-son Chon (Item 3.3), Tak Jeong (Item 3.4), Chang-hwa Jeong (Item 3.5), and Tae-gyun Kwon (Item 4.2) are warranted given that the company is a large company and the board is not majority independent.Votes FOR independent directors Sung-jin Kim (Item 5) and Young-suk Yoo (Item 4.1) are warranted given the absence of any known issues concerning these nominees.A vote FOR Jeong-woo Choi (Item 3.1), who is the CEO of the company, is warranted despite the board being less than majority independent as his removal would be expected to have a material negative impact on shareholder value.					
5	Elect Kim Sung-jin as Outside Director to Serve as an Audit Committee Member	Mgmt	For	For	For
Voting Policy Rationale: Votes AGAINST non-independent director nominees Hag-dong Kim (Item 3.2), Jung-son Chon (Item 3.3), Tak Jeong (Item 3.4), Chang-hwa Jeong (Item 3.5), and Tae-gyun Kwon (Item 4.2) are warranted given that the company is a large company and the board is not majority independent.Votes FOR independent directors Sung-jin Kim (Item 5) and Young-suk Yoo (Item 4.1) are warranted given the absence of any known issues concerning these nominees.A vote FOR Jeong-woo Choi (Item 3.1), who is the CEO of the company, is warranted despite the board being less than majority independent as his removal would be expected to have a material negative impact on shareholder value.					
6	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For

Vale SA

Meeting Date: 03/12/2021	Country: Brazil	Primary Security ID: P9661Q155
Record Date: 02/08/2021	Meeting Type: Special	Ticker: VALE3
Shares Voted: 12,288,711		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Meeting for ADR Holders	Mgmt			
	Amend Articles	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full details of the proposed changes;* Majority of the amendments are positive to shareholders, such as the statutory provision for at least seven independent directors, representing a majority independent board; the stricter classification of director independence, including term limits; the exclusion of alternate directors; the election of the chair and vice-chair by the general assembly; and the introduction of a lead independent director in the absence of an independent chair, among other changes;* The company has presented the proposed changes separately, allowing shareholders to vote on them individually; and* The proposed amendments are positive in nature and will likely improve the company's corporate governance.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Amend Articles 9 and 11	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR these requests is warranted because: * The company has provided the full details of the proposed changes; * Majority of the amendments are positive to shareholders, such as the statutory provision for at least seven independent directors, representing a majority independent board; the stricter classification of director independence, including term limits; the exclusion of alternate directors; the election of the chair and vice-chair by the general assembly; and the introduction of a lead independent director in the absence of an independent chair, among other changes; * The company has presented the proposed changes separately, allowing shareholders to vote on them individually; and * The proposed amendments are positive in nature and will likely improve the company's corporate governance.</i></p>					
3	Amend Article 11 Re: Fix Minimum Number of Board Members to 11	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR these requests is warranted because: * The company has provided the full details of the proposed changes; * Majority of the amendments are positive to shareholders, such as the statutory provision for at least seven independent directors, representing a majority independent board; the stricter classification of director independence, including term limits; the exclusion of alternate directors; the election of the chair and vice-chair by the general assembly; and the introduction of a lead independent director in the absence of an independent chair, among other changes; * The company has presented the proposed changes separately, allowing shareholders to vote on them individually; and * The proposed amendments are positive in nature and will likely improve the company's corporate governance.</i></p>					
4	Amend Article 11 Re: Independent Board Members	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR these requests is warranted because: * The company has provided the full details of the proposed changes; * Majority of the amendments are positive to shareholders, such as the statutory provision for at least seven independent directors, representing a majority independent board; the stricter classification of director independence, including term limits; the exclusion of alternate directors; the election of the chair and vice-chair by the general assembly; and the introduction of a lead independent director in the absence of an independent chair, among other changes; * The company has presented the proposed changes separately, allowing shareholders to vote on them individually; and * The proposed amendments are positive in nature and will likely improve the company's corporate governance.</i></p>					
5	Amend Article 11 Re: Board Chairman and Vice-Chairman	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR these requests is warranted because: * The company has provided the full details of the proposed changes; * Majority of the amendments are positive to shareholders, such as the statutory provision for at least seven independent directors, representing a majority independent board; the stricter classification of director independence, including term limits; the exclusion of alternate directors; the election of the chair and vice-chair by the general assembly; and the introduction of a lead independent director in the absence of an independent chair, among other changes; * The company has presented the proposed changes separately, allowing shareholders to vote on them individually; and * The proposed amendments are positive in nature and will likely improve the company's corporate governance.</i></p>					
6	Amend Article 11 Re: Lead Independent Board Member	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR these requests is warranted because: * The company has provided the full details of the proposed changes; * Majority of the amendments are positive to shareholders, such as the statutory provision for at least seven independent directors, representing a majority independent board; the stricter classification of director independence, including term limits; the exclusion of alternate directors; the election of the chair and vice-chair by the general assembly; and the introduction of a lead independent director in the absence of an independent chair, among other changes; * The company has presented the proposed changes separately, allowing shareholders to vote on them individually; and * The proposed amendments are positive in nature and will likely improve the company's corporate governance.</i></p>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Amend Article 11 Re: Election of the Board Members	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full details of the proposed changes;* Majority of the amendments are positive to shareholders, such as the statutory provision for at least seven independent directors, representing a majority independent board; the stricter classification of director independence, including term limits; the exclusion of alternate directors; the election of the chair and vice-chair by the general assembly; and the introduction of a lead independent director in the absence of an independent chair, among other changes;* The company has presented the proposed changes separately, allowing shareholders to vote on them individually; and* The proposed amendments are positive in nature and will likely improve the company's corporate governance.</i>					
8	Amend Article 11 Re: Election of the Board Members	Mgmt	For	Against	Against
9	Amend Article 11 Re: Renumbering and Adjustment of Points 11 and 12	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full details of the proposed changes;* Majority of the amendments are positive to shareholders, such as the statutory provision for at least seven independent directors, representing a majority independent board; the stricter classification of director independence, including term limits; the exclusion of alternate directors; the election of the chair and vice-chair by the general assembly; and the introduction of a lead independent director in the absence of an independent chair, among other changes;* The company has presented the proposed changes separately, allowing shareholders to vote on them individually; and* The proposed amendments are positive in nature and will likely improve the company's corporate governance.</i>					
10	Amend Article 12	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full details of the proposed changes;* Majority of the amendments are positive to shareholders, such as the statutory provision for at least seven independent directors, representing a majority independent board; the stricter classification of director independence, including term limits; the exclusion of alternate directors; the election of the chair and vice-chair by the general assembly; and the introduction of a lead independent director in the absence of an independent chair, among other changes;* The company has presented the proposed changes separately, allowing shareholders to vote on them individually; and* The proposed amendments are positive in nature and will likely improve the company's corporate governance.</i>					
11	Amend Articles 14 and 29	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full details of the proposed changes;* Majority of the amendments are positive to shareholders, such as the statutory provision for at least seven independent directors, representing a majority independent board; the stricter classification of director independence, including term limits; the exclusion of alternate directors; the election of the chair and vice-chair by the general assembly; and the introduction of a lead independent director in the absence of an independent chair, among other changes;* The company has presented the proposed changes separately, allowing shareholders to vote on them individually; and* The proposed amendments are positive in nature and will likely improve the company's corporate governance.</i>					
12	Amend Article 15	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full details of the proposed changes;* Majority of the amendments are positive to shareholders, such as the statutory provision for at least seven independent directors, representing a majority independent board; the stricter classification of director independence, including term limits; the exclusion of alternate directors; the election of the chair and vice-chair by the general assembly; and the introduction of a lead independent director in the absence of an independent chair, among other changes;* The company has presented the proposed changes separately, allowing shareholders to vote on them individually; and* The proposed amendments are positive in nature and will likely improve the company's corporate governance.</i>					



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
13	Amend Article 23	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full details of the proposed changes;* Majority of the amendments are positive to shareholders, such as the statutory provision for at least seven independent directors, representing a majority independent board; the stricter classification of director independence, including term limits; the exclusion of alternate directors; the election of the chair and vice-chair by the general assembly; and the introduction of a lead independent director in the absence of an independent chair, among other changes;* The company has presented the proposed changes separately, allowing shareholders to vote on them individually; and* The proposed amendments are positive in nature and will likely improve the company's corporate governance.					
14	Consolidate Bylaws	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full details of the proposed changes;* Majority of the amendments are positive to shareholders, such as the statutory provision for at least seven independent directors, representing a majority independent board; the stricter classification of director independence, including term limits; the exclusion of alternate directors; the election of the chair and vice-chair by the general assembly; and the introduction of a lead independent director in the absence of an independent chair, among other changes;* The company has presented the proposed changes separately, allowing shareholders to vote on them individually; and* The proposed amendments are positive in nature and will likely improve the company's corporate governance.					

Samsung Electronics Co., Ltd.

Meeting Date: 03/17/2021	Country: South Korea	Primary Security ID: Y74718100
Record Date: 12/31/2020	Meeting Type: Annual	Ticker: 005930
Shares Voted: 4,085,804		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2.1.1	Elect Park Byung-gook as Outside Director	Mgmt	For	Against	Against
Voting Policy Rationale: Votes AGAINST incumbent directors Byung-gook Park (Item 2.1.1), Jeong Kim (Item 2.1.2), and Sun-uk Kim (Item 3) are warranted, as they collectively have failed to remove criminally convicted directors from the board. The inaction is indicative of a material failure of governance and oversight at the company.Despite being incumbent directors, votes FOR Kinam Kim (Item 2.2.1), Hyun-suk Kim (Item 2.2.2), and Dong-jin Koh (Item 2.2.3) are warranted at this time, as removing the company's CEOs may be detrimental to the company's operation, as well as shareholder value.					
2.1.2	Elect Kim Jeong as Outside Director	Mgmt	For	Against	Against
Voting Policy Rationale: Votes AGAINST incumbent directors Byung-gook Park (Item 2.1.1), Jeong Kim (Item 2.1.2), and Sun-uk Kim (Item 3) are warranted, as they collectively have failed to remove criminally convicted directors from the board. The inaction is indicative of a material failure of governance and oversight at the company.Despite being incumbent directors, votes FOR Kinam Kim (Item 2.2.1), Hyun-suk Kim (Item 2.2.2), and Dong-jin Koh (Item 2.2.3) are warranted at this time, as removing the company's CEOs may be detrimental to the company's operation, as well as shareholder value.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Samsung Electronics Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.2.1	Elect Kim Kinam as Inside Director	Mgmt	For	For	For
Voting Policy Rationale: Votes AGAINST incumbent directors Byung-gook Park (Item 2.1.1), Jeong Kim (Item 2.1.2), and Sun-uk Kim (Item 3) are warranted, as they collectively have failed to remove criminally convicted directors from the board. The inaction is indicative of a material failure of governance and oversight at the company.Despite being incumbent directors, votes FOR Kinam Kim (Item 2.2.1), Hyun-suk Kim (Item 2.2.2), and Dong-jin Koh (Item 2.2.3) are warranted at this time, as removing the company's CEOs may be detrimental to the company's operation, as well as shareholder value.					
2.2.2	Elect Kim Hyun-suk as Inside Director	Mgmt	For	For	For
Voting Policy Rationale: Votes AGAINST incumbent directors Byung-gook Park (Item 2.1.1), Jeong Kim (Item 2.1.2), and Sun-uk Kim (Item 3) are warranted, as they collectively have failed to remove criminally convicted directors from the board. The inaction is indicative of a material failure of governance and oversight at the company.Despite being incumbent directors, votes FOR Kinam Kim (Item 2.2.1), Hyun-suk Kim (Item 2.2.2), and Dong-jin Koh (Item 2.2.3) are warranted at this time, as removing the company's CEOs may be detrimental to the company's operation, as well as shareholder value.					
2.2.3	Elect Koh Dong-jin as Inside Director	Mgmt	For	For	For
Voting Policy Rationale: Votes AGAINST incumbent directors Byung-gook Park (Item 2.1.1), Jeong Kim (Item 2.1.2), and Sun-uk Kim (Item 3) are warranted, as they collectively have failed to remove criminally convicted directors from the board. The inaction is indicative of a material failure of governance and oversight at the company.Despite being incumbent directors, votes FOR Kinam Kim (Item 2.2.1), Hyun-suk Kim (Item 2.2.2), and Dong-jin Koh (Item 2.2.3) are warranted at this time, as removing the company's CEOs may be detrimental to the company's operation, as well as shareholder value.					
3	Elect Kim Sun-uk as Outside Director to Serve as an Audit Committee Member	Mgmt	For	Against	Against
Voting Policy Rationale: Votes AGAINST incumbent directors Byung-gook Park (Item 2.1.1), Jeong Kim (Item 2.1.2), and Sun-uk Kim (Item 3) are warranted, as they collectively have failed to remove criminally convicted directors from the board. The inaction is indicative of a material failure of governance and oversight at the company.Despite being incumbent directors, votes FOR Kinam Kim (Item 2.2.1), Hyun-suk Kim (Item 2.2.2), and Dong-jin Koh (Item 2.2.3) are warranted at this time, as removing the company's CEOs may be detrimental to the company's operation, as well as shareholder value.					
4	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For

Samsung Electronics Co., Ltd.

Meeting Date: 03/17/2021Country: South KoreaPrimary Security ID: Y74718100  
Record Date: 12/31/2020Meeting Type: AnnualTicker: 005930

Shares Voted: 1,825

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for GDR Holders	Mgmt			
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Samsung Electronics Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.1.1	Elect Park Byung-gook as Outside Director	Mgmt	For	Against	Against
Voting Policy Rationale: Votes AGAINST incumbent directors Byung-gook Park (Item 2.1.1), Jeong Kim (Item 2.1.2), and Sun-uk Kim (Item 3) are warranted, as they collectively have failed to remove criminally convicted directors from the board. The inaction is indicative of a material failure of governance and oversight at the company.Despite being incumbent directors, votes FOR Kinam Kim (Item 2.2.1), Hyun-suk Kim (Item 2.2.2), and Dong-jin Koh (Item 2.2.3) are warranted at this time, as removing the company's CEOs may be detrimental to the company's operation, as well as shareholder value.					
2.1.2	Elect Kim Jeong as Outside Director	Mgmt	For	Against	Against
Voting Policy Rationale: Votes AGAINST incumbent directors Byung-gook Park (Item 2.1.1), Jeong Kim (Item 2.1.2), and Sun-uk Kim (Item 3) are warranted, as they collectively have failed to remove criminally convicted directors from the board. The inaction is indicative of a material failure of governance and oversight at the company.Despite being incumbent directors, votes FOR Kinam Kim (Item 2.2.1), Hyun-suk Kim (Item 2.2.2), and Dong-jin Koh (Item 2.2.3) are warranted at this time, as removing the company's CEOs may be detrimental to the company's operation, as well as shareholder value.					
2.2.1	Elect Kim Kinam as Inside Director	Mgmt	For	For	For
Voting Policy Rationale: Votes AGAINST incumbent directors Byung-gook Park (Item 2.1.1), Jeong Kim (Item 2.1.2), and Sun-uk Kim (Item 3) are warranted, as they collectively have failed to remove criminally convicted directors from the board. The inaction is indicative of a material failure of governance and oversight at the company.Despite being incumbent directors, votes FOR Kinam Kim (Item 2.2.1), Hyun-suk Kim (Item 2.2.2), and Dong-jin Koh (Item 2.2.3) are warranted at this time, as removing the company's CEOs may be detrimental to the company's operation, as well as shareholder value.					
2.2.2	Elect Kim Hyun-suk as Inside Director	Mgmt	For	For	For
Voting Policy Rationale: Votes AGAINST incumbent directors Byung-gook Park (Item 2.1.1), Jeong Kim (Item 2.1.2), and Sun-uk Kim (Item 3) are warranted, as they collectively have failed to remove criminally convicted directors from the board. The inaction is indicative of a material failure of governance and oversight at the company.Despite being incumbent directors, votes FOR Kinam Kim (Item 2.2.1), Hyun-suk Kim (Item 2.2.2), and Dong-jin Koh (Item 2.2.3) are warranted at this time, as removing the company's CEOs may be detrimental to the company's operation, as well as shareholder value.					
2.2.3	Elect Koh Dong-jin as Inside Director	Mgmt	For	For	For
Voting Policy Rationale: Votes AGAINST incumbent directors Byung-gook Park (Item 2.1.1), Jeong Kim (Item 2.1.2), and Sun-uk Kim (Item 3) are warranted, as they collectively have failed to remove criminally convicted directors from the board. The inaction is indicative of a material failure of governance and oversight at the company.Despite being incumbent directors, votes FOR Kinam Kim (Item 2.2.1), Hyun-suk Kim (Item 2.2.2), and Dong-jin Koh (Item 2.2.3) are warranted at this time, as removing the company's CEOs may be detrimental to the company's operation, as well as shareholder value.					
3	Elect Kim Sun-uk as Outside Director to Serve as an Audit Committee Member	Mgmt	For	Against	Against
Voting Policy Rationale: Votes AGAINST incumbent directors Byung-gook Park (Item 2.1.1), Jeong Kim (Item 2.1.2), and Sun-uk Kim (Item 3) are warranted, as they collectively have failed to remove criminally convicted directors from the board. The inaction is indicative of a material failure of governance and oversight at the company.Despite being incumbent directors, votes FOR Kinam Kim (Item 2.2.1), Hyun-suk Kim (Item 2.2.2), and Dong-jin Koh (Item 2.2.3) are warranted at this time, as removing the company's CEOs may be detrimental to the company's operation, as well as shareholder value.					
4	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For

Samsung SDI Co., Ltd.

Meeting Date: 03/17/2021	Country: South Korea	Primary Security ID: Y74866107
Record Date: 12/31/2020	Meeting Type: Annual	Ticker: 006400

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Samsung SDI Co., Ltd.

Shares Voted: 1,341

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2.1	Elect Jang Hyeok as Inside Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.</i>					
2.2	Elect Kim Jong-seong as Inside Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.</i>					
3	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For

### Aeris Industria e Comercio de Equipamentos para Geracao

**Meeting Date:** 03/24/2021      **Country:** Brazil      **Primary Security ID:** POR9AR104  
**Record Date:**      **Meeting Type:** Annual      **Ticker:** AERI3

Shares Voted: 212,814

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available and there are no independent auditor qualifications; and* There are no known concerns regarding the company's financial statements or audit procedures.</i>					
2	Accept Management Statements for Fiscal Year Ended Dec. 31, 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available and there are no independent auditor qualifications; and* There are no known concerns regarding the company's financial statements or audit procedures.</i>					
3	Approve Capital Budget	Mgmt	For	For	For
4	Approve Allocation of Income and Dividends	Mgmt	For	For	For
5	Fix Number of Directors at Five	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Aeris Industria e Comercio de Equipamentos para Geracao

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Do You Wish to Adopt Cumulative Voting for the Election of the Members of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 6, 9, and 10.1-10.5 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
7	Elect Directors	Mgmt	For	For	For
8	In Case There is Any Change to the Board Slate Composition, May Your Votes Still be Counted for the Proposed Slate?	Mgmt	None	Against	Against
9	In Case Cumulative Voting Is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees below?	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 6, 9, and 10.1-10.5 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
	APPLIES ONLY IF CUMULATIVE VOTING IS ADOPTED - If You Vote FOR on Item 9, Votes Will Be Automatically Distributed in Equal % Amongst The Nominees voted FOR below. If You Vote AGST, Contact Your Client Service Rep to Disproportionately Allocate % of Votes	Mgmt			
10.1	Percentage of Votes to Be Assigned - Elect Alexandre Funari Negrao as Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 6, 9, and 10.1-10.5 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
10.2	Percentage of Votes to Be Assigned - Elect Edison Ticle de Andrade Melo e Souza Filho as Independent Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 6, 9, and 10.1-10.5 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
10.3	Percentage of Votes to Be Assigned - Elect Gisela Sarnes Negrao Assis as Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 6, 9, and 10.1-10.5 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Aeris Industria e Comercio de Equipamentos para Geracao

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10.4	Percentage of Votes to Be Assigned - Elect Luiz Henrique Del Cistia Thonon as Director	Mgmt	None	Abstain	Abstain
Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 6, 9, and 10.1-10.5 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.					
10.5	Percentage of Votes to Be Assigned - Elect Solange Mendes Geraldo Ragazi David as Independent Director	Mgmt	None	Abstain	Abstain
Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 6, 9, and 10.1-10.5 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.					
11	As an Ordinary Shareholder, Would You like to Request a Separate Minority Election of a Member of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	Mgmt	None	For	For
12	Approve Classification of Edison Ticle de Andrade Melo e Souza Filho and Solange Mendes Geraldo Ragazi David as Independent Directors	Mgmt	For	For	For
13	Approve Remuneration of Company's Management	Mgmt	For	For	For
14	Do You Wish to Request Installation of a Fiscal Council, Under the Terms of Article 161 of the Brazilian Corporate Law?	Mgmt	None	For	For

Hansol Chemical Co., Ltd.

Meeting Date: 03/24/2021Country: South KoreaPrimary Security ID: Y3064E109  
Record Date: 12/31/2020Meeting Type: AnnualTicker: 014680

Shares Voted: 542,475

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2	Elect Cho Yeon-ju as Inside Director	Mgmt	For	For	For
3	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Hyundai Motor Co., Ltd.

Meeting Date: 03/24/2021      Country: South Korea      Primary Security ID: Y38472109  
Record Date: 12/31/2020      Meeting Type: Annual      Ticker: 005380

Shares Voted: 494,614

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2.1	Amend Articles of Incorporation (Change in Committee Name)	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this resolution is warranted as none of the proposed amendments is contentious or problematic in nature.					
2.2	Amend Articles of Incorporation (Establishment of Safety and Health Plans)	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this resolution is warranted as none of the proposed amendments is contentious or problematic in nature.					
2.3	Amend Articles of Incorporation (Bylaws)	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this resolution is warranted as none of the proposed amendments is contentious or problematic in nature.					
3.1	Elect Sim Dal-hun as Outside Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.					
3.2.1	Elect Ha Eon-tae as Inside Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.					
3.2.2	Elect Jang Jae-hun as Inside Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.					
3.2.3	Elect Seo Gang-hyeon as Inside Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.					
4	Elect Lee Ji-yoon as Outside Director to Serve as an Audit Committee Member	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.					
5	Elect Sim Dal-hun as a Member of Audit Committee	Mgmt	For	For	For
6	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## CEMEX SAB de CV

**Meeting Date:** 03/25/2021 **Country:** Mexico **Primary Security ID:** P2253T133  
**Record Date:** 02/22/2021 **Meeting Type:** Annual/Special **Ticker:** CEMEXCPO

**Shares Voted:** 15,126,737

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
	Annual Meeting	Mgmt			
1	Approve Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Allocation of Income	Mgmt	For	For	For
3	Present Share Repurchase Report	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these requests is warranted because:* The company's proposed share repurchase reserve appears to be reasonable; and* There are no known concerns over previous use of the share repurchase authority.</i>					
4	Set Maximum Amount of Share Repurchase Reserve	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these requests is warranted because:* The company's proposed share repurchase reserve appears to be reasonable; and* There are no known concerns over previous use of the share repurchase authority.</i>					
5A	Approve Reduction in Variable Portion of Capital via Cancellation of Repurchased Shares Which were Acquired Through Repurchase Program in 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The reduction in capital is associated with the cancellation of treasury shares;* There are no known concerns over the company's share repurchase.</i>					
5B	Approve Reduction in Variable Portion of Capital via Cancellation of Treasury Shares Authorized to Support New Issuance of Convertible Notes or for Placement of Such Shares in Public Offering or Private Subscription	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The reduction in capital is associated with the cancellation of treasury shares;* There are no known concerns over the company's share repurchase.</i>					
6	Elect Directors, Chairman and Secretary of Board, Members and Chairmen of Audit, Corporate Practices and Finance, and Sustainability Committees	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this item is warranted because the proposed board contains two incumbent nominees considered overboarded under ISS voting guidelines, and the company has bundled the election of directors under a single item, preventing shareholders from voting individually on the proposed nominees.</i>					
7	Approve Remuneration of Directors and Members of Audit, Corporate Practices and Finance, and Sustainability Committees	Mgmt	For	For	For
8	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For	For
	Special Meeting	Mgmt			



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### CEMEX SAB de CV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Amend Article 2 Re: Corporate Purpose	Mgmt	For	For	For
2	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For	For

### Flat Glass Group Co., Ltd.

**Meeting Date:** 03/25/2021      **Country:** China      **Primary Security ID:** Y2575W103  
**Record Date:** 03/18/2021      **Meeting Type:** Special      **Ticker:** 6865

**Shares Voted:** 2,081,180

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
	RESOLUTIONS IN RELATION TO THE ISSUE OF NEW H SHARES UNDER THE SPECIFIC MANDATE	Mgmt			
1a	Approve Class and Par Value of the Shares to be Issued	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital. * The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance. * The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.</i>					
1b	Approve Method and Time of Issuance	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital. * The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance. * The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.</i>					
1c	Approve Target Placees and Subscription Method	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital. * The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance. * The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.</i>					
1d	Approve Issue Price and Pricing Method	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital. * The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance. * The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Flat Glass Group Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1e	Approve Number of New H Shares to be Issued	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital. * The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance. * The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.</i>					
1f	Approve Accumulated Profits Before the Issuance	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital. * The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance. * The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.</i>					
1g	Approve Place of Listing	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital. * The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance. * The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.</i>					
1h	Approve Use of Proceeds	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital. * The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance. * The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.</i>					
1i	Approve Validity Period of the Resolutions	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital. * The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance. * The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.</i>					
2	Authorize Board to Handle All Matters in Relation to the Issuance with Full Authority	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital. * The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance. * The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.</i>					

### Flat Glass Group Co., Ltd.

**Meeting Date:** 03/25/2021      **Country:** China      **Primary Security ID:** Y2575W103  
**Record Date:** 03/18/2021      **Meeting Type:** Special      **Ticker:** 6865

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Flat Glass Group Co., Ltd.

Shares Voted: 2,081,180

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt			
	RESOLUTIONS IN RELATION TO THE ISSUE OF NEW H SHARES UNDER THE SPECIFIC MANDATE	Mgmt			
1a	Approve Class and Par Value of the Shares to be Issued	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital. * The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance. * The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.				
1b	Approve Method and Time of Issuance	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital. * The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance. * The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.				
1c	Approve Target Placees and Subscription Method	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital. * The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance. * The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.				
1d	Approve Issue Price and Pricing Method	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital. * The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance. * The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.				
1e	Approve Number of New H Shares to be Issued	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital. * The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance. * The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.				
1f	Approve Accumulated Profits Before the Issuance	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital. * The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance. * The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.				

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Flat Glass Group Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1g	Approve Place of Listing	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted given the following:* The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital.* The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance.* The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.					
1h	Approve Use of Proceeds	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted given the following:* The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital.* The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance.* The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.					
1i	Approve Validity Period of the Resolutions	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted given the following:* The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital.* The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance.* The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.					
2	Authorize Board to Handle All Matters in Relation to the Issuance with Full Authority	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted given the following:* The proposed issuance will allow the company to meet its short-term obligations and replenish its working capital.* The proceeds from the proposed issuance will partially be used towards, among others, the repayment of interest-bearing debts which would improve the company's capital structure and financial performance.* The issue price will be set at not more than 90 percent of the average closing price of the first five trading days prior to the date on which the issue price is determined.					

LG Chem Ltd.

Meeting Date: 03/25/2021	Country: South Korea	Primary Security ID: Y52758102
Record Date: 12/31/2020	Meeting Type: Annual	Ticker: 051910
Shares Voted: 147,506		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2	Amend Articles of Incorporation	Mgmt	For	For	For
3	Elect Cha Dong Seok as Inside Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST non-independent director nominee Dong Seok Cha (Item 3) is warranted given that the company is a large company and the board is not majority independent.A vote FOR independent director Mun-su Kim (Item 4) is warranted given the absence of any known issues concerning this nominee.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

LG Chem Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Elect Kim Mun-Su as Outside Director to Serve as an Audit Committee Member	Mgmt	For	For	For
Voting Policy Rationale: A vote AGAINST non-independent director nominee Dong Seok Cha (Item 3) is warranted given that the company is a large company and the board is not majority independent.A vote FOR independent director Mun-su Kim (Item 4) is warranted given the absence of any known issues concerning this nominee.					
5	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For

SUMCO Corp.

Meeting Date: 03/25/2021	Country: Japan	Primary Security ID: J76896109
Record Date: 12/31/2020	Meeting Type: Annual	Ticker: 3436
Shares Voted: 37,000		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Hashimoto, Mayuki	Mgmt	For	For	For
1.2	Elect Director Takii, Michiharu	Mgmt	For	For	For
1.3	Elect Director Furuya, Hisashi	Mgmt	For	For	For
1.4	Elect Director Hiramoto, Kazuo	Mgmt	For	For	For
1.5	Elect Director Kato, Akane	Mgmt	For	For	For
2	Elect Director and Audit Committee Member Inoue, Fumio	Mgmt	For	For	For

WONIK IPS CO., LTD.

Meeting Date: 03/25/2021	Country: South Korea	Primary Security ID: Y9690V100
Record Date: 12/31/2020	Meeting Type: Annual	Ticker: 240810
Shares Voted: 66,579		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2	Amend Articles of Incorporation	Mgmt	For	For	For
3	Elect Jeong Hwan-gyeong as Inside Director	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

WONIK IPS CO., LTD.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For

Kaspi.kz JSC

Meeting Date: 03/26/2021	Country: Kazakhstan	Primary Security ID: Y4S4E7114
Record Date: 02/22/2021	Meeting Type: Annual	Ticker: KSPI
Shares Voted: 1,149,487		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Approve Meeting Agenda	Mgmt	For	For	For
2	Approve Financial Statements	Mgmt	For	For	For
3	Approve Allocation of Income and Dividends	Mgmt	For	For	For
4	Approve Results of Shareholders Appeals on Actions of Company and Its Officials	Mgmt	For	Against	Abstain
Voting Policy Rationale: A vote AGAINST is warranted because the company did not disclose any information regarding this item.					
5	Fix Board Terms for Directors	Mgmt	For	Against	Abstain
Voting Policy Rationale: A vote AGAINST is warranted because the company failed to disclose the material information related to this item.					
6	I am not a Legal Entity or Having Shareholder Participant, or an Individual which Participates in Legal Entities Incorporated in any Offshore Zones promulgated by the Agency on Financial Supervision of Kazakhstan	Mgmt	For	For	For

LG Corp.

Meeting Date: 03/26/2021	Country: South Korea	Primary Security ID: Y52755108
Record Date: 12/31/2020	Meeting Type: Annual	Ticker: 003550
Shares Voted: 129,738		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Spin-Off Agreement	Mgmt	For	Against	Against

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

LG Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
<i>Voting Policy Rationale: We recommend a vote AGAINST the proposed spin-off (Item1), as the propose transaction lacks a compelling business justification and does not address the most pressing issues related to capital management and the enormous discount to NAV at which shares of the parent company trade.Vote RequirementPursuant to the provisions of the Commercial Act (Article 530(3)), this resolution requires a two-thirds majority vote of shareholders present and over one-third of total shares entitled to voting rights.</i>					
2	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
3	Amend Articles of Incorporation	Mgmt	For	For	For
4.1	Elect Koo Gwang-mo as Inside Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.</i>					
4.2	Elect Kim Sang-Heon as Outside Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.</i>					
5	Elect Lee Su-young as Outside Director to Serve as an Audit Committee Member	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.</i>					
6	Elect Kim Sang-Heon as a Member of Audit Committee	Mgmt	For	For	For
7	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For

SK Innovation Co., Ltd.

<b>Meeting Date:</b> 03/26/2021	<b>Country:</b> South Korea	<b>Primary Security ID:</b> Y8063L103
<b>Record Date:</b> 12/31/2020	<b>Meeting Type:</b> Annual	<b>Ticker:</b> 096770
<b>Shares Voted:</b> 420,752		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2	Elect Kim Jung-gwan as Outside Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.</i>					
3	Elect Choi Woo-seok as Outside Director to Serve as an Audit Committee Member	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.</i>					
4	Approve Stock Option Grants	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

SK Innovation Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For

Doosan Fuel Cell Co., Ltd.

Meeting Date: 03/29/2021	Country: South Korea	Primary Security ID: Y2103X102
Record Date: 12/31/2020	Meeting Type: Annual	Ticker: 336260
Shares Voted: 250,820		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2	Amend Articles of Incorporation	Mgmt	For	For	For
3	Elect Kim Dong-su as Outside Director to Serve as an Audit Committee Member	Mgmt	For	For	For
4	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	Against	Against

Voting Policy Rationale: A vote AGAINST this item is warranted. The company is not proposing an increase in the directors' remuneration limit. However, the level of the directors' remuneration cap is excessive compared to that of the market norm, and the company has not provided any reasonable justification for the excessive remuneration limit.

FILA Holdings Corp.

Meeting Date: 03/30/2021	Country: South Korea	Primary Security ID: Y2484W103
Record Date: 12/31/2020	Meeting Type: Annual	Ticker: 081660
Shares Voted: 2,178,218		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2.1	Elect Yoon Myeong-jin as Inside Director	Mgmt	For	For	For
2.2	Elect Jeong Seung-wook as Non-Independent Non-Executive Director	Mgmt	For	For	For

Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.

Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

FILA Holdings Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.3	Elect Kim Hae-seong as Outside Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.					
2.4	Elect Yoon Young-mi as Outside Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.					
3	Appoint Yoon Young-seon as Internal Auditor	Mgmt	For	For	For
4	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For
5	Authorize Board to Fix Remuneration of Internal Auditor(s)	Mgmt	For	For	For

SK hynix, Inc.

Meeting Date: 03/30/2021      Country: South Korea      Primary Security ID: Y8085F100  
Record Date: 12/31/2020      Meeting Type: Annual      Ticker: 000660

Shares Voted: 968,270

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2	Elect Park Jung-Ho as Inside Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.					
3.1	Elect Song Ho-Keun as Outside Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.					
3.2	Elect Cho Hyun-Jae as Outside Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.					
4	Elect Yoon Tae-Hwa as Outside Director to Serve as an Audit Committee Member	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.					
5	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### SK hynix, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Approve Stock Option Grants	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the stock option grant is warranted because the potential dilution arising from the grant remains modest.</i>					
7	Approve Stock Option Grants	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the stock option grant is warranted because the potential dilution arising from the grant remains modest.</i>					

### Skandinaviska Enskilda Banken AB

**Meeting Date:** 03/30/2021      **Country:** Sweden      **Primary Security ID:** W25381141  
**Record Date:** 03/22/2021      **Meeting Type:** Annual      **Ticker:** SEB.A

**Shares Voted:** 68,454

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting	Mgmt			
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
2	Elect Chairman of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
3.1	Designate Ossian Ekdahl as Inspector of Minutes of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
3.2	Designate Per Colleen as Inspector of Minutes of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
4	Prepare and Approve List of Shareholders	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
5	Approve Agenda of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
6	Acknowledge Proper Convening of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
7	Receive Financial Statements and Statutory Reports	Mgmt			
8	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
9	Approve Allocation of Income and Dividends of SEK 4.10 Per Share	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Skandinaviska Enskilda Banken AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10.1	Approve Discharge of Johan H. Andresen	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.					
10.2	Approve Discharge of Signhild Arnegard Hansen	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.					
10.3	Approve Discharge of Anne-Catherine Berner	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.					
10.4	Approve Discharge of Samir Brikho	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.					
10.5	Approve Discharge of Winnie Fok	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.					
10.6	Approve Discharge of Anna-Karin Glimstrom	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.					
10.7	Approve Discharge of Annika Dahlberg	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.					
10.8	Approve Discharge of Charlotta Lindholm	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.					
10.9	Approve Discharge of Sven Nyman	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.					
10.10	Approve Discharge of Magnus Olsson	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.					
10.11	Approve Discharge of Lars Ottersgard	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.					
10.12	Approve Discharge of Jesper Ovesen	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.					

## Vote Summary Report

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### Skandinaviska Enskilda Banken AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10.13	Approve Discharge of Helena Saxon	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>					
10.14	Approve Discharge of Johan Torgeby (as Board Member)	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>					
10.15	Approve Discharge of Marcus Wallenberg	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>					
10.16	Approve Discharge of Hakan Westerberg	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>					
10.17	Approve Discharge of Johan Torgeby (as President)	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>					
11	Determine Number of Members (9) and Deputy Members of Board	Mgmt	For	For	For
12	Determine Number of Auditors (1) and Deputy Auditors	Mgmt	For	For	For
13.1	Approve Remuneration of Directors in the Amount of SEK 3.2 Million for Chairman, SEK 1 Million for Vice Chairman, and SEK 775,000 for Other Directors; Approve Remuneration for Committee Work	Mgmt	For	For	For
13.2	Approve Remuneration of Auditors	Mgmt	For	For	For
14.1	Reelect Signhild Arnegard Hansen as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR candidates Signhild Arnegard Hansen, Anne-Catherine Berner, Kin Wah (Winnie) Fok, Sven Nyman, Lars Ottersgaard and Johan Torgeby (Items 14.1-14.5 and 14.8) is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidates Jesper Ovesen, Helena Saxon and Marcus Wallenberg (Items 14.6-14.7 and 14.9) is warranted due to their status as non-independent directors on an audit committee with an insufficient level of independence and as the chairman of the committee is considered non-independent according to ISS guidelines.</i>					
14.2	Reelect Anne-Catherine Berner as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR candidates Signhild Arnegard Hansen, Anne-Catherine Berner, Kin Wah (Winnie) Fok, Sven Nyman, Lars Ottersgaard and Johan Torgeby (Items 14.1-14.5 and 14.8) is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidates Jesper Ovesen, Helena Saxon and Marcus Wallenberg (Items 14.6-14.7 and 14.9) is warranted due to their status as non-independent directors on an audit committee with an insufficient level of independence and as the chairman of the committee is considered non-independent according to ISS guidelines.</i>					

## Vote Summary Report

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### Skandinaviska Enskilda Banken AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
14.3	Reelect Winnie Fok as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR candidates Signhild Arnegard Hansen, Anne-Catherine Berner, Kin Wah (Winnie) Fok, Sven Nyman, Lars Ottersgaard and Johan Torgeby (Items 14.1-14.5 and 14.8) is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidates Jesper Ovesen, Helena Saxon and Marcus Wallenberg (Items 14.6-14.7 and 14.9) is warranted due to their status as non-independent directors on an audit committee with an insufficient level of independence and as the chairman of the committee is considered non-independent according to ISS guidelines.</i>					
14.4	Reelect Sven Nyman as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR candidates Signhild Arnegard Hansen, Anne-Catherine Berner, Kin Wah (Winnie) Fok, Sven Nyman, Lars Ottersgaard and Johan Torgeby (Items 14.1-14.5 and 14.8) is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidates Jesper Ovesen, Helena Saxon and Marcus Wallenberg (Items 14.6-14.7 and 14.9) is warranted due to their status as non-independent directors on an audit committee with an insufficient level of independence and as the chairman of the committee is considered non-independent according to ISS guidelines.</i>					
14.5	Reelect Lars Ottersgard as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR candidates Signhild Arnegard Hansen, Anne-Catherine Berner, Kin Wah (Winnie) Fok, Sven Nyman, Lars Ottersgaard and Johan Torgeby (Items 14.1-14.5 and 14.8) is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidates Jesper Ovesen, Helena Saxon and Marcus Wallenberg (Items 14.6-14.7 and 14.9) is warranted due to their status as non-independent directors on an audit committee with an insufficient level of independence and as the chairman of the committee is considered non-independent according to ISS guidelines.</i>					
14.6	Reelect Jesper Ovesen as Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR candidates Signhild Arnegard Hansen, Anne-Catherine Berner, Kin Wah (Winnie) Fok, Sven Nyman, Lars Ottersgaard and Johan Torgeby (Items 14.1-14.5 and 14.8) is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidates Jesper Ovesen, Helena Saxon and Marcus Wallenberg (Items 14.6-14.7 and 14.9) is warranted due to their status as non-independent directors on an audit committee with an insufficient level of independence and as the chairman of the committee is considered non-independent according to ISS guidelines.</i>					
14.7	Reelect Helena Saxon as Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR candidates Signhild Arnegard Hansen, Anne-Catherine Berner, Kin Wah (Winnie) Fok, Sven Nyman, Lars Ottersgaard and Johan Torgeby (Items 14.1-14.5 and 14.8) is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidates Jesper Ovesen, Helena Saxon and Marcus Wallenberg (Items 14.6-14.7 and 14.9) is warranted due to their status as non-independent directors on an audit committee with an insufficient level of independence and as the chairman of the committee is considered non-independent according to ISS guidelines.</i>					
14.8	Reelect Johan Torgeby as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR candidates Signhild Arnegard Hansen, Anne-Catherine Berner, Kin Wah (Winnie) Fok, Sven Nyman, Lars Ottersgaard and Johan Torgeby (Items 14.1-14.5 and 14.8) is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidates Jesper Ovesen, Helena Saxon and Marcus Wallenberg (Items 14.6-14.7 and 14.9) is warranted due to their status as non-independent directors on an audit committee with an insufficient level of independence and as the chairman of the committee is considered non-independent according to ISS guidelines.</i>					

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## Skandinaviska Enskilda Banken AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
14.9	Reelect Marcus Wallenberg as Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR candidates Signhild Arnegard Hansen, Anne-Catherine Berner, Kin Wah (Winnie) Fok, Sven Nyman, Lars Ottersgaard and Johan Torgeby (Items 14.1-14.5 and 14.8) is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidates Jesper Ovesen, Helena Saxon and Marcus Wallenberg (Items 14.6-14.7 and 14.9) is warranted due to their status as non-independent directors on an audit committee with an insufficient level of independence and as the chairman of the committee is considered non-independent according to ISS guidelines.</i>					
14.10	Reelect Marcus Wallenberg as Board Chairman	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST candidate Marcus Wallenberg as chairman is warranted due to his status as non-independent member on an audit committee with an insufficient level of independence.</i>					
15	Ratify Ernst & Young as Auditors	Mgmt	For	For	For
16	Approve Remuneration Report	Mgmt	For	For	For
17.1	Approve SEB All Employee Program 2021 for All Employees in Most of the Countries where SEB Operates	Mgmt	For	For	For
17.2	Approve SEB Share Deferral Program 2021 for Group Executive Committee, Senior Managers and Key Employees	Mgmt	For	For	For
17.3	Approve SEB Restricted Share Program 2021 for Some Employees in Certain Business Units	Mgmt	For	For	For
18.1	Authorize Share Repurchase Program	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals to repurchase company shares is warranted, despite the lack of a disclosed volume limit, because: * There are acceptable limits on holding and duration; and * There is no evidence of past abuse of repurchase authorities.</i>					
18.2	Authorize Repurchase of Class A and/or Class C Shares and Reissuance of Repurchased Shares Inter Alia in for Capital Purposes and Long-Term Incentive Plans	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals to repurchase company shares is warranted, despite the lack of a disclosed volume limit, because: * There are acceptable limits on holding and duration; and * There is no evidence of past abuse of repurchase authorities.</i>					
18.3	Approve Transfer of Class A Shares to Participants in 2021 Long-Term Equity Programs	Mgmt	For	For	For
19	Approve Issuance of Convertibles without Preemptive Rights	Mgmt	For	For	For
20	Approve Proposal Concerning the Appointment of Auditors in Foundations Without Own Management	Mgmt	For	For	For
21	Amend Articles Re: Editorial Changes; Participation at General Meetings; Location of General Meetings; Attendance of Persons not being Shareholders at General Meetings	Mgmt	For	For	For

Vote Summary Report

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Skandinaviska Enskilda Banken AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Shareholder Proposals Submitted by Elisabeth Dahlerus Dahlin	Mgmt			
22.1	The Bank Immediately shall Work for the Exclusion of Fossil Companies as Borrowers in the Bank	SH	None	Against	Against
Voting Policy Rationale: Votes AGAINST these proposals is warranted as the proposals are unduly burdensome and seek to micromanage the company.					
22.2	The Bank shall Exclude Fossil Fuels as Investment Objects	SH	None	Against	Against
Voting Policy Rationale: Votes AGAINST these proposals is warranted as the proposals are unduly burdensome and seek to micromanage the company.					
	Shareholder Proposals Submitted by Rolf Lindahl	Mgmt			
23.1	By 2025, the Bank will Only Finance those Companies and Projects that are in Line with what Science Requires for the World to Stay below 1.5 Degree Celsius	SH	None	Against	Against
Voting Policy Rationale: A vote AGAINST these proposals is warranted as the proposals seek to micromanage the company and are unduly burdensome.					
23.2	The Board of Directors of the Bank shall Report back on how this has been Implemented at the Latest at the 2022 AGM and thereafter Annually until it has been Fully Implemented	SH	None	Against	Against
Voting Policy Rationale: A vote AGAINST these proposals is warranted as the proposals seek to micromanage the company and are unduly burdensome.					
24	Close Meeting	Mgmt			

Telefonaktiebolaget LM Ericsson

Meeting Date: 03/30/2021	Country: Sweden	Primary Security ID: W26049119
Record Date: 03/22/2021	Meeting Type: Annual	Ticker: ERIC.B
Shares Voted: 56,518		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Elect Chairman of Meeting	Mgmt	For	For	For
Voting Policy Rationale: These are routine meeting formalities.					
2	Designate Inspector(s) of Minutes of Meeting	Mgmt	For	For	For
Voting Policy Rationale: These are routine meeting formalities.					

## Vote Summary Report

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Prepare and Approve List of Shareholders	Mgmt	For	For	For
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
4	Approve Agenda of Meeting	Mgmt	For	For	For
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
5	Acknowledge Proper Convening of Meeting	Mgmt	For	For	For
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
6	Receive Financial Statements and Statutory Reports	Mgmt			
7.1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
7.2	Approve Remuneration Report	Mgmt	For	For	For
7.3a	Approve Discharge of Board Chairman Ronnie Leten	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.3b	Approve Discharge of Board Member Helena Stjernholm	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.3c	Approve Discharge of Board Member Jacob Wallenberg	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.3d	Approve Discharge of Board Member Jon Fredrik Baksaas	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.3e	Approve Discharge of Board Member Jan Carlson	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.3f	Approve Discharge of Board Member Nora Denzel	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.3g	Approve Discharge of Board Member Borje Ekholm	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				



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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.3h	Approve Discharge of Board Member Eric A. Elzvik	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.3i	Approve Discharge of Board Member Kurt Jofs	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.3j	Approve Discharge of Board Member Kristin S. Rinne	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.3k	Approve Discharge of Employee Representative Torbjorn Nyman	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.3l	Approve Discharge of Employee Representative Kjell-Ake Soting	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.3m	Approve Discharge of Employee Representative Roger Svensson	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.3n	Approve Discharge of Deputy Employee Representative Per Holmberg	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.3o	Approve Discharge of Deputy Employee Representative Anders Ripa	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.3p	Approve Discharge of Deputy Employee Representative Loredana Roslund	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.3q	Approve Discharge of President Borje Ekholm	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.4	Approve Allocation of Income and Dividends of SEK 2 Per Share	Mgmt	For	For	For
8	Determine Number of Directors (10) and Deputy Directors (0) of Board	Mgmt	For	For	For

## Vote Summary Report

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## Telefonaktiebolaget LM Ericsson

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9	Approve Remuneration of Directors in the Amount of SEK 4.2 Million for Chairman and SEK 1.06 Million for Other Directors, Approve Remuneration for Committee Work	Mgmt	For	For	For
10.1	Reelect Jon Fredrik Baksaas as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR candidates Ronnie Leten, Borje Ekholm, Jon Fredrik Baksaas, Nora Denzel, Eric Elzvik, Kurt Jofs, Kristin Rinne and Jacob Wallenberg is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidates Jan Carlson and Helena Stjernholm (Items 10.2 and 10.9) is warranted because they are overboarded.</i>					
10.2	Reelect Jan Carlson as Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR candidates Ronnie Leten, Borje Ekholm, Jon Fredrik Baksaas, Nora Denzel, Eric Elzvik, Kurt Jofs, Kristin Rinne and Jacob Wallenberg is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidates Jan Carlson and Helena Stjernholm (Items 10.2 and 10.9) is warranted because they are overboarded.</i>					
10.3	Reelect Nora Denzel as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR candidates Ronnie Leten, Borje Ekholm, Jon Fredrik Baksaas, Nora Denzel, Eric Elzvik, Kurt Jofs, Kristin Rinne and Jacob Wallenberg is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidates Jan Carlson and Helena Stjernholm (Items 10.2 and 10.9) is warranted because they are overboarded.</i>					
10.4	Reelect Borje Ekholm as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR candidates Ronnie Leten, Borje Ekholm, Jon Fredrik Baksaas, Nora Denzel, Eric Elzvik, Kurt Jofs, Kristin Rinne and Jacob Wallenberg is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidates Jan Carlson and Helena Stjernholm (Items 10.2 and 10.9) is warranted because they are overboarded.</i>					
10.5	Reelect Eric A. Elzvik as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR candidates Ronnie Leten, Borje Ekholm, Jon Fredrik Baksaas, Nora Denzel, Eric Elzvik, Kurt Jofs, Kristin Rinne and Jacob Wallenberg is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidates Jan Carlson and Helena Stjernholm (Items 10.2 and 10.9) is warranted because they are overboarded.</i>					
10.6	Reelect Kurt Jofs as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR candidates Ronnie Leten, Borje Ekholm, Jon Fredrik Baksaas, Nora Denzel, Eric Elzvik, Kurt Jofs, Kristin Rinne and Jacob Wallenberg is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidates Jan Carlson and Helena Stjernholm (Items 10.2 and 10.9) is warranted because they are overboarded.</i>					
10.7	Reelect Ronnie Leten as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR candidates Ronnie Leten, Borje Ekholm, Jon Fredrik Baksaas, Nora Denzel, Eric Elzvik, Kurt Jofs, Kristin Rinne and Jacob Wallenberg is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidates Jan Carlson and Helena Stjernholm (Items 10.2 and 10.9) is warranted because they are overboarded.</i>					
10.8	Reelect Kristin S. Rinne as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR candidates Ronnie Leten, Borje Ekholm, Jon Fredrik Baksaas, Nora Denzel, Eric Elzvik, Kurt Jofs, Kristin Rinne and Jacob Wallenberg is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidates Jan Carlson and Helena Stjernholm (Items 10.2 and 10.9) is warranted because they are overboarded.</i>					

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Telefonaktiebolaget LM Ericsson

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10.9	Reelect Helena Stjernholm as Director	Mgmt	For	For	For
10.10	Reelect Jacob Wallenberg as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR candidates Ronnie Leten, Borje Ekholm, Jon Fredrik Baksas, Nora Denzel, Eric Elzvik, Kurt Jofs, Kristin Rinne and Jacob Wallenberg is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidates Jan Carlson and Helena Stjernholm (Items 10.2 and 10.9) is warranted because they are overboarded.					
11	Reelect Ronnie Leten as Board Chair	Mgmt	For	For	For
12	Determine Number of Auditors (1) and Deputy Auditors (0)	Mgmt	For	For	For
13	Approve Remuneration of Auditors	Mgmt	For	For	For
14	Ratify Deloitte as Auditors	Mgmt	For	For	For
15	Amend Articles Re: Editorial Changes; Collecting of Proxies and Postal Voting; Participation at General Meetings	Mgmt	For	For	For
16.1	Approve Long-Term Variable Compensation Program 2021 (LTV 2021)	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these items is warranted as the performance period for the operating income metric, which applies to 50 percent of awards, is for a duration of one year.					
16.2	Approve Equity Plan Financing of LTV 2021	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these items is warranted as the performance period for the operating income metric, which applies to 50 percent of awards, is for a duration of one year.					
16.3	Approve Alternative Equity Plan Financing of LTV 2021, if Item 16.2 is Not Approved	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this item is warranted, as it would entail unnecessary additional costs relative to Item 16.2, while lowering the majority requirement compared to the primary financing alternative.					
17	Approve Equity Plan Financing of LTV 2020	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted as the plans have already been approved by shareholders and as the alternative financing options for the plans are more costly for the company and for shareholders. Concerns are nonetheless noted with the fact that the underlying plans have short performance periods for part of their awards.					
18	Approve Equity Plan Financing of LTV 2018 and 2019	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted as the plans have already been approved by shareholders and as the alternative financing options for the plans are more costly for the company and for shareholders. Concerns are nonetheless noted with the fact that the underlying plans have short performance periods for part of their awards.					

Reliance Industries Ltd.

Meeting Date: 03/31/2021	Country: India	Primary Security ID: Y72596102
Record Date: 03/24/2021	Meeting Type: Court	Ticker: 500325

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Reliance Industries Ltd.

Shares Voted: 2,931,686

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Court-Ordered Meeting for Shareholders	Mgmt			
1	Approve Scheme of Arrangement	Mgmt	For	For	For

Renesas Electronics Corp.

Meeting Date: 03/31/2021Country: JapanPrimary Security ID: J4881V107  
Record Date: 12/31/2020Meeting Type: AnnualTicker: 6723

Shares Voted: 105,600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Shibata, Hidetoshi	Mgmt	For	For	For
1.2	Elect Director Toyoda, Tetsuro	Mgmt	For	For	For
1.3	Elect Director Iwasaki, Jiro	Mgmt	For	For	For
1.4	Elect Director Selena Loh Lacroix	Mgmt	For	For	For
1.5	Elect Director Arunjai Mittal	Mgmt	For	For	For
1.6	Elect Director Yamamoto, Noboru	Mgmt	For	For	For
2.1	Appoint Statutory Auditor Sekine, Takeshi	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this nominee is warranted because:* The outside statutory auditor nominee's affiliation with the company could compromise independence.					
2.2	Appoint Statutory Auditor Mizuno, Tomoko	Mgmt	For	For	For
3	Approve Performance Share Plan and Restricted Stock Plan	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this proposal is warranted because:* No specific performance hurdles are specified for the proposed restricted stock unit plan, and the restricted period is less than three years for outside directors.					

TOKAI CARBON KOREA Co., Ltd.

Meeting Date: 03/31/2021Country: South KoreaPrimary Security ID: Y8851Q108  
Record Date: 12/31/2020Meeting Type: AnnualTicker: 064760

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

TOKAI CARBON KOREA Co., Ltd.

Shares Voted: 44,168

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2.1	Elect Tsuji Masafumi as Inside Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.					
2.2	Elect Takahashi Hiroshi as Inside Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.					
2.3	Elect Kim Young-hui as Inside Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.					
2.4	Elect Mori Takeshi as Outside Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.					
3	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For
4	Authorize Board to Fix Remuneration of Internal Auditor(s)	Mgmt	For	For	For
5	Amend Articles of Incorporation	Mgmt	For	For	For

Deutsche Telekom AG

Meeting Date: 04/01/2021Country: GermanyPrimary Security ID: D2035M136  
Record Date:Meeting Type: AnnualTicker: DTE

Shares Voted: 189,588

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Management Proposals	Mgmt			
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2020 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 0.60 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal Year 2020	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Deutsche Telekom AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Approve Discharge of Supervisory Board for Fiscal Year 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
5.1	Ratify PricewaterhouseCoopers GmbH as Auditors for Fiscal Year 2021	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR are warranted because there are no concerns regarding these proposals.</i>					
5.2	Ratify PricewaterhouseCoopers GmbH as Auditors for the 2021 Interim Financial Statements	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR are warranted because there are no concerns regarding these proposals.</i>					
5.3	Ratify PricewaterhouseCoopers GmbH as Auditors for the First Quarter of Fiscal Year 2021	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR are warranted because there are no concerns regarding these proposals.</i>					
5.4	Ratify PricewaterhouseCoopers GmbH as Auditors for the Third Quarter of Fiscal Year 2021 and First Quarter of Fiscal Year 2022	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR are warranted because there are no concerns regarding these proposals.</i>					
6	Elect Helga Jung to the Supervisory Board	Mgmt	For	For	For
7	Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares	Mgmt	For	For	For
8	Authorize Use of Financial Derivatives when Repurchasing Shares	Mgmt	For	For	For
9	Approve Remuneration Policy	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this resolution is warranted because:* The proposed policy contains significant scope for discretion via extraordinary bonuses and a modifier under the STI, which falls short of market best practice standards.</i>					
10	Approve Remuneration of Supervisory Board	Mgmt	For	For	For
	Shareholder Proposal Submitted by Deutsche Schutzvereinigung fuer Wertpapierbesitz e.V. (DSW)	Mgmt			
11	Amend Articles Re: Shareholders' Right to Participation during the Virtual Meeting	SH	Against	For	For
<i>Voting Policy Rationale: A vote FOR this proposal is warranted because the proposed article amendment would have a positive impact on shareholder rights.</i>					

### Nanoform Finland Oyj

**Meeting Date:** 04/06/2021 **Country:** Finland **Primary Security ID:** X5602Q107  
**Record Date:** 03/23/2021 **Meeting Type:** Annual **Ticker:** NANOFH

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Nanoform Finland Oyj

Shares Voted: 60,791

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting	Mgmt			
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
2	Call the Meeting to Order	Mgmt			
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
3	Designate Inspector or Shareholder Representative(s) of Minutes of Meeting	Mgmt			
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
4	Acknowledge Proper Convening of Meeting	Mgmt			
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
5	Prepare and Approve List of Shareholders	Mgmt			
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
6	Receive Financial Statements and Statutory Reports	Mgmt			
7	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
8	Approve Allocation of Income and Omission of Dividends	Mgmt	For	For	For
9	Approve Discharge of Board and President	Mgmt	For	For	For
10	Approve Remuneration Report (Advisory Vote)	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST this item is warranted as there is no clear information on which performance measures and weights were used for the short-term incentive plan.</i>				
11	Approve Remuneration of Directors in the Amount of EUR 3,333 for Chairman and EUR 1,666 for Other Directors	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST this item is warranted, as the proposal appears to facilitate the participation in an option plan which is proposed under Item 16.</i>				
12	Fix Number of Directors at Four	Mgmt	For	For	For
13	Elect Miguel Calado (Chair), Albert Haeggstrom, Mads Laustsen and Cynthia Schwalm as Directors	Mgmt	For	For	For
14	Approve Remuneration of Auditors	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST the remuneration of the auditors is warranted given that the non-audit fees are 83.3 percent of the total fees received by the audit firm during the fiscal year, raising substantial doubts over the independence of the auditor.</i>				
15	Ratify PricewaterhouseCoopers as Auditors	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Nanoform Finland Oyj

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
16	Approve Issuance of Options for Board of Directors	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted because:* Non-executive directors would participate in the plan, and participation in equity-based incentive plans could impair their independence and might encourage risk taking in an organ whose main task is supervisory.* Vesting period for options is less than three years					
17	Authorize Share Repurchase Program	Mgmt	For	For	For
18	Approve Issuance of up to 7 Million Shares without Preemptive Rights	Mgmt	For	For	For
19	Close Meeting	Mgmt			

Rockwool International A/S

Meeting Date: 04/07/2021Country: DenmarkPrimary Security ID: K8254S144  
Record Date: 03/31/2021Meeting Type: AnnualTicker: ROCK.B

Shares Voted: 17

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Report of Board	Mgmt			
Voting Policy Rationale: These are routine, non-voting items.					
2	Receive Annual Report and Auditor's Report	Mgmt			
Voting Policy Rationale: These are routine, non-voting items.					
3	Accept Financial Statements and Statutory Reports; Approve Discharge of Management and Board	Mgmt	For	For	For
4	Approve Remuneration Report (Advisory Vote)	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this item is warranted because awards granted and vested under the company's LTIP do not have any performance conditions attached to them.					
5	Approve Remuneration of Directors for 2021/2022	Mgmt	For	For	For
6	Approve Allocation of Income and Dividends of DKK 32.00 Per Share	Mgmt	For	For	For
7.a	Reelect Carsten Bjerg as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR candidates under Items 7.a. and 7.c.-7.f. is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote ABSTAIN for Item 7.b. is warranted because candidate Rebekka Herlofsen is overboarded.					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Rockwool International A/S

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.b	Reelect Rebekka Glasser Herlofsen as Director	Mgmt	For	Abstain	Abstain
	<i>Voting Policy Rationale: A vote FOR candidates under Items 7.a. and 7.c.-7.f. is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote ABSTAIN for Item 7.b. is warranted because candidate Rebekka Herlofsen is overboarded.</i>				
7.c	Elect Carsten Kahler as New Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR candidates under Items 7.a. and 7.c.-7.f. is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote ABSTAIN for Item 7.b. is warranted because candidate Rebekka Herlofsen is overboarded.</i>				
7.d	Reelect Thomas Kahler as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR candidates under Items 7.a. and 7.c.-7.f. is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote ABSTAIN for Item 7.b. is warranted because candidate Rebekka Herlofsen is overboarded.</i>				
7.e	Reelect Andreas Ronken as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR candidates under Items 7.a. and 7.c.-7.f. is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote ABSTAIN for Item 7.b. is warranted because candidate Rebekka Herlofsen is overboarded.</i>				
7.f	Reelect Jorgen Tang-Jensen as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR candidates under Items 7.a. and 7.c.-7.f. is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote ABSTAIN for Item 7.b. is warranted because candidate Rebekka Herlofsen is overboarded.</i>				
8	Ratify PricewaterhouseCoopers as Auditors	Mgmt	For	For	For
9.a	Authorize Share Repurchase Program	Mgmt	For	For	For
9.b	Approve Reduction in Share Capital via Share Cancellation	Mgmt	For	For	For
9.c.1	Allow Shareholder Meetings to be Held by Electronic Means Only	Mgmt	For	For	For
9.c2	Amend Articles Re: Voting on the Company's Remuneration Report at Annual General Meetings	Mgmt	For	For	For
	Shareholder Proposal Submitted by Timothy L. Ross	Mgmt			
9.d	Assess Environmental and Community Impacts from Siting of Manufacturing Facilities	SH	Against	Against	Against
	Shareholder Proposals Submitted by Catherine Jozwik	Mgmt			
9.e	Disclose Report on Political Contributions	SH	Against	For	For
	<i>Voting Policy Rationale: A vote FOR this resolution is warranted as additional disclosure concerning the company's policies and memberships in trade associations and other political organizations would help shareholders assess the company's political contribution activities and the company's management of associated risks and benefits.</i>				
10	Other Business	Mgmt			

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Storebrand ASA

Meeting Date: 04/08/2021      Country: Norway      Primary Security ID: R85746106  
Record Date:      Meeting Type: Annual      Ticker: STB

Shares Voted: 0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting; Registration of Attending Shareholders and Proxies	Mgmt			
Voting Policy Rationale: These are routine meeting formalities.					
2	Elect Chairman of Meeting	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: These are routine meeting formalities.					
3	Approve Notice of Meeting and Agenda	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: These are routine meeting formalities.					
4	Designate Inspector(s) of Minutes of Meeting	Mgmt			
Voting Policy Rationale: These are routine meeting formalities.					
5	Receive Report on Company's Activities	Mgmt			
6	Accept Financial Statements and Statutory Reports; Approve Allocation of Income Dividends of NOK 3.25 Per Share	Mgmt	For	For	Do Not Vote
7	Approve Company's Corporate Governance Statement	Mgmt	For	For	Do Not Vote
8	Approve Remuneration Policy And Other Terms of Employment For Executive Management	Mgmt	For	Against	Do Not Vote
Voting Policy Rationale: A vote AGAINST this item is warranted because the proposed remuneration policy allows for termination benefits exceeding 24 months remuneration.					
9.1	Authorize Share Repurchase Program and Reissuance of Repurchased Shares	Mgmt	For	For	Do Not Vote
9.2	Approve Creation of NOK 233.9 Million Pool of Capital without Preemptive Rights	Mgmt	For	For	Do Not Vote
10.1	Reelect Didrik Munch as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.					
10.2	Elect Christel Borge as New Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Storebrand ASA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10.3	Reelect Karin Bing as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>					
10.4	Reelect Marianne Bergmann Roren as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>					
10.5	Reelect Karl Sandlund as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>					
10.6	Reelect Martin Skancke as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>					
10.7	Reelect Fredrik Atting as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>					
10.8	Reappoint Didrik Munch as Board Chairman	Mgmt	For	For	Do Not Vote
11.1	Reelect Per Otto Dyb as Member of Nominating Committee	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these items is warranted, because of a lack of controversy regarding the nominating committee in the past.</i>					
11.2	Reelect Leiv Askvig as Member of Nominating Committee	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these items is warranted, because of a lack of controversy regarding the nominating committee in the past.</i>					
11.3	Reelect Nils Halvard Bastiansen as Member of Nominating Committee	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these items is warranted, because of a lack of controversy regarding the nominating committee in the past.</i>					
11.4	Reelect Anders Gaarud as Member of Nominating Committee	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these items is warranted, because of a lack of controversy regarding the nominating committee in the past.</i>					
11.5	Elect Liv Monica Stubholt as New Member of Nominating Committee	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these items is warranted, because of a lack of controversy regarding the nominating committee in the past.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

## Storebrand ASA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
11.6	Reappoint Per Otto Dyb as Chairman of Nominating Committee	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these items is warranted, because of a lack of controversy regarding the nominating committee in the past.</i>					
12.1	Approve Remuneration of Directors in the Amount of NOK 794,000 for Chairman, NOK 406,000 for Other Shareholder-Elected Directors, and NOK 364,000 for Employee Representatives; Approve Remuneration for Overseas Allowance	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these remuneration proposals is warranted because of a lack of concern regarding the proposed fees.</i>					
12.2	Approve Remuneration for Committee Work	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these remuneration proposals is warranted because of a lack of concern regarding the proposed fees.</i>					
12.3	Approve Remuneration of Nominating Committee	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these remuneration proposals is warranted because of a lack of concern regarding the proposed fees.</i>					
13	Approve Remuneration of Auditors	Mgmt	For	For	Do Not Vote
14	Close Meeting	Mgmt			

## UBS Group AG

<b>Meeting Date:</b> 04/08/2021	<b>Country:</b> Switzerland	<b>Primary Security ID:</b> H42097107
<b>Record Date:</b>	<b>Meeting Type:</b> Annual	<b>Ticker:</b> UBSG
<b>Shares Voted:</b> 179,239		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Approve Allocation of Income and Dividends of USD 0.37 per Share	Mgmt	For	For	For
4	Approve Discharge of Board and Senior Management for Fiscal Year 2020, excluding French Cross-Border Matter	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## UBS Group AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.1	Reelect Axel Weber as Director and Board Chairman	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.2	Reelect Jeremy Anderson as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.3	Reelect William Dudley as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.4	Reelect Reto Francioni as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.5	Reelect Fred Hu as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.6	Reelect Mark Hughes as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.7	Reelect Nathalie Rachou as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.8	Reelect Julie Richardson as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.9	Reelect Dieter Wemmer as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.10	Reelect Jeanette Wong as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
6.1	Elect Claudia Boeckstiegel as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
6.2	Elect Patrick Firmenich as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
7.1	Reappoint Julie Richardson as Member of the Compensation Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
7.2	Reappoint Reto Francioni as Member of the Compensation Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
7.3	Reappoint Dieter Wemmer as Member of the Compensation Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

## UBS Group AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.4	Reappoint Jeanette Wong as Member of the Compensation Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>					
8.1	Approve Remuneration of Directors in the Amount of CHF 13 Million	Mgmt	For	For	For
8.2	Approve Variable Remuneration of Executive Committee in the Amount of CHF 85 Million	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these items are warranted because the proposals are broadly in line with market practice and do not give rise to any significant concerns.</i>					
8.3	Approve Fixed Remuneration of Executive Committee in the Amount of CHF 33 Million	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these items are warranted because the proposals are broadly in line with market practice and do not give rise to any significant concerns.</i>					
9.1	Designate ADB Altorfer Duss & Beilstein AG as Independent Proxy	Mgmt	For	For	For
9.2	Ratify Ernst & Young AG as Auditors	Mgmt	For	For	For
9.3	Ratify BDO AG as Special Auditors	Mgmt	For	For	For
10	Amend Articles Re: Voting Majority for Board Resolutions	Mgmt	For	For	For
11	Approve CHF 15.7 Million Reduction in Share Capital as Part of the Share Buyback Program via Cancellation of Repurchased Shares	Mgmt	For	For	For
12	Authorize Repurchase of up to CHF 4 Billion in Issued Share Capital	Mgmt	For	For	For
13	Transact Other Business (Voting)	Mgmt	None	Against	Against

## Vestas Wind Systems A/S

**Meeting Date:** 04/08/2021      **Country:** Denmark      **Primary Security ID:** K9773J201  
**Record Date:** 04/01/2021      **Meeting Type:** Annual      **Ticker:** VWS

**Shares Voted:** 22,114

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Report of Board	Mgmt			
2	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
3	Approve Allocation of Income and Dividends of DKK 8.45 Per Share	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Vestas Wind Systems A/S

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Approve Remuneration Report (Advisory Vote)	Mgmt	For	For	For
5	Approve Remuneration of Directors in the Amount of DKK 1.3 Million for Chairman, DKK 892,500 for Vice Chairman and DKK 446,250 for Other Directors; Approve Remuneration for Committee Work	Mgmt	For	For	For
6.a	Reelect Anders Runevad as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>					
6.b	Reelect Bert Nordberg as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>					
6.c	Reelect Bruce Grant as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>					
6.d	Reelect Eva Merete Sofelde Berneke as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>					
6.e	Reelect Helle Thorning-Schmidt as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>					
6.f	Reelect Karl-Henrik Sundstrom as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>					
6.g	Reelect Lars Josefsson as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>					
6.h	Elect Kentaro Hosomi as New Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>					
7	Ratify PricewaterhouseCoopers as Auditors	Mgmt	For	For	For
8.1	Approve Guidelines for Incentive-Based Compensation for Executive Management and Board	Mgmt	For	For	For
8.2	Amend Articles Re: Change Par Value from DKK 1 to DKK 0.01 or Multiples Thereof	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Vestas Wind Systems A/S

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8.3	Approve Creation of DKK 20.2 Million Pool of Capital with Preemptive Rights; Approve Creation of DKK 20.2 Million Pool of Capital without Preemptive Rights; Maximum Increase in Share Capital under Both Authorizations up to DKK 20.2 Million	Mgmt	For	For	For
8.4	Allow Shareholder Meetings to be Held by Electronic Means Only	Mgmt	For	For	For
8.5	Allow Electronic Distribution of Company Communication	Mgmt	For	For	For
8.6	Authorize Board to Decide on the Distribution of Extraordinary Dividends	Mgmt	For	For	For
8.7	Authorize Share Repurchase Program	Mgmt	For	For	For
9	Authorize Editorial Changes to Adopted Resolutions in Connection with Registration with Danish Authorities	Mgmt	For	For	For
10	Other Business	Mgmt			

EDP Renovaveis SA

Meeting Date: 04/12/2021Country: SpainPrimary Security ID: E3847K101  
Record Date: 04/01/2021Meeting Type: AnnualTicker: EDPR

Shares Voted: 39,735

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Consolidated and Standalone Financial Statements	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this item is warranted due to a lack of concern regarding the accounts presented or audit procedures used.					
2	Approve Allocation of Income and Dividends	Mgmt	For	For	For
Voting Policy Rationale: A qualified vote FOR this item is warranted due to recurring low dividend payout.					
3	Approve Consolidated and Standalone Management Reports, and Corporate Governance Report	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this item is warranted due to a lack of concern regarding the accounts presented or audit procedures used.					
4	Approve Non-Financial Information Statement	Mgmt	For	For	For
5	Appraise Management of Company and Approve Vote of Confidence to Board of Directors and Executive Committee	Mgmt	For	For	For



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### EDP Renovaveis SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6a	Reelect Miguel Stilwell de Andrade as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 6.a is warranted due to a lack of concerns about the CEO nominee.A vote FOR the (re)election of the CFO under Item 6.b and NI-NEDs under Items 6.c-6.e, and 6.j is warranted because the company meets the independence guidelines for controlled companies in this market.A vote FOR Items 6.f-6.h, 6.k, and 6.l is warranted due to a lack of concerns about the independent director nominees.</i>					
6b	Reelect Rui Manuel Rodrigues Lopes Teixeira as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 6.a is warranted due to a lack of concerns about the CEO nominee.A vote FOR the (re)election of the CFO under Item 6.b and NI-NEDs under Items 6.c-6.e, and 6.j is warranted because the company meets the independence guidelines for controlled companies in this market.A vote FOR Items 6.f-6.h, 6.k, and 6.l is warranted due to a lack of concerns about the independent director nominees.</i>					
6c	Reelect Vera de Morais Pinto Pereira Carneiro as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 6.a is warranted due to a lack of concerns about the CEO nominee.A vote FOR the (re)election of the CFO under Item 6.b and NI-NEDs under Items 6.c-6.e, and 6.j is warranted because the company meets the independence guidelines for controlled companies in this market.A vote FOR Items 6.f-6.h, 6.k, and 6.l is warranted due to a lack of concerns about the independent director nominees.</i>					
6d	Reelect Ana Paula Garrido de Pina Marques as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 6.a is warranted due to a lack of concerns about the CEO nominee.A vote FOR the (re)election of the CFO under Item 6.b and NI-NEDs under Items 6.c-6.e, and 6.j is warranted because the company meets the independence guidelines for controlled companies in this market.A vote FOR Items 6.f-6.h, 6.k, and 6.l is warranted due to a lack of concerns about the independent director nominees.</i>					
6e	Reelect Manuel Menendez Menendez as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 6.a is warranted due to a lack of concerns about the CEO nominee.A vote FOR the (re)election of the CFO under Item 6.b and NI-NEDs under Items 6.c-6.e, and 6.j is warranted because the company meets the independence guidelines for controlled companies in this market.A vote FOR Items 6.f-6.h, 6.k, and 6.l is warranted due to a lack of concerns about the independent director nominees.</i>					
6f	Reelect Acacio Liberado Mota Piloto as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 6.a is warranted due to a lack of concerns about the CEO nominee.A vote FOR the (re)election of the CFO under Item 6.b and NI-NEDs under Items 6.c-6.e, and 6.j is warranted because the company meets the independence guidelines for controlled companies in this market.A vote FOR Items 6.f-6.h, 6.k, and 6.l is warranted due to a lack of concerns about the independent director nominees.</i>					
6g	Reelect Allan J. Katz as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 6.a is warranted due to a lack of concerns about the CEO nominee.A vote FOR the (re)election of the CFO under Item 6.b and NI-NEDs under Items 6.c-6.e, and 6.j is warranted because the company meets the independence guidelines for controlled companies in this market.A vote FOR Items 6.f-6.h, 6.k, and 6.l is warranted due to a lack of concerns about the independent director nominees.</i>					
6h	Reelect Joan Avalyn Dempsey as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 6.a is warranted due to a lack of concerns about the CEO nominee.A vote FOR the (re)election of the CFO under Item 6.b and NI-NEDs under Items 6.c-6.e, and 6.j is warranted because the company meets the independence guidelines for controlled companies in this market.A vote FOR Items 6.f-6.h, 6.k, and 6.l is warranted due to a lack of concerns about the independent director nominees.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

EDP Renovaveis SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6i	Elect Antonio Sarmento Gomes Mota as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR Items 6.a is warranted due to a lack of concerns about the CEO nominee.A vote FOR the (re)election of the CFO under Item 6.b and NI-NEDs under Items 6.c-6.e, and 6.j is warranted because the company meets the independence guidelines for controlled companies in this market.A vote FOR Items 6.f-6.h, 6.k, and 6.l is warranted due to a lack of concerns about the independent director nominees.					
6j	Elect Miguel Nuno Simoes Nunes Ferreira Setas as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR Items 6.a is warranted due to a lack of concerns about the CEO nominee.A vote FOR the (re)election of the CFO under Item 6.b and NI-NEDs under Items 6.c-6.e, and 6.j is warranted because the company meets the independence guidelines for controlled companies in this market.A vote FOR Items 6.f-6.h, 6.k, and 6.l is warranted due to a lack of concerns about the independent director nominees.					
6k	Elect Rosa Maria Garcia Garcia as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR Items 6.a is warranted due to a lack of concerns about the CEO nominee.A vote FOR the (re)election of the CFO under Item 6.b and NI-NEDs under Items 6.c-6.e, and 6.j is warranted because the company meets the independence guidelines for controlled companies in this market.A vote FOR Items 6.f-6.h, 6.k, and 6.l is warranted due to a lack of concerns about the independent director nominees.					
6l	Elect Jose Manuel Felix Morgado as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR Items 6.a is warranted due to a lack of concerns about the CEO nominee.A vote FOR the (re)election of the CFO under Item 6.b and NI-NEDs under Items 6.c-6.e, and 6.j is warranted because the company meets the independence guidelines for controlled companies in this market.A vote FOR Items 6.f-6.h, 6.k, and 6.l is warranted due to a lack of concerns about the independent director nominees.					
7	Approve Remuneration Policy	Mgmt	For	For	For
8	Renew PricewaterhouseCoopers as Auditor	Mgmt	For	For	For
9	Approve Capital Raising	Mgmt	For	For	For
10	Approve Dividends Charged Against Reserves	Mgmt	For	For	For
Voting Policy Rationale: A qualified vote FOR this item is warranted due to recurring low dividend payout.					
11	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For	For

HusCompagniet A/S

Meeting Date: 04/12/2021	Country: Denmark	Primary Security ID: K47032105
Record Date: 04/05/2021	Meeting Type: Annual	Ticker: HUSCO
Shares Voted: 31,968		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Report of Board	Mgmt			
2	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## HusCompagniet A/S

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Approve Allocation of Income and Dividends of DKK 3 Per Share	Mgmt	For	For	For
4	Approve Discharge of Management and Board	Mgmt	For	For	For
5	Approve Remuneration Report (Advisory Vote)	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this item is warranted because the proposed remuneration report is below par in relation to market standards, particularly with regard to* ex-post disclosure of STI performance targets and individual achievements of the performance criteria;* lacking performance conditions for the LTI plan;* allotment of a discretionary, extraordinary cash bonus in connection to the IPO of the company.</i>					
6	Approve Remuneration of Directors in the Amount of DKK 900,000 for Chairman, DKK 600,000 for Vice Chairman, and DKK 300,000 for Other Directors; Approve Remuneration for Committee Work	Mgmt	For	For	For
7.a	Reelect Claus V. Hemmingsen as Director	Mgmt	For	Abstain	Abstain
<i>Voting Policy Rationale: A vote FOR candidates Mads Munkholt Ditlevsen, Ylva Ekborn, Anja Eriksson, Stig Pastwa and Bo Rygaard is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote ABSTAIN Item 7.a. is warranted because candidate Claus Hemmingsen is overboarded.</i>					
7.b	Reelect Anja B. Eriksson as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR candidates Mads Munkholt Ditlevsen, Ylva Ekborn, Anja Eriksson, Stig Pastwa and Bo Rygaard is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote ABSTAIN Item 7.a. is warranted because candidate Claus Hemmingsen is overboarded.</i>					
7.c	Reelect Ylva Ekborn as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR candidates Mads Munkholt Ditlevsen, Ylva Ekborn, Anja Eriksson, Stig Pastwa and Bo Rygaard is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote ABSTAIN Item 7.a. is warranted because candidate Claus Hemmingsen is overboarded.</i>					
7.d	Reelect Mads Munkholt Ditlevsen as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR candidates Mads Munkholt Ditlevsen, Ylva Ekborn, Anja Eriksson, Stig Pastwa and Bo Rygaard is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote ABSTAIN Item 7.a. is warranted because candidate Claus Hemmingsen is overboarded.</i>					
7.e	Elect Bo Rygaard as New Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR candidates Mads Munkholt Ditlevsen, Ylva Ekborn, Anja Eriksson, Stig Pastwa and Bo Rygaard is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote ABSTAIN Item 7.a. is warranted because candidate Claus Hemmingsen is overboarded.</i>					
7.f	Elect Stig Pastwa as New Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR candidates Mads Munkholt Ditlevsen, Ylva Ekborn, Anja Eriksson, Stig Pastwa and Bo Rygaard is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote ABSTAIN Item 7.a. is warranted because candidate Claus Hemmingsen is overboarded.</i>					
8	Ratify Ernst & Young as Auditors	Mgmt	For	For	For
9	Other Proposals from Board or Shareholders (None Submitted)	Mgmt			
10	Other Business	Mgmt			

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Jardine Strategic Holdings Ltd.

Meeting Date: 04/12/2021      Country: Bermuda      Primary Security ID: G50764102  
Record Date: 04/10/2021      Meeting Type: Special      Ticker: J37

Shares Voted: 11,100

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve the Amalgamation Agreement	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this item is warranted on account of:* The deal is a related party transaction coming at a time of depressed valuations due to COVID-19, while the NAV, as estimated by the Company, is 76.2 percent higher than the offer price;* The committee handling the deal supposedly did not have the opportunity to propose alternative transactions (e.g. stock transaction to capture the upside from unwinding the conglomerate discount) or shop for alternative bids; and* Voting against the deal would leave the option to minorities of exercising appraisal rights.</i>					

A-Living Smart City Services Co., Ltd.

Meeting Date: 04/13/2021      Country: China      Primary Security ID: Y0038M100  
Record Date: 03/12/2021      Meeting Type: Special      Ticker: 3319

Shares Voted: 21,563,624

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve New CMIG PM Agreement, Supplemental Agreement, Second Supplemental Agreement and Related Transactions	Mgmt	For	For	For

Petroleo Brasileiro SA

Meeting Date: 04/14/2021      Country: Brazil      Primary Security ID: P78331140  
Record Date: 03/22/2021      Meeting Type: Annual/Special      Ticker: PETR4

Shares Voted: 188,939

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
	Annual General Meeting	Mgmt			
1	Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2020	Mgmt	For	For	For
2	Approve Allocation of Income and Dividends	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Petroleo Brasileiro SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3.1	Elect Fiscal Council Members	Mgmt	For	Abstain	Abstain
Voting Policy Rationale: An ABSTAIN vote recommendation is warranted for management's fiscal council nominees, to allow minority shareholders to concentrate their votes on the election of a minority fiscal council candidate, as further discussed under Item 3.4 of this meeting agenda.					
3.2	In Case One of the Nominees Leaves the Fiscal Council Slate Due to a Separate Minority Election, as Allowed Under Articles 161 and 240 of the Brazilian Corporate Law, May Your Votes Still Be Counted for the Proposed Slate?	Mgmt	None	Against	Against
3.4	Elect Patricia Valente Stierli as Fiscal Council Member and Robert Juenemann as Alternate Appointed by Minority Shareholder	SH	None	For	For
4	Approve Remuneration of Company's Management and Fiscal Council	Mgmt	For	For	For
5	In the Event of a Second Call, the Voting Instructions Contained in this Remote Voting Card May Also be Considered for the Second Call?	Mgmt	None	For	For
	Extraordinary General Meeting	Mgmt			
6	Approve Absorption of Companhia de Desenvolvimento e Modernizacao de Plantas IndustriaisS.A. (CDMPI)	Mgmt	For	For	For
7	In the Event of a Second Call, the Voting Instructions Contained in this Remote Voting Card May Also be Considered for the Second Call?	Mgmt	None	For	For

Owens Corning

Meeting Date: 04/15/2021	Country: USA	Primary Security ID: 690742101
Record Date: 02/16/2021	Meeting Type: Annual	Ticker: OC
Shares Voted: 9,584		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Brian D. Chambers	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1b	Elect Director Eduardo E. Cordeiro	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Owens Corning

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1c	Elect Director Adrienne D. Elsner	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1d	Elect Director Alfred E. Festa	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1e	Elect Director Edward F. Lonergan	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1f	Elect Director Maryann T. Mannen	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1g	Elect Director Paul E. Martin	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1h	Elect Director W. Howard Morris	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1i	Elect Director Suzanne P. Nimocks	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1j	Elect Director John D. Williams	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

Sungrow Power Supply Co., Ltd.

Meeting Date: 04/16/2021Country: ChinaPrimary Security ID: Y8211M102  
Record Date: 04/09/2021Meeting Type: SpecialTicker: 300274

Shares Voted: 2,283,793

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Company's Eligibility for Issuance of Shares to Specific Targets	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.					
	APPROVE ISSUANCE OF SHARES TO SPECIFIC TARGETS	Mgmt			

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Sungrow Power Supply Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.1	Approve Share Type and Par Value	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
2.2	Approve Issue Manner and Issue Time	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
2.3	Approve Target Subscribers and Subscription Method	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
2.4	Approve Pricing Reference Date, Basis of Pricing and Issue Price	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
2.5	Approve Issue Size	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
2.6	Approve Lock-up Period	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
2.7	Approve Amount and Use of Proceeds	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
2.8	Approve Distribution Arrangement of Undistributed Earnings	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
2.9	Approve Listing Exchange	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
2.10	Approve Resolution Validity Period	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
3	Approve Plan for Issuance of Shares to Specific Targets	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
4	Approve Demonstration Analysis Report in Connection to Issuance of Shares to Specific Targets	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
5	Approve Feasibility Analysis Report on the Use of Proceeds	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
6	Approve Report on the Usage of Previously Raised Funds	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Sungrow Power Supply Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Approve Counter-dilution Measures in Connection to the Issuance of Shares to Specific Targets	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.					
8	Approve Shareholder Return Plan	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.					
9	Approve Authorization of Board to Handle All Related Matters	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.					
10	Approve Provision of Guarantee	Mgmt	For	For	For
11	Approve Provision of Financial Assistance	Mgmt	For	For	For

IIFL Wealth Management Limited

Meeting Date: 04/18/2021Country: IndiaPrimary Security ID: Y3R77A103  
Record Date: 03/12/2021Meeting Type: SpecialTicker: 542772

Shares Voted: 109,817

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Postal Ballot	Mgmt			
1	Approve IIFL Wealth Employee Stock Option Scheme 2021 and Grant of Options to Employees of the Company	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted because the proposed scheme permits stock options to be issued with an exercise price at a discount to the current market price.					
2	Approve Grant of Stock Options to the Employees of the Subsidiary Company(ies) (Present and/or Future) under the IIFL Wealth Employee Stock Option Scheme 2021	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted because the proposed scheme permits stock options to be issued with an exercise price at a discount to the current market price.					

Oi SA

Meeting Date: 04/19/2021Country: BrazilPrimary Security ID: P73531116  
Record Date:Meeting Type: SpecialTicker: OIBR4



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Oi SA

Shares Voted: 189,633,700

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Issuance of the Declaration Required in Judgment No. 1, of 08.01.2021, which Initiated the Act of Prior Consent by the National Telecommunications Agency (Anatel) for the Absorption of Telemar Norte Leste S.A. (Telemar)	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company; and* The company has presented a sound rationale for the transaction and the associated declaration.</i>					
2	Ratify Meden Consultoria Empresarial Ltda. (Meden) as Independent Firm to Appraise Proposed Transaction	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company; and* The company has presented a sound rationale for the transaction and the associated declaration.</i>					
3	Approve Independent Firm's Appraisals Re: Telemar Norte Leste S.A. (Telemar)	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company; and* The company has presented a sound rationale for the transaction and the associated declaration.</i>					
4	Approve Independent Firm's Appraisal Re: Brasil Telecom Comunicacao Multimidia S.A. (BTCM)	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company; and* The company has presented reasonable rationale for the transaction.</i>					
5	Approve Agreement to Absorb Telemar Norte Leste S.A. (Telemar)	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company; and* The company has presented a sound rationale for the transaction and the associated declaration.</i>					
6	Approve Absorption of Telemar Norte Leste S.A. (Telemar) and Amend Article 5 Accordingly	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company; and* The company has presented a sound rationale for the transaction and the associated declaration.</i>					
7	Approve Agreement for Partial Spin-Off of Brasil Telecom Comunicacao Multimidia S.A. (BTCM) and Absorption of Partial Spun-Off Assets	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company; and* The company has presented reasonable rationale for the transaction.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Oi SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8	Approve Partial Spin-Off of Brasil Telecom Comunicacao Multimidia S.A. (BTCM) and Absorption of Partial Spun-Off Assets	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company; and* The company has presented reasonable rationale for the transaction.					
9	Amend Article 2 Re: Corporate Purpose	Mgmt	For	For	For
10	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For	For

Aker Carbon Capture AS

Meeting Date: 04/20/2021	Country: Norway	Primary Security ID: R00762113
Record Date:	Meeting Type: Annual	Ticker: ACC
Shares Voted: 0		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting	Mgmt			
Voting Policy Rationale: These are routine meeting formalities.					
2	Elect Chairman of Meeting; Designate Inspector(s) of Minutes of Meeting	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: These are routine meeting formalities.					
3	Approve Notice of Meeting and Agenda	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: These are routine meeting formalities.					
4	Accept Financial Statements and Statutory Reports	Mgmt	For	For	Do Not Vote
5	Approve Remuneration of Auditors	Mgmt	For	For	Do Not Vote
6	Approve Remuneration of Directors	Mgmt	For	For	Do Not Vote
7	Approve Creation of Pool of Capital without Preemptive Rights	Mgmt	For	Against	Do Not Vote
Voting Policy Rationale: A vote AGAINST this issuance authorization is warranted because the potential share capital increase is excessive					
8	Elect Director	Mgmt	For	Against	Do Not Vote
Voting Policy Rationale: A vote AGAINST this proposal is warranted due to the independence level of the board being below the one-third threshold.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Breedon Group Plc

Meeting Date: 04/20/2021

Country: Jersey

Primary Security ID: G1320X101

Record Date: 04/16/2021

Meeting Type: Annual

Ticker: BREE

Shares Voted: 850,121

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
3	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
4	Approve Remuneration Report	Mgmt	For	For	For
5	Approve Performance Share Plan	Mgmt	For	For	For
6	Elect James Brotherton as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of James Brotherton and Helen Miles, and re-election of Amit Bhatia, Carol Hui, Moni Mannings, Clive Watson and Rob Wood is warranted because no significant concerns have been identified.					
7	Elect Helen Miles as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of James Brotherton and Helen Miles, and re-election of Amit Bhatia, Carol Hui, Moni Mannings, Clive Watson and Rob Wood is warranted because no significant concerns have been identified.					
8	Re-elect Amit Bhatia as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of James Brotherton and Helen Miles, and re-election of Amit Bhatia, Carol Hui, Moni Mannings, Clive Watson and Rob Wood is warranted because no significant concerns have been identified.					
9	Re-elect Carol Hui as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of James Brotherton and Helen Miles, and re-election of Amit Bhatia, Carol Hui, Moni Mannings, Clive Watson and Rob Wood is warranted because no significant concerns have been identified.					
10	Re-elect Moni Mannings as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of James Brotherton and Helen Miles, and re-election of Amit Bhatia, Carol Hui, Moni Mannings, Clive Watson and Rob Wood is warranted because no significant concerns have been identified.					
11	Re-elect Clive Watson as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of James Brotherton and Helen Miles, and re-election of Amit Bhatia, Carol Hui, Moni Mannings, Clive Watson and Rob Wood is warranted because no significant concerns have been identified.					
12	Re-elect Rob Wood as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of James Brotherton and Helen Miles, and re-election of Amit Bhatia, Carol Hui, Moni Mannings, Clive Watson and Rob Wood is warranted because no significant concerns have been identified.					
13	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Breedon Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
14	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
15	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
16	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For

### Kamux Oyj

**Meeting Date:** 04/20/2021      **Country:** Finland      **Primary Security ID:** X4S8N6100  
**Record Date:** 04/08/2021      **Meeting Type:** Annual      **Ticker:** KAMUX

**Shares Voted:** 52,257

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting	Mgmt			
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
2	Call the Meeting to Order	Mgmt			
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
3	Designate Inspector or Shareholder Representative(s) of Minutes of Meeting	Mgmt			
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
4	Acknowledge Proper Convening of Meeting	Mgmt			
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
5	Prepare and Approve List of Shareholders	Mgmt			
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
6	Receive Financial Statements and Statutory Reports; Receive Auditor's Report	Mgmt			
7	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
8	Approve Allocation of Income and Dividends of EUR 0.24 Per Share; Authorize Board to Decide on a Second Dividend Distribution	Mgmt	For	For	For
9	Approve Discharge of Board and President	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Kamux Oyj

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10	Approve Remuneration Report (Advisory Vote)	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this item is warranted because* STI performance conditions are not disclosed ex-post* LTIPs have short performance periods					
11	Approve Remuneration of Directors in the Amount of EUR 60,000 for Chairman and EUR 30,000 for other Directors; Approve Remuneration for Committee Work; Approve Reimbursement of Travel Expenses	Mgmt	For	For	For
12	Fix Number of Directors at Six	Mgmt	For	For	For
13	Reelect Reija Laaksonen, Antti Makela, Jokke Paananen, Harri Sivula and Tuomo Vahapassi as Directors; Elect Tapio Pajuharju as New Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this proposal is warranted due to an overboarded director, Tapio Pajuharju, being proposed.					
14	Approve Remuneration of Auditors	Mgmt	For	For	For
15	Ratify PricewaterhouseCoopers as Auditors	Mgmt	For	For	For
16	Approve Issuance of up to 4 Million Shares without Preemptive Rights	Mgmt	For	For	For
17	Authorize Share Repurchase Program	Mgmt	For	For	For
18	Close Meeting	Mgmt			

Serco Group Plc

Meeting Date: 04/21/2021	Country: United Kingdom	Primary Security ID: G80400107
Record Date: 04/19/2021	Meeting Type: Annual	Ticker: SRP
Shares Voted: 556,998		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Approve Remuneration Policy	Mgmt	For	For	For
4	Approve Final Dividend	Mgmt	For	For	For
5	Elect Dame Sue Owen as Director	Mgmt	For	For	For

Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Serco Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Elect Tim Lodge as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>				
7	Re-elect John Rishton as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>				
8	Re-elect Rupert Soames as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>				
9	Re-elect Kirsty Bashforth as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>				
10	Re-elect Eric Born as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>				
11	Re-elect Ian El-Mokadem as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>				
12	Re-elect Lynne Peacock as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>				
13	Reappoint KPMG LLP as Auditors	Mgmt	For	For	For
14	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
15	Authorise Issue of Equity	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>				
16	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>				
17	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>				
18	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
19	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
20	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
21	Approve International Save As You Earn Plan	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

The Sherwin-Williams Company

Meeting Date: 04/21/2021      Country: USA      Primary Security ID: 824348106  
Record Date: 02/23/2021      Meeting Type: Annual      Ticker: SHW

Shares Voted: 13,396

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Kerrii B. Anderson	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1b	Elect Director Arthur F. Anton	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1c	Elect Director Jeff M. Fettig	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1d	Elect Director Richard J. Kramer	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1e	Elect Director John G. Morikis	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1f	Elect Director Christine A. Poon	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1g	Elect Director Aaron M. Powell	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1h	Elect Director Michael H. Thaman	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1i	Elect Director Matthew Thornton, III	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1j	Elect Director Steven H. Wunning	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

Heineken NV

Meeting Date: 04/22/2021      Country: Netherlands      Primary Security ID: N39427211  
Record Date: 03/25/2021      Meeting Type: Annual      Ticker: HEIA

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Heineken NV

Shares Voted: 8,485

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
1.a	Receive Report of Management Board (Non-Voting)	Mgmt			
1.b	Approve Remuneration Report	Mgmt	For	For	For
1.c	Adopt Financial Statements	Mgmt	For	For	For
1.d	Receive Explanation on Company's Dividend Policy	Mgmt			
1.e	Approve Dividends of EUR 0.70 Per Share	Mgmt	For	For	For
1.f	Approve Discharge of Management Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.</i>					
1.g	Approve Discharge of Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.</i>					
2.a	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
2.b	Grant Board Authority to Issue Shares Up To 10 Percent of Issued Capital	Mgmt	For	For	For
2.c	Authorize Board to Exclude Preemptive Rights from Share Issuances under Item 2.b	Mgmt	For	For	For
3	Elect Harold van den Broek to Management Board	Mgmt	For	For	For
4.a	Reelect Maarten Das to Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates</i>					
4.b	Elect Nitin Paranjpe to Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates</i>					
5	Ratify Deloitte Accountants B.V as Auditors	Mgmt	For	For	For

### RELX Plc

Meeting Date: 04/22/2021

Country: United Kingdom

Primary Security ID: G7493L105

Record Date: 04/20/2021

Meeting Type: Annual

Ticker: REL



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## RELX Plc

Shares Voted: 256,220

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Approve Final Dividend	Mgmt	For	For	For
4	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	For	For
5	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
6	Elect Paul Walker as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.</i>					
7	Elect June Felix as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.</i>					
8	Re-elect Erik Engstrom as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.</i>					
9	Re-elect Wolfhart Hauser as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.</i>					
10	Re-elect Charlotte Hogg as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.</i>					
11	Re-elect Marike van Lier Lels as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.</i>					
12	Re-elect Nick Luff as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.</i>					
13	Re-elect Robert MacLeod as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.</i>					
14	Re-elect Linda Sanford as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.</i>					
15	Re-elect Andrew Sukawaty as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.</i>					
16	Re-elect Suzanne Wood as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### RELX Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
17	Authorise Issue of Equity	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
18	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
19	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
20	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
21	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

### Flow Traders NV

<b>Meeting Date:</b> 04/23/2021	<b>Country:</b> Netherlands	<b>Primary Security ID:</b> N33101101
<b>Record Date:</b> 03/26/2021	<b>Meeting Type:</b> Annual	<b>Ticker:</b> FLOW
<b>Shares Voted:</b> 33,000		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
1	Open Meeting	Mgmt			
2.a	Receive Report of Management Board and Supervisory Board (Non-Voting)	Mgmt			
2.b	Adopt Financial Statements and Statutory Reports	Mgmt	For	For	For
2.c	Receive Explanation on Company's Reserves and Dividend Policy	Mgmt			
2.d	Approve Dividends of EUR 6.50 Per Share	Mgmt	For	For	For
2.e	Approve Remuneration Report	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST is warranted because: * The company does not disclose individual award levels; * Although the company has increased disclosure by providing the other KPI's, we note that these are 12 (applicable to CEO) in total without further disclosure on relative weighting; * The company has not disclosed the level of achievement of the KIPs, and as such not providing further transparency on the pay for performance alignment; * Although the company has made demonstrable efforts to reduce payout (i.e. -50 percent of available bonus pool for management), payout is still considered to be in excess of market levels; * The relative level of variable remuneration for the CRO raises questions of appropriateness, especially as this is mainly driven by profitability.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Flow Traders NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.f	Approve Remuneration Policy for Supervisory Board	Mgmt	For	For	For
2.g	Approve Remuneration Policy for Management Board	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST is warranted. Despite considerable improvements made to the policy we continue to raise concern regarding the following elements;* Although individual award levels are introduced (max. 20x average employee pay), disclosure is not clear on how individual awards are determined and the award level does not introduce restraint given average employee pay;* The proposed policy for executives would enable the supervisory board to set additional performance measures without adequate disclosure;* The proposed remuneration policy does not provide adequate disclosure on the variable incentive schemes and whereas performance is only measured over 1-year period and operating profit being the dominant factor;* Although we recognize the increased disclosure on performance metrics, the individual metrics continue to be vague and are not accompanied by expected and concrete disclosure to understand the rigor of target setting; and* Questions arise whether this payment mix is not too aggressive. Especially considering the chief risk officer's 2020 pay package is 92 percent variable and based on operating profit.</i>					
3	Approve Discharge of Management Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.</i>					
4	Approve Discharge of Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.</i>					
5.a	Elect Linda Hovius to Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these elections is warranted because;* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates</i>					
5.b	Elect Ilonka Jankovich to Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these elections is warranted because;* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates</i>					
5.c	Reelect Rudolf Ferscha to Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these elections is warranted because;* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates</i>					
6.a	Grant Board Authority to Issue Shares Up to 10 Percent of Issued Capital	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this proposal is warranted because it is in line with commonly used safeguards regarding volume and duration.</i>					
6.b	Authorize Board to Exclude Preemptive Rights from Share Issuances	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this proposal is warranted because it is in line with commonly used safeguards regarding volume and duration.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

## Flow Traders NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is warranted because:* This proposal is in line with commonly used safeguards regarding volume and pricing;* The authorization would allow Flow Traders to repurchase up to 10 percent of the issued share capital; and* The authorization would allow the company to repurchase shares for less or up to 110 percent of the share price prior to the repurchase.</i>					
8	Approve Cancellation of Repurchased Shares	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is warranted because:* This proposal is in line with commonly used safeguards regarding volume and pricing;* The authorization would allow Flow Traders to repurchase up to 10 percent of the issued share capital; and* The authorization would allow the company to repurchase shares for less or up to 110 percent of the share price prior to the repurchase.</i>					
9	Ratify Ernst & Young Accountants LLP as Auditors	Mgmt	For	For	For
10	Close Meeting	Mgmt			

## Galp Energia SGPS SA

**Meeting Date:** 04/23/2021      **Country:** Portugal      **Primary Security ID:** X3078L108  
**Record Date:** 04/16/2021      **Meeting Type:** Annual      **Ticker:** GALP

**Shares Voted:** 573,467

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Ratify Co-option of Andrew Richard Dingley Brown as Director	Mgmt	For	For	For
2	Approve Individual and Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For
3	Approve Allocation of Income	Mgmt	For	For	For
4	Appraise Management of Company and Approve Vote of Confidence to Board of Directors	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted in the absence of evidence of negligence or abuse on the part of the board or management.</i>					
5	Appraise Supervision of Company and Approve Vote of Confidence to Fiscal Council	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted in the absence of evidence of negligence or abuse on the part of the board or management.</i>					
6	Appraise Work Performed by Statutory Auditor and Approve Vote of Confidence to Statutory Auditor	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted in the absence of evidence of negligence or abuse on the part of the board or management.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Galp Energia SGPS SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Approve Statement on Remuneration Policy	Mgmt	For	For	For
8	Authorize Repurchase and Reissuance of Shares and Bonds	Mgmt	For	For	For

L3Harris Technologies, Inc.

Meeting Date: 04/23/2021Country: USAPrimary Security ID: 502431109  
Record Date: 02/26/2021Meeting Type: AnnualTicker: LHX

Shares Voted: 36,469

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Sallie B. Bailey	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1b	Elect Director William M. Brown	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1c	Elect Director Peter W. Chiarelli	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1d	Elect Director Thomas A. Corcoran	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1e	Elect Director Thomas A. Dattilo	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1f	Elect Director Roger B. Fradin	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1g	Elect Director Lewis Hay, III	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1h	Elect Director Lewis Kramer	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1i	Elect Director Christopher E. Kubasik	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1j	Elect Director Rita S. Lane	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

L3Harris Technologies, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1k	Elect Director Robert B. Millard	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1l	Elect Director Lloyd W. Newton	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

Merck KGaA

Meeting Date: 04/23/2021	Country: Germany	Primary Security ID: D5357W103
Record Date: 04/01/2021	Meeting Type: Annual	Ticker: MRK
Shares Voted: 5,169		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2020 (Non-Voting)	Mgmt			
2	Accept Financial Statements and Statutory Reports for Fiscal Year 2020	Mgmt	For	For	For
3	Approve Allocation of Income and Dividends of EUR 1.40 per Share	Mgmt	For	For	For
4	Approve Discharge of Executive Board Fiscal Year 2020	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these proposals are warranted because there is no evidence that the boards have not fulfilled their fiduciary duties.					
5	Approve Discharge of Supervisory Board for Fiscal Year 2020	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these proposals are warranted because there is no evidence that the boards have not fulfilled their fiduciary duties.					
6	Ratify KPMG AG as Auditors for Fiscal Year 2021	Mgmt	For	For	For
7	Amend Articles Re: Supervisory Board Approval of Transactions with Related Parties	Mgmt	For	For	For
8	Approve Remuneration Policy	Mgmt	For	For	For
9	Approve Remuneration of Supervisory Board	Mgmt	For	For	For
10	Approve Eleven Affiliation Agreements	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Solarpack Corporacion Tecnologica SL

Meeting Date: 04/23/2021      Country: Spain      Primary Security ID: E8935S106  
Record Date: 04/16/2021      Meeting Type: Annual      Ticker: SPK

Shares Voted: 49,534

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Consolidated and Standalone Financial Statements	Mgmt	For	For	For
2	Approve Discharge of Board	Mgmt	For	For	For
3	Approve Allocation of Income	Mgmt	For	For	For
4	Renew Appointment of Deloitte as Auditor	Mgmt	For	For	For
5	Approve Remuneration Policy	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST this resolution is warranted because the company's remuneration policy maintains open-ended one-off awards.					
6	Approve Restricted Stock Plan	Mgmt	For	For	For
7	Advisory Vote on Remuneration Report	Mgmt	For	Against	Abstain
Voting Policy Rationale: A vote AGAINST this item is warranted because the chair/CEO received maximum 2018-2020 LTI opportunity whereas he has been in office as an executive since February 2020 only.					
8	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For	For
9	Approve Minutes of Meeting	Mgmt	For	For	For

Polymetal International Plc

Meeting Date: 04/26/2021      Country: Jersey      Primary Security ID: G7179S101  
Record Date: 04/22/2021      Meeting Type: Annual      Ticker: POLY

Shares Voted: 0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	Do Not Vote
2	Approve Remuneration Report	Mgmt	For	For	Do Not Vote
3	Approve Final Dividend	Mgmt	For	For	Do Not Vote
4	Re-elect Ian Cockerill as Director	Mgmt	For	For	Do Not Vote

Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Polymetal International Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Re-elect Vitaly Nesis as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
6	Re-elect Manuel (Ollie) De Sousa-Oliveira as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
7	Re-elect Konstantin Yanakov as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
8	Re-elect Giacomo Baizini as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
9	Re-elect Tracey Kerr as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
10	Re-elect Italia Boninelli as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
11	Re-elect Victor Flores as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
12	Re-elect Andrea Abt as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
13	Reappoint Deloitte LLP as Auditors	Mgmt	For	For	Do Not Vote
14	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	Do Not Vote
15	Authorise Issue of Equity	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
16	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
17	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Polymetal International Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
18	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	Do Not Vote
Shares Voted: 4,746,199					

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Approve Final Dividend	Mgmt	For	For	For
4	Re-elect Ian Cockerill as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
5	Re-elect Vitaly Nesis as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
6	Re-elect Manuel (Ollie) De Sousa-Oliveira as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
7	Re-elect Konstantin Yanakov as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
8	Re-elect Giacomo Baizini as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
9	Re-elect Tracey Kerr as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
10	Re-elect Italia Boninelli as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
11	Re-elect Victor Flores as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
12	Re-elect Andrea Abt as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
13	Reappoint Deloitte LLP as Auditors	Mgmt	For	For	For
14	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
15	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Polymetal International Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
16	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
17	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
18	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For

### Sandvik Aktiebolag

**Meeting Date:** 04/27/2021      **Country:** Sweden      **Primary Security ID:** W74857165  
**Record Date:** 04/19/2021      **Meeting Type:** Annual      **Ticker:** SAND

**Shares Voted:** 281,811

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Elect Chairman of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
2.A	Designate Ann Grevelius as Inspector of Minutes of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
2.B	Designate Anders Oscarsson as Inspector of Minutes of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
3	Prepare and Approve List of Shareholders	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
4	Approve Agenda of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
5	Acknowledge Proper Convening of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
6	Receive Financial Statements and Statutory Reports	Mgmt			
7	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Sandvik Aktiebolag

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8.1	Approve Discharge of Board Chairman Johan Molin	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.2	Approve Discharge of Board Member Jennifer Allerton	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.3	Approve Discharge of Board Member Claes Boustedt	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.4	Approve Discharge of Board Member Marika Fredriksson	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.5	Approve Discharge of Board Member Johan Karlstrom	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.6	Approve Discharge of Board Member Helena Stjernholm	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.7	Approve Discharge of Board Member Lars Westerberg	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.8	Approve Discharge of Board Member and CEO Stefan Widing	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.9	Approve Discharge of Board Member Kai Warn	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.10	Approve Discharge of Employee Representative Tomas Karnstrom	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.11	Approve Discharge of Employee Representative Thomas Lilja	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Sandvik Aktiebolag

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8.12	Approve Discharge of Deputy Employee Representative Thomas Andersson	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.13	Approve Discharge of Deputy Employee Representative Mats Lundberg	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.14	Approve Discharge of Former Board Member and CEO Bjorn Rosengren	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
9	Approve Allocation of Income and Dividends of SEK 6.5 Per Share	Mgmt	For	For	For
10	Determine Number of Directors (8) and Deputy Directors (0) of Board; Determine Number of Auditors (1) and Deputy Auditors	Mgmt	For	For	For
11	Approve Remuneration of Directors in the Amount of SEK 2.6 Million for Chairman and SEK 710,000 for Other Directors; Approve Remuneration for Committee Work; Approve Remuneration for Auditor	Mgmt	For	For	For
12.1	Elect Andreas Nordbrandt as New Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>				
12.2	Reelect Jennifer Allerton as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>				
12.3	Reelect Claes Boustedt as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>				
12.4	Reelect Marika Fredriksson as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>				
12.5	Reelect Johan Molin as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>				
12.6	Reelect Helena Stjernholm as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>				

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Sandvik Aktiebolag

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
12.7	Reelect Stefan Widing as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.					
12.8	Reelect Kai Warn as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.					
13	Reelect Johan Molin as Chairman of the Board	Mgmt	For	For	For
14	Ratify PricewaterhouseCoopers as Auditors	Mgmt	For	For	For
15	Approve Remuneration Report	Mgmt	For	For	For
16	Approve Performance Share Matching Plan for Key Employees	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this item is warranted because the performance period of the plan is shorter than three years.					
17	Authorize Share Repurchase Program	Mgmt	For	For	For
18	Amend Articles Re: Editorial Changes to Article 1; Participation at General Meeting; Postal Voting; Share Registrar	Mgmt	For	For	For

Star Peak Energy Transition Corp.

Meeting Date: 04/27/2021Country: USAPrimary Security ID: 855185104  
Record Date: 03/04/2021Meeting Type: SpecialTicker: STPK

Shares Voted: 138

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve SPAC Transaction	Mgmt	For	For	For
2.1	Increase Authorized Common Stock	Mgmt	For	For	For
2.2	Eliminate Class B Common Stock	Mgmt	For	For	For
2.3	Amend Charter Re: Vote Requirement to Change Authorized Number of Shares of Stock	Mgmt	For	For	For
2.4	Adopt Supermajority Vote Requirement for Removal of Directors	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this proposal is warranted as the board has not conveyed a compelling rationale for why the proposed supermajority requirements are necessary for the success of the post-transaction entity.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Star Peak Energy Transition Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.5	Adopt Provision on Waiver of Corporate Opportunities	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this proposal is warranted as the waiver of this doctrine may harm shareholders by allowing certain directors to choose the best interest of entities other than the company over the interests of the company itself.					
2.6	Adopt Supermajority Vote Requirement to Amend Charter and Bylaws	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this proposal is warranted as the board has not conveyed a compelling rationale for why the proposed supermajority requirements are necessary for the success of the post-transaction entity.					
2.7	Approve All Other Changes in the Proposed Charter	Mgmt	For	For	For
3	Approve Issuance of Shares for a Private Placement	Mgmt	For	For	For
4	Approve Omnibus Stock Plan	Mgmt	For	Against	Against
Voting Policy Rationale: Based on evaluation of the estimated cost and plan features using the Equity Plan Scorecard (EPSC), a vote AGAINST this proposal is warranted due to the following key factor(s):* The plan cost is excessive* The disclosure of change-in-control ("CIC") vesting treatment is incomplete (or is otherwise considered discretionary)* The plan permits liberal recycling of shares* The plan allows broad discretion to accelerate vesting					
5	Adjourn Meeting	Mgmt	For	For	For

Travis Perkins Plc

Meeting Date: 04/27/2021      Country: United Kingdom      Primary Security ID: G90202105  
Record Date: 04/23/2021      Meeting Type: Annual      Ticker: TPK

Shares Voted: 16

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Approve Remuneration Policy	Mgmt	For	For	For
4	Elect Jasmine Whitbread as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
5	Re-elect Marianne Culver as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
6	Re-elect Blair Illingworth as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Travis Perkins Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Re-elect Coline McConville as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
8	Re-elect Pete Redfern as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
9	Re-elect Nick Roberts as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
10	Re-elect John Rogers as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
11	Re-elect Alan Williams as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
12	Reappoint KPMG LLP as Auditors	Mgmt	For	For	For
13	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
14	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
15	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
16	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
17	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
18	Adopt New Articles of Association	Mgmt	For	For	For
19	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
20	Approve UK Sharesave Scheme and International Sharesave Scheme	Mgmt	For	For	For
21	Approve Restricted Share Plan	Mgmt	For	For	For

Travis Perkins Plc

Meeting Date: 04/27/2021	Country: United Kingdom	Primary Security ID: G90202105
Record Date: 04/23/2021	Meeting Type: Special	Ticker: TPK

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Travis Perkins Plc

Shares Voted: 16

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Demerger of the Wickes Group from the Travis Perkins Group to be Implemented Through the Demerger Dividend	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is considered warranted on account of: * The Company has provided compelling rationale for the proposal. * Existing shareholders can still participate in the potential upside of the demerged business, which will subsequently be listed on London Stock Exchange.					
2	Approve Share Consolidation	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is considered warranted on account of: * The Company has provided compelling rationale for the proposal. * Existing shareholders can still participate in the potential upside of the demerged business, which will subsequently be listed on London Stock Exchange.					
3	Approve Deferred Annual Bonus Plan, Long Term Incentive Plan, Share Incentive Plan and Savings Related Share Option Scheme	Mgmt	For	For	For

Anheuser-Busch InBev SA/NV

Meeting Date: 04/28/2021Country: BelgiumPrimary Security ID: B639CJ108  
Record Date: 04/14/2021Meeting Type: Annual/SpecialTicker: ABI

Shares Voted: 19,516

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
A1	Annual/Special Meeting	Mgmt			
	Special Meeting Agenda	Mgmt			
	Authorize Repurchase of Up to 20 Percent of Issued Share Capital	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST is warranted: * The proposal is not in line with the commonly used safeguard; * The authorization would allow the company to repurchase 20 percent of the outstanding share capital, which can be considered as excessive					
B2	Annual Meeting Agenda	Mgmt			
	Receive Directors' Reports (Non-Voting)	Mgmt			
Voting Policy Rationale: No vote is required.					
B3	Receive Auditors' Reports (Non-Voting)	Mgmt			
Voting Policy Rationale: No vote is required.					
B4	Receive Consolidated Financial Statements and Statutory Reports (Non-Voting)	Mgmt			



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Anheuser-Busch InBev SA/NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
B5	Approve Financial Statements, Allocation of Income, and Dividends of EUR 0.50 per Share	Mgmt	For	For	For
B6	Approve Discharge of Directors	Mgmt	For	For	For
B7	Approve Discharge of Auditors	Mgmt	For	For	For
B8a	Reelect Martin J. Barrington as Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST the reelection of Martin Barrington, William (Billy) Gifford Jr., and Alejandro Santo Domingo Davila (items B8.a To B8.c) is warranted because the nominees are non-independent while the board is only 20 percent independent.					
B8b	Reelect William F. Gifford, Jr. as Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST the reelection of Martin Barrington, William (Billy) Gifford Jr., and Alejandro Santo Domingo Davila (items B8.a To B8.c) is warranted because the nominees are non-independent while the board is only 20 percent independent.					
B8c	Reelect Alejandro Santo Domingo Davila as Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST the reelection of Martin Barrington, William (Billy) Gifford Jr., and Alejandro Santo Domingo Davila (items B8.a To B8.c) is warranted because the nominees are non-independent while the board is only 20 percent independent.					
B9	Approve Remuneration policy	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST is warranted as the majority of the LTI plans contain no (clear) performance objectives or disclosure thereof and;* The LTI plans do not have a clearly defined maximum award limit contrary to best practice* The remuneration policy can lead to pay for failure and an excessive pay package compared to that of peer group median. * The multitude of LTI plans increase the overall complexity of the remuneration policy not supporting a good understanding of the company's remuneration policy.					
B10	Approve Remuneration Report	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST is warranted* Due to a lack of disclosure of the performance metrics and the subsequent targets and level of achievement, especially as part of the various LTI plans;* Exceptional stock option and RSU grants to executives without a compelling rationale ('retention') whereas the pay package should already aim to attract, reward and retain executives;* in 2020 the non-executive directors real pay is substantially higher than that of peers.However, we also recognize executives took a 20 percent cut in fixed pay and no 2020 bonus as a result of Covid19 impact					
B11	Approve Change-of-Control Clause Re: Revolving Credit and Swingline Facilities Agreement	Mgmt	For	For	For
C12	Authorize Implementation of Approved Resolutions and Filing of Required Documents/Formalities at Trade Registry	Mgmt	For	For	For

British American Tobacco plc

Meeting Date: 04/28/2021	Country: United Kingdom	Primary Security ID: G1510J102
Record Date: 04/26/2021	Meeting Type: Annual	Ticker: BATS

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## British American Tobacco plc

Shares Voted: 26,555

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	Against	Against
<p><i>Voting Policy Rationale: A vote AGAINST the remuneration report is warranted on account of: * CFO Tadeu Marroco's salary has been increased by a total of 7% in FY2020, having received an uplift of 3% in April 2020 in line with the Company's UK workforce and a further 4% increase in October 2020 following the expansion of his role to Finance and Transformation Director. Subject to continued development and sustained performance in the role, he may receive a further increase of 3% above the UK workforce in April 2022. * CEO Jack Bowles' salary has been increased by 3%. Although this is said to be aligned with the workforce, it follows a 9.5% increase last year and a significant protest vote against executive pay by shareholders at the 2020 AGM. Moreover, his total non-cash benefits for FY2020 were valued at GBP 592,000, which stands out as unusually high in the UK market absent a one-off exceptional event (e.g. relocation).</i></p>					
3	Reappoint KPMG LLP as Auditors	Mgmt	For	For	For
4	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
5	Re-elect Luc Jobin as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 5-8, 10-15A vote FOR these Directors is warranted as no significant concerns have been identified. Item 9A An ABSTAIN vote on this item is warranted: * This proposal has been withdrawn by the Company. A vote FOR this resolution is warranted for those shareholders in markets who have a fiduciary responsibility to vote either in favour or against and who do not recognise an abstention as a valid option.</i></p>					
6	Re-elect Jack Bowles as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 5-8, 10-15A vote FOR these Directors is warranted as no significant concerns have been identified. Item 9A An ABSTAIN vote on this item is warranted: * This proposal has been withdrawn by the Company. A vote FOR this resolution is warranted for those shareholders in markets who have a fiduciary responsibility to vote either in favour or against and who do not recognise an abstention as a valid option.</i></p>					
7	Re-elect Tadeu Marroco as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 5-8, 10-15A vote FOR these Directors is warranted as no significant concerns have been identified. Item 9A An ABSTAIN vote on this item is warranted: * This proposal has been withdrawn by the Company. A vote FOR this resolution is warranted for those shareholders in markets who have a fiduciary responsibility to vote either in favour or against and who do not recognise an abstention as a valid option.</i></p>					
8	Re-elect Sue Farr as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 5-8, 10-15A vote FOR these Directors is warranted as no significant concerns have been identified. Item 9A An ABSTAIN vote on this item is warranted: * This proposal has been withdrawn by the Company. A vote FOR this resolution is warranted for those shareholders in markets who have a fiduciary responsibility to vote either in favour or against and who do not recognise an abstention as a valid option.</i></p>					
9	Re-elect Jeremy Fowden as Director	Mgmt	For	Abstain	Abstain
<p><i>Voting Policy Rationale: Items 5-8, 10-15A vote FOR these Directors is warranted as no significant concerns have been identified. Item 9A An ABSTAIN vote on this item is warranted: * This proposal has been withdrawn by the Company. A vote FOR this resolution is warranted for those shareholders in markets who have a fiduciary responsibility to vote either in favour or against and who do not recognise an abstention as a valid option.</i></p>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## British American Tobacco plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10	Re-elect Dr Marion Helmes as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 5-8, 10-15A vote FOR these Directors is warranted as no significant concerns have been identified.Item 9An ABSTAIN vote on this item is warranted:* This proposal has been withdrawn by the Company.A vote FOR this resolution is warranted for those shareholders in markets who have a fiduciary responsibility to vote either in favour or against and who do not recognise an abstention as a valid option.</i>					
11	Re-elect Holly Keller Koepfel as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 5-8, 10-15A vote FOR these Directors is warranted as no significant concerns have been identified.Item 9An ABSTAIN vote on this item is warranted:* This proposal has been withdrawn by the Company.A vote FOR this resolution is warranted for those shareholders in markets who have a fiduciary responsibility to vote either in favour or against and who do not recognise an abstention as a valid option.</i>					
12	Re-elect Savio Kwan as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 5-8, 10-15A vote FOR these Directors is warranted as no significant concerns have been identified.Item 9An ABSTAIN vote on this item is warranted:* This proposal has been withdrawn by the Company.A vote FOR this resolution is warranted for those shareholders in markets who have a fiduciary responsibility to vote either in favour or against and who do not recognise an abstention as a valid option.</i>					
13	Re-elect Dimitri Panayotopoulos as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 5-8, 10-15A vote FOR these Directors is warranted as no significant concerns have been identified.Item 9An ABSTAIN vote on this item is warranted:* This proposal has been withdrawn by the Company.A vote FOR this resolution is warranted for those shareholders in markets who have a fiduciary responsibility to vote either in favour or against and who do not recognise an abstention as a valid option.</i>					
14	Elect Karen Guerra as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 5-8, 10-15A vote FOR these Directors is warranted as no significant concerns have been identified.Item 9An ABSTAIN vote on this item is warranted:* This proposal has been withdrawn by the Company.A vote FOR this resolution is warranted for those shareholders in markets who have a fiduciary responsibility to vote either in favour or against and who do not recognise an abstention as a valid option.</i>					
15	Elect Darrell Thomas as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 5-8, 10-15A vote FOR these Directors is warranted as no significant concerns have been identified.Item 9An ABSTAIN vote on this item is warranted:* This proposal has been withdrawn by the Company.A vote FOR this resolution is warranted for those shareholders in markets who have a fiduciary responsibility to vote either in favour or against and who do not recognise an abstention as a valid option.</i>					
16	Authorise Issue of Equity	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
17	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
18	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
19	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
20	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Diagnosticos da America SA

Meeting Date: 04/28/2021Country: BrazilPrimary Security ID: P3589C109  
Record Date:Meeting Type: SpecialTicker: DASA3

Shares Voted: 8,844,600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Ratify B2R Capital Assessores Financeiros Ltda. as Independent Firm to Appraise Proposed Transaction	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The company has disclosed a sound rationale for the all-cash transactions;* The acquisitions correspond to approximately 0.5 percent of Dasa's market cap; and* There are no known concerns regarding the transactions terms disclosed by the company.					
2	Approve Independent Firm's Appraisals	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The company has disclosed a sound rationale for the all-cash transactions;* The acquisitions correspond to approximately 0.5 percent of Dasa's market cap; and* There are no known concerns regarding the transactions terms disclosed by the company.					
3	Ratify Acquisition of Gesto Saude Sistemas Informatizados, Consultoria Medica e Corretora de Seguros Ltda. (Gesto) and Shares Representing 90 Percent of the Social Capital of Laboratorio Nobel S.A. (Nobel)	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The company has disclosed a sound rationale for the all-cash transactions;* The acquisitions correspond to approximately 0.5 percent of Dasa's market cap; and* There are no known concerns regarding the transactions terms disclosed by the company.					
4	Do You Wish to Request Installation of a Fiscal Council, Under the Terms of Article 161 of the Brazilian Corporate Law?	Mgmt	None	For	For

Diagnosticos da America SA

Meeting Date: 04/28/2021Country: BrazilPrimary Security ID: P3589C109  
Record Date:Meeting Type: AnnualTicker: DASA3

Shares Voted: 8,844,600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2020	Mgmt	For	For	For
2	Approve Capital Budget, Treatment of Net Loss and Dividends	Mgmt	For	For	For
3	Approve Remuneration of Company's Management	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this proposal is warranted because the figure reported by the company for the total compensation of its highest-paid administrator does not appear inclusive of all elements of the executive's pay.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Diagnostics da America SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Ratify Remuneration of Company's Management for 2020	Mgmt	For	For	For

Grafton Group Plc

Meeting Date: 04/28/2021Country: IrelandPrimary Security ID: G4035Q189  
Record Date: 04/24/2021Meeting Type: AnnualTicker: GFTU

Shares Voted: 0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	Do Not Vote
2	Approve Final Dividend	Mgmt	For	For	Do Not Vote
3a	Re-elect Michael Roney as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: Item 3aA vote FOR the re-election of this Director is considered warranted, but it is not without concern:* Michael Roney is the Chair of the Nomination Committee. Following the AGM, less than 33 percent of the Board consists of women, which is not in line with the recommendations of the Hampton-Alexander Review.The main reasons for support are:* Direction of travel for Board gender diversity has been positive with the appointment of a female NED in 2020. The current composition of the Board is 29% female; and* The Company has committed to align with the Hampton-Alexander Review.Items 3b-3gA vote FOR these Directors is warranted as no significant concerns have been identified.					
3b	Re-elect Paul Hampden Smith as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: Item 3aA vote FOR the re-election of this Director is considered warranted, but it is not without concern:* Michael Roney is the Chair of the Nomination Committee. Following the AGM, less than 33 percent of the Board consists of women, which is not in line with the recommendations of the Hampton-Alexander Review.The main reasons for support are:* Direction of travel for Board gender diversity has been positive with the appointment of a female NED in 2020. The current composition of the Board is 29% female; and* The Company has committed to align with the Hampton-Alexander Review.Items 3b-3gA vote FOR these Directors is warranted as no significant concerns have been identified.					
3c	Re-elect Susan Murray as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: Item 3aA vote FOR the re-election of this Director is considered warranted, but it is not without concern:* Michael Roney is the Chair of the Nomination Committee. Following the AGM, less than 33 percent of the Board consists of women, which is not in line with the recommendations of the Hampton-Alexander Review.The main reasons for support are:* Direction of travel for Board gender diversity has been positive with the appointment of a female NED in 2020. The current composition of the Board is 29% female; and* The Company has committed to align with the Hampton-Alexander Review.Items 3b-3gA vote FOR these Directors is warranted as no significant concerns have been identified.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Grafton Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3d	Re-elect Vincent Crowley as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: Item 3aA vote FOR the re-election of this Director is considered warranted, but it is not without concern.* Michael Roney is the Chair of the Nomination Committee. Following the AGM, less than 33 percent of the Board consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. The main reasons for support are:* Direction of travel for Board gender diversity has been positive with the appointment of a female NED in 2020. The current composition of the Board is 29% female; and* The Company has committed to align with the Hampton-Alexander Review.Items 3b-3gA vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
3e	Re-elect Rosheen McGuckian as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: Item 3aA vote FOR the re-election of this Director is considered warranted, but it is not without concern.* Michael Roney is the Chair of the Nomination Committee. Following the AGM, less than 33 percent of the Board consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. The main reasons for support are:* Direction of travel for Board gender diversity has been positive with the appointment of a female NED in 2020. The current composition of the Board is 29% female; and* The Company has committed to align with the Hampton-Alexander Review.Items 3b-3gA vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
3f	Re-elect David Arnold as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: Item 3aA vote FOR the re-election of this Director is considered warranted, but it is not without concern.* Michael Roney is the Chair of the Nomination Committee. Following the AGM, less than 33 percent of the Board consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. The main reasons for support are:* Direction of travel for Board gender diversity has been positive with the appointment of a female NED in 2020. The current composition of the Board is 29% female; and* The Company has committed to align with the Hampton-Alexander Review.Items 3b-3gA vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
3g	Re-elect Gavin Slark as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: Item 3aA vote FOR the re-election of this Director is considered warranted, but it is not without concern.* Michael Roney is the Chair of the Nomination Committee. Following the AGM, less than 33 percent of the Board consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. The main reasons for support are:* Direction of travel for Board gender diversity has been positive with the appointment of a female NED in 2020. The current composition of the Board is 29% female; and* The Company has committed to align with the Hampton-Alexander Review.Items 3b-3gA vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
4	Ratify PricewaterhouseCoopers as Auditors	Mgmt	For	For	Do Not Vote
5	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	Do Not Vote
6	Approve Remuneration Report	Mgmt	For	For	Do Not Vote
7	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	Do Not Vote
8	Authorise Issue of Equity	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Grafton Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
10	Authorise Market Purchase of Shares	Mgmt	For	For	Do Not Vote
11	Determine the Price Range at which Treasury Shares may be Re-issued Off-Market	Mgmt	For	For	Do Not Vote
12	Adopt New Articles of Association	Mgmt	For	For	Do Not Vote
13	Approve 2021 SAYE Plan	Mgmt	For	For	Do Not Vote
14	Approve 2021 Long Term Incentive Plan	Mgmt	For	For	Do Not Vote

Shares Voted: 347

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3a	Re-elect Michael Roney as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3aA vote FOR the re-election of this Director is considered warranted, but it is not without concern.* Michael Roney is the Chair of the Nomination Committee. Following the AGM, less than 33 percent of the Board consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. The main reasons for support are:* Direction of travel for Board gender diversity has been positive with the appointment of a female NED in 2020. The current composition of the Board is 29% female; and* The Company has committed to align with the Hampton-Alexander Review. Items 3b-3gA vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
3b	Re-elect Paul Hampden Smith as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3aA vote FOR the re-election of this Director is considered warranted, but it is not without concern.* Michael Roney is the Chair of the Nomination Committee. Following the AGM, less than 33 percent of the Board consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. The main reasons for support are:* Direction of travel for Board gender diversity has been positive with the appointment of a female NED in 2020. The current composition of the Board is 29% female; and* The Company has committed to align with the Hampton-Alexander Review. Items 3b-3gA vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
3c	Re-elect Susan Murray as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3aA vote FOR the re-election of this Director is considered warranted, but it is not without concern.* Michael Roney is the Chair of the Nomination Committee. Following the AGM, less than 33 percent of the Board consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. The main reasons for support are:* Direction of travel for Board gender diversity has been positive with the appointment of a female NED in 2020. The current composition of the Board is 29% female; and* The Company has committed to align with the Hampton-Alexander Review. Items 3b-3gA vote FOR these Directors is warranted as no significant concerns have been identified.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Grafton Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3d	Re-elect Vincent Crowley as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3aA vote FOR the re-election of this Director is considered warranted, but it is not without concern.* Michael Roney is the Chair of the Nomination Committee. Following the AGM, less than 33 percent of the Board consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. The main reasons for support are:* Direction of travel for Board gender diversity has been positive with the appointment of a female NED in 2020. The current composition of the Board is 29% female; and* The Company has committed to align with the Hampton-Alexander Review. Items 3b-3gA vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
3e	Re-elect Rosheen McGuckian as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3aA vote FOR the re-election of this Director is considered warranted, but it is not without concern.* Michael Roney is the Chair of the Nomination Committee. Following the AGM, less than 33 percent of the Board consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. The main reasons for support are:* Direction of travel for Board gender diversity has been positive with the appointment of a female NED in 2020. The current composition of the Board is 29% female; and* The Company has committed to align with the Hampton-Alexander Review. Items 3b-3gA vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
3f	Re-elect David Arnold as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3aA vote FOR the re-election of this Director is considered warranted, but it is not without concern.* Michael Roney is the Chair of the Nomination Committee. Following the AGM, less than 33 percent of the Board consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. The main reasons for support are:* Direction of travel for Board gender diversity has been positive with the appointment of a female NED in 2020. The current composition of the Board is 29% female; and* The Company has committed to align with the Hampton-Alexander Review. Items 3b-3gA vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
3g	Re-elect Gavin Slark as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3aA vote FOR the re-election of this Director is considered warranted, but it is not without concern.* Michael Roney is the Chair of the Nomination Committee. Following the AGM, less than 33 percent of the Board consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. The main reasons for support are:* Direction of travel for Board gender diversity has been positive with the appointment of a female NED in 2020. The current composition of the Board is 29% female; and* The Company has committed to align with the Hampton-Alexander Review. Items 3b-3gA vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
4	Ratify PricewaterhouseCoopers as Auditors	Mgmt	For	For	For
5	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
6	Approve Remuneration Report	Mgmt	For	For	For
7	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
8	Authorise Issue of Equity	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
9	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
10	Authorise Market Purchase of Shares	Mgmt	For	For	For
11	Determine the Price Range at which Treasury Shares may be Re-issued Off-Market	Mgmt	For	For	For



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Grafton Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
12	Adopt New Articles of Association	Mgmt	For	For	For
13	Approve 2021 SAYE Plan	Mgmt	For	For	For
14	Approve 2021 Long Term Incentive Plan	Mgmt	For	For	For

Lancashire Holdings Ltd.

Meeting Date: 04/28/2021Country: BermudaPrimary Security ID: G5361W104  
Record Date: 03/31/2021Meeting Type: AnnualTicker: LRE

Shares Voted: 44,340

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this item is warranted because:* Bonus outcomes were primarily driven by the June 2020 placing, which materially increased the fully converted book value per share (FCBVS). However, fundamental concerns have been identified regarding the mechanism used to facilitate the capital raise, raising questions as to the appropriateness of the bonus outcomes. FCBVS is also used as a performance measure in the LTIP.					
3	Approve Final Dividend	Mgmt	For	For	For
4	Re-elect Peter Clarke as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
5	Re-elect Michael Dawson as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
6	Re-elect Simon Fraser as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
7	Re-elect Samantha Hoe-Richardson as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
8	Re-elect Robert Lusardi as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
9	Re-elect Alex Maloney as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
10	Re-elect Sally Williams as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Lancashire Holdings Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
11	Re-elect Natalie Kershaw as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
12	Reappoint KPMG LLP as Auditors	Mgmt	For	For	For
13	Authorise Board to Fix Remuneration of the Auditors	Mgmt	For	For	For
14	Authorise Issue of Equity	Mgmt	For	Against	For
<i>Voting Policy Rationale: A vote AGAINST these items is considered warranted, as the Company has used the authorities approved at the previous AGM in a manner inconsistent with its stated commitments. While it is recognised that some companies may need to expeditiously access emergency funds during the current health crisis, it is not clear that the situation at Lancashire Holdings warranted a circumvention of shareholders' pre-emption rights at the time.</i>					
15	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	Against	For
<i>Voting Policy Rationale: A vote AGAINST these items is considered warranted, as the Company has used the authorities approved at the previous AGM in a manner inconsistent with its stated commitments. While it is recognised that some companies may need to expeditiously access emergency funds during the current health crisis, it is not clear that the situation at Lancashire Holdings warranted a circumvention of shareholders' pre-emption rights at the time.</i>					
16	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	Against	For
<i>Voting Policy Rationale: A vote AGAINST these items is considered warranted, as the Company has used the authorities approved at the previous AGM in a manner inconsistent with its stated commitments. While it is recognised that some companies may need to expeditiously access emergency funds during the current health crisis, it is not clear that the situation at Lancashire Holdings warranted a circumvention of shareholders' pre-emption rights at the time.</i>					
17	Authorise Issue of Equity without Pre-emptive Rights (Additional Authority)	Mgmt	For	Against	For
<i>Voting Policy Rationale: A vote AGAINST these items is considered warranted, as the Company has used the authorities approved at the previous AGM in a manner inconsistent with its stated commitments. While it is recognised that some companies may need to expeditiously access emergency funds during the current health crisis, it is not clear that the situation at Lancashire Holdings warranted a circumvention of shareholders' pre-emption rights at the time.</i>					
18	Authorise Market Purchase of Common Shares	Mgmt	For	For	For

### Newmont Corporation

**Meeting Date:** 04/28/2021      **Country:** USA      **Primary Security ID:** 651639106  
**Record Date:** 03/02/2021      **Meeting Type:** Annual      **Ticker:** NEM

**Shares Voted:** 83,842

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Patrick G. Awuah, Jr.	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Newmont Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.2	Elect Director Gregory H. Boyce	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.3	Elect Director Bruce R. Brook	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.4	Elect Director Maura Clark	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.5	Elect Director Matthew Coon Come	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.6	Elect Director Jose Manuel Madero	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.7	Elect Director Rene Medori	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.8	Elect Director Jane Nelson	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.9	Elect Director Thomas Palmer	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.10	Elect Director Julio M. Quintana	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1.11	Elect Director Susan N. Story	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

Northern Data AG

Meeting Date: 04/28/2021	Country: Germany	Primary Security ID: D5S1LG112
Record Date: 04/15/2021	Meeting Type: Extraordinary Shareholders	Ticker: NB2
Shares Voted: 8,077		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Creation of EUR 7.9 Million Pool of Capital without Preemptive Rights	Mgmt	For	Against	Against

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

## Northern Data AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve Stock Option Plan for Key Employees; Amend Conditional Capital 2020/II	Mgmt	For	For	For

## Schneider Electric SE

**Meeting Date:** 04/28/2021      **Country:** France      **Primary Security ID:** F86921107  
**Record Date:** 04/26/2021      **Meeting Type:** Annual/Special      **Ticker:** SU

**Shares Voted:** 5,588

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Ordinary Business	Mgmt			
1	Approve Financial Statements and Statutory Reports	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.</i>					
2	Approve Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.</i>					
3	Approve Treatment of Losses and Dividends of EUR 2.60 per Share	Mgmt	For	For	For
4	Approve Auditors' Special Report on Related-Party Transactions Mentioning the Absence of New Transactions	Mgmt	For	For	For
5	Approve Compensation Report of Corporate Officers	Mgmt	For	For	For
6	Approve Compensation of Jean-Pascal Tricoire, Chairman and CEO	Mgmt	For	For	For
7	Approve Remuneration Policy of Chairman and CEO	Mgmt	For	For	For
8	Approve Remuneration Policy of Directors	Mgmt	For	For	For
9	Reelect Jean-Pascal Tricoire as Director	Mgmt	For	For	For

*Voting Policy Rationale: \* Even if the roles of Chairman and CEO are combined, a vote FOR the reelection of Jean-Pascal Tricoire (Item 9) is warranted given the company commitment to divide these two roles but it's not without concern as it is not disclosed when it will occur during the upcoming 4 years mandate.\* Vote FOR the election of independent nominee Ohlsson-Leijon is warranted in the absence of specific concerns (Item 10).\* Vote FOR the reelection of this nominee (employee shareholders Director) Ma is warranted as her reelection is both proposed by the most representative FCPE and supported by the Board (Item 13). \* Votes AGAINST the election of these nominees (employee shareholders Director) Jacquet, Csikos and Kvist Kristensen as only one candidate can be elected (Item 11,12 and 14).*

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Schneider Electric SE

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10	Elect Anna Ohlsson-Leijon as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: * Even if the roles of Chairman and CEO are combined, a vote FOR the reelection of Jean-Pascal Tricoire (Item 9) is warranted given the company commitment to divide these two roles but it's not without concern as it is not disclosed when it will occur during the upcoming 4 years mandate.* Vote FOR the election of independent nominee Ohlsson-Leijon is warranted in the absence of specific concerns (Item 10).* Vote FOR the reelection of this nominee (employee shareholders Director) Ma is warranted as her reelection is both proposed by the most representative FCPE and supported by the Board (Item 13). * Votes AGAINST the election of these nominees (employee shareholders Director) Jacquet, Csikos and Kvist Kristensen as only one candidate can be elected (Item 11,12 and 14).</i>					
11	Elect Thierry Jacquet as Representative of Employee Shareholders to the Board	Mgmt	Against	Against	Against
<i>Voting Policy Rationale: * Even if the roles of Chairman and CEO are combined, a vote FOR the reelection of Jean-Pascal Tricoire (Item 9) is warranted given the company commitment to divide these two roles but it's not without concern as it is not disclosed when it will occur during the upcoming 4 years mandate.* Vote FOR the election of independent nominee Ohlsson-Leijon is warranted in the absence of specific concerns (Item 10).* Vote FOR the reelection of this nominee (employee shareholders Director) Ma is warranted as her reelection is both proposed by the most representative FCPE and supported by the Board (Item 13). * Votes AGAINST the election of these nominees (employee shareholders Director) Jacquet, Csikos and Kvist Kristensen as only one candidate can be elected (Item 11,12 and 14).</i>					
12	Elect Zennia Csikos as Representative of Employee Shareholders to the Board	Mgmt	Against	Against	Against
<i>Voting Policy Rationale: * Even if the roles of Chairman and CEO are combined, a vote FOR the reelection of Jean-Pascal Tricoire (Item 9) is warranted given the company commitment to divide these two roles but it's not without concern as it is not disclosed when it will occur during the upcoming 4 years mandate.* Vote FOR the election of independent nominee Ohlsson-Leijon is warranted in the absence of specific concerns (Item 10).* Vote FOR the reelection of this nominee (employee shareholders Director) Ma is warranted as her reelection is both proposed by the most representative FCPE and supported by the Board (Item 13). * Votes AGAINST the election of these nominees (employee shareholders Director) Jacquet, Csikos and Kvist Kristensen as only one candidate can be elected (Item 11,12 and 14).</i>					
13	Reelect Xiaoyun Ma as Representative of Employee Shareholders to the Board	Mgmt	For	For	For
<i>Voting Policy Rationale: * Even if the roles of Chairman and CEO are combined, a vote FOR the reelection of Jean-Pascal Tricoire (Item 9) is warranted given the company commitment to divide these two roles but it's not without concern as it is not disclosed when it will occur during the upcoming 4 years mandate.* Vote FOR the election of independent nominee Ohlsson-Leijon is warranted in the absence of specific concerns (Item 10).* Vote FOR the reelection of this nominee (employee shareholders Director) Ma is warranted as her reelection is both proposed by the most representative FCPE and supported by the Board (Item 13). * Votes AGAINST the election of these nominees (employee shareholders Director) Jacquet, Csikos and Kvist Kristensen as only one candidate can be elected (Item 11,12 and 14).</i>					
14	Elect Malene Kvist Kristensen as Representative of Employee Shareholders to the Board	Mgmt	Against	Against	Against
<i>Voting Policy Rationale: * Even if the roles of Chairman and CEO are combined, a vote FOR the reelection of Jean-Pascal Tricoire (Item 9) is warranted given the company commitment to divide these two roles but it's not without concern as it is not disclosed when it will occur during the upcoming 4 years mandate.* Vote FOR the election of independent nominee Ohlsson-Leijon is warranted in the absence of specific concerns (Item 10).* Vote FOR the reelection of this nominee (employee shareholders Director) Ma is warranted as her reelection is both proposed by the most representative FCPE and supported by the Board (Item 13). * Votes AGAINST the election of these nominees (employee shareholders Director) Jacquet, Csikos and Kvist Kristensen as only one candidate can be elected (Item 11,12 and 14).</i>					
15	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
	Extraordinary Business	Mgmt			

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Schneider Electric SE

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
16	Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 800 Million	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these authorizations are warranted as their proposed volumes respect the recommended guidelines for issuances with and without preemptive rights.</i>					
17	Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 224 Million	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these authorizations are warranted as their proposed volumes respect the recommended guidelines for issuances with and without preemptive rights.</i>					
18	Approve Issuance of Equity or Equity-Linked Securities for Private Placements up to Aggregate Nominal Amount of EUR 120 Million	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these authorizations are warranted as their proposed volumes respect the recommended guidelines for issuances with and without preemptive rights.</i>					
19	Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Under Items 16-18	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these authorizations are warranted as their proposed volumes respect the recommended guidelines for issuances with and without preemptive rights.</i>					
20	Authorize Capital Increase of up to 9.88 Percent of Issued Capital for Contributions in Kind	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these authorizations are warranted as their proposed volumes respect the recommended guidelines for issuances with and without preemptive rights.</i>					
21	Authorize Capitalization of Reserves of Up to EUR 800 Million for Bonus Issue or Increase in Par Value	Mgmt	For	For	For
22	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	For	For	For
23	Authorize Capital Issuances for Use in Employee Stock Purchase Plans Reserved for Employees of International Subsidiaries	Mgmt	For	For	For
24	Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	Mgmt	For	For	For
25	Amend Article 13 of Bylaws Re: Editorial Change	Mgmt	For	For	For
26	Authorize Filing of Required Documents/Other Formalities	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Sendas Distribuidora SA

Meeting Date: 04/28/2021      Country: Brazil      Primary Security ID: P8T81L100  
Record Date:      Meeting Type: Annual      Ticker: ASAI3

Shares Voted: 216,781

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2020	Mgmt	For	For	For
2	Approve Allocation of Income and Dividends	Mgmt	For	For	For
3	Rectify Remuneration of Company's Management and Fiscal Council for 2021	Mgmt	For	For	For
4	Do You Wish to Request Installation of a Fiscal Council, Under the Terms of Article 161 of the Brazilian Corporate Law?	Mgmt	None	For	For
5	In the Event of a Second Call, the Voting Instructions Contained in this Remote Voting Card May Also be Considered for the Second Call?	Mgmt	None	For	For

Sendas Distribuidora SA

Meeting Date: 04/28/2021      Country: Brazil      Primary Security ID: P8T81L100  
Record Date:      Meeting Type: Special      Ticker: ASAI3

Shares Voted: 216,781

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Amend Article 43 Re: Indemnity Provision	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this proposal is warranted because shareholders are being asked to approve a broad statutory indemnification provision in the absence of key information, including mechanisms to address potential conflict of interests, the financial impact of such provision, and specific terms of coverage in the event of a plea deal agreement signed by the potential beneficiaries.					
2	Remove Article 45	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full text of the proposed amendments; and* The proposed change is administrative in nature and reflects the company's recent listing under the Novo Mercado segment of the Sao Paulo Stock Exchange (B3).					
3	Consolidate Bylaws	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full text of the proposed amendments; and* The proposed change is administrative in nature and reflects the company's recent listing under the Novo Mercado segment of the Sao Paulo Stock Exchange (B3).					
4	Approve Registration with JUCERJA of the Independent Firm's Appraisal (Re: Partial Spin-Off) from the last Dec. 31, 2020 EGM	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Sendas Distribuidora SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	In the Event of a Second Call, the Voting Instructions Contained in this Remote Voting Card May Also be Considered for the Second Call?	Mgmt	None	For	For

ASML Holding NV

Meeting Date: 04/29/2021Country: NetherlandsPrimary Security ID: N07059202  
Record Date: 04/01/2021Meeting Type: AnnualTicker: ASML

Shares Voted: 5,116

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
1	Open Meeting	Mgmt			
2	Discuss the Company's Business, Financial Situation and Sustainability	Mgmt			
3.a	Approve Remuneration Report	Mgmt	For	For	For
3.b	Adopt Financial Statements and Statutory Reports	Mgmt	For	For	For
3.c	Receive Clarification on Company's Reserves and Dividend Policy	Mgmt			
3.d	Approve Dividends of EUR 2.75 Per Share	Mgmt	For	For	For
4.a	Approve Discharge of Management Board	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.					
4.b	Approve Discharge of Supervisory Board	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.					
5	Approve Number of Shares for Management Board	Mgmt	For	For	For
6	Approve Certain Adjustments to the Remuneration Policy for Management Board	Mgmt	For	For	For
7	Approve Certain Adjustments to the Remuneration Policy for Supervisory Board	Mgmt	For	For	For
8	Receive Information on the Composition of the Management Board	Mgmt			
9.a	Elect B. Conix to Supervisory Board	Mgmt	For	For	For



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### ASML Holding NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9.b	Receive Information on the Composition of the Supervisory Board	Mgmt			
10	Ratify KPMG Accountants N.V. as Auditors	Mgmt	For	For	For
11.a	Grant Board Authority to Issue Shares Up to 5 Percent of Issued Capital for General Purposes	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this proposal is warranted because it is in line with commonly used safeguards regarding volume and duration.</i>					
11.b	Authorize Board to Exclude Preemptive Rights from Share Issuances under Item 11.a	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this proposal is warranted because it is in line with commonly used safeguards regarding volume and duration.</i>					
11.c	Grant Board Authority to Issue or Grant Rights to Subscribe for Ordinary Shares Up to 5 Percent in Case of Merger or Acquisition	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this proposal is warranted because it is in line with commonly used safeguards regarding volume and duration.</i>					
11.d	Authorize Board to Exclude Preemptive Rights from Share Issuances under 11.c	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this proposal is warranted because it is in line with commonly used safeguards regarding volume and duration.</i>					
12.a	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is warranted because:* The company does not have a history of excessive buybacks and provided additional safeguards regarding the repurchase of 20 percent of the issued capital;* The duration is no more than 18 months; and* The board will not hold more than 10 percent of the company's issued capital in treasury.</i>					
12.b	Authorize Additional Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is warranted because:* The company does not have a history of excessive buybacks and provided additional safeguards regarding the repurchase of 20 percent of the issued capital;* The duration is no more than 18 months; and* The board will not hold more than 10 percent of the company's issued capital in treasury.</i>					
13	Authorize Cancellation of Repurchased Shares	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is warranted because:* The company does not have a history of excessive buybacks and provided additional safeguards regarding the repurchase of 20 percent of the issued capital;* The duration is no more than 18 months; and* The board will not hold more than 10 percent of the company's issued capital in treasury.</i>					
14	Other Business (Non-Voting)	Mgmt			
15	Close Meeting	Mgmt			

### B3 SA-Brasil, Bolsa, Balcão

Meeting Date: 04/29/2021      Country: Brazil      Primary Security ID: P1909G107  
 Record Date:      Meeting Type: Annual      Ticker: B3SA3

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### B3 SA-Brasil, Bolsa, Balcão

Shares Voted: 174,100

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2020	Mgmt	For	For	For
2	Approve Allocation of Income and Dividends	Mgmt	For	For	For
3	Fix Number of Directors at 11	Mgmt	For	For	For
4	Elect Directors	Mgmt	For	For	Against
5	In Case There is Any Change to the Board Slate Composition, May Your Votes Still be Counted for the Proposed Slate?	Mgmt	None	Against	Against
6	In Case Cumulative Voting Is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees below?	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. ABSTAIN votes recommendations are warranted for Items 6, 7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i></p>					
	IF CUMULATIVE VOTE IS ADOPTED - If Voting FOR Item 6: Votes Are Distributed in Equal % Amongst Nominees Voted FOR. An AGAINST Vote Is Required If Supporting Candidate(s) Under Cumulative and Not Supporting Any Director(s)/Slate Under Majority Voting	Mgmt			
7.1	Percentage of Votes to Be Assigned - Elect Alberto Monteiro de Queiroz Netto as Independent Director	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. ABSTAIN votes recommendations are warranted for Items 6, 7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i></p>					
7.2	Percentage of Votes to Be Assigned - Elect Ana Carla Abrao Costa as Independent Director	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. ABSTAIN votes recommendations are warranted for Items 6, 7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i></p>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

### B3 SA-Brasil, Bolsa, Balcão

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.3	Percentage of Votes to Be Assigned - Elect Antonio Carlos Quintella as Independent Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
7.4	Percentage of Votes to Be Assigned - Elect Claudia Farkouh Prado as Independent Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
7.5	Percentage of Votes to Be Assigned - Elect Cristina Anne Betts as Independent Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
7.6	Percentage of Votes to Be Assigned - Elect Eduardo Mazzilli de Vassimon as Independent Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
7.7	Percentage of Votes to Be Assigned - Elect Florian Bartunek as Independent Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
7.8	Percentage of Votes to Be Assigned - Elect Guilherme Affonso Ferreira as Independent Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

### B3 SA-Brasil, Bolsa, Balcão

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.9	Percentage of Votes to Be Assigned - Elect Jose de Menezes Berenguer Neto as Independent Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
7.10	Percentage of Votes to Be Assigned - Elect Mauricio Machado de Minas as Independent Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
7.11	Percentage of Votes to Be Assigned - Elect Pedro Paulo Giubbina Lorenzini as Independent Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
8	Do You Wish to Adopt Cumulative Voting for the Election of the Members of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
9	Approve Remuneration of Company's Management	Mgmt	For	For	For
10	Do You Wish to Request Installation of a Fiscal Council, Under the Terms of Article 161 of the Brazilian Corporate Law?	Mgmt	None	For	For
11	Elect Fiscal Council Members	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company has provided the detailed biographical information of the nominees as well as their annual remuneration; and* There are no known problems regarding the board or fiscal council.</i>					
12	In Case One of the Nominees Leaves the Fiscal Council Slate Due to a Separate Minority Election, as Allowed Under Articles 161 and 240 of the Brazilian Corporate Law, May Your Votes Still Be Counted for the Proposed Slate?	Mgmt	None	Against	Against

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

### B3 SA-Brasil, Bolsa, Balcão

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
13	Approve Remuneration of Fiscal Council Members	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company has provided the detailed biographical information of the nominees as well as their annual remuneration; and* There are no known problems regarding the board or fiscal council.</i>					
Shares Voted: 10,817,321					

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2020	Mgmt	For	For	For
2	Approve Allocation of Income and Dividends	Mgmt	For	For	For
3	Fix Number of Directors at 11	Mgmt	For	For	For
4	Elect Directors	Mgmt	For	For	For
5	In Case There is Any Change to the Board Slate Composition, May Your Votes Still be Counted for the Proposed Slate?	Mgmt	None	Against	Against
6	In Case Cumulative Voting Is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees below?	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
	IF CUMULATIVE VOTE IS ADOPTED - If Voting FOR Item 6: Votes Are Distributed in Equal % Amongst Nominees Voted FOR. An AGAINST Vote Is Required If Supporting Candidate(s) Under Cumulative and Not Supporting Any Director(s)/Slate Under Majority Voting	Mgmt			
7.1	Percentage of Votes to Be Assigned - Elect Alberto Monteiro de Queiroz Netto as Independent Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

### B3 SA-Brasil, Bolsa, Balcão

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.2	Percentage of Votes to Be Assigned - Elect Ana Carla Abrao Costa as Independent Director	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i></p>					
7.3	Percentage of Votes to Be Assigned - Elect Antonio Carlos Quintella as Independent Director	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i></p>					
7.4	Percentage of Votes to Be Assigned - Elect Claudia Farkouh Prado as Independent Director	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i></p>					
7.5	Percentage of Votes to Be Assigned - Elect Cristina Anne Betts as Independent Director	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i></p>					
7.6	Percentage of Votes to Be Assigned - Elect Eduardo Mazzilli de Vassimon as Independent Director	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i></p>					
7.7	Percentage of Votes to Be Assigned - Elect Florian Bartunek as Independent Director	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i></p>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

### B3 SA-Brasil, Bolsa, Balcão

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.8	Percentage of Votes to Be Assigned - Elect Guilherme Affonso Ferreira as Independent Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
7.9	Percentage of Votes to Be Assigned - Elect Jose de Menezes Berenguer Neto as Independent Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
7.10	Percentage of Votes to Be Assigned - Elect Mauricio Machado de Minas as Independent Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
7.11	Percentage of Votes to Be Assigned - Elect Pedro Paulo Giubbina Lorenzini as Independent Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
8	Do You Wish to Adopt Cumulative Voting for the Election of the Members of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN votes recommendations are warranted for Items 6,7.1-7.11, and 8 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
9	Approve Remuneration of Company's Management	Mgmt	For	For	For
10	Do You Wish to Request Installation of a Fiscal Council, Under the Terms of Article 161 of the Brazilian Corporate Law?	Mgmt	None	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

B3 SA-Brasil, Bolsa, Balcão

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
11	Elect Fiscal Council Members	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The company has provided the detailed biographical information of the nominees as well as their annual remuneration; and* There are no known problems regarding the board or fiscal council.					
12	In Case One of the Nominees Leaves the Fiscal Council Slate Due to a Separate Minority Election, as Allowed Under Articles 161 and 240 of the Brazilian Corporate Law, May Your Votes Still Be Counted for the Proposed Slate?	Mgmt	None	Against	Against
13	Approve Remuneration of Fiscal Council Members	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The company has provided the detailed biographical information of the nominees as well as their annual remuneration; and* There are no known problems regarding the board or fiscal council.					

CRH Plc

Meeting Date: 04/29/2021	Country: Ireland	Primary Security ID: G25508105
Record Date: 04/25/2021	Meeting Type: Annual	Ticker: CRH
Shares Voted: 0		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	Do Not Vote
2	Approve Final Dividend	Mgmt	For	For	Do Not Vote
3	Approve Remuneration Report	Mgmt	For	For	Do Not Vote
4a	Re-elect Richie Boucher as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4b	Elect Caroline Dowling as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4c	Elect Richard Fearon as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4d	Re-elect Johan Karlstrom as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### CRH Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4e	Re-elect Shaun Kelly as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
4f	Elect Lamar McKay as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
4g	Re-elect Albert Manifold as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
4h	Re-elect Gillian Platt as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
4i	Re-elect Mary Rhinehart as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
4j	Re-elect Siobhan Talbot as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
5	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	Do Not Vote
6	Ratify Deloitte Ireland LLP as Auditors	Mgmt	For	For	Do Not Vote
7	Authorise Issue of Equity	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
8	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
9	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
10	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	Do Not Vote
11	Authorise Reissuance of Treasury Shares	Mgmt	For	For	Do Not Vote
12	Approve Scrip Dividend	Mgmt	For	For	Do Not Vote

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### CRH Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
13	Approve Savings-Related Share Option Schemes	Mgmt	For	For	Do Not Vote
14	Approve Cancellation of Share Premium Account	Mgmt	For	For	Do Not Vote

Shares Voted: 1,029

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3	Approve Remuneration Report	Mgmt	For	For	For
4a	Re-elect Richie Boucher as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4b	Elect Caroline Dowling as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4c	Elect Richard Fearon as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4d	Re-elect Johan Karlstrom as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4e	Re-elect Shaun Kelly as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4f	Elect Lamar McKay as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4g	Re-elect Albert Manifold as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4h	Re-elect Gillian Platt as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4i	Re-elect Mary Rhinehart as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4j	Re-elect Siobhan Talbot as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
5	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

CRH Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Ratify Deloitte Ireland LLP as Auditors	Mgmt	For	For	For
7	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
8	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
9	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
10	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
11	Authorise Reissuance of Treasury Shares	Mgmt	For	For	For
12	Approve Scrip Dividend	Mgmt	For	For	For
13	Approve Savings-Related Share Option Schemes	Mgmt	For	For	For
14	Approve Cancellation of Share Premium Account	Mgmt	For	For	For

Dalata Hotel Group Plc

Meeting Date: 04/29/2021      Country: Ireland      Primary Security ID: G2630L100  
Record Date: 04/25/2021      Meeting Type: Annual      Ticker: DHG

Shares Voted: 0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	Do Not Vote
2	Approve Remuneration Report	Mgmt	For	For	Do Not Vote
3a	Re-elect John Hennessy as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
3b	Re-elect Patrick McCann as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Dalata Hotel Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3c	Re-elect Stephen McNally as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
3d	Re-elect Dermot Crowley as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
3e	Re-elect Robert Dix as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
3f	Re-elect Alf Smiddy as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
3g	Re-elect Margaret Sweeney as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
3h	Re-elect Elizabeth McMeikan as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
3i	Re-elect Shane Casserly as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
4	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	Do Not Vote
5	Authorise Issue of Equity	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these resolutions is warranted, although it is not without concerns for shareholders:* In September 2020, the Company used a general authority approved at its 2020 AGM to issue shares equivalent to 19.99% of the issued share capital via a cashbox placing. In normal times, such action would be considered poor practice from a governance perspective, as the existing general authorities have been used in a manner that is not consistent with the usual guidelines of the Pre-emption Group.The main reasons for support are:* The Company has provided compelling explanations to justify the cash box placing as a measure to further strengthen the Company's balance sheet amidst the current pessimistic trading scenario.					
6	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these resolutions is warranted, although it is not without concerns for shareholders:* In September 2020, the Company used a general authority approved at its 2020 AGM to issue shares equivalent to 19.99% of the issued share capital via a cashbox placing. In normal times, such action would be considered poor practice from a governance perspective, as the existing general authorities have been used in a manner that is not consistent with the usual guidelines of the Pre-emption Group.The main reasons for support are:* The Company has provided compelling explanations to justify the cash box placing as a measure to further strengthen the Company's balance sheet amidst the current pessimistic trading scenario.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Dalata Hotel Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted, although it is not without concerns for shareholders:* In September 2020, the Company used a general authority approved at its 2020 AGM to issue shares equivalent to 19.99% of the issued share capital via a cashbox placing. In normal times, such action would be considered poor practice from a governance perspective, as the existing general authorities have been used in a manner that is not consistent with the usual guidelines of the Pre-emption Group.The main reasons for support are:* The Company has provided compelling explanations to justify the cash box placing as a measure to further strengthen the Company's balance sheet amidst the current pessimistic trading scenario.</i>					
8	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	Do Not Vote
9	Authorise Re-allotment of Treasury Shares	Mgmt	For	For	Do Not Vote
10	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	Do Not Vote

### EOG Resources, Inc.

<b>Meeting Date:</b> 04/29/2021	<b>Country:</b> USA	<b>Primary Security ID:</b> 26875P101
<b>Record Date:</b> 03/05/2021	<b>Meeting Type:</b> Annual	<b>Ticker:</b> EOG
<b>Shares Voted:</b> 92,567		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Janet F. Clark	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>					
1b	Elect Director Charles R. Crisp	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>					
1c	Elect Director Robert P. Daniels	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>					
1d	Elect Director James C. Day	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>					
1e	Elect Director C. Christopher Gaut	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>					
1f	Elect Director Michael T. Kerr	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### EOG Resources, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1g	Elect Director Julie J. Robertson	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>					
1h	Elect Director Donald F. Textor	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>					
1i	Elect Director William R. Thomas	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>					
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
3	Approve Omnibus Stock Plan	Mgmt	For	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

### Flutter Entertainment Plc

**Meeting Date:** 04/29/2021      **Country:** Ireland      **Primary Security ID:** G3643J108  
**Record Date:** 04/25/2021      **Meeting Type:** Annual      **Ticker:** FLTR

**Shares Voted:** 0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	Do Not Vote
2	Approve Remuneration Report	Mgmt	For	Against	Do Not Vote
<i>Voting Policy Rationale: A vote AGAINST this resolution is warranted because:* The CEO was awarded a significant salary increase of 17.5% in light of the TSG merger; and* The Remuneration Committee has exercised discretion to retrospectively adjust performance targets under the incentive schemes in light of the TSG merger, leading to payments at/or close to maximum.</i>					
3a	Re-elect Zillah Byng-Thorne as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders:* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review.Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
3b	Re-elect Michael Cawley as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders:* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review.Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Flutter Entertainment Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3c	Re-elect Nancy Cruickshank as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders.* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review. Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
3d	Re-elect Richard Flint as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders.* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review. Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
3e	Re-elect Andrew Higginson as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders.* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review. Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
3f	Re-elect Jonathan Hill as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders.* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review. Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
3g	Re-elect Alfred Hurley Jr as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders.* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review. Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
3h	Re-elect Peter Jackson as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders.* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review. Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
3i	Re-elect David Lazzarato as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders.* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review. Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Flutter Entertainment Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3j	Re-elect Gary McGann as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders:* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review. Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
3k	Re-elect Mary Turner as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders:* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review. Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
4	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	Do Not Vote
5	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	Do Not Vote
6	Authorise Issue of Equity	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted, although it is not without concerns:* The Company has used the authorities approved at the previous AGM in a manner that is inconsistent with its stated commitments and the guidance from the UK's Pre-Emption Group. The main reasons for support are:* There is some disclosure about the intended use of the proceeds from the placings, in part to provide funding for the increased stake in FanDuel and the remainder used for market opportunities in light of the impact of COVID-19.* The aggregate size of the equity raise was only marginally above the standard 10% disapplication authority approved at the previous AGM, albeit that the usage was not entirely aligned to market standards.</i>					
7a	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted, although it is not without concerns:* The Company has used the authorities approved at the previous AGM in a manner that is inconsistent with its stated commitments and the guidance from the UK's Pre-Emption Group. The main reasons for support are:* There is some disclosure about the intended use of the proceeds from the placings, in part to provide funding for the increased stake in FanDuel and the remainder used for market opportunities in light of the impact of COVID-19.* The aggregate size of the equity raise was only marginally above the standard 10% disapplication authority approved at the previous AGM, albeit that the usage was not entirely aligned to market standards.</i>					
7b	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Specified Capital Investment	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted, although it is not without concerns:* The Company has used the authorities approved at the previous AGM in a manner that is inconsistent with its stated commitments and the guidance from the UK's Pre-Emption Group. The main reasons for support are:* There is some disclosure about the intended use of the proceeds from the placings, in part to provide funding for the increased stake in FanDuel and the remainder used for market opportunities in light of the impact of COVID-19.* The aggregate size of the equity raise was only marginally above the standard 10% disapplication authority approved at the previous AGM, albeit that the usage was not entirely aligned to market standards.</i>					
8	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	Do Not Vote



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Flutter Entertainment Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9	Authorise the Company to Determine the Price Range at which Treasury Shares may be Re-issued Off-Market	Mgmt	For	For	Do Not Vote
10	Approve Bonus Issue of Shares	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR this resolution is warranted as no significant concerns are raised.					
11	Approve Reduction of Capital	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR this resolution is warranted as no significant concerns are raised.					
Shares Voted: 324					

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted because:* The CEO was awarded a significant salary increase of 17.5% in light of the TSG merger; and* The Remuneration Committee has exercised discretion to retrospectively adjust performance targets under the incentive schemes in light of the TSG merger, leading to payments at/or close to maximum.					
3a	Re-elect Zillah Byng-Thorne as Director	Mgmt	For	For	For
Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders:* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review.Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.					
3b	Re-elect Michael Cawley as Director	Mgmt	For	For	For
Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders:* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review.Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.					
3c	Re-elect Nancy Cruickshank as Director	Mgmt	For	For	For
Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders:* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review.Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Flutter Entertainment Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3d	Re-elect Richard Flint as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders.* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review.Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
3e	Re-elect Andrew Higginson as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders.* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review.Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
3f	Re-elect Jonathan Hill as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders.* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review.Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
3g	Re-elect Alfred Hurley Jr as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders.* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review.Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
3h	Re-elect Peter Jackson as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders.* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review.Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
3i	Re-elect David Lazzarato as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders.* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review.Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
3j	Re-elect Gary McGann as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders.* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review.Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Flutter Entertainment Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3k	Re-elect Mary Turner as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3aA vote FOR this candidate is considered warranted, although it is not without concern for shareholders:* She has significant external time commitments in addition to her role at the Company. The main reasons for support are:* Future plc, where she serves as CEO are in the process of acquiring GoCo plc, where she serves as NED, which will have the effect of reducing her number of external mandates by one. Her external mandates will be kept under close review. Items 3b-3kA vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
4	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
5	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
6	Authorise Issue of Equity	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted, although it is not without concerns:* The Company has used the authorities approved at the previous AGM in a manner that is inconsistent with its stated commitments and the guidance from the UK's Pre-Emption Group. The main reasons for support are:* There is some disclosure about the intended use of the proceeds from the placings, in part to provide funding for the increased stake in FanDuel and the remainder used for market opportunities in light of the impact of COVID-19.* The aggregate size of the equity raise was only marginally above the standard 10% disapplication authority approved at the previous AGM, albeit that the usage was not entirely aligned to market standards.</i>					
7a	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted, although it is not without concerns:* The Company has used the authorities approved at the previous AGM in a manner that is inconsistent with its stated commitments and the guidance from the UK's Pre-Emption Group. The main reasons for support are:* There is some disclosure about the intended use of the proceeds from the placings, in part to provide funding for the increased stake in FanDuel and the remainder used for market opportunities in light of the impact of COVID-19.* The aggregate size of the equity raise was only marginally above the standard 10% disapplication authority approved at the previous AGM, albeit that the usage was not entirely aligned to market standards.</i>					
7b	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Specified Capital Investment	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted, although it is not without concerns:* The Company has used the authorities approved at the previous AGM in a manner that is inconsistent with its stated commitments and the guidance from the UK's Pre-Emption Group. The main reasons for support are:* There is some disclosure about the intended use of the proceeds from the placings, in part to provide funding for the increased stake in FanDuel and the remainder used for market opportunities in light of the impact of COVID-19.* The aggregate size of the equity raise was only marginally above the standard 10% disapplication authority approved at the previous AGM, albeit that the usage was not entirely aligned to market standards.</i>					
8	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
9	Authorise the Company to Determine the Price Range at which Treasury Shares may be Re-issued Off-Market	Mgmt	For	For	For
10	Approve Bonus Issue of Shares	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this resolution is warranted as no significant concerns are raised.</i>					
11	Approve Reduction of Capital	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this resolution is warranted as no significant concerns are raised.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Nordnet AB

**Meeting Date:** 04/29/2021      **Country:** Sweden      **Primary Security ID:** W6S819112  
**Record Date:** 04/21/2021      **Meeting Type:** Annual      **Ticker:** SAVE

**Shares Voted:** 39,838

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Elect Chairman of Meeting	Mgmt	For	For	For
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
2	Prepare and Approve List of Shareholders	Mgmt	For	For	For
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
3	Designate Inspector(s) of Minutes of Meeting	Mgmt	For	For	For
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
4	Acknowledge Proper Convening of Meeting	Mgmt	For	For	For
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
5	Approve Agenda of Meeting	Mgmt	For	For	For
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
6	Receive Financial Statements and Statutory Reports	Mgmt			
7	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
8	Approve Allocation of Income and Dividends of SEK 1.51 Per Share	Mgmt	For	For	For
9.a	Approve Discharge of Anna Back	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
9.b	Approve Discharge of Jan Dinkelspiel	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
9.c	Approve Discharge of Tom Dinkelspiel	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
9.d	Approve Discharge of Christopher Ekdahl	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
9.e	Approve Discharge of Karitha Ericson	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Nordnet AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9.f	Approve Discharge of Christian Frick	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
9.g	Approve Discharge of Hans Larsson	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
9.h	Approve Discharge of Per Widerstrom	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
9.i	Approve Discharge of CEO Lars-Ake Norling	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
10.1	Determine Number of Members (8) and Deputy Members of Board (0)	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted because of a lack of controversy concerning the size of the board or the number of auditors.</i>				
10.2	Determine Number of Auditors (1) and Deputy Auditors (0)	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted because of a lack of controversy concerning the size of the board or the number of auditors.</i>				
11.1a	Approve Remuneration of Directors in the Amount of SEK 350,000 for Chairman and Other Directors	Mgmt	For	For	For
11.1b	Approve Remuneration for Board Committee Work	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as board committee fees are usually modest and symbolic in this market.</i>				
11.1c	Approve Remuneration for Chairman of Board Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as board committee fees are usually modest and symbolic in this market.</i>				
11.1d	Approve Remuneration for Risk and Compliance Committee Work	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as board committee fees are usually modest and symbolic in this market.</i>				
11.1e	Approve Remuneration for Chairman of Risk and Compliance Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as board committee fees are usually modest and symbolic in this market.</i>				
11.2	Approve Remuneration of Auditors	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Nordnet AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
12.1a	Reelect Anna Back as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.					
12.1b	Reelect Jan Dinkelspiel as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.					
12.1c	Reelect Tom Dinkelspiel as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.					
12.1d	Reelect Karitha Ericson as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.					
12.1e	Reelect Christian Frick as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.					
12.1f	Reelect Hans Larsson as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.					
12.1g	Elect Charlotta Nilsson as New Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.					
12.1h	Reelect Per Widerstrom as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.					
12.2	Reelect Tom Dinkelspiel as Board Chairman	Mgmt	For	For	For
12.3	Ratify Deloitte as Auditors	Mgmt	For	For	For
13	Approve Remuneration Policy And Other Terms of Employment For Executive Management	Mgmt	For	For	For
14	Approve Remuneration Report	Mgmt	For	For	For
15	Authorize Share Repurchase Program	Mgmt	For	For	For

The Weir Group Plc

Meeting Date: 04/29/2021	Country: United Kingdom	Primary Security ID: G95248137
Record Date: 04/27/2021	Meeting Type: Annual	Ticker: WEIR

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## The Weir Group Plc

Shares Voted: 332

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Approve Remuneration Policy	Mgmt	For	For	For
4	Elect Ben Magara as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 6: Re-elect Charles Berry as DirectorA vote FOR this resolution is warranted. Although less than 33 percent of the Board currently consists of women, it was previously above the threshold as at FYE 2020, and the Company has made a commitment to realign the composition of the Board with the recommendations of the Hampton-Alexander Review going forward.Items 4-5, 7-14A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
5	Elect Srinivasan Venkatakrishnan as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 6: Re-elect Charles Berry as DirectorA vote FOR this resolution is warranted. Although less than 33 percent of the Board currently consists of women, it was previously above the threshold as at FYE 2020, and the Company has made a commitment to realign the composition of the Board with the recommendations of the Hampton-Alexander Review going forward.Items 4-5, 7-14A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
6	Re-elect Charles Berry as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 6: Re-elect Charles Berry as DirectorA vote FOR this resolution is warranted. Although less than 33 percent of the Board currently consists of women, it was previously above the threshold as at FYE 2020, and the Company has made a commitment to realign the composition of the Board with the recommendations of the Hampton-Alexander Review going forward.Items 4-5, 7-14A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
7	Re-elect Jon Stanton as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 6: Re-elect Charles Berry as DirectorA vote FOR this resolution is warranted. Although less than 33 percent of the Board currently consists of women, it was previously above the threshold as at FYE 2020, and the Company has made a commitment to realign the composition of the Board with the recommendations of the Hampton-Alexander Review going forward.Items 4-5, 7-14A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
8	Re-elect John Heasley as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 6: Re-elect Charles Berry as DirectorA vote FOR this resolution is warranted. Although less than 33 percent of the Board currently consists of women, it was previously above the threshold as at FYE 2020, and the Company has made a commitment to realign the composition of the Board with the recommendations of the Hampton-Alexander Review going forward.Items 4-5, 7-14A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
9	Re-elect Barbara Jeremiah as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 6: Re-elect Charles Berry as DirectorA vote FOR this resolution is warranted. Although less than 33 percent of the Board currently consists of women, it was previously above the threshold as at FYE 2020, and the Company has made a commitment to realign the composition of the Board with the recommendations of the Hampton-Alexander Review going forward.Items 4-5, 7-14A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
10	Re-elect Clare Chapman as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 6: Re-elect Charles Berry as DirectorA vote FOR this resolution is warranted. Although less than 33 percent of the Board currently consists of women, it was previously above the threshold as at FYE 2020, and the Company has made a commitment to realign the composition of the Board with the recommendations of the Hampton-Alexander Review going forward.Items 4-5, 7-14A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## The Weir Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
11	Re-elect Engelbert Haan as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 6: Re-elect Charles Berry as DirectorA vote FOR this resolution is warranted. Although less than 33 percent of the Board currently consists of women, it was previously above the threshold as at FYE 2020, and the Company has made a commitment to realign the composition of the Board with the recommendations of the Hampton-Alexander Review going forward.Items 4-5, 7-14A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
12	Re-elect Mary Jo Jacobi as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 6: Re-elect Charles Berry as DirectorA vote FOR this resolution is warranted. Although less than 33 percent of the Board currently consists of women, it was previously above the threshold as at FYE 2020, and the Company has made a commitment to realign the composition of the Board with the recommendations of the Hampton-Alexander Review going forward.Items 4-5, 7-14A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
13	Re-elect Sir Jim McDonald as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 6: Re-elect Charles Berry as DirectorA vote FOR this resolution is warranted. Although less than 33 percent of the Board currently consists of women, it was previously above the threshold as at FYE 2020, and the Company has made a commitment to realign the composition of the Board with the recommendations of the Hampton-Alexander Review going forward.Items 4-5, 7-14A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
14	Re-elect Stephen Young as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 6: Re-elect Charles Berry as DirectorA vote FOR this resolution is warranted. Although less than 33 percent of the Board currently consists of women, it was previously above the threshold as at FYE 2020, and the Company has made a commitment to realign the composition of the Board with the recommendations of the Hampton-Alexander Review going forward.Items 4-5, 7-14A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
15	Reappoint PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
16	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
17	Authorise Issue of Equity	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
18	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
19	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
20	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
21	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

B2W Companhia Digital

Meeting Date: 04/30/2021      Country: Brazil      Primary Security ID: P19055113  
Record Date:      Meeting Type: Special      Ticker: BTOW3

Shares Voted: 16,009,979

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Amend Article 5 to Reflect Changes in Capital	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this request is warranted because:* The company has provided the full text of the proposed amendment; and* The change in share capital is the result of the issuance of shares to service the company's stock option plan, previously approved by shareholders, as well as an additional share issuance approved by the board of directors within the company's authorized capital limit.					
2	Consolidate Bylaws	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this request is warranted because:* The company has provided the full text of the proposed amendment; and* The change in share capital is the result of the issuance of shares to service the company's stock option plan, previously approved by shareholders, as well as an additional share issuance approved by the board of directors within the company's authorized capital limit.					

B2W Companhia Digital

Meeting Date: 04/30/2021      Country: Brazil      Primary Security ID: P19055113  
Record Date:      Meeting Type: Annual      Ticker: BTOW3

Shares Voted: 16,009,979

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2020	Mgmt	For	For	For
2	Fix Number of Directors at Seven	Mgmt	For	For	For
3	Do You Wish to Adopt Cumulative Voting for the Election of the Members of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	Mgmt	None	Abstain	Abstain
Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 3, 6-7.7 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.					
4	Elect Directors	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this item is warranted because:* Despite technically meeting the minimum independence requirement of the Novo Mercado listing segment of the Sao Paulo Stock Exchange (B3), the proposed board's level of independence fails to meet the expectations of institutional investors; and* The company has bundled the election of directors under a single item, preventing shareholders from voting individually on each nominee.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## B2W Companhia Digital

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	In Case There is Any Change to the Board Slate Composition, May Your Votes Still be Counted for the Proposed Slate?	Mgmt	None	Against	Against
6	In Case Cumulative Voting Is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees below?	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. ABSTAIN vote recommendations are warranted for Items 3, 6-7.7 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i></p>					
	IF CUMULATIVE VOTE IS ADOPTED - If Voting FOR Item 6: Votes Are Distributed in Equal % Amongst Nominees Voted FOR. An AGAINST Vote Is Required If Supporting Candidate(s) Under Cumulative and Not Supporting Any Director(s)/Slate Under Majority Voting	Mgmt			
7.1	Percentage of Votes to Be Assigned - Elect Miguel Gomes Pereira Sarmiento Gutierrez as Director	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. ABSTAIN vote recommendations are warranted for Items 3, 6-7.7 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i></p>					
7.2	Percentage of Votes to Be Assigned - Elect Anna Christina Ramos Saicali as Director	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. ABSTAIN vote recommendations are warranted for Items 3, 6-7.7 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i></p>					
7.3	Percentage of Votes to Be Assigned - Elect Celso Alves Ferreira Louro as Director	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. ABSTAIN vote recommendations are warranted for Items 3, 6-7.7 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i></p>					
7.4	Percentage of Votes to Be Assigned - Elect Jorge Felipe Lemann as Director	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. ABSTAIN vote recommendations are warranted for Items 3, 6-7.7 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i></p>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

B2W Companhia Digital

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.5	Percentage of Votes to Be Assigned - Elect Luiz Carlos Di Sessa Filippetti as Independent Director	Mgmt	None	Abstain	Abstain
Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 3, 6-7.7 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.					
7.6	Percentage of Votes to Be Assigned - Elect Mauro Muratorio Not as Independent Director	Mgmt	None	Abstain	Abstain
Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 3, 6-7.7 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.					
7.7	Percentage of Votes to Be Assigned - Elect Paulo Antunes Veras as Independent Director	Mgmt	None	Abstain	Abstain
Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 3, 6-7.7 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.					
8	Approve Remuneration of Company's Management	Mgmt	For	For	For
9	Do You Wish to Request Installation of a Fiscal Council, Under the Terms of Article 161 of the Brazilian Corporate Law?	Mgmt	None	For	For

Fibra Uno Administracion SA de CV

Meeting Date: 04/30/2021	Country: Mexico	Primary Security ID: P3515D163
Record Date: 04/22/2021	Meeting Type: Annual	Ticker: FUNO11
Shares Voted: 1,764,500		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.i	Meeting for Holders of REITs - ISIN MXCFFU000001	Mgmt			
	Accept Reports of Audit, Corporate Practices, Nominating and Remuneration Committees	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available for FY 2020, and there are no independent auditor qualifications;* There are no known concerns regarding the company's financial statements or audit procedures; and* There are no known concerns regarding the company's income allocation policies.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Fibra Uno Administracion SA de CV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.ii	Accept Technical Committee Report on Compliance in Accordance to Article 172 of General Mercantile Companies Law	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available for FY 2020, and there are no independent auditor qualifications;* There are no known concerns regarding the company's financial statements or audit procedures; and* There are no known concerns regarding the company's income allocation policies.</i>					
1.iii	Accept Report of Trust Managers in Accordance to Article 44-XI of Securities Market Law, Including Technical Committee's Opinion on that Report	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available for FY 2020, and there are no independent auditor qualifications;* There are no known concerns regarding the company's financial statements or audit procedures; and* There are no known concerns regarding the company's income allocation policies.</i>					
1.iv	Accept Technical Committee Report on Operations and Activities Undertaken	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available for FY 2020, and there are no independent auditor qualifications;* There are no known concerns regarding the company's financial statements or audit procedures; and* There are no known concerns regarding the company's income allocation policies.</i>					
2	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available for FY 2020, and there are no independent auditor qualifications;* There are no known concerns regarding the company's financial statements or audit procedures; and* There are no known concerns regarding the company's income allocation policies.</i>					
3	Ratify Ignacio Trigueros Legarreta as Member of Technical Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 3a-3e is warranted because:* Although the company has not disclosed the complete list of names of the technical committee nominees, it would be counter-productive to vote against the proposed independent candidates, who have been disclosed;* The company has presented the nominees under separate voting items; and* The current technical committee is at least one-third independent and contains at least two independent members, meeting the growing expectations of institutional shareholders.A vote AGAINST Item 4 is warranted because the company has not disclosed the technical committee nominees to be presented under this resolution.</i>					
4	Ratify Antonio Hugo Franck Cabrera as Member of Technical Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 3a-3e is warranted because:* Although the company has not disclosed the complete list of names of the technical committee nominees, it would be counter-productive to vote against the proposed independent candidates, who have been disclosed;* The company has presented the nominees under separate voting items; and* The current technical committee is at least one-third independent and contains at least two independent members, meeting the growing expectations of institutional shareholders.A vote AGAINST Item 4 is warranted because the company has not disclosed the technical committee nominees to be presented under this resolution.</i>					
5	Ratify Ruben Goldberg Javkin as Member of Technical Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 3a-3e is warranted because:* Although the company has not disclosed the complete list of names of the technical committee nominees, it would be counter-productive to vote against the proposed independent candidates, who have been disclosed;* The company has presented the nominees under separate voting items; and* The current technical committee is at least one-third independent and contains at least two independent members, meeting the growing expectations of institutional shareholders.A vote AGAINST Item 4 is warranted because the company has not disclosed the technical committee nominees to be presented under this resolution.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Fibra Uno Administracion SA de CV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Ratify Herminio Blanco Mendoza as Member of Technical Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 3a-3e is warranted because:* Although the company has not disclosed the complete list of names of the technical committee nominees, it would be counter-productive to vote against the proposed independent candidates, who have been disclosed;* The company has presented the nominees under separate voting items; and* The current technical committee is at least one-third independent and contains at least two independent members, meeting the growing expectations of institutional shareholders.A vote AGAINST Item 4 is warranted because the company has not disclosed the technical committee nominees to be presented under this resolution.</i>					
7	Ratify Alberto Felipe Mulas Alonso as Member of Technical Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 3a-3e is warranted because:* Although the company has not disclosed the complete list of names of the technical committee nominees, it would be counter-productive to vote against the proposed independent candidates, who have been disclosed;* The company has presented the nominees under separate voting items; and* The current technical committee is at least one-third independent and contains at least two independent members, meeting the growing expectations of institutional shareholders.A vote AGAINST Item 4 is warranted because the company has not disclosed the technical committee nominees to be presented under this resolution.</i>					
8	Approve Remuneration of Technical Committee Members	Mgmt	For	For	For
9	Receive Controlling's Report on Ratification of Members and Alternates of Technical Committee	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR Items 3a-3e is warranted because:* Although the company has not disclosed the complete list of names of the technical committee nominees, it would be counter-productive to vote against the proposed independent candidates, who have been disclosed;* The company has presented the nominees under separate voting items; and* The current technical committee is at least one-third independent and contains at least two independent members, meeting the growing expectations of institutional shareholders.A vote AGAINST Item 4 is warranted because the company has not disclosed the technical committee nominees to be presented under this resolution.</i>					
10	Appoint Legal Representatives	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because the designation of a shareholder representative is a routine formality that does not affect shareholder value.</i>					
11	Approve Minutes of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because the designation of a shareholder representative is a routine formality that does not affect shareholder value.</i>					

Shares Voted: 41,112,809

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for Holders of REITs - ISIN MXCFFU000001	Mgmt			
1.i	Accept Reports of Audit, Corporate Practices, Nominating and Remuneration Committees	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available for FY 2020, and there are no independent auditor qualifications;* There are no known concerns regarding the company's financial statements or audit procedures; and* There are no known concerns regarding the company's income allocation policies.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Fibra Uno Administracion SA de CV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.ii	Accept Technical Committee Report on Compliance in Accordance to Article 172 of General Mercantile Companies Law	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available for FY 2020, and there are no independent auditor qualifications;* There are no known concerns regarding the company's financial statements or audit procedures; and* There are no known concerns regarding the company's income allocation policies.</i>					
1.iii	Accept Report of Trust Managers in Accordance to Article 44-XI of Securities Market Law, Including Technical Committee's Opinion on that Report	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available for FY 2020, and there are no independent auditor qualifications;* There are no known concerns regarding the company's financial statements or audit procedures; and* There are no known concerns regarding the company's income allocation policies.</i>					
1.iv	Accept Technical Committee Report on Operations and Activities Undertaken	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available for FY 2020, and there are no independent auditor qualifications;* There are no known concerns regarding the company's financial statements or audit procedures; and* There are no known concerns regarding the company's income allocation policies.</i>					
2	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available for FY 2020, and there are no independent auditor qualifications;* There are no known concerns regarding the company's financial statements or audit procedures; and* There are no known concerns regarding the company's income allocation policies.</i>					
3	Ratify Ignacio Trigueros Legarreta as Member of Technical Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 3a-3e is warranted because:* Although the company has not disclosed the complete list of names of the technical committee nominees, it would be counter-productive to vote against the proposed independent candidates, who have been disclosed;* The company has presented the nominees under separate voting items; and* The current technical committee is at least one-third independent and contains at least two independent members, meeting the growing expectations of institutional shareholders.A vote AGAINST Item 4 is warranted because the company has not disclosed the technical committee nominees to be presented under this resolution.</i>					
4	Ratify Antonio Hugo Franck Cabrera as Member of Technical Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 3a-3e is warranted because:* Although the company has not disclosed the complete list of names of the technical committee nominees, it would be counter-productive to vote against the proposed independent candidates, who have been disclosed;* The company has presented the nominees under separate voting items; and* The current technical committee is at least one-third independent and contains at least two independent members, meeting the growing expectations of institutional shareholders.A vote AGAINST Item 4 is warranted because the company has not disclosed the technical committee nominees to be presented under this resolution.</i>					
5	Ratify Ruben Goldberg Javkin as Member of Technical Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 3a-3e is warranted because:* Although the company has not disclosed the complete list of names of the technical committee nominees, it would be counter-productive to vote against the proposed independent candidates, who have been disclosed;* The company has presented the nominees under separate voting items; and* The current technical committee is at least one-third independent and contains at least two independent members, meeting the growing expectations of institutional shareholders.A vote AGAINST Item 4 is warranted because the company has not disclosed the technical committee nominees to be presented under this resolution.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Fibra Uno Administracion SA de CV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Ratify Herminio Blanco Mendoza as Member of Technical Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 3a-3e is warranted because:* Although the company has not disclosed the complete list of names of the technical committee nominees, it would be counter-productive to vote against the proposed independent candidates, who have been disclosed;* The company has presented the nominees under separate voting items; and* The current technical committee is at least one-third independent and contains at least two independent members, meeting the growing expectations of institutional shareholders.A vote AGAINST Item 4 is warranted because the company has not disclosed the technical committee nominees to be presented under this resolution.</i>					
7	Ratify Alberto Felipe Mulas Alonso as Member of Technical Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 3a-3e is warranted because:* Although the company has not disclosed the complete list of names of the technical committee nominees, it would be counter-productive to vote against the proposed independent candidates, who have been disclosed;* The company has presented the nominees under separate voting items; and* The current technical committee is at least one-third independent and contains at least two independent members, meeting the growing expectations of institutional shareholders.A vote AGAINST Item 4 is warranted because the company has not disclosed the technical committee nominees to be presented under this resolution.</i>					
8	Approve Remuneration of Technical Committee Members	Mgmt	For	For	For
9	Receive Controlling's Report on Ratification of Members and Alternates of Technical Committee	Mgmt	For	Against	Abstain
<i>Voting Policy Rationale: A vote FOR Items 3a-3e is warranted because:* Although the company has not disclosed the complete list of names of the technical committee nominees, it would be counter-productive to vote against the proposed independent candidates, who have been disclosed;* The company has presented the nominees under separate voting items; and* The current technical committee is at least one-third independent and contains at least two independent members, meeting the growing expectations of institutional shareholders.A vote AGAINST Item 4 is warranted because the company has not disclosed the technical committee nominees to be presented under this resolution.</i>					
10	Appoint Legal Representatives	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because the designation of a shareholder representative is a routine formality that does not affect shareholder value.</i>					
11	Approve Minutes of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because the designation of a shareholder representative is a routine formality that does not affect shareholder value.</i>					

Shares Voted: 895,100

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for Holders of REITs - ISIN MXCFFU000001	Mgmt			
1.i	Accept Reports of Audit, Corporate Practices, Nominating and Remuneration Committees	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available for FY 2020, and there are no independent auditor qualifications;* There are no known concerns regarding the company's financial statements or audit procedures; and* There are no known concerns regarding the company's income allocation policies.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Fibra Uno Administracion SA de CV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.ii	Accept Technical Committee Report on Compliance in Accordance to Article 172 of General Mercantile Companies Law	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available for FY 2020, and there are no independent auditor qualifications;* There are no known concerns regarding the company's financial statements or audit procedures; and* There are no known concerns regarding the company's income allocation policies.</i>					
1.iii	Accept Report of Trust Managers in Accordance to Article 44-XI of Securities Market Law, Including Technical Committee's Opinion on that Report	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available for FY 2020, and there are no independent auditor qualifications;* There are no known concerns regarding the company's financial statements or audit procedures; and* There are no known concerns regarding the company's income allocation policies.</i>					
1.iv	Accept Technical Committee Report on Operations and Activities Undertaken	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available for FY 2020, and there are no independent auditor qualifications;* There are no known concerns regarding the company's financial statements or audit procedures; and* There are no known concerns regarding the company's income allocation policies.</i>					
2	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available for FY 2020, and there are no independent auditor qualifications;* There are no known concerns regarding the company's financial statements or audit procedures; and* There are no known concerns regarding the company's income allocation policies.</i>					
3	Ratify Ignacio Trigueros Legarreta as Member of Technical Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 3a-3e is warranted because:* Although the company has not disclosed the complete list of names of the technical committee nominees, it would be counter-productive to vote against the proposed independent candidates, who have been disclosed;* The company has presented the nominees under separate voting items; and* The current technical committee is at least one-third independent and contains at least two independent members, meeting the growing expectations of institutional shareholders.A vote AGAINST Item 4 is warranted because the company has not disclosed the technical committee nominees to be presented under this resolution.</i>					
4	Ratify Antonio Hugo Franck Cabrera as Member of Technical Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 3a-3e is warranted because:* Although the company has not disclosed the complete list of names of the technical committee nominees, it would be counter-productive to vote against the proposed independent candidates, who have been disclosed;* The company has presented the nominees under separate voting items; and* The current technical committee is at least one-third independent and contains at least two independent members, meeting the growing expectations of institutional shareholders.A vote AGAINST Item 4 is warranted because the company has not disclosed the technical committee nominees to be presented under this resolution.</i>					
5	Ratify Ruben Goldberg Javkin as Member of Technical Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Items 3a-3e is warranted because:* Although the company has not disclosed the complete list of names of the technical committee nominees, it would be counter-productive to vote against the proposed independent candidates, who have been disclosed;* The company has presented the nominees under separate voting items; and* The current technical committee is at least one-third independent and contains at least two independent members, meeting the growing expectations of institutional shareholders.A vote AGAINST Item 4 is warranted because the company has not disclosed the technical committee nominees to be presented under this resolution.</i>					



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Fibra Uno Administracion SA de CV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Ratify Herminio Blanco Mendoza as Member of Technical Committee	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR Items 3a-3e is warranted because:* Although the company has not disclosed the complete list of names of the technical committee nominees, it would be counter-productive to vote against the proposed independent candidates, who have been disclosed;* The company has presented the nominees under separate voting items; and* The current technical committee is at least one-third independent and contains at least two independent members, meeting the growing expectations of institutional shareholders.A vote AGAINST Item 4 is warranted because the company has not disclosed the technical committee nominees to be presented under this resolution.					
7	Ratify Alberto Felipe Mulas Alonso as Member of Technical Committee	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR Items 3a-3e is warranted because:* Although the company has not disclosed the complete list of names of the technical committee nominees, it would be counter-productive to vote against the proposed independent candidates, who have been disclosed;* The company has presented the nominees under separate voting items; and* The current technical committee is at least one-third independent and contains at least two independent members, meeting the growing expectations of institutional shareholders.A vote AGAINST Item 4 is warranted because the company has not disclosed the technical committee nominees to be presented under this resolution.					
8	Approve Remuneration of Technical Committee Members	Mgmt	For	For	For
9	Receive Controlling's Report on Ratification of Members and Alternates of Technical Committee	Mgmt	For	Against	For
Voting Policy Rationale: A vote FOR Items 3a-3e is warranted because:* Although the company has not disclosed the complete list of names of the technical committee nominees, it would be counter-productive to vote against the proposed independent candidates, who have been disclosed;* The company has presented the nominees under separate voting items; and* The current technical committee is at least one-third independent and contains at least two independent members, meeting the growing expectations of institutional shareholders.A vote AGAINST Item 4 is warranted because the company has not disclosed the technical committee nominees to be presented under this resolution.					
10	Appoint Legal Representatives	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because the designation of a shareholder representative is a routine formality that does not affect shareholder value.					
11	Approve Minutes of Meeting	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because the designation of a shareholder representative is a routine formality that does not affect shareholder value.					

Linx SA

Meeting Date: 04/30/2021      Country: Brazil      Primary Security ID: P6S933101  
Record Date: 03/26/2021      Meeting Type: Special      Ticker: LINX3

Shares Voted: 16,810

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Linx SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Remuneration of Company's Management	Mgmt	For	For	For
2	Elect Directors	Mgmt	For	Against	Against

*Voting Policy Rationale: Vote AGAINST this item. Although the overall board independence appears to be reasonable, there are governance concerns involving the three non-independent directors, all founders of the company, as well as the independent board member presented for reelection.As such, support for this slate board election is not warranted at this time.*

Oi SA

Meeting Date: 04/30/2021	Country: Brazil	Primary Security ID: P73531116
Record Date:	Meeting Type: Annual	Ticker: OIBR4
Shares Voted: 35,988,400		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2020	Mgmt	For	For	For
2	Approve Treatment of Net Loss	Mgmt	For	For	For
3	Approve Remuneration of Company's Management and Fiscal Council	Mgmt	For	For	For
4	Do You Wish to Adopt Cumulative Voting for the Election of the Members of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	Mgmt	None	Abstain	Abstain

*Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 4, and 7-9 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.*

5	Elect Directors	Mgmt	For	For	For
6	In Case There is Any Change to the Board Slate Composition, May Your Votes Still be Counted for the Proposed Slate?	Mgmt	For	Against	Against
7	In Case Cumulative Voting Is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees below?	Mgmt	For	For	Abstain
	IF CUMULATIVE VOTE IS ADOPTED - If Voting FOR Item 7: Votes Are Distributed in Equal % Amongst Nominees Voted FOR. An AGAINST Vote Is Required If Supporting Candidate(s) Under Cumulative and Not Supporting Any Director(s)/Slate Under Majority Voting	Mgmt			

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Oi SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8.1	Percentage of Votes to Be Assigned - Elect Armando Lins Netto as Independent Director	Mgmt	For	For	Abstain
8.2	Percentage of Votes to Be Assigned - Elect Claudia Quintella Woods as Independent Director	Mgmt	For	For	Abstain
8.3	Percentage of Votes to Be Assigned - Elect Eleazar de Carvalho Filho Woods as Independent Director	Mgmt	For	For	Abstain
8.4	Percentage of Votes to Be Assigned - Elect Henrique Jose Fernandes Luz as Independent Director	Mgmt	For	For	Abstain
8.5	Percentage of Votes to Be Assigned - Elect Luis Maria Viana Palha da Silva as Independent Director	Mgmt	For	For	Abstain
8.6	Percentage of Votes to Be Assigned - Elect Marcos Bastos Rocha as Independent Director	Mgmt	For	For	Abstain
8.7	Percentage of Votes to Be Assigned - Elect Marcos Grodetzky as Independent Director	Mgmt	For	For	Abstain
8.8	Percentage of Votes to Be Assigned - Elect Marcelo Pavao Lacerda as Independent Director	Mgmt	For	For	Abstain
8.9	Percentage of Votes to Be Assigned - Elect Maria Helena dos Santos Fernandes de Santana as Independent Director	Mgmt	For	For	Abstain
8.10	Percentage of Votes to Be Assigned - Elect Paulino do Rego Barros Junior as Independent Director	Mgmt	For	For	Abstain
8.11	Percentage of Votes to Be Assigned - Elect Roger Sole Rafols as Independent Director	Mgmt	For	For	Abstain
9	In Case Cumulative Voting Is Adopted, and One or More Candidates is No Longer Part of the Slate, Do You Wish to Equally Distribute Your Votes Amongst the Remaining Nominees from the Slate You Supported?	Mgmt	For	For	Abstain
	IF CUMULATIVE VOTE IS ADOPTED - If Voting FOR Item 9: Votes Are Distributed in Equal % Amongst Nominees Voted FOR. An AGAINST Vote Is Required If Supporting Candidate(s) Under Cumulative and Not Supporting Any Director(s)/Slate Under Majority Voting	Mgmt			
10	Elect Fiscal Council Members	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Oi SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
11	In Case One of the Nominees Leaves the Fiscal Council Slate Due to a Separate Minority Election, as Allowed Under Articles 161 and 240 of the Brazilian Corporate Law, May Your Votes Still Be Counted for the Proposed Slate?	Mgmt	None	Against	Against

Shares Voted: 156,039,407

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2020	Mgmt	For	For	For
2	Approve Treatment of Net Loss	Mgmt	For	For	For
3	Approve Remuneration of Company's Management and Fiscal Council	Mgmt	For	For	For
4	Do You Wish to Adopt Cumulative Voting for the Election of the Members of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	Mgmt	None	Abstain	Abstain

*Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. ABSTAIN vote recommendations are warranted for Items 4, and 7-9 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.*

5	Elect Directors	Mgmt	For	For	For
6	In Case There is Any Change to the Board Slate Composition, May Your Votes Still be Counted for the Proposed Slate?	Mgmt	For	Against	Against
7	In Case Cumulative Voting Is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees below?	Mgmt	For	For	For
	IF CUMULATIVE VOTE IS ADOPTED - If Voting FOR Item 7: Votes Are Distributed in Equal % Amongst Nominees Voted FOR. An AGAINST Vote Is Required If Supporting Candidate(s) Under Cumulative and Not Supporting Any Director(s)/Slate Under Majority Voting	Mgmt			
8.1	Percentage of Votes to Be Assigned - Elect Armando Lins Netto as Independent Director	Mgmt	For	For	For
8.2	Percentage of Votes to Be Assigned - Elect Claudia Quintella Woods as Independent Director	Mgmt	For	For	For
8.3	Percentage of Votes to Be Assigned - Elect Eleazar de Carvalho Filho Woods as Independent Director	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Oi SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8.4	Percentage of Votes to Be Assigned - Elect Henrique Jose Fernandes Luz as Independent Director	Mgmt	For	For	For
8.5	Percentage of Votes to Be Assigned - Elect Luis Maria Viana Palha da Silva as Independent Director	Mgmt	For	For	For
8.6	Percentage of Votes to Be Assigned - Elect Marcos Bastos Rocha as Independent Director	Mgmt	For	For	For
8.7	Percentage of Votes to Be Assigned - Elect Marcos Grodetzky as Independent Director	Mgmt	For	For	For
8.8	Percentage of Votes to Be Assigned - Elect Marcelo Pavao Lacerda as Independent Director	Mgmt	For	For	For
8.9	Percentage of Votes to Be Assigned - Elect Maria Helena dos Santos Fernandes de Santana as Independent Director	Mgmt	For	For	For
8.10	Percentage of Votes to Be Assigned - Elect Paulino do Rego Barros Junior as Independent Director	Mgmt	For	For	For
8.11	Percentage of Votes to Be Assigned - Elect Roger Sole Rafols as Independent Director	Mgmt	For	For	For
9	In Case Cumulative Voting Is Adopted, and One or More Candidates is No Longer Part of the Slate, Do You Wish to Equally Distribute Your Votes Amongst the Remaining Nominees from the Slate You Supported?	Mgmt	For	For	For
	IF CUMULATIVE VOTE IS ADOPTED - If Voting FOR Item 9: Votes Are Distributed in Equal % Amongst Nominees Voted FOR. An AGAINST Vote Is Required If Supporting Candidate(s) Under Cumulative and Not Supporting Any Director(s)/Slate Under Majority Voting	Mgmt			
10	Elect Fiscal Council Members	Mgmt	For	For	For
11	In Case One of the Nominees Leaves the Fiscal Council Slate Due to a Separate Minority Election, as Allowed Under Articles 161 and 240 of the Brazilian Corporate Law, May Your Votes Still Be Counted for the Proposed Slate?	Mgmt	None	Against	Against

Oi SA

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Oi SA

Shares Voted: 192,027,807

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Share-Based Incentive Plan for CEO	Mgmt	For	For	For

Oi SA

Meeting Date: 04/30/2021Country: BrazilPrimary Security ID: P73531116  
Record Date:Meeting Type: SpecialTicker: OIBR4

Shares Voted: 193,415,707

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Ratify Meden Consultoria Empresarial Ltda. (Meden) as Independent Firm to Appraise Proposed Transaction	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company; and* The company has presented a sound rationale for the transaction and the associated declaration					
2	Approve Independent Firm's Appraisals Re: Telemar Norte Leste S.A. (Telemar)	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company; and* The company has presented a sound rationale for the transaction and the associated declaration					
3	Approve Independent Firm's Appraisal Re: Brasil Telecom Comunicacao Multimidia S.A. (BTCM)	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company; and* The company has presented reasonable rationale for the transaction.					
4	Approve Agreement to Absorb Telemar Norte Leste S.A. (Telemar)	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company; and* The company has presented a sound rationale for the transaction and the associated declaration					
5	Approve Absorption of Telemar Norte Leste S.A. (Telemar) and Amend Article 5 Accordingly	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company; and* The company has presented a sound rationale for the transaction and the associated declaration					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Oi SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Approve Agreement for Partial Spin-Off of Brasil Telecom Comunicacao Multimidia S.A. (BTCM) and Absorption of Partial Spun-Off Assets	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company; and* The company has presented reasonable rationale for the transaction.</i>					
7	Approve Partial Spin-Off of Brasil Telecom Comunicacao Multimidia S.A. (BTCM) and Absorption of Partial Spun-Off Assets	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company; and* The company has presented reasonable rationale for the transaction.</i>					
8	Amend Article 2 Re: Corporate Purpose	Mgmt	For	For	For
9	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For	For

### Omega Geracao SA

<b>Meeting Date:</b> 04/30/2021	<b>Country:</b> Brazil	<b>Primary Security ID:</b> P7S591105
<b>Record Date:</b>	<b>Meeting Type:</b> Annual	<b>Ticker:</b> OMGE3
<b>Shares Voted:</b> 249,062		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available and there are no independent auditor qualifications; and* There are no known concerns regarding the company's financial statements or audit procedures.</i>					
2	Accept Management Statements for Fiscal Year Ended Dec. 31, 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these routine proposals is warranted because:* Audited financials are available and there are no independent auditor qualifications; and* There are no known concerns regarding the company's financial statements or audit procedures.</i>					
3	Approve Capital Budget	Mgmt	For	For	For
4	Approve Allocation of Income and Dividends	Mgmt	For	For	For
5	Approve Remuneration of Company's Management	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this proposal is warranted because the figure reported by the company for the total compensation of its highest-paid administrator does not appear inclusive of all elements of the executive's pay and the company failed to provide a rationale for the proposed material year-over-year global cap increase.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Omega Geracao SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Do You Wish to Request Installation of a Fiscal Council, Under the Terms of Article 161 of the Brazilian Corporate Law?	Mgmt	None	For	For

Omega Geracao SA

Meeting Date: 04/30/2021	Country: Brazil	Primary Security ID: P7S591105
Record Date:	Meeting Type: Special	Ticker: OMGE3
Shares Voted: 249,062		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Amend Article 3 Re: Corporate Purpose	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted given that the proposed amendment is neutral to shareholder value.					
2	Consolidate Bylaws	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted given that the proposed amendment is neutral to shareholder value.					
3	Approve Agreement to Absorb Asteri, Gargau, SVP, Chui Holding, SPEs Lote 1 - Chui and SPEs Lote 2 - Chui	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The proposals would allow the company to acquire the remaining minority stakes in a number of controlled subsidiaries;* The company has provided a reasonable rationale for the transactions; and* There are no known concerns regarding the valuation or the terms of the deal, which would result in a reasonable dilution of 1.6 percent to current shareholders.					
4	Ratify Grant Thornton Corporate Consultores de Negocios Ltda. as Independent Firm to Appraise Proposed Transactions	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The proposals would allow the company to acquire the remaining minority stakes in a number of controlled subsidiaries;* The company has provided a reasonable rationale for the transactions; and* There are no known concerns regarding the valuation or the terms of the deal, which would result in a reasonable dilution of 1.6 percent to current shareholders.					
5	Approve Independent Firm's Appraisals	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The proposals would allow the company to acquire the remaining minority stakes in a number of controlled subsidiaries;* The company has provided a reasonable rationale for the transactions; and* There are no known concerns regarding the valuation or the terms of the deal, which would result in a reasonable dilution of 1.6 percent to current shareholders.					
6	Approve Absorption of Asteri Energia S.A.	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of the subsidiaries;* The absorptions will not result in any transfer of cash or shares away from the company; and* The proposed transactions are neutral to shareholders.					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Omega Geracao SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Approve Absorption of Gargau Energetica S.A.	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of the subsidiaries;* The absorptions will not result in any transfer of cash or shares away from the company; and* The proposed transactions are neutral to shareholders.</i>					
8	Approve Absorption of Santa Vitoria do Palmar Holding S.A.	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The proposals would allow the company to acquire the remaining minority stakes in a number of controlled subsidiaries;* The company has provided a reasonable rationale for the transactions; and* There are no known concerns regarding the valuation or the terms of the deal, which would result in a reasonable dilution of 1.6 percent to current shareholders.</i>					
9	Approve Absorption of Chui Holding S.A.	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The proposals would allow the company to acquire the remaining minority stakes in a number of controlled subsidiaries;* The company has provided a reasonable rationale for the transactions; and* There are no known concerns regarding the valuation or the terms of the deal, which would result in a reasonable dilution of 1.6 percent to current shareholders.</i>					
10	Approve Absorption of SPEs Lote 1 - Chui	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The proposals would allow the company to acquire the remaining minority stakes in a number of controlled subsidiaries;* The company has provided a reasonable rationale for the transactions; and* There are no known concerns regarding the valuation or the terms of the deal, which would result in a reasonable dilution of 1.6 percent to current shareholders.</i>					
11	Approve Absorption of SPEs Lote 2 - Chui	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The proposals would allow the company to acquire the remaining minority stakes in a number of controlled subsidiaries;* The company has provided a reasonable rationale for the transactions; and* There are no known concerns regarding the valuation or the terms of the deal, which would result in a reasonable dilution of 1.6 percent to current shareholders.</i>					
12	Approve Capital Increase in Connection with the Transactions	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The proposals would allow the company to acquire the remaining minority stakes in a number of controlled subsidiaries;* The company has provided a reasonable rationale for the transactions; and* There are no known concerns regarding the valuation or the terms of the deal, which would result in a reasonable dilution of 1.6 percent to current shareholders.</i>					
13	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For	For

### Smurfit Kappa Group Plc

<b>Meeting Date:</b> 04/30/2021	<b>Country:</b> Ireland	<b>Primary Security ID:</b> G8248F104
<b>Record Date:</b> 04/26/2021	<b>Meeting Type:</b> Annual	<b>Ticker:</b> SKG

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Smurfit Kappa Group Plc

Shares Voted: 67,063

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Approve Remuneration Policy	Mgmt	For	For	For
4	Approve Final Dividend	Mgmt	For	For	For
5	Elect Kaisa Hietala as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
6a	Re-elect Irial Finan as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
6b	Re-elect Anthony Smurfit as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
6c	Re-elect Ken Bowles as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
6d	Re-elect Anne Anderson as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
6e	Re-elect Frits Beurskens as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
6f	Re-elect Carol Fairweather as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
6g	Re-elect James Lawrence as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
6h	Re-elect Dr Lourdes Melgar as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
6i	Re-elect John Moloney as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
6j	Re-elect Jorgen Rasmussen as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
6k	Re-elect Gonzalo Restrepo as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
7	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Smurfit Kappa Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8	Authorise Issue of Equity	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
9	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
10	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
11	Authorise Market Purchase of Shares	Mgmt	For	For	For
12	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
13	Approve Increase in the Maximum Award Opportunity in the Rules of the 2018 Performance Share Plan	Mgmt	For	For	For

### The Phoenix Mills Limited

**Meeting Date:** 04/30/2021      **Country:** India      **Primary Security ID:** Y6973B132  
**Record Date:** 03/26/2021      **Meeting Type:** Court      **Ticker:** 503100

**Shares Voted:** 5,669,726

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Court-Ordered Meeting for Shareholders	Mgmt			
1	Approve Scheme of Amalgamation (Merger by Absorption)	Mgmt	For	For	For

### Vale SA

**Meeting Date:** 04/30/2021      **Country:** Brazil      **Primary Security ID:** P9661Q155  
**Record Date:** 03/26/2021      **Meeting Type:** Annual/Special      **Ticker:** VALE3

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Vale SA

Shares Voted: 13,336,784

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
	Annual Meeting Agenda	Mgmt			
1	Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2020	Mgmt	For	For	For
2	Approve Allocation of Income and Dividends	Mgmt	For	For	For
3	Fix Number of Directors at 13	Mgmt	For	For	For
4	Do You Wish to Adopt Cumulative Voting for the Election of the Members of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	Mgmt	None	Abstain	Abstain

*Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vale has disclosed 16 candidates disputing 12 available board seats, indicating a contested election in which management disclosed 12 board candidates and dissident shareholders appointed four board candidates under a unbundled majority election (Items 5.1-5.16). By the time this analysis was finalized, there was no publicly available information regarding a cumulative voting request presented by shareholders, which can be requested by holders of 5 percent of the company's shares up to 48 hours prior to the meeting. As such, an ABSTAIN vote on Item 4 (Do You Wish to Adopt Cumulative Voting...) is recommended. Nonetheless, as a dispersed capital company, in the event a cumulative voting request is presented up to 48 hours prior to the meeting, and Vale's board election is carried out under such election format, vote recommendations are being provided for these agenda items, as follows: \* Item 6 (In Case Cumulative Voting is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees?): FOR to allow the automatic distribution of votes solely among the candidates being supported by shareholders under cumulative voting; \* Items 7.1, 7.3-7.6, 7.8-7.12, and 7.15 (management nominees Jose Luciano Duarte Penido, Clinton James Dines, Eduardo de Oliveira Rodrigues, Elaine Dorward-King, Jose Mauricio Pereira Coelho, Manuel Lino Silva de Sousa, Maria Fernanda dos Santos Teixeira, Murilo Cesar Lemos dos Santos Passos, Roger Allan Downey, Sandra Maria Guerra de Azevedo and shareholder nominee Rachel de Oliveria Maia): FOR, consistent with the vote recommendations presented under the majority election, as further discussed under Items 5.1-5.16; \* Item 7.2 (management incumbent nominee Fernando Jorge Buso Gomes): cautionary FOR, as further discussed under the majority election (Items 5.1-5.16); \* Items 7.7, 7.13, 7.14 and 7.16 (new non-independent management nominee Ken Yasuhara and independent shareholders nominees Marcelo Gasparino da Silva, Mauro Gentile Rodrigues da Cunha and Roberto da Cunha Castello Branco): ABSTAIN, as further discussed under the majority election (Items 5.1-5.16).*

Shareholders Must Vote For Only 12 of the  
 Candidates Under Items 5.1-5.16

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.1	Elect Jose Luciano Duarte Penido as Independent Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Vale has disclosed a contested election with 16 board nominees disputing 12 board seats. The majority election will be carried out individually, allowing shareholders to vote separately on each nominee. Management has disclosed 12 board candidates (Items 5.1-5.12), among them five new nominees, while dissident shareholders nominated four independent director candidates, including three new nominees and one incumbent independent director (Items 5.13-5.16). Vale has adopted a robust nomination process, which was well-communicated to the market, has made material changes to its administration in the last years following the Brumadinho disaster, and is proposing for the first time a majority independent board with most of the management candidates being either recently appointed to the board for the first time or new nominees. Meanwhile, dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change and the proposed election of four directors, representing one-third of the available seats. While all candidates appear to be well qualified, vote recommendations under this contested election are as follows: * Votes FOR management nominees Jose Luciano Duarte Penido (Item 5.1), Clinton James Dimes (Item 5.3), Eduardo de Oliveira Rodrigues Filho (Item 5.4), Elaine Dorward-King (Item 5.5), Jose Mauricio Pereira Coelho (Item 5.6), Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) (Item 5.8), Maria Fernanda dos Santos Teixeira (Item 5.9), Murilo Cesar Lemos dos Santos Passos (Item 5.10), Roger Allan Downey (Item 5.11), and Sandra Maria Guerra de Azevedo (Item 5.12) due to the reasonable overall board independence; * A cautionary vote FOR incumbent non-independent management nominee Fernando Jorge Buso Gomes (Item 5.2), currently the longest serving Vale's director and the only director who has been on the board during both dam disasters in 2015 and 2019, due to the reasonable rationale presented by the company regarding "a balanced transition process"; * A vote AGAINST new non-independent management nominee Ken Yasuhara (Item 5.7) as the director appears to lack board experience, having served only as an alternate member since 2020; * ABSTAIN votes for dissident nominees Marcelo Gasparino da Silva (Item 5.13), Mauro Gentile Rodrigues da Cunha (Item 5.14), and Roberto da Cunha Castello Branco (Item 5.16) as dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change through the election of up to one-third of the board; and * A vote FOR dissident independent new nominee Rachel de Oliveira Maia (Item 5.15) as the director candidate appears to add to the skill matrix developed by the company for its board, would likely add relevant experience to the board, and increase overall board independence, as well as gender and racial diversity. The recommendation to vote against or abstain from supporting certain director candidates should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.2	Elect Fernando Jorge Buso Gomes as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Vale has disclosed a contested election with 16 board nominees disputing 12 board seats. The majority election will be carried out individually, allowing shareholders to vote separately on each nominee. Management has disclosed 12 board candidates (Items 5.1-5.12), among them five new nominees, while dissident shareholders nominated four independent director candidates, including three new nominees and one incumbent independent director (Items 5.13-5.16). Vale has adopted a robust nomination process, which was well-communicated to the market, has made material changes to its administration in the last years following the Brumadinho disaster, and is proposing for the first time a majority independent board with most of the management candidates being either recently appointed to the board for the first time or new nominees. Meanwhile, dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change and the proposed election of four directors, representing one-third of the available seats. While all candidates appear to be well qualified, vote recommendations under this contested election are as follows: * Votes FOR management nominees Jose Luciano Duarte Penido (Item 5.1), Clinton James Dimes (Item 5.3), Eduardo de Oliveira Rodrigues Filho (Item 5.4), Elaine Dorward-King (Item 5.5), Jose Mauricio Pereira Coelho (Item 5.6), Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) (Item 5.8), Maria Fernanda dos Santos Teixeira (Item 5.9), Murilo Cesar Lemos dos Santos Passos (Item 5.10), Roger Allan Downey (Item 5.11), and Sandra Maria Guerra de Azevedo (Item 5.12) due to the reasonable overall board independence; * A cautionary vote FOR incumbent non-independent management nominee Fernando Jorge Buso Gomes (Item 5.2), currently the longest serving Vale's director and the only director who has been on the board during both dam disasters in 2015 and 2019, due to the reasonable rationale presented by the company regarding "a balanced transition process"; * A vote AGAINST new non-independent management nominee Ken Yasuhara (Item 5.7) as the director appears to lack board experience, having served only as an alternate member since 2020; * ABSTAIN votes for dissident nominees Marcelo Gasparino da Silva (Item 5.13), Mauro Gentile Rodrigues da Cunha (Item 5.14), and Roberto da Cunha Castello Branco (Item 5.16) as dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change through the election of up to one-third of the board; and * A vote FOR dissident independent new nominee Rachel de Oliveira Maia (Item 5.15) as the director candidate appears to add to the skill matrix developed by the company for its board, would likely add relevant experience to the board, and increase overall board independence, as well as gender and racial diversity. The recommendation to vote against or abstain from supporting certain director candidates should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.3	Elect Clinton James Dines as Independent Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Vale has disclosed a contested election with 16 board nominees disputing 12 board seats. The majority election will be carried out individually, allowing shareholders to vote separately on each nominee. Management has disclosed 12 board candidates (Items 5.1-5.12), among them five new nominees, while dissident shareholders nominated four independent director candidates, including three new nominees and one incumbent independent director (Items 5.13-5.16). Vale has adopted a robust nomination process, which was well-communicated to the market, has made material changes to its administration in the last years following the Brumadinho disaster, and is proposing for the first time a majority independent board with most of the management candidates being either recently appointed to the board for the first time or new nominees. Meanwhile, dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change and the proposed election of four directors, representing one-third of the available seats. While all candidates appear to be well qualified, vote recommendations under this contested election are as follows: * Votes FOR management nominees Jose Luciano Duarte Penido (Item 5.1), Clinton James Dimes (Item 5.3), Eduardo de Oliveira Rodrigues Filho (Item 5.4), Elaine Dorward-King (Item 5.5), Jose Mauricio Pereira Coelho (Item 5.6), Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) (Item 5.8), Maria Fernanda dos Santos Teixeira (Item 5.9), Murilo Cesar Lemos dos Santos Passos (Item 5.10), Roger Allan Downey (Item 5.11), and Sandra Maria Guerra de Azevedo (Item 5.12) due to the reasonable overall board independence; * A cautionary vote FOR incumbent non-independent management nominee Fernando Jorge Buso Gomes (Item 5.2), currently the longest serving Vale's director and the only director who has been on the board during both dam disasters in 2015 and 2019, due to the reasonable rationale presented by the company regarding "a balanced transition process"; * A vote AGAINST new non-independent management nominee Ken Yasuhara (Item 5.7) as the director appears to lack board experience, having served only as an alternate member since 2020; * ABSTAIN votes for dissident nominees Marcelo Gasparino da Silva (Item 5.13), Mauro Gentile Rodrigues da Cunha (Item 5.14), and Roberto da Cunha Castello Branco (Item 5.16) as dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change through the election of up to one-third of the board; and * A vote FOR dissident independent new nominee Rachel de Oliveira Maia (Item 5.15) as the director candidate appears to add to the skill matrix developed by the company for its board, would likely add relevant experience to the board, and increase overall board independence, as well as gender and racial diversity. The recommendation to vote against or abstain from supporting certain director candidates should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.4	Elect Eduardo de Oliveira Rodrigues Filho as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Vale has disclosed a contested election with 16 board nominees disputing 12 board seats. The majority election will be carried out individually, allowing shareholders to vote separately on each nominee. Management has disclosed 12 board candidates (Items 5.1-5.12), among them five new nominees, while dissident shareholders nominated four independent director candidates, including three new nominees and one incumbent independent director (Items 5.13-5.16). Vale has adopted a robust nomination process, which was well-communicated to the market, has made material changes to its administration in the last years following the Brumadinho disaster, and is proposing for the first time a majority independent board with most of the management candidates being either recently appointed to the board for the first time or new nominees. Meanwhile, dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change and the proposed election of four directors, representing one-third of the available seats. While all candidates appear to be well qualified, vote recommendations under this contested election are as follows: * Votes FOR management nominees Jose Luciano Duarte Penido (Item 5.1), Clinton James Dimes (Item 5.3), Eduardo de Oliveira Rodrigues Filho (Item 5.4), Elaine Dorward-King (Item 5.5), Jose Mauricio Pereira Coelho (Item 5.6), Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) (Item 5.8), Maria Fernanda dos Santos Teixeira (Item 5.9), Murilo Cesar Lemos dos Santos Passos (Item 5.10), Roger Allan Downey (Item 5.11), and Sandra Maria Guerra de Azevedo (Item 5.12) due to the reasonable overall board independence; * A cautionary vote FOR incumbent non-independent management nominee Fernando Jorge Buso Gomes (Item 5.2), currently the longest serving Vale's director and the only director who has been on the board during both dam disasters in 2015 and 2019, due to the reasonable rationale presented by the company regarding "a balanced transition process"; * A vote AGAINST new non-independent management nominee Ken Yasuhara (Item 5.7) as the director appears to lack board experience, having served only as an alternate member since 2020; * ABSTAIN votes for dissident nominees Marcelo Gasparino da Silva (Item 5.13), Mauro Gentile Rodrigues da Cunha (Item 5.14), and Roberto da Cunha Castello Branco (Item 5.16) as dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change through the election of up to one-third of the board; and * A vote FOR dissident independent new nominee Rachel de Oliveira Maia (Item 5.15) as the director candidate appears to add to the skill matrix developed by the company for its board, would likely add relevant experience to the board, and increase overall board independence, as well as gender and racial diversity. The recommendation to vote against or abstain from supporting certain director candidates should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.5	Elect Elaine Dorward-King as Independent Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Vale has disclosed a contested election with 16 board nominees disputing 12 board seats. The majority election will be carried out individually, allowing shareholders to vote separately on each nominee. Management has disclosed 12 board candidates (Items 5.1-5.12), among them five new nominees, while dissident shareholders nominated four independent director candidates, including three new nominees and one incumbent independent director (Items 5.13-5.16). Vale has adopted a robust nomination process, which was well-communicated to the market, has made material changes to its administration in the last years following the Brumadinho disaster, and is proposing for the first time a majority independent board with most of the management candidates being either recently appointed to the board for the first time or new nominees. Meanwhile, dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change and the proposed election of four directors, representing one-third of the available seats. While all candidates appear to be well qualified, vote recommendations under this contested election are as follows: * Votes FOR management nominees Jose Luciano Duarte Penido (Item 5.1), Clinton James Dimes (Item 5.3), Eduardo de Oliveira Rodrigues Filho (Item 5.4), Elaine Dorward-King (Item 5.5), Jose Mauricio Pereira Coelho (Item 5.6), Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) (Item 5.8), Maria Fernanda dos Santos Teixeira (Item 5.9), Murilo Cesar Lemos dos Santos Passos (Item 5.10), Roger Allan Downey (Item 5.11), and Sandra Maria Guerra de Azevedo (Item 5.12) due to the reasonable overall board independence; * A cautionary vote FOR incumbent non-independent management nominee Fernando Jorge Buso Gomes (Item 5.2), currently the longest serving Vale's director and the only director who has been on the board during both dam disasters in 2015 and 2019, due to the reasonable rationale presented by the company regarding "a balanced transition process"; * A vote AGAINST new non-independent management nominee Ken Yasuhara (Item 5.7) as the director appears to lack board experience, having served only as an alternate member since 2020; * ABSTAIN votes for dissident nominees Marcelo Gasparino da Silva (Item 5.13), Mauro Gentile Rodrigues da Cunha (Item 5.14), and Roberto da Cunha Castello Branco (Item 5.16) as dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change through the election of up to one-third of the board; and * A vote FOR dissident independent new nominee Rachel de Oliveira Maia (Item 5.15) as the director candidate appears to add to the skill matrix developed by the company for its board, would likely add relevant experience to the board, and increase overall board independence, as well as gender and racial diversity. The recommendation to vote against or abstain from supporting certain director candidates should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.6	Elect Jose Mauricio Pereira Coelho as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Vale has disclosed a contested election with 16 board nominees disputing 12 board seats. The majority election will be carried out individually, allowing shareholders to vote separately on each nominee. Management has disclosed 12 board candidates (Items 5.1-5.12), among them five new nominees, while dissident shareholders nominated four independent director candidates, including three new nominees and one incumbent independent director (Items 5.13-5.16). Vale has adopted a robust nomination process, which was well-communicated to the market, has made material changes to its administration in the last years following the Brumadinho disaster, and is proposing for the first time a majority independent board with most of the management candidates being either recently appointed to the board for the first time or new nominees. Meanwhile, dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change and the proposed election of four directors, representing one-third of the available seats. While all candidates appear to be well qualified, vote recommendations under this contested election are as follows: * Votes FOR management nominees Jose Luciano Duarte Penido (Item 5.1), Clinton James Dimes (Item 5.3), Eduardo de Oliveira Rodrigues Filho (Item 5.4), Elaine Dorward-King (Item 5.5), Jose Mauricio Pereira Coelho (Item 5.6), Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) (Item 5.8), Maria Fernanda dos Santos Teixeira (Item 5.9), Murilo Cesar Lemos dos Santos Passos (Item 5.10), Roger Allan Downey (Item 5.11), and Sandra Maria Guerra de Azevedo (Item 5.12) due to the reasonable overall board independence; * A cautionary vote FOR incumbent non-independent management nominee Fernando Jorge Buso Gomes (Item 5.2), currently the longest serving Vale's director and the only director who has been on the board during both dam disasters in 2015 and 2019, due to the reasonable rationale presented by the company regarding "a balanced transition process"; * A vote AGAINST new non-independent management nominee Ken Yasuhara (Item 5.7) as the director appears to lack board experience, having served only as an alternate member since 2020; * ABSTAIN votes for dissident nominees Marcelo Gasparino da Silva (Item 5.13), Mauro Gentile Rodrigues da Cunha (Item 5.14), and Roberto da Cunha Castello Branco (Item 5.16) as dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change through the election of up to one-third of the board; and * A vote FOR dissident independent new nominee Rachel de Oliveira Maia (Item 5.15) as the director candidate appears to add to the skill matrix developed by the company for its board, would likely add relevant experience to the board, and increase overall board independence, as well as gender and racial diversity. The recommendation to vote against or abstain from supporting certain director candidates should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					
5.7	Elect Ken Yasuhara as Director	Mgmt	For	Against	For
<p><i>Voting Policy Rationale: Vale has disclosed a contested election with 16 board nominees disputing 12 board seats. The majority election will be carried out individually, allowing shareholders to vote separately on each nominee. Management has disclosed 12 board candidates (Items 5.1-5.12), among them five new nominees, while dissident shareholders nominated four independent director candidates, including three new nominees and one incumbent independent director (Items 5.13-5.16). Vale has adopted a robust nomination process, which was well-communicated to the market, has made material changes to its administration in the last years following the Brumadinho disaster, and is proposing for the first time a majority independent board with most of the management candidates being either recently appointed to the board for the first time or new nominees. Meanwhile, dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change and the proposed election of four directors, representing one-third of the available seats. While all candidates appear to be well qualified, vote recommendations under this contested election are as follows: * Votes FOR management nominees Jose Luciano Duarte Penido (Item 5.1), Clinton James Dimes (Item 5.3), Eduardo de Oliveira Rodrigues Filho (Item 5.4), Elaine Dorward-King (Item 5.5), Jose Mauricio Pereira Coelho (Item 5.6), Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) (Item 5.8), Maria Fernanda dos Santos Teixeira (Item 5.9), Murilo Cesar Lemos dos Santos Passos (Item 5.10), Roger Allan Downey (Item 5.11), and Sandra Maria Guerra de Azevedo (Item 5.12) due to the reasonable overall board independence; * A cautionary vote FOR incumbent non-independent management nominee Fernando Jorge Buso Gomes (Item 5.2), currently the longest serving Vale's director and the only director who has been on the board during both dam disasters in 2015 and 2019, due to the reasonable rationale presented by the company regarding "a balanced transition process"; * A vote AGAINST new non-independent management nominee Ken Yasuhara (Item 5.7) as the director appears to lack board experience, having served only as an alternate member since 2020; * ABSTAIN votes for dissident nominees Marcelo Gasparino da Silva (Item 5.13), Mauro Gentile Rodrigues da Cunha (Item 5.14), and Roberto da Cunha Castello Branco (Item 5.16) as dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change through the election of up to one-third of the board; and * A vote FOR dissident independent new nominee Rachel de Oliveira Maia (Item 5.15) as the director candidate appears to add to the skill matrix developed by the company for its board, would likely add relevant experience to the board, and increase overall board independence, as well as gender and racial diversity. The recommendation to vote against or abstain from supporting certain director candidates should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					

Vote Summary Report

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Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.8	Elect Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) as Independent Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Vale has disclosed a contested election with 16 board nominees disputing 12 board seats. The majority election will be carried out individually, allowing shareholders to vote separately on each nominee. Management has disclosed 12 board candidates (Items 5.1-5.12), among them five new nominees, while dissident shareholders nominated four independent director candidates, including three new nominees and one incumbent independent director (Items 5.13-5.16). Vale has adopted a robust nomination process, which was well-communicated to the market, has made material changes to its administration in the last years following the Brumadinho disaster, and is proposing for the first time a majority independent board with most of the management candidates being either recently appointed to the board for the first time or new nominees. Meanwhile, dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change and the proposed election of four directors, representing one-third of the available seats. While all candidates appear to be well qualified, vote recommendations under this contested election are as follows: * Votes FOR management nominees Jose Luciano Duarte Penido (Item 5.1), Clinton James Dimes (Item 5.3), Eduardo de Oliveira Rodrigues Filho (Item 5.4), Elaine Dorward-King (Item 5.5), Jose Mauricio Pereira Coelho (Item 5.6), Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) (Item 5.8), Maria Fernanda dos Santos Teixeira (Item 5.9), Murilo Cesar Lemos dos Santos Passos (Item 5.10), Roger Allan Downey (Item 5.11), and Sandra Maria Guerra de Azevedo (Item 5.12) due to the reasonable overall board independence; * A cautionary vote FOR incumbent non-independent management nominee Fernando Jorge Buso Gomes (Item 5.2), currently the longest serving Vale's director and the only director who has been on the board during both dam disasters in 2015 and 2019, due to the reasonable rationale presented by the company regarding "a balanced transition process"; * A vote AGAINST new non-independent management nominee Ken Yasuhara (Item 5.7) as the director appears to lack board experience, having served only as an alternate member since 2020; * ABSTAIN votes for dissident nominees Marcelo Gasparino da Silva (Item 5.13), Mauro Gentile Rodrigues da Cunha (Item 5.14), and Roberto da Cunha Castello Branco (Item 5.16) as dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change through the election of up to one-third of the board; and * A vote FOR dissident independent new nominee Rachel de Oliveira Maia (Item 5.15) as the director candidate appears to add to the skill matrix developed by the company for its board, would likely add relevant experience to the board, and increase overall board independence, as well as gender and racial diversity. The recommendation to vote against or abstain from supporting certain director candidates should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					

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Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.9	Elect Maria Fernanda dos Santos Teixeira as Independent Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Vale has disclosed a contested election with 16 board nominees disputing 12 board seats. The majority election will be carried out individually, allowing shareholders to vote separately on each nominee. Management has disclosed 12 board candidates (Items 5.1-5.12), among them five new nominees, while dissident shareholders nominated four independent director candidates, including three new nominees and one incumbent independent director (Items 5.13-5.16). Vale has adopted a robust nomination process, which was well-communicated to the market, has made material changes to its administration in the last years following the Brumadinho disaster, and is proposing for the first time a majority independent board with most of the management candidates being either recently appointed to the board for the first time or new nominees. Meanwhile, dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change and the proposed election of four directors, representing one-third of the available seats. While all candidates appear to be well qualified, vote recommendations under this contested election are as follows: * Votes FOR management nominees Jose Luciano Duarte Penido (Item 5.1), Clinton James Dimes (Item 5.3), Eduardo de Oliveira Rodrigues Filho (Item 5.4), Elaine Dorward-King (Item 5.5), Jose Mauricio Pereira Coelho (Item 5.6), Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) (Item 5.8), Maria Fernanda dos Santos Teixeira (Item 5.9), Murilo Cesar Lemos dos Santos Passos (Item 5.10), Roger Allan Downey (Item 5.11), and Sandra Maria Guerra de Azevedo (Item 5.12) due to the reasonable overall board independence; * A cautionary vote FOR incumbent non-independent management nominee Fernando Jorge Buso Gomes (Item 5.2), currently the longest serving Vale's director and the only director who has been on the board during both dam disasters in 2015 and 2019, due to the reasonable rationale presented by the company regarding "a balanced transition process"; * A vote AGAINST new non-independent management nominee Ken Yasuhara (Item 5.7) as the director appears to lack board experience, having served only as an alternate member since 2020; * ABSTAIN votes for dissident nominees Marcelo Gasparino da Silva (Item 5.13), Mauro Gentile Rodrigues da Cunha (Item 5.14), and Roberto da Cunha Castello Branco (Item 5.16) as dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change through the election of up to one-third of the board; and * A vote FOR dissident independent new nominee Rachel de Oliveira Maia (Item 5.15) as the director candidate appears to add to the skill matrix developed by the company for its board, would likely add relevant experience to the board, and increase overall board independence, as well as gender and racial diversity. The recommendation to vote against or abstain from supporting certain director candidates should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					

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Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.10	Elect Murilo Cesar Lemos dos Santos Passos as Independent Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Vale has disclosed a contested election with 16 board nominees disputing 12 board seats. The majority election will be carried out individually, allowing shareholders to vote separately on each nominee. Management has disclosed 12 board candidates (Items 5.1-5.12), among them five new nominees, while dissident shareholders nominated four independent director candidates, including three new nominees and one incumbent independent director (Items 5.13-5.16). Vale has adopted a robust nomination process, which was well-communicated to the market, has made material changes to its administration in the last years following the Brumadinho disaster, and is proposing for the first time a majority independent board with most of the management candidates being either recently appointed to the board for the first time or new nominees. Meanwhile, dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change and the proposed election of four directors, representing one-third of the available seats. While all candidates appear to be well qualified, vote recommendations under this contested election are as follows: * Votes FOR management nominees Jose Luciano Duarte Penido (Item 5.1), Clinton James Dimes (Item 5.3), Eduardo de Oliveira Rodrigues Filho (Item 5.4), Elaine Dorward-King (Item 5.5), Jose Mauricio Pereira Coelho (Item 5.6), Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) (Item 5.8), Maria Fernanda dos Santos Teixeira (Item 5.9), Murilo Cesar Lemos dos Santos Passos (Item 5.10), Roger Allan Downey (Item 5.11), and Sandra Maria Guerra de Azevedo (Item 5.12) due to the reasonable overall board independence; * A cautionary vote FOR incumbent non-independent management nominee Fernando Jorge Buso Gomes (Item 5.2), currently the longest serving Vale's director and the only director who has been on the board during both dam disasters in 2015 and 2019, due to the reasonable rationale presented by the company regarding "a balanced transition process"; * A vote AGAINST new non-independent management nominee Ken Yasuhara (Item 5.7) as the director appears to lack board experience, having served only as an alternate member since 2020; * ABSTAIN votes for dissident nominees Marcelo Gasparino da Silva (Item 5.13), Mauro Gentile Rodrigues da Cunha (Item 5.14), and Roberto da Cunha Castello Branco (Item 5.16) as dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change through the election of up to one-third of the board; and * A vote FOR dissident independent new nominee Rachel de Oliveira Maia (Item 5.15) as the director candidate appears to add to the skill matrix developed by the company for its board, would likely add relevant experience to the board, and increase overall board independence, as well as gender and racial diversity. The recommendation to vote against or abstain from supporting certain director candidates should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					

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Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.11	Elect Roger Allan Downey as Independent Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Vale has disclosed a contested election with 16 board nominees disputing 12 board seats. The majority election will be carried out individually, allowing shareholders to vote separately on each nominee. Management has disclosed 12 board candidates (Items 5.1-5.12), among them five new nominees, while dissident shareholders nominated four independent director candidates, including three new nominees and one incumbent independent director (Items 5.13-5.16). Vale has adopted a robust nomination process, which was well-communicated to the market, has made material changes to its administration in the last years following the Brumadinho disaster, and is proposing for the first time a majority independent board with most of the management candidates being either recently appointed to the board for the first time or new nominees. Meanwhile, dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change and the proposed election of four directors, representing one-third of the available seats. While all candidates appear to be well qualified, vote recommendations under this contested election are as follows: * Votes FOR management nominees Jose Luciano Duarte Penido (Item 5.1), Clinton James Dimes (Item 5.3), Eduardo de Oliveira Rodrigues Filho (Item 5.4), Elaine Dorward-King (Item 5.5), Jose Mauricio Pereira Coelho (Item 5.6), Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) (Item 5.8), Maria Fernanda dos Santos Teixeira (Item 5.9), Murilo Cesar Lemos dos Santos Passos (Item 5.10), Roger Allan Downey (Item 5.11), and Sandra Maria Guerra de Azevedo (Item 5.12) due to the reasonable overall board independence; * A cautionary vote FOR incumbent non-independent management nominee Fernando Jorge Buso Gomes (Item 5.2), currently the longest serving Vale's director and the only director who has been on the board during both dam disasters in 2015 and 2019, due to the reasonable rationale presented by the company regarding "a balanced transition process"; * A vote AGAINST new non-independent management nominee Ken Yasuhara (Item 5.7) as the director appears to lack board experience, having served only as an alternate member since 2020; * ABSTAIN votes for dissident nominees Marcelo Gasparino da Silva (Item 5.13), Mauro Gentile Rodrigues da Cunha (Item 5.14), and Roberto da Cunha Castello Branco (Item 5.16) as dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change through the election of up to one-third of the board; and * A vote FOR dissident independent new nominee Rachel de Oliveira Maia (Item 5.15) as the director candidate appears to add to the skill matrix developed by the company for its board, would likely add relevant experience to the board, and increase overall board independence, as well as gender and racial diversity. The recommendation to vote against or abstain from supporting certain director candidates should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					

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Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.12	Elect Sandra Maria Guerra de Azevedo as Independent Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Vale has disclosed a contested election with 16 board nominees disputing 12 board seats. The majority election will be carried out individually, allowing shareholders to vote separately on each nominee. Management has disclosed 12 board candidates (Items 5.1-5.12), among them five new nominees, while dissident shareholders nominated four independent director candidates, including three new nominees and one incumbent independent director (Items 5.13-5.16). Vale has adopted a robust nomination process, which was well-communicated to the market, has made material changes to its administration in the last years following the Brumadinho disaster, and is proposing for the first time a majority independent board with most of the management candidates being either recently appointed to the board for the first time or new nominees. Meanwhile, dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change and the proposed election of four directors, representing one-third of the available seats. While all candidates appear to be well qualified, vote recommendations under this contested election are as follows: * Votes FOR management nominees Jose Luciano Duarte Penido (Item 5.1), Clinton James Dimes (Item 5.3), Eduardo de Oliveira Rodrigues Filho (Item 5.4), Elaine Dorward-King (Item 5.5), Jose Mauricio Pereira Coelho (Item 5.6), Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) (Item 5.8), Maria Fernanda dos Santos Teixeira (Item 5.9), Murilo Cesar Lemos dos Santos Passos (Item 5.10), Roger Allan Downey (Item 5.11), and Sandra Maria Guerra de Azevedo (Item 5.12) due to the reasonable overall board independence; * A cautionary vote FOR incumbent non-independent management nominee Fernando Jorge Buso Gomes (Item 5.2), currently the longest serving Vale's director and the only director who has been on the board during both dam disasters in 2015 and 2019, due to the reasonable rationale presented by the company regarding "a balanced transition process"; * A vote AGAINST new non-independent management nominee Ken Yasuhara (Item 5.7) as the director appears to lack board experience, having served only as an alternate member since 2020; * ABSTAIN votes for dissident nominees Marcelo Gasparino da Silva (Item 5.13), Mauro Gentile Rodrigues da Cunha (Item 5.14), and Roberto da Cunha Castello Branco (Item 5.16) as dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change through the election of up to one-third of the board; and * A vote FOR dissident independent new nominee Rachel de Oliveira Maia (Item 5.15) as the director candidate appears to add to the skill matrix developed by the company for its board, would likely add relevant experience to the board, and increase overall board independence, as well as gender and racial diversity. The recommendation to vote against or abstain from supporting certain director candidates should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					

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Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.13	Elect Marcelo Gasparino da Silva as Independent Director Appointed by Shareholders	SH	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Vale has disclosed a contested election with 16 board nominees disputing 12 board seats. The majority election will be carried out individually, allowing shareholders to vote separately on each nominee. Management has disclosed 12 board candidates (Items 5.1-5.12), among them five new nominees, while dissident shareholders nominated four independent director candidates, including three new nominees and one incumbent independent director (Items 5.13-5.16). Vale has adopted a robust nomination process, which was well-communicated to the market, has made material changes to its administration in the last years following the Brumadinho disaster, and is proposing for the first time a majority independent board with most of the management candidates being either recently appointed to the board for the first time or new nominees. Meanwhile, dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change and the proposed election of four directors, representing one-third of the available seats. While all candidates appear to be well qualified, vote recommendations under this contested election are as follows: * Votes FOR management nominees Jose Luciano Duarte Penido (Item 5.1), Clinton James Dimes (Item 5.3), Eduardo de Oliveira Rodrigues Filho (Item 5.4), Elaine Dorward-King (Item 5.5), Jose Mauricio Pereira Coelho (Item 5.6), Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) (Item 5.8), Maria Fernanda dos Santos Teixeira (Item 5.9), Murilo Cesar Lemos dos Santos Passos (Item 5.10), Roger Allan Downey (Item 5.11), and Sandra Maria Guerra de Azevedo (Item 5.12) due to the reasonable overall board independence; * A cautionary vote FOR incumbent non-independent management nominee Fernando Jorge Buso Gomes (Item 5.2), currently the longest serving Vale's director and the only director who has been on the board during both dam disasters in 2015 and 2019, due to the reasonable rationale presented by the company regarding "a balanced transition process"; * A vote AGAINST new non-independent management nominee Ken Yasuhara (Item 5.7) as the director appears to lack board experience, having served only as an alternate member since 2020; * ABSTAIN votes for dissident nominees Marcelo Gasparino da Silva (Item 5.13), Mauro Gentile Rodrigues da Cunha (Item 5.14), and Roberto da Cunha Castello Branco (Item 5.16) as dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change through the election of up to one-third of the board; and * A vote FOR dissident independent new nominee Rachel de Oliveira Maia (Item 5.15) as the director candidate appears to add to the skill matrix developed by the company for its board, would likely add relevant experience to the board, and increase overall board independence, as well as gender and racial diversity. The recommendation to vote against or abstain from supporting certain director candidates should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					



## Vote Summary Report

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### Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.14	Elect Mauro Gentile Rodrigues da Cunha as Independent Director Appointed by Shareholders	SH	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Vale has disclosed a contested election with 16 board nominees disputing 12 board seats. The majority election will be carried out individually, allowing shareholders to vote separately on each nominee. Management has disclosed 12 board candidates (Items 5.1-5.12), among them five new nominees, while dissident shareholders nominated four independent director candidates, including three new nominees and one incumbent independent director (Items 5.13-5.16). Vale has adopted a robust nomination process, which was well-communicated to the market, has made material changes to its administration in the last years following the Brumadinho disaster, and is proposing for the first time a majority independent board with most of the management candidates being either recently appointed to the board for the first time or new nominees. Meanwhile, dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change and the proposed election of four directors, representing one-third of the available seats. While all candidates appear to be well qualified, vote recommendations under this contested election are as follows: * Votes FOR management nominees Jose Luciano Duarte Penido (Item 5.1), Clinton James Dimes (Item 5.3), Eduardo de Oliveira Rodrigues Filho (Item 5.4), Elaine Dorward-King (Item 5.5), Jose Mauricio Pereira Coelho (Item 5.6), Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) (Item 5.8), Maria Fernanda dos Santos Teixeira (Item 5.9), Murilo Cesar Lemos dos Santos Passos (Item 5.10), Roger Allan Downey (Item 5.11), and Sandra Maria Guerra de Azevedo (Item 5.12) due to the reasonable overall board independence; * A cautionary vote FOR incumbent non-independent management nominee Fernando Jorge Buso Gomes (Item 5.2), currently the longest serving Vale's director and the only director who has been on the board during both dam disasters in 2015 and 2019, due to the reasonable rationale presented by the company regarding "a balanced transition process"; * A vote AGAINST new non-independent management nominee Ken Yasuhara (Item 5.7) as the director appears to lack board experience, having served only as an alternate member since 2020; * ABSTAIN votes for dissident nominees Marcelo Gasparino da Silva (Item 5.13), Mauro Gentile Rodrigues da Cunha (Item 5.14), and Roberto da Cunha Castello Branco (Item 5.16) as dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change through the election of up to one-third of the board; and * A vote FOR dissident independent new nominee Rachel de Oliveira Maia (Item 5.15) as the director candidate appears to add to the skill matrix developed by the company for its board, would likely add relevant experience to the board, and increase overall board independence, as well as gender and racial diversity. The recommendation to vote against or abstain from supporting certain director candidates should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.15	Elect Rachel de Oliveira Maia as Independent Director Appointed by Shareholders	SH	None	For	Against
<p><i>Voting Policy Rationale: Vale has disclosed a contested election with 16 board nominees disputing 12 board seats. The majority election will be carried out individually, allowing shareholders to vote separately on each nominee. Management has disclosed 12 board candidates (Items 5.1-5.12), among them five new nominees, while dissident shareholders nominated four independent director candidates, including three new nominees and one incumbent independent director (Items 5.13-5.16). Vale has adopted a robust nomination process, which was well-communicated to the market, has made material changes to its administration in the last years following the Brumadinho disaster, and is proposing for the first time a majority independent board with most of the management candidates being either recently appointed to the board for the first time or new nominees. Meanwhile, dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change and the proposed election of four directors, representing one-third of the available seats. While all candidates appear to be well qualified, vote recommendations under this contested election are as follows: * Votes FOR management nominees Jose Luciano Duarte Penido (Item 5.1), Clinton James Dimes (Item 5.3), Eduardo de Oliveira Rodrigues Filho (Item 5.4), Elaine Dorward-King (Item 5.5), Jose Mauricio Pereira Coelho (Item 5.6), Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) (Item 5.8), Maria Fernanda dos Santos Teixeira (Item 5.9), Murilo Cesar Lemos dos Santos Passos (Item 5.10), Roger Allan Downey (Item 5.11), and Sandra Maria Guerra de Azevedo (Item 5.12) due to the reasonable overall board independence; * A cautionary vote FOR incumbent non-independent management nominee Fernando Jorge Buso Gomes (Item 5.2), currently the longest serving Vale's director and the only director who has been on the board during both dam disasters in 2015 and 2019, due to the reasonable rationale presented by the company regarding "a balanced transition process"; * A vote AGAINST new non-independent management nominee Ken Yasuhara (Item 5.7) as the director appears to lack board experience, having served only as an alternate member since 2020; * ABSTAIN votes for dissident nominees Marcelo Gasparino da Silva (Item 5.13), Mauro Gentile Rodrigues da Cunha (Item 5.14), and Roberto da Cunha Castello Branco (Item 5.16) as dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change through the election of up to one-third of the board; and * A vote FOR dissident independent new nominee Rachel de Oliveira Maia (Item 5.15) as the director candidate appears to add to the skill matrix developed by the company for its board, would likely add relevant experience to the board, and increase overall board independence, as well as gender and racial diversity. The recommendation to vote against or abstain from supporting certain director candidates should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					

## Vote Summary Report

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### Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.16	Elect Roberto da Cunha Castello Branco as Independent Director Appointed by Shareholders	SH	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Vale has disclosed a contested election with 16 board nominees disputing 12 board seats. The majority election will be carried out individually, allowing shareholders to vote separately on each nominee. Management has disclosed 12 board candidates (Items 5.1-5.12), among them five new nominees, while dissident shareholders nominated four independent director candidates, including three new nominees and one incumbent independent director (Items 5.13-5.16). Vale has adopted a robust nomination process, which was well-communicated to the market, has made material changes to its administration in the last years following the Brumadinho disaster, and is proposing for the first time a majority independent board with most of the management candidates being either recently appointed to the board for the first time or new nominees. Meanwhile, dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change and the proposed election of four directors, representing one-third of the available seats. While all candidates appear to be well qualified, vote recommendations under this contested election are as follows: * Votes FOR management nominees Jose Luciano Duarte Penido (Item 5.1), Clinton James Dimes (Item 5.3), Eduardo de Oliveira Rodrigues Filho (Item 5.4), Elaine Dorward-King (Item 5.5), Jose Mauricio Pereira Coelho (Item 5.6), Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) (Item 5.8), Maria Fernanda dos Santos Teixeira (Item 5.9), Murilo Cesar Lemos dos Santos Passos (Item 5.10), Roger Allan Downey (Item 5.11), and Sandra Maria Guerra de Azevedo (Item 5.12) due to the reasonable overall board independence; * A cautionary vote FOR incumbent non-independent management nominee Fernando Jorge Buso Gomes (Item 5.2), currently the longest serving Vale's director and the only director who has been on the board during both dam disasters in 2015 and 2019, due to the reasonable rationale presented by the company regarding "a balanced transition process"; * A vote AGAINST new non-independent management nominee Ken Yasuhara (Item 5.7) as the director appears to lack board experience, having served only as an alternate member since 2020; * ABSTAIN votes for dissident nominees Marcelo Gasparino da Silva (Item 5.13), Mauro Gentile Rodrigues da Cunha (Item 5.14), and Roberto da Cunha Castello Branco (Item 5.16) as dissident shareholders have failed to provide a compelling rationale and/or a strategy for additional change through the election of up to one-third of the board; and * A vote FOR dissident independent new nominee Rachel de Oliveira Maia (Item 5.15) as the director candidate appears to add to the skill matrix developed by the company for its board, would likely add relevant experience to the board, and increase overall board independence, as well as gender and racial diversity. The recommendation to vote against or abstain from supporting certain director candidates should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					
6	In Case Cumulative Voting Is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees below?	Mgmt	None	Abstain	Abstain
	IF CUMULATIVE VOTE IS ADOPTED - If Voting FOR Item 6: Votes Are Distributed in Equal % Amongst Nominees Voted FOR. An AGAINST Vote Is Required If Supporting Candidate(s) Under Cumulative and Not Supporting Any Director(s)/Slate Under Majority Voting	Mgmt			

## Vote Summary Report

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 Institution Account(s): All Institution Accounts

## Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.1	Percentage of Votes to Be Assigned - Elect Jose Luciano Duarte Penido as Independent Director	Mgmt	None	For	For
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vale has disclosed 16 candidates disputing 12 available board seats, indicating a contested election in which management disclosed 12 board candidates and dissident shareholders appointed four board candidates under a unbundled majority election (Items 5.1-.516).By the time this analysis was finalized, there was no publicly available information regarding a cumulative voting request presented by shareholders, which can be requested by holders of 5 percent of the company's shares up to 48 hours prior to the meeting. As such, an ABSTAIN vote on Item 4 (Do You Wish to Adopt Cumulative Voting...) is recommended.Nonetheless, as a dispersed capital company, in the event a cumulative voting request is presented up to 48 hours prior to the meeting, and Vale's board election is carried out under such election format, vote recommendations are being provided for these agenda items, as follows:* Item 6 (In Case Cumulative Voting is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees?): FOR to allow the automatic distribution of votes solely among the candidates being supported by shareholders under cumulative voting;* Items 7.1, 7.3-7.6, 7.8-7.12, and 7.15 (management nominees Jose Luciano Duarte Penido, Clinton James Dines, Eduardo de Oliveira Rodrigues, Elaine Dorward-King, Jose Mauricio Pereira Coelho, Manuel Lino Silva de Sousa, Maria Fernanda dos Santos Teixeira, Murilo Cesar Lemos dos Santos Passos, Roger Allan Downey, Sandra Maria Guerra de Azevedo and shareholder nominee Rachel de Oliveria Maia): FOR, consistent with the vote recommendations presented under the majority election, as further discussed under Items 5.1-5.16;* Item 7.2 (management incumbent nominee Fernando Jorge Buso Gomes): cautionary FOR, as further discussed under the majority election (Items 5.1-5.16);* Items 7.7, 7.13, 7.14 and 7.16 (new non-independent management nominee Ken Yasuhara and independent shareholders nominees Marcelo Gasparino da Silva, Mauro Gentile Rodrigues da Cunha and Roberto da Cunha Castello Branco): ABSTAIN, as further discussed under the majority election (Items 5.1-5.16).</i></p>					
7.2	Percentage of Votes to Be Assigned - Elect Fernando Jorge Buso Gomes as Director	Mgmt	None	For	For
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vale has disclosed 16 candidates disputing 12 available board seats, indicating a contested election in which management disclosed 12 board candidates and dissident shareholders appointed four board candidates under a unbundled majority election (Items 5.1-.516).By the time this analysis was finalized, there was no publicly available information regarding a cumulative voting request presented by shareholders, which can be requested by holders of 5 percent of the company's shares up to 48 hours prior to the meeting. As such, an ABSTAIN vote on Item 4 (Do You Wish to Adopt Cumulative Voting...) is recommended.Nonetheless, as a dispersed capital company, in the event a cumulative voting request is presented up to 48 hours prior to the meeting, and Vale's board election is carried out under such election format, vote recommendations are being provided for these agenda items, as follows:* Item 6 (In Case Cumulative Voting is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees?): FOR to allow the automatic distribution of votes solely among the candidates being supported by shareholders under cumulative voting;* Items 7.1, 7.3-7.6, 7.8-7.12, and 7.15 (management nominees Jose Luciano Duarte Penido, Clinton James Dines, Eduardo de Oliveira Rodrigues, Elaine Dorward-King, Jose Mauricio Pereira Coelho, Manuel Lino Silva de Sousa, Maria Fernanda dos Santos Teixeira, Murilo Cesar Lemos dos Santos Passos, Roger Allan Downey, Sandra Maria Guerra de Azevedo and shareholder nominee Rachel de Oliveria Maia): FOR, consistent with the vote recommendations presented under the majority election, as further discussed under Items 5.1-5.16;* Item 7.2 (management incumbent nominee Fernando Jorge Buso Gomes): cautionary FOR, as further discussed under the majority election (Items 5.1-5.16);* Items 7.7, 7.13, 7.14 and 7.16 (new non-independent management nominee Ken Yasuhara and independent shareholders nominees Marcelo Gasparino da Silva, Mauro Gentile Rodrigues da Cunha and Roberto da Cunha Castello Branco): ABSTAIN, as further discussed under the majority election (Items 5.1-5.16).</i></p>					

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 Institution Account(s): All Institution Accounts

### Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.3	Percentage of Votes to Be Assigned - Elect Clinton James Dines as Independent Director	Mgmt	None	For	For
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vale has disclosed 16 candidates disputing 12 available board seats, indicating a contested election in which management disclosed 12 board candidates and dissident shareholders appointed four board candidates under a unbundled majority election (Items 5.1-.516). By the time this analysis was finalized, there was no publicly available information regarding a cumulative voting request presented by shareholders, which can be requested by holders of 5 percent of the company's shares up to 48 hours prior to the meeting. As such, an ABSTAIN vote on Item 4 (Do You Wish to Adopt Cumulative Voting...) is recommended. Nonetheless, as a dispersed capital company, in the event a cumulative voting request is presented up to 48 hours prior to the meeting, and Vale's board election is carried out under such election format, vote recommendations are being provided for these agenda items, as follows: * Item 6 (In Case Cumulative Voting is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees?): FOR to allow the automatic distribution of votes solely among the candidates being supported by shareholders under cumulative voting; * Items 7.1, 7.3-7.6, 7.8-7.12, and 7.15 (management nominees Jose Luciano Duarte Penido, Clinton James Dines, Eduardo de Oliveira Rodrigues, Elaine Dorward-King, Jose Mauricio Pereira Coelho, Manuel Lino Silva de Sousa, Maria Fernanda dos Santos Teixeira, Murilo Cesar Lemos dos Santos Passos, Roger Allan Downey, Sandra Maria Guerra de Azevedo and shareholder nominee Rachel de Oliveria Maia): FOR, consistent with the vote recommendations presented under the majority election, as further discussed under Items 5.1-5.16; * Item 7.2 (management incumbent nominee Fernando Jorge Buso Gomes): cautionary FOR, as further discussed under the majority election (Items 5.1-5.16); * Items 7.7, 7.13, 7.14 and 7.16 (new non-independent management nominee Ken Yasuhara and independent shareholders nominees Marcelo Gasparino da Silva, Mauro Gentile Rodrigues da Cunha and Roberto da Cunha Castello Branco): ABSTAIN, as further discussed under the majority election (Items 5.1-5.16).</i></p>					
7.4	Percentage of Votes to Be Assigned - Elect Eduardo de Oliveira Rodrigues Filho as Director	Mgmt	None	For	For
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vale has disclosed 16 candidates disputing 12 available board seats, indicating a contested election in which management disclosed 12 board candidates and dissident shareholders appointed four board candidates under a unbundled majority election (Items 5.1-.516). By the time this analysis was finalized, there was no publicly available information regarding a cumulative voting request presented by shareholders, which can be requested by holders of 5 percent of the company's shares up to 48 hours prior to the meeting. As such, an ABSTAIN vote on Item 4 (Do You Wish to Adopt Cumulative Voting...) is recommended. Nonetheless, as a dispersed capital company, in the event a cumulative voting request is presented up to 48 hours prior to the meeting, and Vale's board election is carried out under such election format, vote recommendations are being provided for these agenda items, as follows: * Item 6 (In Case Cumulative Voting is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees?): FOR to allow the automatic distribution of votes solely among the candidates being supported by shareholders under cumulative voting; * Items 7.1, 7.3-7.6, 7.8-7.12, and 7.15 (management nominees Jose Luciano Duarte Penido, Clinton James Dines, Eduardo de Oliveira Rodrigues, Elaine Dorward-King, Jose Mauricio Pereira Coelho, Manuel Lino Silva de Sousa, Maria Fernanda dos Santos Teixeira, Murilo Cesar Lemos dos Santos Passos, Roger Allan Downey, Sandra Maria Guerra de Azevedo and shareholder nominee Rachel de Oliveria Maia): FOR, consistent with the vote recommendations presented under the majority election, as further discussed under Items 5.1-5.16; * Item 7.2 (management incumbent nominee Fernando Jorge Buso Gomes): cautionary FOR, as further discussed under the majority election (Items 5.1-5.16); * Items 7.7, 7.13, 7.14 and 7.16 (new non-independent management nominee Ken Yasuhara and independent shareholders nominees Marcelo Gasparino da Silva, Mauro Gentile Rodrigues da Cunha and Roberto da Cunha Castello Branco): ABSTAIN, as further discussed under the majority election (Items 5.1-5.16).</i></p>					

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 Institution Account(s): All Institution Accounts

## Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.5	Percentage of Votes to Be Assigned - Elect Elaine Dorward-King as Independent Director	Mgmt	None	For	For
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vale has disclosed 16 candidates disputing 12 available board seats, indicating a contested election in which management disclosed 12 board candidates and dissident shareholders appointed four board candidates under a unbundled majority election (Items 5.1-.516). By the time this analysis was finalized, there was no publicly available information regarding a cumulative voting request presented by shareholders, which can be requested by holders of 5 percent of the company's shares up to 48 hours prior to the meeting. As such, an ABSTAIN vote on Item 4 (Do You Wish to Adopt Cumulative Voting...) is recommended. Nonetheless, as a dispersed capital company, in the event a cumulative voting request is presented up to 48 hours prior to the meeting, and Vale's board election is carried out under such election format, vote recommendations are being provided for these agenda items, as follows: * Item 6 (In Case Cumulative Voting is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees?): FOR to allow the automatic distribution of votes solely among the candidates being supported by shareholders under cumulative voting; * Items 7.1, 7.3-7.6, 7.8-7.12, and 7.15 (management nominees Jose Luciano Duarte Penido, Clinton James Dines, Eduardo de Oliveira Rodrigues, Elaine Dorward-King, Jose Mauricio Pereira Coelho, Manuel Lino Silva de Sousa, Maria Fernanda dos Santos Teixeira, Murilo Cesar Lemos dos Santos Passos, Roger Allan Downey, Sandra Maria Guerra de Azevedo and shareholder nominee Rachel de Oliveria Maia): FOR, consistent with the vote recommendations presented under the majority election, as further discussed under Items 5.1-5.16; * Item 7.2 (management incumbent nominee Fernando Jorge Buso Gomes): cautionary FOR, as further discussed under the majority election (Items 5.1-5.16); * Items 7.7, 7.13, 7.14 and 7.16 (new non-independent management nominee Ken Yasuhara and independent shareholders nominees Marcelo Gasparino da Silva, Mauro Gentile Rodrigues da Cunha and Roberto da Cunha Castello Branco): ABSTAIN, as further discussed under the majority election (Items 5.1-5.16).</i></p>					
7.6	Percentage of Votes to Be Assigned - Elect Jose Mauricio Pereira Coelho as Director	Mgmt	None	For	For
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vale has disclosed 16 candidates disputing 12 available board seats, indicating a contested election in which management disclosed 12 board candidates and dissident shareholders appointed four board candidates under a unbundled majority election (Items 5.1-.516). By the time this analysis was finalized, there was no publicly available information regarding a cumulative voting request presented by shareholders, which can be requested by holders of 5 percent of the company's shares up to 48 hours prior to the meeting. As such, an ABSTAIN vote on Item 4 (Do You Wish to Adopt Cumulative Voting...) is recommended. Nonetheless, as a dispersed capital company, in the event a cumulative voting request is presented up to 48 hours prior to the meeting, and Vale's board election is carried out under such election format, vote recommendations are being provided for these agenda items, as follows: * Item 6 (In Case Cumulative Voting is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees?): FOR to allow the automatic distribution of votes solely among the candidates being supported by shareholders under cumulative voting; * Items 7.1, 7.3-7.6, 7.8-7.12, and 7.15 (management nominees Jose Luciano Duarte Penido, Clinton James Dines, Eduardo de Oliveira Rodrigues, Elaine Dorward-King, Jose Mauricio Pereira Coelho, Manuel Lino Silva de Sousa, Maria Fernanda dos Santos Teixeira, Murilo Cesar Lemos dos Santos Passos, Roger Allan Downey, Sandra Maria Guerra de Azevedo and shareholder nominee Rachel de Oliveria Maia): FOR, consistent with the vote recommendations presented under the majority election, as further discussed under Items 5.1-5.16; * Item 7.2 (management incumbent nominee Fernando Jorge Buso Gomes): cautionary FOR, as further discussed under the majority election (Items 5.1-5.16); * Items 7.7, 7.13, 7.14 and 7.16 (new non-independent management nominee Ken Yasuhara and independent shareholders nominees Marcelo Gasparino da Silva, Mauro Gentile Rodrigues da Cunha and Roberto da Cunha Castello Branco): ABSTAIN, as further discussed under the majority election (Items 5.1-5.16).</i></p>					

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## Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.7	Percentage of Votes to Be Assigned - Elect Ken Yasuhara as Director	Mgmt	None	Abstain	For
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vale has disclosed 16 candidates disputing 12 available board seats, indicating a contested election in which management disclosed 12 board candidates and dissident shareholders appointed four board candidates under a unbundled majority election (Items 5.1-.516). By the time this analysis was finalized, there was no publicly available information regarding a cumulative voting request presented by shareholders, which can be requested by holders of 5 percent of the company's shares up to 48 hours prior to the meeting. As such, an ABSTAIN vote on Item 4 (Do You Wish to Adopt Cumulative Voting...) is recommended. Nonetheless, as a dispersed capital company, in the event a cumulative voting request is presented up to 48 hours prior to the meeting, and Vale's board election is carried out under such election format, vote recommendations are being provided for these agenda items, as follows: * Item 6 (In Case Cumulative Voting is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees?): FOR to allow the automatic distribution of votes solely among the candidates being supported by shareholders under cumulative voting; * Items 7.1, 7.3-7.6, 7.8-7.12, and 7.15 (management nominees Jose Luciano Duarte Penido, Clinton James Dines, Eduardo de Oliveira Rodrigues, Elaine Dorward-King, Jose Mauricio Pereira Coelho, Manuel Lino Silva de Sousa, Maria Fernanda dos Santos Teixeira, Murilo Cesar Lemos dos Santos Passos, Roger Allan Downey, Sandra Maria Guerra de Azevedo and shareholder nominee Rachel de Oliveria Maia): FOR, consistent with the vote recommendations presented under the majority election, as further discussed under Items 5.1-5.16; * Item 7.2 (management incumbent nominee Fernando Jorge Buso Gomes): cautionary FOR, as further discussed under the majority election (Items 5.1-5.16); * Items 7.7, 7.13, 7.14 and 7.16 (new non-independent management nominee Ken Yasuhara and independent shareholders nominees Marcelo Gasparino da Silva, Mauro Gentile Rodrigues da Cunha and Roberto da Cunha Castello Branco): ABSTAIN, as further discussed under the majority election (Items 5.1-5.16).</i></p>					
7.8	Percentage of Votes to Be Assigned - Elect Manuel Lino Silva de Sousa Oliveira (Ollie Oliveira) as Independent Director	Mgmt	None	For	For
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vale has disclosed 16 candidates disputing 12 available board seats, indicating a contested election in which management disclosed 12 board candidates and dissident shareholders appointed four board candidates under a unbundled majority election (Items 5.1-.516). By the time this analysis was finalized, there was no publicly available information regarding a cumulative voting request presented by shareholders, which can be requested by holders of 5 percent of the company's shares up to 48 hours prior to the meeting. As such, an ABSTAIN vote on Item 4 (Do You Wish to Adopt Cumulative Voting...) is recommended. Nonetheless, as a dispersed capital company, in the event a cumulative voting request is presented up to 48 hours prior to the meeting, and Vale's board election is carried out under such election format, vote recommendations are being provided for these agenda items, as follows: * Item 6 (In Case Cumulative Voting is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees?): FOR to allow the automatic distribution of votes solely among the candidates being supported by shareholders under cumulative voting; * Items 7.1, 7.3-7.6, 7.8-7.12, and 7.15 (management nominees Jose Luciano Duarte Penido, Clinton James Dines, Eduardo de Oliveira Rodrigues, Elaine Dorward-King, Jose Mauricio Pereira Coelho, Manuel Lino Silva de Sousa, Maria Fernanda dos Santos Teixeira, Murilo Cesar Lemos dos Santos Passos, Roger Allan Downey, Sandra Maria Guerra de Azevedo and shareholder nominee Rachel de Oliveria Maia): FOR, consistent with the vote recommendations presented under the majority election, as further discussed under Items 5.1-5.16; * Item 7.2 (management incumbent nominee Fernando Jorge Buso Gomes): cautionary FOR, as further discussed under the majority election (Items 5.1-5.16); * Items 7.7, 7.13, 7.14 and 7.16 (new non-independent management nominee Ken Yasuhara and independent shareholders nominees Marcelo Gasparino da Silva, Mauro Gentile Rodrigues da Cunha and Roberto da Cunha Castello Branco): ABSTAIN, as further discussed under the majority election (Items 5.1-5.16).</i></p>					



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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.9	Percentage of Votes to Be Assigned - Elect Maria Fernanda dos Santos Teixeira as Independent Director	Mgmt	None	For	For
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vale has disclosed 16 candidates disputing 12 available board seats, indicating a contested election in which management disclosed 12 board candidates and dissident shareholders appointed four board candidates under a unbundled majority election (Items 5.1-.516). By the time this analysis was finalized, there was no publicly available information regarding a cumulative voting request presented by shareholders, which can be requested by holders of 5 percent of the company's shares up to 48 hours prior to the meeting. As such, an ABSTAIN vote on Item 4 (Do You Wish to Adopt Cumulative Voting...) is recommended. Nonetheless, as a dispersed capital company, in the event a cumulative voting request is presented up to 48 hours prior to the meeting, and Vale's board election is carried out under such election format, vote recommendations are being provided for these agenda items, as follows: * Item 6 (In Case Cumulative Voting is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees?): FOR to allow the automatic distribution of votes solely among the candidates being supported by shareholders under cumulative voting; * Items 7.1, 7.3-7.6, 7.8-7.12, and 7.15 (management nominees Jose Luciano Duarte Penido, Clinton James Dines, Eduardo de Oliveira Rodrigues, Elaine Dorward-King, Jose Mauricio Pereira Coelho, Manuel Lino Silva de Sousa, Maria Fernanda dos Santos Teixeira, Murilo Cesar Lemos dos Santos Passos, Roger Allan Downey, Sandra Maria Guerra de Azevedo and shareholder nominee Rachel de Oliveria Maia): FOR, consistent with the vote recommendations presented under the majority election, as further discussed under Items 5.1-5.16; * Item 7.2 (management incumbent nominee Fernando Jorge Buso Gomes): cautionary FOR, as further discussed under the majority election (Items 5.1-5.16); * Items 7.7, 7.13, 7.14 and 7.16 (new non-independent management nominee Ken Yasuhara and independent shareholders nominees Marcelo Gasparino da Silva, Mauro Gentile Rodrigues da Cunha and Roberto da Cunha Castello Branco): ABSTAIN, as further discussed under the majority election (Items 5.1-5.16).</i></p>					
7.10	Percentage of Votes to Be Assigned - Elect Murilo Cesar Lemos dos Santos Passos as Independent Director	Mgmt	None	For	For
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vale has disclosed 16 candidates disputing 12 available board seats, indicating a contested election in which management disclosed 12 board candidates and dissident shareholders appointed four board candidates under a unbundled majority election (Items 5.1-.516). By the time this analysis was finalized, there was no publicly available information regarding a cumulative voting request presented by shareholders, which can be requested by holders of 5 percent of the company's shares up to 48 hours prior to the meeting. As such, an ABSTAIN vote on Item 4 (Do You Wish to Adopt Cumulative Voting...) is recommended. Nonetheless, as a dispersed capital company, in the event a cumulative voting request is presented up to 48 hours prior to the meeting, and Vale's board election is carried out under such election format, vote recommendations are being provided for these agenda items, as follows: * Item 6 (In Case Cumulative Voting is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees?): FOR to allow the automatic distribution of votes solely among the candidates being supported by shareholders under cumulative voting; * Items 7.1, 7.3-7.6, 7.8-7.12, and 7.15 (management nominees Jose Luciano Duarte Penido, Clinton James Dines, Eduardo de Oliveira Rodrigues, Elaine Dorward-King, Jose Mauricio Pereira Coelho, Manuel Lino Silva de Sousa, Maria Fernanda dos Santos Teixeira, Murilo Cesar Lemos dos Santos Passos, Roger Allan Downey, Sandra Maria Guerra de Azevedo and shareholder nominee Rachel de Oliveria Maia): FOR, consistent with the vote recommendations presented under the majority election, as further discussed under Items 5.1-5.16; * Item 7.2 (management incumbent nominee Fernando Jorge Buso Gomes): cautionary FOR, as further discussed under the majority election (Items 5.1-5.16); * Items 7.7, 7.13, 7.14 and 7.16 (new non-independent management nominee Ken Yasuhara and independent shareholders nominees Marcelo Gasparino da Silva, Mauro Gentile Rodrigues da Cunha and Roberto da Cunha Castello Branco): ABSTAIN, as further discussed under the majority election (Items 5.1-5.16).</i></p>					



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## Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.11	Percentage of Votes to Be Assigned - Elect Roger Allan Downey as Independent Director	Mgmt	None	For	For
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vale has disclosed 16 candidates disputing 12 available board seats, indicating a contested election in which management disclosed 12 board candidates and dissident shareholders appointed four board candidates under a unbundled majority election (Items 5.1-.516). By the time this analysis was finalized, there was no publicly available information regarding a cumulative voting request presented by shareholders, which can be requested by holders of 5 percent of the company's shares up to 48 hours prior to the meeting. As such, an ABSTAIN vote on Item 4 (Do You Wish to Adopt Cumulative Voting...) is recommended. Nonetheless, as a dispersed capital company, in the event a cumulative voting request is presented up to 48 hours prior to the meeting, and Vale's board election is carried out under such election format, vote recommendations are being provided for these agenda items, as follows: * Item 6 (In Case Cumulative Voting is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees?): FOR to allow the automatic distribution of votes solely among the candidates being supported by shareholders under cumulative voting; * Items 7.1, 7.3-7.6, 7.8-7.12, and 7.15 (management nominees Jose Luciano Duarte Penido, Clinton James Dines, Eduardo de Oliveira Rodrigues, Elaine Dorward-King, Jose Mauricio Pereira Coelho, Manuel Lino Silva de Sousa, Maria Fernanda dos Santos Teixeira, Murilo Cesar Lemos dos Santos Passos, Roger Allan Downey, Sandra Maria Guerra de Azevedo and shareholder nominee Rachel de Oliveria Maia): FOR, consistent with the vote recommendations presented under the majority election, as further discussed under Items 5.1-5.16; * Item 7.2 (management incumbent nominee Fernando Jorge Buso Gomes): cautionary FOR, as further discussed under the majority election (Items 5.1-5.16); * Items 7.7, 7.13, 7.14 and 7.16 (new non-independent management nominee Ken Yasuhara and independent shareholders nominees Marcelo Gasparino da Silva, Mauro Gentile Rodrigues da Cunha and Roberto da Cunha Castello Branco): ABSTAIN, as further discussed under the majority election (Items 5.1-5.16).</i></p>					
7.12	Percentage of Votes to Be Assigned - Elect Sandra Maria Guerra de Azevedo as Independent Director	Mgmt	None	For	For
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vale has disclosed 16 candidates disputing 12 available board seats, indicating a contested election in which management disclosed 12 board candidates and dissident shareholders appointed four board candidates under a unbundled majority election (Items 5.1-.516). By the time this analysis was finalized, there was no publicly available information regarding a cumulative voting request presented by shareholders, which can be requested by holders of 5 percent of the company's shares up to 48 hours prior to the meeting. As such, an ABSTAIN vote on Item 4 (Do You Wish to Adopt Cumulative Voting...) is recommended. Nonetheless, as a dispersed capital company, in the event a cumulative voting request is presented up to 48 hours prior to the meeting, and Vale's board election is carried out under such election format, vote recommendations are being provided for these agenda items, as follows: * Item 6 (In Case Cumulative Voting is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees?): FOR to allow the automatic distribution of votes solely among the candidates being supported by shareholders under cumulative voting; * Items 7.1, 7.3-7.6, 7.8-7.12, and 7.15 (management nominees Jose Luciano Duarte Penido, Clinton James Dines, Eduardo de Oliveira Rodrigues, Elaine Dorward-King, Jose Mauricio Pereira Coelho, Manuel Lino Silva de Sousa, Maria Fernanda dos Santos Teixeira, Murilo Cesar Lemos dos Santos Passos, Roger Allan Downey, Sandra Maria Guerra de Azevedo and shareholder nominee Rachel de Oliveria Maia): FOR, consistent with the vote recommendations presented under the majority election, as further discussed under Items 5.1-5.16; * Item 7.2 (management incumbent nominee Fernando Jorge Buso Gomes): cautionary FOR, as further discussed under the majority election (Items 5.1-5.16); * Items 7.7, 7.13, 7.14 and 7.16 (new non-independent management nominee Ken Yasuhara and independent shareholders nominees Marcelo Gasparino da Silva, Mauro Gentile Rodrigues da Cunha and Roberto da Cunha Castello Branco): ABSTAIN, as further discussed under the majority election (Items 5.1-5.16).</i></p>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.13	Percentage of Votes to Be Assigned - Elect Marcelo Gasparino da Silva as Independent Director Appointed by Shareholders	SH	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vale has disclosed 16 candidates disputing 12 available board seats, indicating a contested election in which management disclosed 12 board candidates and dissident shareholders appointed four board candidates under a unbundled majority election (Items 5.1-.516).By the time this analysis was finalized, there was no publicly available information regarding a cumulative voting request presented by shareholders, which can be requested by holders of 5 percent of the company's shares up to 48 hours prior to the meeting. As such, an ABSTAIN vote on Item 4 (Do You Wish to Adopt Cumulative Voting...) is recommended.Nonetheless, as a dispersed capital company, in the event a cumulative voting request is presented up to 48 hours prior to the meeting, and Vale's board election is carried out under such election format, vote recommendations are being provided for these agenda items, as follows:* Item 6 (In Case Cumulative Voting is Adopted, Do You Whish to Equally Distribute Your Votes Amongst the Nominees?): FOR to allow the automatic distribution of votes solely among the candidates being supported by shareholders under cumulative voting;* Items 7.1, 7.3-7.6, 7.8-7.12, and 7.15 (management nominees Jose Luciano Duarte Penido, Clinton James Dines, Eduardo de Oliveira Rodrigues, Elaine Dorward-King, Jose Mauricio Pereira Coelho, Manuel Lino Silva de Sousa, Maria Fernanda dos Santos Teixeira, Murilo Cesar Lemos dos Santos Passos, Roger Allan Downey, Sandra Maria Guerra de Azevedo and shareholder nominee Rachel de Oliveria Maia): FOR, consistent with the vote recommendations presented under the majority election, as further discussed under Items 5.1-5.16;* Item 7.2 (management incumbent nominee Fernando Jorge Buso Gomes): cautionary FOR, as further discussed under the majority election (Items 5.1-5.16);* Items 7.7, 7.13, 7.14 and 7.16 (new non-independent management nominee Ken Yasuhara and independent shareholders nominees Marcelo Gasparino da Silva, Mauro Gentile Rodrigues da Cunha and Roberto da Cunha Castello Branco): ABSTAIN, as further discussed under the majority election (Items 5.1-5.16).</i></p>					
7.14	Percentage of Votes to Be Assigned - Elect Mauro Gentile Rodrigues da Cunha as Independent Director Appointed by Shareholders	SH	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vale has disclosed 16 candidates disputing 12 available board seats, indicating a contested election in which management disclosed 12 board candidates and dissident shareholders appointed four board candidates under a unbundled majority election (Items 5.1-.516).By the time this analysis was finalized, there was no publicly available information regarding a cumulative voting request presented by shareholders, which can be requested by holders of 5 percent of the company's shares up to 48 hours prior to the meeting. As such, an ABSTAIN vote on Item 4 (Do You Wish to Adopt Cumulative Voting...) is recommended.Nonetheless, as a dispersed capital company, in the event a cumulative voting request is presented up to 48 hours prior to the meeting, and Vale's board election is carried out under such election format, vote recommendations are being provided for these agenda items, as follows:* Item 6 (In Case Cumulative Voting is Adopted, Do You Whish to Equally Distribute Your Votes Amongst the Nominees?): FOR to allow the automatic distribution of votes solely among the candidates being supported by shareholders under cumulative voting;* Items 7.1, 7.3-7.6, 7.8-7.12, and 7.15 (management nominees Jose Luciano Duarte Penido, Clinton James Dines, Eduardo de Oliveira Rodrigues, Elaine Dorward-King, Jose Mauricio Pereira Coelho, Manuel Lino Silva de Sousa, Maria Fernanda dos Santos Teixeira, Murilo Cesar Lemos dos Santos Passos, Roger Allan Downey, Sandra Maria Guerra de Azevedo and shareholder nominee Rachel de Oliveria Maia): FOR, consistent with the vote recommendations presented under the majority election, as further discussed under Items 5.1-5.16;* Item 7.2 (management incumbent nominee Fernando Jorge Buso Gomes): cautionary FOR, as further discussed under the majority election (Items 5.1-5.16);* Items 7.7, 7.13, 7.14 and 7.16 (new non-independent management nominee Ken Yasuhara and independent shareholders nominees Marcelo Gasparino da Silva, Mauro Gentile Rodrigues da Cunha and Roberto da Cunha Castello Branco): ABSTAIN, as further discussed under the majority election (Items 5.1-5.16).</i></p>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.15	Percentage of Votes to Be Assigned - Elect Rachel de Oliveira Maia as Independent Director Appointed by Shareholders	SH	None	For	For
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vale has disclosed 16 candidates disputing 12 available board seats, indicating a contested election in which management disclosed 12 board candidates and dissident shareholders appointed four board candidates under a unbundled majority election (Items 5.1-.516).By the time this analysis was finalized, there was no publicly available information regarding a cumulative voting request presented by shareholders, which can be requested by holders of 5 percent of the company's shares up to 48 hours prior to the meeting. As such, an ABSTAIN vote on Item 4 (Do You Wish to Adopt Cumulative Voting...) is recommended.Nonetheless, as a dispersed capital company, in the event a cumulative voting request is presented up to 48 hours prior to the meeting, and Vale's board election is carried out under such election format, vote recommendations are being provided for these agenda items, as follows:* Item 6 (In Case Cumulative Voting is Adopted, Do You Whish to Equally Distribute Your Votes Amongst the Nominees?): FOR to allow the automatic distribution of votes solely among the candidates being supported by shareholders under cumulative voting;* Items 7.1, 7.3-7.6, 7.8-7.12, and 7.15 (management nominees Jose Luciano Duarte Penido, Clinton James Dines, Eduardo de Oliveira Rodrigues, Elaine Dorward-King, Jose Mauricio Pereira Coelho, Manuel Lino Silva de Sousa, Maria Fernanda dos Santos Teixeira, Murilo Cesar Lemos dos Santos Passos, Roger Allan Downey, Sandra Maria Guerra de Azevedo and shareholder nominee Rachel de Oliveria Maia): FOR, consistent with the vote recommendations presented under the majority election, as further discussed under Items 5.1-5.16;* Item 7.2 (management incumbent nominee Fernando Jorge Buso Gomes): cautionary FOR, as further discussed under the majority election (Items 5.1-5.16);* Items 7.7, 7.13, 7.14 and 7.16 (new non-independent management nominee Ken Yasuhara and independent shareholders nominees Marcelo Gasparino da Silva, Mauro Gentile Rodrigues da Cunha and Roberto da Cunha Castello Branco): ABSTAIN, as further discussed under the majority election (Items 5.1-5.16).</i></p>					
7.16	Percentage of Votes to Be Assigned - Elect Roberto da Cunha Castello Branco as Independent Director Appointed by Shareholders	SH	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vale has disclosed 16 candidates disputing 12 available board seats, indicating a contested election in which management disclosed 12 board candidates and dissident shareholders appointed four board candidates under a unbundled majority election (Items 5.1-.516).By the time this analysis was finalized, there was no publicly available information regarding a cumulative voting request presented by shareholders, which can be requested by holders of 5 percent of the company's shares up to 48 hours prior to the meeting. As such, an ABSTAIN vote on Item 4 (Do You Wish to Adopt Cumulative Voting...) is recommended.Nonetheless, as a dispersed capital company, in the event a cumulative voting request is presented up to 48 hours prior to the meeting, and Vale's board election is carried out under such election format, vote recommendations are being provided for these agenda items, as follows:* Item 6 (In Case Cumulative Voting is Adopted, Do You Whish to Equally Distribute Your Votes Amongst the Nominees?): FOR to allow the automatic distribution of votes solely among the candidates being supported by shareholders under cumulative voting;* Items 7.1, 7.3-7.6, 7.8-7.12, and 7.15 (management nominees Jose Luciano Duarte Penido, Clinton James Dines, Eduardo de Oliveira Rodrigues, Elaine Dorward-King, Jose Mauricio Pereira Coelho, Manuel Lino Silva de Sousa, Maria Fernanda dos Santos Teixeira, Murilo Cesar Lemos dos Santos Passos, Roger Allan Downey, Sandra Maria Guerra de Azevedo and shareholder nominee Rachel de Oliveria Maia): FOR, consistent with the vote recommendations presented under the majority election, as further discussed under Items 5.1-5.16;* Item 7.2 (management incumbent nominee Fernando Jorge Buso Gomes): cautionary FOR, as further discussed under the majority election (Items 5.1-5.16);* Items 7.7, 7.13, 7.14 and 7.16 (new non-independent management nominee Ken Yasuhara and independent shareholders nominees Marcelo Gasparino da Silva, Mauro Gentile Rodrigues da Cunha and Roberto da Cunha Castello Branco): ABSTAIN, as further discussed under the majority election (Items 5.1-5.16).</i></p>					
Shareholders Who Vote In Favor of Item 8 Must Disregard Item 9. If Shareholder Votes In Favor on Items 8 and 9, His Vote Will Be Counted as an Abstention		Mgmt			

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8	Elect Jose Luciano Duarte Penido as Board Chairman	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Competing nominees have been presented for the individual chair and vice-chair elections. Management appointed independent incumbent nominee Jose Luciano Duarte Penido as chair (Item 8) and non-independent incumbent nominee Fernando Jorge Buso Gomes as vice-chair (Item 10).Dissident shareholders appointed independent new nominee Roberto da Cunha Castello Branco as chair (Item 9) and independent new nominee Mauro Gentile Rodrigues da Cunha as vice-chair (Item 11).Shareholders can only vote on one of the competing candidates for chair and vice-chair. As such, consistent with the vote recommendations presented under the majority election (Items 5.1-5.16), a vote FOR independent chair Jose Luciano Duarte Penido (Item 8) is recommended, while a cautionary FOR is recommended for non-independent management vice-chair nominee Fernando Jorge Buso Gomes (Item 10).As such, ABSTAIN votes are recommended for dissident chair and vice-chair nominees presented under Items 9 and 11. These recommendations, however, should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					
	Shareholders Who Vote In Favor of Item 9 Must Disregard Item 8. If Shareholder Votes In Favor on Items 8 and 9, His Vote Will Be Counted as an Abstention	Mgmt			
9	Elect Roberto da Cunha Castello Branco as Board Chairman Appointed by Shareholders	SH	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Competing nominees have been presented for the individual chair and vice-chair elections. Management appointed independent incumbent nominee Jose Luciano Duarte Penido as chair (Item 8) and non-independent incumbent nominee Fernando Jorge Buso Gomes as vice-chair (Item 10).Dissident shareholders appointed independent new nominee Roberto da Cunha Castello Branco as chair (Item 9) and independent new nominee Mauro Gentile Rodrigues da Cunha as vice-chair (Item 11).Shareholders can only vote on one of the competing candidates for chair and vice-chair. As such, consistent with the vote recommendations presented under the majority election (Items 5.1-5.16), a vote FOR independent chair Jose Luciano Duarte Penido (Item 8) is recommended, while a cautionary FOR is recommended for non-independent management vice-chair nominee Fernando Jorge Buso Gomes (Item 10).As such, ABSTAIN votes are recommended for dissident chair and vice-chair nominees presented under Items 9 and 11. These recommendations, however, should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					
	Shareholders Who Vote In Favor of Item 10 Must Disregard Item 11. If Shareholder Votes In Favor on Items 10 and 11, His Vote Will Be Counted as an Abstention	Mgmt			
10	Elect Fernando Jorge Buso Gomes as Board Vice-Chairman	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Competing nominees have been presented for the individual chair and vice-chair elections. Management appointed independent incumbent nominee Jose Luciano Duarte Penido as chair (Item 8) and non-independent incumbent nominee Fernando Jorge Buso Gomes as vice-chair (Item 10).Dissident shareholders appointed independent new nominee Roberto da Cunha Castello Branco as chair (Item 9) and independent new nominee Mauro Gentile Rodrigues da Cunha as vice-chair (Item 11).Shareholders can only vote on one of the competing candidates for chair and vice-chair. As such, consistent with the vote recommendations presented under the majority election (Items 5.1-5.16), a vote FOR independent chair Jose Luciano Duarte Penido (Item 8) is recommended, while a cautionary FOR is recommended for non-independent management vice-chair nominee Fernando Jorge Buso Gomes (Item 10).As such, ABSTAIN votes are recommended for dissident chair and vice-chair nominees presented under Items 9 and 11. These recommendations, however, should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i></p>					
	Shareholders Who Vote In Favor of Item 11 Must Disregard Item 10. If Shareholder Votes In Favor on Items 10 and 11, His Vote Will Be Counted as an Abstention	Mgmt			

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
11	Elect Mauro Gentile Rodrigues da Cunha as Board Vice-Chairman Appointed by Shareholders	SH	None	Abstain	Abstain
<i>Voting Policy Rationale: Competing nominees have been presented for the individual chair and vice-chair elections. Management appointed incumbent nominee Jose Luciano Duarte Penido as chair (Item 8) and non-independent incumbent nominee Fernando Jorge Buso Gomes as vice-chair (Item 10). Dissident shareholders appointed independent new nominee Roberto da Cunha Castello Branco as chair (Item 9) and independent new nominee Mauro Gentile Rodrigues da Cunha as vice-chair (Item 11). Shareholders can only vote on one of the competing candidates for chair and vice-chair. As such, consistent with the vote recommendations presented under the majority election (Items 5.1-5.16), a vote FOR independent chair Jose Luciano Duarte Penido (Item 8) is recommended, while a cautionary FOR is recommended for non-independent management vice-chair nominee Fernando Jorge Buso Gomes (Item 10). As such, ABSTAIN votes are recommended for dissident chair and vice-chair nominees presented under Items 9 and 11. These recommendations, however, should not be interpreted as a negative assessment of the nominees' experience and qualifications.</i>					
12.1	Elect Cristina Fontes Doherty as Fiscal Council Member and Nelson de Menezes Filho as Alternate	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this item is warranted because: * The company has provided the detailed biographical information of the nominees; and * There are no known problems regarding the proposed fiscal council nominees.</i>					
12.2	Elect Marcus Vinicius Dias Severini as Fiscal Council Member and Vera Elias as Alternate	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this item is warranted because: * The company has provided the detailed biographical information of the nominees; and * There are no known problems regarding the proposed fiscal council nominees.</i>					
12.3	Elect Marcelo Moraes as Fiscal Council Member	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this item is warranted because: * The company has provided the detailed biographical information of the nominees; and * There are no known problems regarding the proposed fiscal council nominees.</i>					
12.4	Elect Raphael Manhaes Martins as Fiscal Council Member and Adriana de Andrade Sole as Alternate	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this item is warranted because: * The company has provided the detailed biographical information of the nominees; and * There are no known problems regarding the proposed fiscal council nominees.</i>					
13	Approve Remuneration of Company's Management and Fiscal Council	Mgmt	For	Against	For
<i>Voting Policy Rationale: A vote AGAINST this proposal is warranted because the figure reported by the company for the total compensation of its highest-paid administrator does not appear inclusive of all elements of the executive's pay and the company's remuneration disclosure continues to lack transparency regarding key remuneration figures.</i>					
	Special Meeting Agenda	Mgmt			
1	Amend Restricted Stock Plan	Mgmt	For	For	For
2	Approve Agreement to Absorb Companhia Paulista de Ferroligas (CPFL) and Valesul Alumínio S.A. (Valesul)	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because: * The company effectively owns 100 percent of its subsidiary; * The absorption will not result in any transfer of cash or shares away from the company; * The company has presented reasonable rationale for the transaction; and * The proposed transaction is neutral to shareholders.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Vale SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Ratify Macso Legate Auditores Independentes (Macso) as Independent Firm to Appraise Proposed Transaction	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company;* The company has presented reasonable rationale for the transaction; and* The proposed transaction is neutral to shareholders.</i>					
4	Approve Independent Firm's Appraisal	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company;* The company has presented reasonable rationale for the transaction; and* The proposed transaction is neutral to shareholders.</i>					
5	Approve Absorption of Companhia Paulista de Ferroligas (CPFL) and Valesul Alumínio S.A. (Valesul) without Capital Increase and without Issuance of Shares	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company;* The company has presented reasonable rationale for the transaction; and* The proposed transaction is neutral to shareholders.</i>					
6	Approve Agreement for Partial Spin-Off of Mineracoes Brasileiras Reunidas S.A. (MBR) and Absorption of Partial Spun-Off Assets	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of the assets and liabilities to be spun off and absorbed;* The company has provided a reasonable rationale for the spinoff transactions; and* The transaction will not result in any transfer of cash or shares away from the company's shareholders.</i>					
7	Ratify Macso Legate Auditores Independentes (Macso) as Independent Firm to Appraise Proposed Transaction	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of the assets and liabilities to be spun off and absorbed;* The company has provided a reasonable rationale for the spinoff transactions; and* The transaction will not result in any transfer of cash or shares away from the company's shareholders.</i>					
8	Approve Independent Firm's Appraisal	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of the assets and liabilities to be spun off and absorbed;* The company has provided a reasonable rationale for the spinoff transactions; and* The transaction will not result in any transfer of cash or shares away from the company's shareholders.</i>					
9	Approve Absorption of Spun-Off Assets without Capital Increase and without Issuance of Shares	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of the assets and liabilities to be spun off and absorbed;* The company has provided a reasonable rationale for the spinoff transactions; and* The transaction will not result in any transfer of cash or shares away from the company's shareholders.</i>					

### Berkshire Hathaway Inc.

<b>Meeting Date:</b> 05/01/2021	<b>Country:</b> USA	<b>Primary Security ID:</b> 084670702
<b>Record Date:</b> 03/03/2021	<b>Meeting Type:</b> Annual	<b>Ticker:</b> BRK.B

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Berkshire Hathaway Inc.

Shares Voted: 68,005

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Warren E. Buffett	Mgmt	For	For	For
<i>Voting Policy Rationale: WITHHOLD votes are warranted for compensation committee members Susan (Sue) Decker, David Gottesman, Walter Scott Jr., and Meryl Witmer in the absence of a management say-on-pay proposal. CEO Buffett's compensation continues to be minimal, but two NEOs each continue to receive base salaries of \$16.0 million, which are some of the largest base salaries paid to any executive at a U.S. public company, and overall executive pay lacks a measurable link to company performance. Pay disclosure continues to be minimal, leaving shareholders with little information to assess decisions regarding, or committee oversight of, compensation determinations for executives. In addition, a cautionary vote FOR board chairman Buffett is warranted because the company's BHE subsidiary is a laggard in its industry as it does not set near-term and long-term GHG emissions targets, commit to being net-zero by 2050, or report its climate-related risks and opportunities along TCFD guidelines. A vote FOR the remaining director nominees is warranted.</i>					
1.2	Elect Director Charles T. Munger	Mgmt	For	For	For
<i>Voting Policy Rationale: WITHHOLD votes are warranted for compensation committee members Susan (Sue) Decker, David Gottesman, Walter Scott Jr., and Meryl Witmer in the absence of a management say-on-pay proposal. CEO Buffett's compensation continues to be minimal, but two NEOs each continue to receive base salaries of \$16.0 million, which are some of the largest base salaries paid to any executive at a U.S. public company, and overall executive pay lacks a measurable link to company performance. Pay disclosure continues to be minimal, leaving shareholders with little information to assess decisions regarding, or committee oversight of, compensation determinations for executives. In addition, a cautionary vote FOR board chairman Buffett is warranted because the company's BHE subsidiary is a laggard in its industry as it does not set near-term and long-term GHG emissions targets, commit to being net-zero by 2050, or report its climate-related risks and opportunities along TCFD guidelines. A vote FOR the remaining director nominees is warranted.</i>					
1.3	Elect Director Gregory E. Abel	Mgmt	For	For	For
<i>Voting Policy Rationale: WITHHOLD votes are warranted for compensation committee members Susan (Sue) Decker, David Gottesman, Walter Scott Jr., and Meryl Witmer in the absence of a management say-on-pay proposal. CEO Buffett's compensation continues to be minimal, but two NEOs each continue to receive base salaries of \$16.0 million, which are some of the largest base salaries paid to any executive at a U.S. public company, and overall executive pay lacks a measurable link to company performance. Pay disclosure continues to be minimal, leaving shareholders with little information to assess decisions regarding, or committee oversight of, compensation determinations for executives. In addition, a cautionary vote FOR board chairman Buffett is warranted because the company's BHE subsidiary is a laggard in its industry as it does not set near-term and long-term GHG emissions targets, commit to being net-zero by 2050, or report its climate-related risks and opportunities along TCFD guidelines. A vote FOR the remaining director nominees is warranted.</i>					
1.4	Elect Director Howard G. Buffett	Mgmt	For	For	For
<i>Voting Policy Rationale: WITHHOLD votes are warranted for compensation committee members Susan (Sue) Decker, David Gottesman, Walter Scott Jr., and Meryl Witmer in the absence of a management say-on-pay proposal. CEO Buffett's compensation continues to be minimal, but two NEOs each continue to receive base salaries of \$16.0 million, which are some of the largest base salaries paid to any executive at a U.S. public company, and overall executive pay lacks a measurable link to company performance. Pay disclosure continues to be minimal, leaving shareholders with little information to assess decisions regarding, or committee oversight of, compensation determinations for executives. In addition, a cautionary vote FOR board chairman Buffett is warranted because the company's BHE subsidiary is a laggard in its industry as it does not set near-term and long-term GHG emissions targets, commit to being net-zero by 2050, or report its climate-related risks and opportunities along TCFD guidelines. A vote FOR the remaining director nominees is warranted.</i>					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Berkshire Hathaway Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.5	Elect Director Stephen B. Burke	Mgmt	For	For	For
<p><i>Voting Policy Rationale: WITHHOLD votes are warranted for compensation committee members Susan (Sue) Decker, David Gottesman, Walter Scott Jr., and Meryl Witmer in the absence of a management say-on-pay proposal. CEO Buffett's compensation continues to be minimal, but two NEOs each continue to receive base salaries of \$16.0 million, which are some of the largest base salaries paid to any executive at a U.S. public company, and overall executive pay lacks a measurable link to company performance. Pay disclosure continues to be minimal, leaving shareholders with little information to assess decisions regarding, or committee oversight of, compensation determinations for executives. In addition, a cautionary vote FOR board chairman Buffett is warranted because the company's BHE subsidiary is a laggard in its industry as it does not set near-term and long-term GHG emissions targets, commit to being net-zero by 2050, or report its climate-related risks and opportunities along TCFD guidelines. A vote FOR the remaining director nominees is warranted.</i></p>					
1.6	Elect Director Kenneth I. Chenault	Mgmt	For	For	For
<p><i>Voting Policy Rationale: WITHHOLD votes are warranted for compensation committee members Susan (Sue) Decker, David Gottesman, Walter Scott Jr., and Meryl Witmer in the absence of a management say-on-pay proposal. CEO Buffett's compensation continues to be minimal, but two NEOs each continue to receive base salaries of \$16.0 million, which are some of the largest base salaries paid to any executive at a U.S. public company, and overall executive pay lacks a measurable link to company performance. Pay disclosure continues to be minimal, leaving shareholders with little information to assess decisions regarding, or committee oversight of, compensation determinations for executives. In addition, a cautionary vote FOR board chairman Buffett is warranted because the company's BHE subsidiary is a laggard in its industry as it does not set near-term and long-term GHG emissions targets, commit to being net-zero by 2050, or report its climate-related risks and opportunities along TCFD guidelines. A vote FOR the remaining director nominees is warranted.</i></p>					
1.7	Elect Director Susan L. Decker	Mgmt	For	Withhold	Withhold
<p><i>Voting Policy Rationale: WITHHOLD votes are warranted for compensation committee members Susan (Sue) Decker, David Gottesman, Walter Scott Jr., and Meryl Witmer in the absence of a management say-on-pay proposal. CEO Buffett's compensation continues to be minimal, but two NEOs each continue to receive base salaries of \$16.0 million, which are some of the largest base salaries paid to any executive at a U.S. public company, and overall executive pay lacks a measurable link to company performance. Pay disclosure continues to be minimal, leaving shareholders with little information to assess decisions regarding, or committee oversight of, compensation determinations for executives. In addition, a cautionary vote FOR board chairman Buffett is warranted because the company's BHE subsidiary is a laggard in its industry as it does not set near-term and long-term GHG emissions targets, commit to being net-zero by 2050, or report its climate-related risks and opportunities along TCFD guidelines. A vote FOR the remaining director nominees is warranted.</i></p>					
1.8	Elect Director David S. Gottesman	Mgmt	For	Withhold	Withhold
<p><i>Voting Policy Rationale: WITHHOLD votes are warranted for compensation committee members Susan (Sue) Decker, David Gottesman, Walter Scott Jr., and Meryl Witmer in the absence of a management say-on-pay proposal. CEO Buffett's compensation continues to be minimal, but two NEOs each continue to receive base salaries of \$16.0 million, which are some of the largest base salaries paid to any executive at a U.S. public company, and overall executive pay lacks a measurable link to company performance. Pay disclosure continues to be minimal, leaving shareholders with little information to assess decisions regarding, or committee oversight of, compensation determinations for executives. In addition, a cautionary vote FOR board chairman Buffett is warranted because the company's BHE subsidiary is a laggard in its industry as it does not set near-term and long-term GHG emissions targets, commit to being net-zero by 2050, or report its climate-related risks and opportunities along TCFD guidelines. A vote FOR the remaining director nominees is warranted.</i></p>					
1.9	Elect Director Charlotte Guyman	Mgmt	For	For	For
<p><i>Voting Policy Rationale: WITHHOLD votes are warranted for compensation committee members Susan (Sue) Decker, David Gottesman, Walter Scott Jr., and Meryl Witmer in the absence of a management say-on-pay proposal. CEO Buffett's compensation continues to be minimal, but two NEOs each continue to receive base salaries of \$16.0 million, which are some of the largest base salaries paid to any executive at a U.S. public company, and overall executive pay lacks a measurable link to company performance. Pay disclosure continues to be minimal, leaving shareholders with little information to assess decisions regarding, or committee oversight of, compensation determinations for executives. In addition, a cautionary vote FOR board chairman Buffett is warranted because the company's BHE subsidiary is a laggard in its industry as it does not set near-term and long-term GHG emissions targets, commit to being net-zero by 2050, or report its climate-related risks and opportunities along TCFD guidelines. A vote FOR the remaining director nominees is warranted.</i></p>					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Berkshire Hathaway Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.10	Elect Director Ajit Jain	Mgmt	For	For	For
<p><i>Voting Policy Rationale: WITHHOLD votes are warranted for compensation committee members Susan (Sue) Decker, David Gottesman, Walter Scott Jr., and Meryl Witmer in the absence of a management say-on-pay proposal. CEO Buffett's compensation continues to be minimal, but two NEOs each continue to receive base salaries of \$16.0 million, which are some of the largest base salaries paid to any executive at a U.S. public company, and overall executive pay lacks a measurable link to company performance. Pay disclosure continues to be minimal, leaving shareholders with little information to assess decisions regarding, or committee oversight of, compensation determinations for executives. In addition, a cautionary vote FOR board chairman Buffett is warranted because the company's BHE subsidiary is a laggard in its industry as it does not set near-term and long-term GHG emissions targets, commit to being net-zero by 2050, or report its climate-related risks and opportunities along TCFD guidelines. A vote FOR the remaining director nominees is warranted.</i></p>					
1.11	Elect Director Thomas S. Murphy	Mgmt	For	For	For
<p><i>Voting Policy Rationale: WITHHOLD votes are warranted for compensation committee members Susan (Sue) Decker, David Gottesman, Walter Scott Jr., and Meryl Witmer in the absence of a management say-on-pay proposal. CEO Buffett's compensation continues to be minimal, but two NEOs each continue to receive base salaries of \$16.0 million, which are some of the largest base salaries paid to any executive at a U.S. public company, and overall executive pay lacks a measurable link to company performance. Pay disclosure continues to be minimal, leaving shareholders with little information to assess decisions regarding, or committee oversight of, compensation determinations for executives. In addition, a cautionary vote FOR board chairman Buffett is warranted because the company's BHE subsidiary is a laggard in its industry as it does not set near-term and long-term GHG emissions targets, commit to being net-zero by 2050, or report its climate-related risks and opportunities along TCFD guidelines. A vote FOR the remaining director nominees is warranted.</i></p>					
1.12	Elect Director Ronald L. Olson	Mgmt	For	For	For
<p><i>Voting Policy Rationale: WITHHOLD votes are warranted for compensation committee members Susan (Sue) Decker, David Gottesman, Walter Scott Jr., and Meryl Witmer in the absence of a management say-on-pay proposal. CEO Buffett's compensation continues to be minimal, but two NEOs each continue to receive base salaries of \$16.0 million, which are some of the largest base salaries paid to any executive at a U.S. public company, and overall executive pay lacks a measurable link to company performance. Pay disclosure continues to be minimal, leaving shareholders with little information to assess decisions regarding, or committee oversight of, compensation determinations for executives. In addition, a cautionary vote FOR board chairman Buffett is warranted because the company's BHE subsidiary is a laggard in its industry as it does not set near-term and long-term GHG emissions targets, commit to being net-zero by 2050, or report its climate-related risks and opportunities along TCFD guidelines. A vote FOR the remaining director nominees is warranted.</i></p>					
1.13	Elect Director Walter Scott, Jr.	Mgmt	For	Withhold	Withhold
<p><i>Voting Policy Rationale: WITHHOLD votes are warranted for compensation committee members Susan (Sue) Decker, David Gottesman, Walter Scott Jr., and Meryl Witmer in the absence of a management say-on-pay proposal. CEO Buffett's compensation continues to be minimal, but two NEOs each continue to receive base salaries of \$16.0 million, which are some of the largest base salaries paid to any executive at a U.S. public company, and overall executive pay lacks a measurable link to company performance. Pay disclosure continues to be minimal, leaving shareholders with little information to assess decisions regarding, or committee oversight of, compensation determinations for executives. In addition, a cautionary vote FOR board chairman Buffett is warranted because the company's BHE subsidiary is a laggard in its industry as it does not set near-term and long-term GHG emissions targets, commit to being net-zero by 2050, or report its climate-related risks and opportunities along TCFD guidelines. A vote FOR the remaining director nominees is warranted.</i></p>					
1.14	Elect Director Meryl B. Witmer	Mgmt	For	Withhold	Withhold
<p><i>Voting Policy Rationale: WITHHOLD votes are warranted for compensation committee members Susan (Sue) Decker, David Gottesman, Walter Scott Jr., and Meryl Witmer in the absence of a management say-on-pay proposal. CEO Buffett's compensation continues to be minimal, but two NEOs each continue to receive base salaries of \$16.0 million, which are some of the largest base salaries paid to any executive at a U.S. public company, and overall executive pay lacks a measurable link to company performance. Pay disclosure continues to be minimal, leaving shareholders with little information to assess decisions regarding, or committee oversight of, compensation determinations for executives. In addition, a cautionary vote FOR board chairman Buffett is warranted because the company's BHE subsidiary is a laggard in its industry as it does not set near-term and long-term GHG emissions targets, commit to being net-zero by 2050, or report its climate-related risks and opportunities along TCFD guidelines. A vote FOR the remaining director nominees is warranted.</i></p>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Berkshire Hathaway Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Report on Climate-Related Risks and Opportunities	SH	Against	For	For
Voting Policy Rationale: A vote FOR this resolution is warranted, as an assessment of the company's climate-related risks and opportunities would allow shareholders to better understand how the company is managing systemic risks posed by climate change and the transition to a low carbon economy.					
3	Publish Annually a Report Assessing Diversity and Inclusion Efforts	SH	Against	For	For
Voting Policy Rationale: A vote FOR this resolution is warranted due to:* the absence of information regarding comprehensive company diversity-related policies, programs or metrics; and* the potential benefits for shareholders of increased reporting of diversity-related efforts and program effectiveness.					

Apollo Hospitals Enterprise Limited

Meeting Date: 05/03/2021	Country: India	Primary Security ID: Y0187F138
Record Date: 03/27/2021	Meeting Type: Special	Ticker: 508869
Shares Voted: 1,560,073		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Postal Ballot	Mgmt			
1	Approve Scheme of Amalgamation	Mgmt	For	For	For

Diagnosticos da America SA

Meeting Date: 05/03/2021	Country: Brazil	Primary Security ID: P3589C109
Record Date:	Meeting Type: Special	Ticker: DASA3
Shares Voted: 8,830,800		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Ratify Apsis Consultoria e Avaliacoes Ltda. as Independent Firm to Appraise Proposed Transaction	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company;* The company has presented reasonable rationale for the transaction; and* The proposed transaction is neutral to shareholders.					
2	Approve Independent Firm's Appraisal	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company;* The company has presented reasonable rationale for the transaction; and* The proposed transaction is neutral to shareholders.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Diagnosticos da America SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Approve Agreement to Absorb Insitus Servicos Medicos e Laboratoriais Ltda. (Insitus)	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The company effectively owns 100 percent of its subsidiary;* The absorption will not result in any transfer of cash or shares away from the company;* The company has presented reasonable rationale for the transaction; and* The proposed transaction is neutral to shareholders.					
4	Amend Article 5, Add Article 42, and Consolidate Bylaws	Mgmt	For	For	For
5	Authorize Executives to Ratify and Execute Approved Resolutions	Mgmt	For	For	For

LafargeHolcim Ltd.

Meeting Date: 05/04/2021Country: SwitzerlandPrimary Security ID: H3816Q102  
Record Date:Meeting Type: AnnualTicker: LHN

Shares Voted: 15,581

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
1.2	Approve Remuneration Report	Mgmt	For	For	For
2	Approve Discharge of Board and Senior Management	Mgmt	For	For	For
3.1	Approve Allocation of Income	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these resolutions are warranted due to a lack of concerns.					
3.2	Approve Dividends of CHF 2.00 per Share from Capital Contribution Reserves	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these resolutions are warranted due to a lack of concerns.					
4.1	Change Company Name to Holcim Ltd	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these proposals are warranted.					
4.2	Change Location of Registered Office/Headquarters to Zug, Switzerland	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these proposals are warranted.					
5.1a	Reelect Beat Hess as Director and Board Chairman	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.					
5.1b	Reelect Philippe Block as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### LafargeHolcim Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.1c	Reelect Kim Fausing as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.1d	Reelect Colin Hall as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.1e	Reelect Naina Kidwai as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.1f	Reelect Patrick Kron as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.1g	Reelect Adrian Loader as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.1h	Reelect Juerg Oleas as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.1i	Reelect Claudia Ramirez as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.1j	Reelect Hanne Sorensen as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.1k	Reelect Dieter Spaelti as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.2	Elect Jan Jenisch as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.3.1	Reappoint Colin Hall as Member of the Nomination, Compensation and Governance Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.3.2	Reappoint Adrian Loader as Member of the Nomination, Compensation and Governance Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.3.3	Reappoint Claudia Ramirez as Member of the Nomination, Compensation and Governance Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				
5.3.4	Appoint Hanne Sorensen as Member of the Nomination, Compensation and Governance Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>				

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

LafargeHolcim Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.4	Appoint Dieter Spaelti as Member of the Nomination, Compensation and Governance Committee	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.					
5.5.1	Ratify Deloitte AG as Auditors	Mgmt	For	For	For
5.5.2	Designate Sabine Burkhalter Kaimakliotis as Independent Proxy	Mgmt	For	For	For
6.1	Approve Remuneration of Directors in the Amount of CHF 5.2 Million	Mgmt	For	For	For
6.2	Approve Remuneration of Executive Committee in the Amount of CHF 42.5 Million	Mgmt	For	For	For
7	Transact Other Business (Voting)	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST is warranted because: * This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.					

Anglo American Plc

Meeting Date: 05/05/2021	Country: United Kingdom	Primary Security ID: G03764134
Record Date: 04/30/2021	Meeting Type: Annual	Ticker: AAL
Shares Voted: 1,239		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3	Elect Elisabeth Brinton as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
4	Elect Hilary Maxson as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
5	Re-elect Ian Ashby as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
6	Re-elect Marcelo Bastos as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Anglo American Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Re-elect Stuart Chambers as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
8	Re-elect Mark Cutifani as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
9	Re-elect Byron Grote as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
10	Re-elect Hixonia Nyasulu as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
11	Re-elect Nonkululeko Nyembezi as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
12	Re-elect Tony O'Neill as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
13	Re-elect Stephen Pearce as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
14	Re-elect Anne Stevens as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
15	Reappoint PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
16	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
17	Approve Remuneration Report	Mgmt	For	For	For
18	Authorise Issue of Equity	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>				
19	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>				
20	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
21	Adopt New Articles of Association	Mgmt	For	For	For
22	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Anglo American Plc

Meeting Date: 05/05/2021      Country: United Kingdom      Primary Security ID: G03764134  
Record Date: 04/30/2021      Meeting Type: Court      Ticker: AAL

Shares Voted: 1,239

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Court Meeting	Mgmt			
1	Approve Scheme of Arrangement	Mgmt	For	For	For

Anglo American Plc

Meeting Date: 05/05/2021      Country: United Kingdom      Primary Security ID: G03764134  
Record Date: 04/30/2021      Meeting Type: Special      Ticker: AAL

Shares Voted: 1,239

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Matters Relating to the Demerger of Thungela Resources Limited	Mgmt	For	For	For

Barclays Plc

Meeting Date: 05/05/2021      Country: United Kingdom      Primary Security ID: G08036124  
Record Date: 04/30/2021      Meeting Type: Annual      Ticker: BARC

Shares Voted: 17,330

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Management Proposals	Mgmt			
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Elect Julia Wilson as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is considered warranted, in the absence of any significant concerns.					
4	Re-elect Mike Ashley as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is considered warranted, in the absence of any significant concerns.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Barclays Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Re-elect Tim Breedon as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is considered warranted, in the absence of any significant concerns.</i>				
6	Re-elect Mohamed A. El-Erian as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is considered warranted, in the absence of any significant concerns.</i>				
7	Re-elect Dawn Fitzpatrick as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is considered warranted, in the absence of any significant concerns.</i>				
8	Re-elect Mary Francis as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is considered warranted, in the absence of any significant concerns.</i>				
9	Re-elect Crawford Gillies as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is considered warranted, in the absence of any significant concerns.</i>				
10	Re-elect Brian Gilvary as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is considered warranted, in the absence of any significant concerns.</i>				
11	Re-elect Nigel Higgins as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is considered warranted, in the absence of any significant concerns.</i>				
12	Re-elect Tushar Morzaria as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is considered warranted, in the absence of any significant concerns.</i>				
13	Re-elect Diane Schueneman as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is considered warranted, in the absence of any significant concerns.</i>				
14	Re-elect James Staley as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is considered warranted, in the absence of any significant concerns.</i>				
15	Reappoint KPMG LLP as Auditors	Mgmt	For	For	For
16	Authorise the Board Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
17	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
18	Authorise Issue of Equity	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>				
19	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>				



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Barclays Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
20	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
21	Authorise Issue of Equity in Relation to the Issuance of Contingent Equity Conversion Notes	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted, although this is not without concern for shareholders:* If the Company were to issue Equity Conversion Notes (ECNs) and a trigger event were to occur, causing them to convert into ordinary shares, this would result in significant dilution to non-participating shareholders. The main reasons for support are:* Such authorities are common proposals at UK banks and are intended to apply in extreme circumstances only; and* The conversion into ordinary shares would require the CET1 ratio to fall to a level which would denote significant capital weakness well beyond the Company's current position and minimum regulatory requirements.</i>					
22	Authorise Issue of Equity without Pre-emptive Rights in Relation to the Issuance of Contingent Equity Conversion Notes	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted, although this is not without concern for shareholders:* If the Company were to issue Equity Conversion Notes (ECNs) and a trigger event were to occur, causing them to convert into ordinary shares, this would result in significant dilution to non-participating shareholders. The main reasons for support are:* Such authorities are common proposals at UK banks and are intended to apply in extreme circumstances only; and* The conversion into ordinary shares would require the CET1 ratio to fall to a level which would denote significant capital weakness well beyond the Company's current position and minimum regulatory requirements.</i>					
23	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
24	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
25	Approve Long Term Incentive Plan	Mgmt	For	For	For
26	Amend Share Value Plan	Mgmt	For	For	For
27	Approve Scrip Dividend Program	Mgmt	For	For	For
28	Adopt New Articles of Association	Mgmt	For	For	For
	Shareholder Proposal	Mgmt			
29	Approve Market Forces Requisitioned Resolution	SH	Against	Against	Against

### Dairy Farm International Holdings Ltd.

**Meeting Date:** 05/05/2021

**Country:** Bermuda

**Primary Security ID:** G2624N153

**Record Date:**

**Meeting Type:** Annual

**Ticker:** D01

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Dairy Farm International Holdings Ltd.

Shares Voted: 1,443,600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted because of the following reasons:* The Audit and Remuneration Committees lack independent representation.* An Executive Director sits on the Audit and Remuneration Committees.* The annual report does not provide a detailed breakdown of the Directors' individual emoluments paid during the year under review.* An Executive Director is not employed under a formal service contract.					
2	Approve Final Dividend	Mgmt	For	For	For
3	Re-elect Anthony Nightingale as Director	Mgmt	For	For	For
Voting Policy Rationale: Items 3 and 5Despite potential concerns due to their non-independence, a vote FOR the re-election of Anthony Nightingale and Percy Weatherall is warranted as they are not members of the Audit and Remuneration Committees.Item 4A vote FOR the election of Clive Schlee is warranted because no significant concerns have been identified.					
4	Elect Clive Schlee as Director	Mgmt	For	For	For
Voting Policy Rationale: Items 3 and 5Despite potential concerns due to their non-independence, a vote FOR the re-election of Anthony Nightingale and Percy Weatherall is warranted as they are not members of the Audit and Remuneration Committees.Item 4A vote FOR the election of Clive Schlee is warranted because no significant concerns have been identified.					
5	Re-elect Percy Weatherall as Director	Mgmt	For	For	For
Voting Policy Rationale: Items 3 and 5Despite potential concerns due to their non-independence, a vote FOR the re-election of Anthony Nightingale and Percy Weatherall is warranted as they are not members of the Audit and Remuneration Committees.Item 4A vote FOR the election of Clive Schlee is warranted because no significant concerns have been identified.					
6	Ratify Auditors and Authorise Their Remuneration	Mgmt	For	For	For
7	Authorise Issue of Shares	Mgmt	For	For	For

Kreate Group Plc

Meeting Date: 05/05/2021Country: FinlandPrimary Security ID: X4S5DN100  
Record Date: 04/23/2021Meeting Type: AnnualTicker: KREATE

Shares Voted: 20,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting	Mgmt			
Voting Policy Rationale: These are routine meeting formalities.					
2	Call the Meeting to Order	Mgmt			
Voting Policy Rationale: These are routine meeting formalities.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Kreate Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Designate Inspector or Shareholder Representative(s) of Minutes of Meeting	Mgmt			
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
4	Acknowledge Proper Convening of Meeting	Mgmt			
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
5	Prepare and Approve List of Shareholders	Mgmt			
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
6	Receive Financial Statements and Statutory Reports	Mgmt			
7	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
8	Approve Allocation of Income and Dividends of EUR 0.44 Per Share	Mgmt	For	For	For
9	Approve Discharge of Board and President	Mgmt	For	For	For
10	Approve Remuneration Policy And Other Terms of Employment For Executive Management	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST this item is warranted because the proposed policy falls short of market practice, due to:* vague disclosure of annual bonus award limit; and* the company can implement LTI programs with short performance periods.</i>				
11	Approve Remuneration Report (Advisory Vote)	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST this item is warranted because the proposed remuneration report is below par in relation to market standards, particularly with regards to the lack of retrospective disclosure of STIP performance criteria earned in FY2020 and the lack of disclosure regarding a payment made to the CEO.</i>				
12	Approve Monthly Remuneration of Directors in the Amount of EUR 4,750 for Chairman and EUR 2,000 for Other Directors; Approve Remuneration of Committees Work; Approve Reimbursement of Travel Expenses	Mgmt	For	For	For
13	Fix Number of Directors at Seven	Mgmt	For	For	For
14	Reelect Petri Rignell, Timo Kohtamaki, Janne Naranen, Elina Pienimaki and Markus Vayrynen as Directors; Elect Timo Pekkarinen and Jussi Aine as New Directors	Mgmt	For	For	For
15	Approve Remuneration of Auditors	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST the remuneration of the auditors is warranted given that the non-audit fees are 74.5 percent of the total fees received by the audit firm during the fiscal year, raising substantial doubts over the independence of the auditor.</i>				
16	Ratify KPMG as Auditors	Mgmt	For	For	For
17	Approve Issuance of up to 10 Percent of Issued Shares without Preemptive Rights	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Kreate Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
18	Authorize Share Repurchase Program	Mgmt	For	For	For
19	Close Meeting	Mgmt			

Nexi SpA

Meeting Date: 05/05/2021	Country: Italy	Primary Security ID: T6S18J104
Record Date: 04/26/2021	Meeting Type: Annual	Ticker: NEXI
Shares Voted: 30,995		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Ordinary Business	Mgmt			
1	Approve Financial Statements, Statutory Reports, and Allocation of Income	Mgmt	For	For	For
2	Approve Second Section of the Remuneration Report	Mgmt	For	For	For
3	Authorize Share Repurchase Program and Reissuance of Repurchased Shares	Mgmt	For	For	For
A	Deliberations on Possible Legal Action Against Directors if Presented by Shareholders	Mgmt	None	Against	Against

PUMA SE

Meeting Date: 05/05/2021	Country: Germany	Primary Security ID: D62318148
Record Date: 04/13/2021	Meeting Type: Annual	Ticker: PUM
Shares Voted: 7,733		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2020 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 0.16 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal Year 2020	Mgmt	For	For	For

Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

PUMA SE

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Approve Discharge of Supervisory Board for Fiscal Year 2020	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.					
5	Ratify Deloitte GmbH as Auditors for Fiscal Year 2021	Mgmt	For	For	For
6	Approve Remuneration Policy	Mgmt	For	For	For
7	Approve Creation of EUR 30 Million Pool of Capital with Partial Exclusion of Preemptive Rights	Mgmt	For	For	For
8	Amend 2020 Share Repurchase Authorization to Allow Reissuance of Repurchased Shares to Members of the Management Board	Mgmt	For	For	For

Unilever Plc

Meeting Date: 05/05/2021	Country: United Kingdom	Primary Security ID: G92087165
Record Date: 05/03/2021	Meeting Type: Annual	Ticker: ULVR

Shares Voted: 156

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Approve Remuneration Policy	Mgmt	For	For	For
4	Approve Climate Transition Action Plan	Mgmt	For	For	For
5	Re-elect Nils Andersen as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.					
6	Re-elect Laura Cha as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.					
7	Re-elect Dr Judith Hartmann as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.					
8	Re-elect Alan Jope as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.					
9	Re-elect Andrea Jung as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Unilever Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10	Re-elect Susan Kilsby as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.</i>				
11	Re-elect Strive Masiyiwa as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.</i>				
12	Re-elect Youngme Moon as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.</i>				
13	Re-elect Graeme Pitkethly as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.</i>				
14	Re-elect John Rishton as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.</i>				
15	Re-elect Feike Sijbesma as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.</i>				
16	Reappoint KPMG LLP as Auditors	Mgmt	For	For	For
17	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
18	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
19	Approve SHARES Plan	Mgmt	For	For	For
20	Authorise Issue of Equity	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>				
21	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>				
22	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>				
23	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
24	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
25	Adopt New Articles of Association	Mgmt	For	For	For
26	Approve Reduction of the Share Premium Account	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

## Deutsche Post AG

**Meeting Date:** 05/06/2021      **Country:** Germany      **Primary Security ID:** D19225107  
**Record Date:**      **Meeting Type:** Annual      **Ticker:** DPW

**Shares Voted:** 24,555

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2020 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 1.35 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal Year 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4	Approve Discharge of Supervisory Board for Fiscal Year 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
5	Ratify PricewaterhouseCoopers GmbH as Auditors for Fiscal Year 2021	Mgmt	For	For	For
6.1	Elect Ingrid Deltenre to the Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the independent directors Nikolaus von Bomhard, Ingrid Deltenre and Katja Windt are warranted because their election would have a positive impact on the level of board independence.</i>					
6.2	Elect Katja Windt to the Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the independent directors Nikolaus von Bomhard, Ingrid Deltenre and Katja Windt are warranted because their election would have a positive impact on the level of board independence.</i>					
6.3	Elect Nikolaus von Bomhard to the Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the independent directors Nikolaus von Bomhard, Ingrid Deltenre and Katja Windt are warranted because their election would have a positive impact on the level of board independence.</i>					
7	Approve Creation of EUR 130 Million Pool of Capital without Preemptive Rights	Mgmt	For	For	For
8	Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares	Mgmt	For	For	For
9	Authorize Use of Financial Derivatives when Repurchasing Shares	Mgmt	For	For	For
10	Approve Remuneration Policy	Mgmt	For	For	For
11	Approve Remuneration of Supervisory Board	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Koninklijke Philips NV

Meeting Date: 05/06/2021      Country: Netherlands      Primary Security ID: N7637U112  
Record Date: 04/08/2021      Meeting Type: Annual      Ticker: PHIA

Shares Voted: 25,371

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Annual Meeting Agenda	Mgmt			
	President's Speech	Mgmt			
2.a	Receive Explanation on Company's Reserves and Dividend Policy	Mgmt			
2.b	Adopt Financial Statements and Statutory Reports	Mgmt	For	For	For
2.c	Approve Dividends of EUR 0.85 Per Share	Mgmt	For	For	For
2.d	Approve Remuneration Report	Mgmt	For	For	For
2.e	Approve Discharge of Management Board	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.					
2.f	Approve Discharge of Supervisory Board	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.					
3	Reelect Marnix van Ginneken to Management Board	Mgmt	For	For	For
4.a	Elect Chua Sock Koong to Supervisory Board	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates					
4.b	Elect Indra Nooyi to Supervisory Board	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates					
5.a	Grant Board Authority to Issue Shares	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this proposal is warranted because it is in line with commonly used safeguards regarding volume and duration.					
5.b	Authorize Board to Exclude Preemptive Rights from Share Issuances	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this proposal is warranted because it is in line with commonly used safeguards regarding volume and duration.					
6	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
7	Approve Cancellation of Repurchased Shares	Mgmt	For	For	For



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Koninklijke Philips NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8	Other Business (Non-Voting)	Mgmt			

Lonza Group AG

Meeting Date: 05/06/2021	Country: Switzerland	Primary Security ID: H50524133
Record Date:	Meeting Type: Annual	Ticker: LONN
Shares Voted: 2,395		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Approve Discharge of Board and Senior Management	Mgmt	For	For	For
4	Approve Allocation of Income and Dividends of CHF 3.00 per Share	Mgmt	For	For	For
5.1.1	Reelect Werner Bauer as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.					
5.1.2	Reelect Albert Baehny as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.					
5.1.3	Reelect Dorothee Deuring as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.					
5.1.4	Reelect Angelica Kohlmann as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.					
5.1.5	Reelect Christoph Maeder as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.					
5.1.6	Reelect Barbara Richmond as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.					
5.1.7	Reelect Juergen Steinemann as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.					
5.1.8	Reelect Olivier Verscheure as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Lonza Group AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.2	Reelect Albert Baehny as Board Chairman	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>					
5.3.1	Reappoint Angelica Kohlmann as Member of the Nomination and Compensation Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>					
5.3.2	Reappoint Christoph Maeder as Member of the Nomination and Compensation Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>					
5.3.3	Reappoint Juergen Steinemann as Member of the Nomination and Compensation Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the proposed board and committee nominees are warranted.</i>					
6	Ratify KPMG Ltd as Auditors	Mgmt	For	For	For
7	Designate ThomannFischer as Independent Proxy	Mgmt	For	For	For
8	Approve Remuneration of Directors in the Amount of CHF 2.7 Million	Mgmt	For	For	For
9.1	Approve Fixed Remuneration of Executive Committee in the Amount of CHF 5.6 Million for the Period July 1, 2021 - June 30, 2022	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these items are warranted because the proposals appear to be in line with market practice and do not raise significant concerns.</i>					
9.2	Approve Variable Short-Term Remuneration of Executive Committee in the Amount of CHF 3.3 Million for Fiscal Year 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these items are warranted because the proposals appear to be in line with market practice and do not raise significant concerns.</i>					
9.3	Approve Variable Long-Term Remuneration of Executive Committee in the Amount of CHF 10.5 Million for Fiscal Year 2021	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these items are warranted because the proposals appear to be in line with market practice and do not raise significant concerns.</i>					
10	Approve Renewal of CHF 7.5 Million Pool of Authorized Capital without Preemptive Rights	Mgmt	For	For	For
11	Transact Other Business (Voting)	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST is warranted because: * This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Melrose Industries Plc

Meeting Date: 05/06/2021      Country: United Kingdom      Primary Security ID: G59731178  
Record Date: 05/04/2021      Meeting Type: Annual      Ticker: MRO

Shares Voted: 338,267

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Approve Final Dividend	Mgmt	For	For	For
4	Re-elect Christopher Miller as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
5	Re-elect David Roper as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
6	Re-elect Simon Peckham as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
7	Re-elect Geoffrey Martin as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
8	Re-elect Justin Dowley as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
9	Re-elect Liz Hewitt as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
10	Re-elect David Lis as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
11	Re-elect Archie Kane as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
12	Re-elect Charlotte Twynning as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
13	Re-elect Funmi Adegoke as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
14	Elect Peter Dilnot as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
15	Reappoint Deloitte LLP as Auditors	Mgmt	For	For	For
16	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Melrose Industries Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
17	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
18	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
19	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
20	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
21	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

Melrose Industries Plc

Meeting Date: 05/06/2021	Country: United Kingdom	Primary Security ID: G5973J178
Record Date: 05/04/2021	Meeting Type: Special	Ticker: MRO
Shares Voted: 338,267		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Disposal of Nortek Air Management	Mgmt	For	For	For

Lundin Mining Corporation

Meeting Date: 05/07/2021	Country: Canada	Primary Security ID: 550372106
Record Date: 03/19/2021	Meeting Type: Annual	Ticker: LUN
Shares Voted: 11,189,110		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Donald K. Charter	Mgmt	For	For	For
Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.					
1.2	Elect Director C. Ashley Heppenstall	Mgmt	For	For	For
Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Lundin Mining Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.3	Elect Director Marie Inkster	Mgmt	For	For	For
Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.					
1.4	Elect Director Peter C. Jones	Mgmt	For	For	For
Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.					
1.5	Elect Director Jack O. Lundin	Mgmt	For	For	For
Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.					
1.6	Elect Director Lukas H. Lundin	Mgmt	For	For	For
Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.					
1.7	Elect Director Dale C. Peniuk	Mgmt	For	For	For
Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.					
1.8	Elect Director Karen P. Poniachik	Mgmt	For	For	For
Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.					
1.9	Elect Director Catherine J. G. Stefan	Mgmt	For	For	For
Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.					
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation Approach	Mgmt	For	For	For
4	Amend By-law No. 1	Mgmt	For	For	For

Tongwei Co., Ltd.

Meeting Date: 05/07/2021	Country: China	Primary Security ID: Y8884V108
Record Date: 04/28/2021	Meeting Type: Annual	Ticker: 600438
Shares Voted: 1,508,000		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Report of the Board of Directors	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.					
2	Approve Report of the Board of Supervisors	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Tongwei Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Approve Annual Report and Summary	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.</i>					
4	Approve Financial Statements	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.</i>					
5	Approve Profit Distribution	Mgmt	For	For	For
6	Approve Report of the Independent Directors	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.</i>					
7	Approve to Appoint Auditor	Mgmt	For	For	For
8	Approve Comprehensive Credit Line Application	Mgmt	For	For	For
9	Approve Provision of Guarantee	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST is warranted because there is lack of disclosure on the pertinent details of this loan guarantee request.</i>					
10	Approve Provision of Guarantee for Corporate Customers	Mgmt	For	For	For
11	Approve Bill Pool Business	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST is warranted because there is lack of disclosure on the pertinent details for shareholder to effectively assess the associated risks.</i>					
12	Approve Shareholder Dividend Return Plan	Mgmt	For	For	For
13	Approve to Adjust the Total Investment Scale of Investment Projects with Partial Raised Funds	Mgmt	For	For	For
14	Approve Company's Eligibility for Issuance of Convertible Bonds	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>					
	APPROVE ISSUANCE OF CONVERTIBLE BONDS	Mgmt			
15.1	Approve Issue Type	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>					
15.2	Approve Issue Scale	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>					
15.3	Approve Par Value and Issue Price	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Tongwei Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
15.4	Approve Bond Maturity	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
15.5	Approve Bond Interest Rate	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
15.6	Approve Method and Term for the Repayment of Principal and Interest	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
15.7	Approve Conversion Period	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
15.8	Approve Determination and Adjustment of Conversion Price	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
15.9	Approve Terms for Downward Adjustment of Conversion Price	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
15.10	Approve Method on Handling Fractional Shares Upon Conversion	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
15.11	Approve Terms of Redemption	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
15.12	Approve Terms of Sell-Back	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
15.13	Approve Dividend Distribution Post Conversion	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
15.14	Approve Issue Manner and Target Subscribers	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
15.15	Approve Placing Arrangement for Shareholders	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Tongwei Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
15.16	Approve Bondholders and Bondholder Meetings	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
15.17	Approve Use of Proceeds	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
15.18	Approve Depository of Raised Funds	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
15.19	Approve Guarantee Matters	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
15.20	Approve Resolution Validity Period	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
16	Approve Plan for Issuance of Convertible Bonds	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
17	Approve Feasibility Analysis Report on the Intended Usage of Raised Funds	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
18	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
19	Approve Meeting Rules for A Share Convertible Corporate Bondholders	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
20	Approve Authorization of Board to Handle All Related Matters	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				
21	Approve Report on the Usage of Previously Raised Funds	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited because the company has well demonstrated its need for capital in relation to various project developments.</i>				



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

B3 SA-Brasil, Bolsa, Balcão

Meeting Date: 05/10/2021      Country: Brazil      Primary Security ID: P1909G107  
Record Date:      Meeting Type: Special      Ticker: B3SA3

Shares Voted: 10,991,421

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve 3-for-1 Stock Split	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these requests is warranted because:* A stock split will be, at worst, neutral in terms of shareholder value;* The rationale for the split appears reasonable; and* Outstanding shares will represent 81.7 percent of the proposed new authorized capital limit.					
2	Amend Article 3 Re: Corporate Purpose	Mgmt	For	For	For
3	Amend Articles 5 and 8 to Reflect Changes in Capital and Authorized Capital and Consolidate Bylaws	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these requests is warranted because:* A stock split will be, at worst, neutral in terms of shareholder value;* The rationale for the split appears reasonable; and* Outstanding shares will represent 81.7 percent of the proposed new authorized capital limit.					
4	Amend Articles Re: Competences of Board of Directors and General Meeting	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full details of the proposed changes; and* The proposed amendments are administrative in nature and do not impact shareholder value.					
5	Amend Articles Re: Board Composition	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full details of the proposed changes; and* The proposed amendments are administrative in nature and do not impact shareholder value.					
6	Amend Article 32 Re: Increase Number of Vice-Chairmen and Executives	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full details of the proposed changes; and* The proposed amendments are administrative in nature and do not impact shareholder value.					
7	Amend Articles Re: Statutory Committees Composition	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full details of the proposed changes; and* The proposed amendments are administrative in nature and do not impact shareholder value.					
8	Amend Article 76 Re: Indemnity Provision	Mgmt	For	Against	For
Voting Policy Rationale: Although the proposed amendments to the company's statutory indemnification provision appear to be reasonable, the underlying terms of such indemnity coverage do not provide detailed information regarding key terms, as recommended under ISS policy guidelines and CVM best practices guidelines.Given that the amendment proposal presents an opportunity for shareholders to reevaluate the company's overall indemnity clause, support for these amendments is not recommended at this time. As such, votes AGAINST are warranted.					
9	Amend Article 76 Re: Indemnity Provision	Mgmt	For	Against	For
Voting Policy Rationale: Although the proposed amendments to the company's statutory indemnification provision appear to be reasonable, the underlying terms of such indemnity coverage do not provide detailed information regarding key terms, as recommended under ISS policy guidelines and CVM best practices guidelines.Given that the amendment proposal presents an opportunity for shareholders to reevaluate the company's overall indemnity clause, support for these amendments is not recommended at this time. As such, votes AGAINST are warranted.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

B3 SA-Brasil, Bolsa, Balcão

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10	Amend Articles Re: Financing Restructuring Unit, Remote Voting and Renumbering	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these requests is warranted because: * The company has provided the full details of the proposed changes; and * The proposed amendments are administrative in nature and do not impact shareholder value.					
11	Consolidate Bylaws	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these requests is warranted because: * The company has provided the full details of the proposed changes; and * The proposed amendments are administrative in nature and do not impact shareholder value.					
12	Amend Long-Term Incentive Plan	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this request is warranted because the proposed plan does not appear to adequately align the interests of its beneficiaries and those of the shareholders.					

Polytec Asset Holdings Limited

Meeting Date: 05/10/2021	Country: Cayman Islands	Primary Security ID: G71659117
Record Date: 05/03/2021	Meeting Type: Special	Ticker: 208
Shares Voted: 2,725,000		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Reduction of the Issued Share Capital by Cancelling and Extinguishing the Scheme Shares	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the Scheme would provide an exit opportunity for shareholders to realize their investments in the company at a substantial premium to the historical price of the shares.					
2	Approve Withdrawal of the Listing of the Shares of the Company	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the Scheme would provide an exit opportunity for shareholders to realize their investments in the company at a substantial premium to the historical price of the shares.					
3	Approve Increase of the Issued Share Capital	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the Scheme would provide an exit opportunity for shareholders to realize their investments in the company at a substantial premium to the historical price of the shares.					

Polytec Asset Holdings Limited

Meeting Date: 05/10/2021	Country: Cayman Islands	Primary Security ID: G71659117
Record Date: 05/03/2021	Meeting Type: Court	Ticker: 208

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Polytec Asset Holdings Limited

Shares Voted: 2,725,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	COURT ORDERED MEETING Approve Scheme of Arrangement	Mgmt Mgmt	For	For	For

AstraZeneca Plc

Meeting Date: 05/11/2021      Country: United Kingdom      Primary Security ID: G0593M107  
Record Date: 05/07/2021      Meeting Type: Annual      Ticker: AZN

Shares Voted: 22,882

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Dividends	Mgmt	For	For	For
3	Reappoint PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
4	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
5a	Re-elect Leif Johansson as Director	Mgmt	For	For	For

Voting Policy Rationale: Items 5a-5d, 5f-5h, 5j-5kA vote FOR these Directors is warranted as no significant concerns have been identified.Item 5e: Re-elect Euan AshleyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:\* Euan Ashley attended less than 75% of Board meetings that he was eligible to attend post appointment to the Board.The main reason for support is:\* He joined the Board in October 2020, so there is no evidence of a longer-term attendance issue at this time. This will be kept under review.Item 5i: Re-elect Sheri McCoyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:\* Sheri McCoy has a significant number of external director mandates at publicly listed companies.The main reasons for support are:\* It is noted that her number of director mandates have not changed during the period in review (albeit that Certara is now publicly listed after a December 2020 IPO), and there are synergies across her portfolio of directorships as they are broadly in similar sectors.Item 5l: Re-elect Marcus WallenbergA vote FOR this Director is considered warranted, although it is not without concern for shareholders:\* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:\* All of his directorships at listed companies relate to his role at Investor AB, given its significant stake in those companies. Taking this factor into account, support for his re-election is considered warranted.

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

AstraZeneca Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5b	Re-elect Pascal Soriot as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 5a-5d, 5f-5h, 5j-5kA vote FOR these Directors is warranted as no significant concerns have been identified.Item 5e: Re-elect Euan AshleyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* Euan Ashley attended less than 75% of Board meetings that he was eligible to attend post appointment to the Board.The main reason for support is:* He joined the Board in October 2020, so there is no evidence of a longer-term attendance issue at this time. This will be kept under review.Item 5i: Re-elect Sheri McCoyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* Sheri McCoy has a significant number of external director mandates at publicly listed companies.The main reasons for support are:* It is noted that her number of director mandates have not changed during the period in review (albeit that Certara is now publicly listed after a December 2020 IPO), and there are synergies across her portfolio of directorships as they are broadly in similar sectors.Item 5l: Re-elect Marcus WallenbergA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* All of his directorships at listed companies relate to his role at Investor AB, given its significant stake in those companies. Taking this factor into account, support for his re-election is considered warranted.</i>					
5c	Re-elect Marc Dunoyer as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 5a-5d, 5f-5h, 5j-5kA vote FOR these Directors is warranted as no significant concerns have been identified.Item 5e: Re-elect Euan AshleyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* Euan Ashley attended less than 75% of Board meetings that he was eligible to attend post appointment to the Board.The main reason for support is:* He joined the Board in October 2020, so there is no evidence of a longer-term attendance issue at this time. This will be kept under review.Item 5i: Re-elect Sheri McCoyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* Sheri McCoy has a significant number of external director mandates at publicly listed companies.The main reasons for support are:* It is noted that her number of director mandates have not changed during the period in review (albeit that Certara is now publicly listed after a December 2020 IPO), and there are synergies across her portfolio of directorships as they are broadly in similar sectors.Item 5l: Re-elect Marcus WallenbergA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* All of his directorships at listed companies relate to his role at Investor AB, given its significant stake in those companies. Taking this factor into account, support for his re-election is considered warranted.</i>					
5d	Re-elect Philip Broadley as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 5a-5d, 5f-5h, 5j-5kA vote FOR these Directors is warranted as no significant concerns have been identified.Item 5e: Re-elect Euan AshleyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* Euan Ashley attended less than 75% of Board meetings that he was eligible to attend post appointment to the Board.The main reason for support is:* He joined the Board in October 2020, so there is no evidence of a longer-term attendance issue at this time. This will be kept under review.Item 5i: Re-elect Sheri McCoyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* Sheri McCoy has a significant number of external director mandates at publicly listed companies.The main reasons for support are:* It is noted that her number of director mandates have not changed during the period in review (albeit that Certara is now publicly listed after a December 2020 IPO), and there are synergies across her portfolio of directorships as they are broadly in similar sectors.Item 5l: Re-elect Marcus WallenbergA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* All of his directorships at listed companies relate to his role at Investor AB, given its significant stake in those companies. Taking this factor into account, support for his re-election is considered warranted.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### AstraZeneca Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5e	Elect Euan Ashley as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 5a-5d, 5f-5h, 5j-5kA vote FOR these Directors is warranted as no significant concerns have been identified.Item 5e: Re-elect Euan AshleyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* Euan Ashley attended less than 75% of Board meetings that he was eligible to attend post appointment to the Board.The main reason for support is:* He joined the Board in October 2020, so there is no evidence of a longer-term attendance issue at this time. This will be kept under review.Item 5i: Re-elect Sheri McCoyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* Sheri McCoy has a significant number of external director mandates at publicly listed companies.The main reasons for support are:* It is noted that her number of director mandates have not changed during the period in review (albeit that Certara is now publicly listed after a December 2020 IPO), and there are synergies across her portfolio of directorships as they are broadly in similar sectors.Item 5l: Re-elect Marcus WallenbergA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* All of his directorships at listed companies relate to his role at Investor AB, given its significant stake in those companies. Taking this factor into account, support for his re-election is considered warranted.</i></p>					
5f	Re-elect Michel Demare as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 5a-5d, 5f-5h, 5j-5kA vote FOR these Directors is warranted as no significant concerns have been identified.Item 5e: Re-elect Euan AshleyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* Euan Ashley attended less than 75% of Board meetings that he was eligible to attend post appointment to the Board.The main reason for support is:* He joined the Board in October 2020, so there is no evidence of a longer-term attendance issue at this time. This will be kept under review.Item 5i: Re-elect Sheri McCoyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* Sheri McCoy has a significant number of external director mandates at publicly listed companies.The main reasons for support are:* It is noted that her number of director mandates have not changed during the period in review (albeit that Certara is now publicly listed after a December 2020 IPO), and there are synergies across her portfolio of directorships as they are broadly in similar sectors.Item 5l: Re-elect Marcus WallenbergA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* All of his directorships at listed companies relate to his role at Investor AB, given its significant stake in those companies. Taking this factor into account, support for his re-election is considered warranted.</i></p>					
5g	Re-elect Deborah DiSanzo as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 5a-5d, 5f-5h, 5j-5kA vote FOR these Directors is warranted as no significant concerns have been identified.Item 5e: Re-elect Euan AshleyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* Euan Ashley attended less than 75% of Board meetings that he was eligible to attend post appointment to the Board.The main reason for support is:* He joined the Board in October 2020, so there is no evidence of a longer-term attendance issue at this time. This will be kept under review.Item 5i: Re-elect Sheri McCoyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* Sheri McCoy has a significant number of external director mandates at publicly listed companies.The main reasons for support are:* It is noted that her number of director mandates have not changed during the period in review (albeit that Certara is now publicly listed after a December 2020 IPO), and there are synergies across her portfolio of directorships as they are broadly in similar sectors.Item 5l: Re-elect Marcus WallenbergA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* All of his directorships at listed companies relate to his role at Investor AB, given its significant stake in those companies. Taking this factor into account, support for his re-election is considered warranted.</i></p>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

AstraZeneca Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5h	Elect Diana Layfield as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 5a-5d, 5f-5h, 5j-5kA vote FOR these Directors is warranted as no significant concerns have been identified.Item 5e: Re-elect Euan AshleyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* Euan Ashley attended less than 75% of Board meetings that he was eligible to attend post appointment to the Board.The main reason for support is:* He joined the Board in October 2020, so there is no evidence of a longer-term attendance issue at this time. This will be kept under review.Item 5i: Re-elect Sheri McCoyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* Sheri McCoy has a significant number of external director mandates at publicly listed companies.The main reasons for support are:* It is noted that her number of director mandates have not changed during the period in review (albeit that Certara is now publicly listed after a December 2020 IPO), and there are synergies across her portfolio of directorships as they are broadly in similar sectors.Item 5l: Re-elect Marcus WallenbergA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* All of his directorships at listed companies relate to his role at Investor AB, given its significant stake in those companies. Taking this factor into account, support for his re-election is considered warranted.</i>					
5i	Re-elect Sheri McCoy as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 5a-5d, 5f-5h, 5j-5kA vote FOR these Directors is warranted as no significant concerns have been identified.Item 5e: Re-elect Euan AshleyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* Euan Ashley attended less than 75% of Board meetings that he was eligible to attend post appointment to the Board.The main reason for support is:* He joined the Board in October 2020, so there is no evidence of a longer-term attendance issue at this time. This will be kept under review.Item 5i: Re-elect Sheri McCoyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* Sheri McCoy has a significant number of external director mandates at publicly listed companies.The main reasons for support are:* It is noted that her number of director mandates have not changed during the period in review (albeit that Certara is now publicly listed after a December 2020 IPO), and there are synergies across her portfolio of directorships as they are broadly in similar sectors.Item 5l: Re-elect Marcus WallenbergA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* All of his directorships at listed companies relate to his role at Investor AB, given its significant stake in those companies. Taking this factor into account, support for his re-election is considered warranted.</i>					
5j	Re-elect Tony Mok as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 5a-5d, 5f-5h, 5j-5kA vote FOR these Directors is warranted as no significant concerns have been identified.Item 5e: Re-elect Euan AshleyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* Euan Ashley attended less than 75% of Board meetings that he was eligible to attend post appointment to the Board.The main reason for support is:* He joined the Board in October 2020, so there is no evidence of a longer-term attendance issue at this time. This will be kept under review.Item 5i: Re-elect Sheri McCoyA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* Sheri McCoy has a significant number of external director mandates at publicly listed companies.The main reasons for support are:* It is noted that her number of director mandates have not changed during the period in review (albeit that Certara is now publicly listed after a December 2020 IPO), and there are synergies across her portfolio of directorships as they are broadly in similar sectors.Item 5l: Re-elect Marcus WallenbergA vote FOR this Director is considered warranted, although it is not without concern for shareholders:* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* All of his directorships at listed companies relate to his role at Investor AB, given its significant stake in those companies. Taking this factor into account, support for his re-election is considered warranted.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

AstraZeneca Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5k	Re-elect Nazneen Rahman as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 5a-5d, 5f-5h, 5j-5kA vote FOR these Directors is warranted as no significant concerns have been identified.Item 5e: Re-elect Euan AshleyA vote FOR this Director is considered warranted, although it is not without concern for shareholders.* Euan Ashley attended less than 75% of Board meetings that he was eligible to attend post appointment to the Board.The main reason for support is:* He joined the Board in October 2020, so there is no evidence of a longer-term attendance issue at this time. This will be kept under review.Item 5i: Re-elect Sheri McCoyA vote FOR this Director is considered warranted, although it is not without concern for shareholders.* Sheri McCoy has a significant number of external director mandates at publicly listed companies.The main reasons for support are:* It is noted that her number of director mandates have not changed during the period in review (albeit that Certara is now publicly listed after a December 2020 IPO), and there are synergies across her portfolio of directorships as they are broadly in similar sectors.Item 5l: Re-elect Marcus WallenbergA vote FOR this Director is considered warranted, although it is not without concern for shareholders.* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* All of his directorships at listed companies relate to his role at Investor AB, given its significant stake in those companies. Taking this factor into account, support for his re-election is considered warranted.</i>					
5l	Re-elect Marcus Wallenberg as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 5a-5d, 5f-5h, 5j-5kA vote FOR these Directors is warranted as no significant concerns have been identified.Item 5e: Re-elect Euan AshleyA vote FOR this Director is considered warranted, although it is not without concern for shareholders.* Euan Ashley attended less than 75% of Board meetings that he was eligible to attend post appointment to the Board.The main reason for support is:* He joined the Board in October 2020, so there is no evidence of a longer-term attendance issue at this time. This will be kept under review.Item 5i: Re-elect Sheri McCoyA vote FOR this Director is considered warranted, although it is not without concern for shareholders.* Sheri McCoy has a significant number of external director mandates at publicly listed companies.The main reasons for support are:* It is noted that her number of director mandates have not changed during the period in review (albeit that Certara is now publicly listed after a December 2020 IPO), and there are synergies across her portfolio of directorships as they are broadly in similar sectors.Item 5l: Re-elect Marcus WallenbergA vote FOR this Director is considered warranted, although it is not without concern for shareholders.* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* All of his directorships at listed companies relate to his role at Investor AB, given its significant stake in those companies. Taking this factor into account, support for his re-election is considered warranted.</i>					
6	Approve Remuneration Report	Mgmt	For	For	For
7	Approve Remuneration Policy	Mgmt	For	Against	Abstain
<i>Voting Policy Rationale: A vote AGAINST this item is considered warranted.* The Company is proposing significant increases to variable pay for the second consecutive year, and the rationale for this is not considered to be sufficiently compelling.</i>					
8	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
9	Authorise Issue of Equity	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
10	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

AstraZeneca Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
11	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
12	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
13	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
14	Amend Performance Share Plan	Mgmt	For	Against	Abstain
Voting Policy Rationale: A vote AGAINST this item is considered warranted: * The Company is proposing significant increases to variable pay for the second consecutive year, and the rationale for this is not considered to be sufficiently compelling.					

AstraZeneca Plc

Meeting Date: 05/11/2021	Country: United Kingdom	Primary Security ID: G0593M107
Record Date: 05/07/2021	Meeting Type: Special	Ticker: AZN
Shares Voted: 22,882		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Acquisition of Alexion Pharmaceuticals, Inc.	Mgmt	For	For	For

KION GROUP AG

Meeting Date: 05/11/2021	Country: Germany	Primary Security ID: D4S14D103
Record Date: 04/19/2021	Meeting Type: Annual	Ticker: KGX
Shares Voted: 8,549		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2020 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 0.41 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal Year 2020	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.					



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

KION GROUP AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Approve Discharge of Supervisory Board for Fiscal Year 2020	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.					
5	Ratify Deloitte GmbH as Auditors for Fiscal Year 2021	Mgmt	For	For	For
6	Approve Remuneration Policy	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST the remuneration policy is warranted because: * The policy contains scope for discretion which is significantly above market standards and allows for special bonus payments. * The +/-30 percent discretion via the modifier on the variable compensation is too high and not market practice. * While performance criteria are disclosed, the actual performance metrics/targets for the annual bonus and for part of the long-term bonus are not.					
7	Approve Remuneration of Supervisory Board	Mgmt	For	For	For
8	Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares	Mgmt	For	For	For
9	Amend Articles Re: AGM Convocation; Participation and Voting Rights; Proof of Entitlement	Mgmt	For	For	For

Stillfront Group AB

Meeting Date: 05/11/2021	Country: Sweden	Primary Security ID: W87819145
Record Date: 05/03/2021	Meeting Type: Annual	Ticker: SF
Shares Voted: 79,478		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting	Mgmt			
Voting Policy Rationale: These are routine meeting formalities.					
2	Elect Chairman of Meeting	Mgmt	For	For	For
Voting Policy Rationale: These are routine meeting formalities.					
3	Designate Inspector(s) of Minutes of Meeting	Mgmt	For	For	For
Voting Policy Rationale: These are routine meeting formalities.					
4	Prepare and Approve List of Shareholders	Mgmt	For	For	For
Voting Policy Rationale: These are routine meeting formalities.					
5	Approve Agenda of Meeting	Mgmt	For	For	For
Voting Policy Rationale: These are routine meeting formalities.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Stillfront Group AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Acknowledge Proper Convening of Meeting	Mgmt	For	For	For
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
7	Receive Financial Statements and Statutory Reports	Mgmt			
8.a	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
8.b	Approve Allocation of Income and Omission of Dividends	Mgmt	For	For	For
8.c1	Approve Discharge of Board Chairman Jan Samuelson	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.c2	Approve Discharge of Erik Forsberg	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.c3	Approve Discharge of Katarina G. Bonde	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.c4	Approve Discharge of Birgitta Henriksson	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.c5	Approve Discharge of Ulrika Viklund	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.c6	Approve Discharge of Kai Wawrzinek	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.c7	Approve Discharge of CEO Jorgen Larsson	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
9	Approve Remuneration Report	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST this proposal is warranted due to* Lack of ex-post disclosure of performance metrics, weights and targets attached to the STI bonus; and* The CEO base salary was increased significantly without a compelling rationale.</i>				
10	Determine Number of Members (6) and Deputy Members (0) of Board	Mgmt	For	For	For
11.1	Approve Remuneration of Directors in the Amount of SEK 730,000 for Chairman	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these remuneration proposals is warranted as the proposed fees are in line with peer group board fees.</i>				

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Stillfront Group AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
11.2	Approve Remuneration of Directors in the Amount of SEK 260,000 for Other Directors	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these remuneration proposals is warranted as the proposed fees are in line with peer group board fees.</i>				
11.3	Approve Remuneration for Committee Work	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these remuneration proposals is warranted as the proposed fees are in line with peer group board fees.</i>				
11.4	Approve Remuneration for Committee Work	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these remuneration proposals is warranted as the proposed fees are in line with peer group board fees.</i>				
11.5	Approve Remuneration for Committee Work	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these remuneration proposals is warranted as the proposed fees are in line with peer group board fees.</i>				
11.6	Approve Remuneration for Committee Work	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these remuneration proposals is warranted as the proposed fees are in line with peer group board fees.</i>				
11.7	Approve Remuneration of Auditors	Mgmt	For	For	For
12.1	Reelect Jan Samuelson as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR candidates Jan Samuelson, Erik Forsberg, Birgitta Henriksson, Ulrika Viklund, and Kai Wawrzinek is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST Item 12.3 is warranted because candidate Katarina Bonde is overboarded.</i>				
12.2	Reelect Erik Forsberg as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR candidates Jan Samuelson, Erik Forsberg, Birgitta Henriksson, Ulrika Viklund, and Kai Wawrzinek is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST Item 12.3 is warranted because candidate Katarina Bonde is overboarded.</i>				
12.3	Reelect Katarina G. Bonde as Director	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote FOR candidates Jan Samuelson, Erik Forsberg, Birgitta Henriksson, Ulrika Viklund, and Kai Wawrzinek is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST Item 12.3 is warranted because candidate Katarina Bonde is overboarded.</i>				
12.4	Reelect Birgitta Henriksson as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR candidates Jan Samuelson, Erik Forsberg, Birgitta Henriksson, Ulrika Viklund, and Kai Wawrzinek is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST Item 12.3 is warranted because candidate Katarina Bonde is overboarded.</i>				
12.5	Reelect Ulrika Viklund as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR candidates Jan Samuelson, Erik Forsberg, Birgitta Henriksson, Ulrika Viklund, and Kai Wawrzinek is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST Item 12.3 is warranted because candidate Katarina Bonde is overboarded.</i>				
12.6	Reelect Kai Wawrzinek as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR candidates Jan Samuelson, Erik Forsberg, Birgitta Henriksson, Ulrika Viklund, and Kai Wawrzinek is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST Item 12.3 is warranted because candidate Katarina Bonde is overboarded.</i>				

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Stillfront Group AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
13	Reelect Jan Samuelson as Board Chairman	Mgmt	For	For	For
14	Ratify PricewaterhouseCoopers as Auditors	Mgmt	For	For	For
15	Authorize Chairman of Board and Representatives of Three of Company's Largest Shareholders to Serve on Nominating Committee	Mgmt	For	For	For
16	Approve Remuneration Policy And Other Terms of Employment For Executive Management	Mgmt	For	For	For
17	Approve Stock Option Plan for Key Employees (LTIP 2021/2025)	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this resolution is warranted because the vesting schedule for options is insufficient.</i>					
18	Approve Equity Plan Financing Through Issuance of Warrants and Their Transfer to Participants	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this item is warranted as the requested funding would be used to fund an incentive plan with an insufficient vesting schedule.</i>					
19	Approve Issuance of up to 10 Percent of Issued Shares without Preemptive Rights	Mgmt	For	For	For
20	Approve Issuance of Shares for a Private Placement for the Sellers of Imperia Online JSC	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted because the company has provided a rationale for the private placements, for which dilutive effects are also not excessive.</i>					
21	Approve Issuance of Shares for a Private Placement for the Sellers of Playa GamesGmbH	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted because the company has provided a rationale for the private placements, for which dilutive effects are also not excessive.</i>					
22	Approve Issuance of Shares for a Private Placement for the Sellers of Storm8, Inc	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted because the company has provided a rationale for the private placements, for which dilutive effects are also not excessive.</i>					
23	Approve Issuance of Shares for a Private Placement for the Sellers of Candywriter LLC	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted because the company has provided a rationale for the private placements, for which dilutive effects are also not excessive.</i>					
24	Amend Articles Re: Editorial Changes; Corporate Purpose; Postal Voting	Mgmt	For	For	For
25	Close Meeting	Mgmt			

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

The Gym Group Plc

Meeting Date: 05/11/2021      Country: United Kingdom      Primary Security ID: G42114101  
Record Date: 05/07/2021      Meeting Type: Annual      Ticker: GYM

Shares Voted: 347,644

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Re-elect Penny Hughes as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4	Re-elect John Treharne as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
5	Re-elect Richard Darwin as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
6	Re-elect David Kelly as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
7	Re-elect Emma Woods as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
8	Re-elect Mark George as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
9	Elect Wais Shaifta as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
10	Elect Rio Ferdinand as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
11	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	For	For
12	Authorise the Audit and Risk Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
13	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
14	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
15	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

The Gym Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
16	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
17	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
18	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

Willis Towers Watson Public Limited Company

Meeting Date: 05/11/2021	Country: Ireland	Primary Security ID: G96629103
Record Date: 03/11/2021	Meeting Type: Annual	Ticker: WLTW
Shares Voted: 2,641		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Anna C. Catalano	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1b	Elect Director Victor F. Ganzi	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1c	Elect Director John J. Haley	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1d	Elect Director Wendy E. Lane	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1e	Elect Director Brendan R. O'Neill	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1f	Elect Director Jaymin B. Patel	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1g	Elect Director Linda D. Rabbitt	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1h	Elect Director Paul D. Thomas	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1i	Elect Director Wilhelm Zeller	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Willis Towers Watson Public Limited Company

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Ratify the Appointment of Deloitte & Touche LLP as Auditor and Deloitte Ireland LLP to audit the Irish Statutory Accounts, and Authorize the Board to Fix Their Remuneration	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Renew the Board's Authority to Issue Shares Under Irish Law	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
5	Renew the Board's Authority to Opt-Out of Statutory Pre-Emptions Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					

frontdoor, inc.

Meeting Date: 05/12/2021	Country: USA	Primary Security ID: 35905A109
Record Date: 03/23/2021	Meeting Type: Annual	Ticker: FTDR
Shares Voted: 113,798		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Richard P. Fox	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1b	Elect Director Brian P. McAndrews	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1c	Elect Director Rexford J. Tibbens	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1d	Elect Director Anna C. Catalano	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1e	Elect Director William C. Cobb	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

frontdoor, inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Amend Certificate of Incorporation Regarding Supermajority Vote Requirements and Advancement of Expenses	Mgmt	For	For	For

Just Eat Takeaway.com NV

Meeting Date: 05/12/2021	Country: Netherlands	Primary Security ID: N4753E105
Record Date: 04/14/2021	Meeting Type: Annual	Ticker: TKWY
Shares Voted: 47,644		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
1	Open Meeting	Mgmt			
2.a	Receive Report of Management Board (Non-Voting)	Mgmt			
2.b	Approve Remuneration Report	Mgmt	For	For	For
2.c	Adopt Financial Statements and Statutory Reports	Mgmt	For	For	For
3.a	Approve Discharge of Management Board	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.				
3.b	Approve Discharge of Supervisory Board	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.				
4.a	Reelect Jitse Groen to Management Board	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR this election is warranted because:* The nominees are elected for a term not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates.				
4.b	Reelect Brent Wissink to Management Board	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR this election is warranted because:* The nominees are elected for a term not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates.				
4.c	Reelect Jorg Gerbig to Management Board	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR this election is warranted because:* The nominees are elected for a term not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates.				



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Just Eat Takeaway.com NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.d	Reelect Matthew Maloney to Management Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this election is warranted because:* The nominees are elected for a term not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates.</i>					
5.a	Reelect Adriaan Nuhn to Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates</i>					
5.b	Reelect Corinne Vigreux to Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates</i>					
5.c	Reelect Ron Teerlink to Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates</i>					
5.d	Reelect Gwyn Burr to Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates</i>					
5.e	Reelect Jambu Palaniappan to Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates</i>					
5.f	Reelect Lloyd Frink to Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates</i>					
5.g	Reelect David Fisher to Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates</i>					
6	Ratify Deloitte Accountants B.V. as Auditors	Mgmt	For	For	For
7	Grant Board Authority to Issue Shares	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this proposal is warranted because it is in line with commonly used safeguards regarding volume and duration.</i>					
8	Authorize Board to Exclude Preemptive Rights from Share Issuances	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this proposal is warranted because it is in line with commonly used safeguards regarding volume and duration.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Just Eat Takeaway.com NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
10	Other Business (Non-Voting)	Mgmt			
11	Close Meeting	Mgmt			

National Express Group Plc

Meeting Date: 05/12/2021Country: United KingdomPrimary Security ID: G6374M109  
Record Date: 05/10/2021Meeting Type: AnnualTicker: NEX

Shares Voted: 230,272

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST the remuneration report is considered warranted:* The Remuneration Committee reinstated the CFO's 8.5% salary increase in November 2020 (originally awarded from 1 January 2020 and subsequently voluntarily waived by the Executive Director due to the emergence of the Covid-19 pandemic);* A further 6.25% salary increase was also proposed for the CFO, effective November 2020; and* The CFO was granted a FY2021 LTIP award at 200% of salary, under the exceptional LTIP limit available in the current remuneration policy.The timing and appropriateness of the CFO's remuneration arrangement raise concerns, given the significant impact that the COVID-19 pandemic has had on the Company, resulting in staff redundancies, furloughing staff through participation in government assistance schemes, and raising capital through a share placing to improve the Company's liquidity position.					
3	Approve Remuneration Policy	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST this resolution is warranted:* The proposed increase to the normal maximum LTIP opportunity, from 150% to 200% of salary, for all Executive Directors raises concerns in light of the material salary increase awarded to the CFO.					
4	Re-elect Sir John Armitt as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.					
5	Re-elect Jorge Cosmen as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.					
6	Re-elect Matthew Crummack as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.					
7	Re-elect Chris Davies as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.					
8	Elect Ignacio Garat as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## National Express Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9	Re-elect Karen Geary as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.</i>					
10	Re-elect Ana de Pro Gonzalo as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.</i>					
11	Re-elect Mike McKeon as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.</i>					
12	Re-elect Ashley Steel as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these directors is considered warranted as no significant concerns have been identified.</i>					
13	Reappoint Deloitte LLP as Auditors	Mgmt	For	For	For
14	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
15	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
16	Authorise Issue of Equity	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted, although it is not without concerns for shareholders:* In May 2020, the Company used a general authority approved at its 2020 AGM to issue shares equivalent to 19.99% of the issued share capital via a cashbox placing. In normal times, such action would be considered poor practice from a governance perspective, as the existing general authorities have been used in a manner that is not consistent with the usual guidelines of the Pre-emption Group. The main reasons for support are:* The Company has provided compelling explanations to justify the cash box placing as a measure to further strengthen the Company's balance sheet, working capital and liquidity position amidst the current pessimistic trading scenario.</i>					
17	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted, although it is not without concerns for shareholders:* In May 2020, the Company used a general authority approved at its 2020 AGM to issue shares equivalent to 19.99% of the issued share capital via a cashbox placing. In normal times, such action would be considered poor practice from a governance perspective, as the existing general authorities have been used in a manner that is not consistent with the usual guidelines of the Pre-emption Group. The main reasons for support are:* The Company has provided compelling explanations to justify the cash box placing as a measure to further strengthen the Company's balance sheet, working capital and liquidity position amidst the current pessimistic trading scenario.</i>					
18	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted, although it is not without concerns for shareholders:* In May 2020, the Company used a general authority approved at its 2020 AGM to issue shares equivalent to 19.99% of the issued share capital via a cashbox placing. In normal times, such action would be considered poor practice from a governance perspective, as the existing general authorities have been used in a manner that is not consistent with the usual guidelines of the Pre-emption Group. The main reasons for support are:* The Company has provided compelling explanations to justify the cash box placing as a measure to further strengthen the Company's balance sheet, working capital and liquidity position amidst the current pessimistic trading scenario.</i>					
19	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
20	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Nexans SA

**Meeting Date:** 05/12/2021      **Country:** France      **Primary Security ID:** F65277109  
**Record Date:** 05/10/2021      **Meeting Type:** Annual/Special      **Ticker:** NEX

**Shares Voted:** 27,558

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Ordinary Business	Mgmt			
1	Approve Financial Statements and Statutory Reports	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.</i>				
2	Approve Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.</i>				
3	Approve Allocation of Income and Dividends of EUR 0.70 per Share	Mgmt	For	For	For
4	Reelect Marc Grynberg as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: * A vote FOR the (re)election of this independent nominee (Marc Grynberg) is warranted in the absence of specific concerns (Item 4). * A vote FOR the (re)election of this non-independent nominee (Jose Francisco Perez Mackenna) is warranted given the satisfactory level of board independence (including all board members: 53.84 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 63.6 percent vs 50 percent recommended) and the absence of specific concerns (Item 6). * A vote AGAINST the reelection of the independent nominee Andronico Luksic Craig is warranted as the attendance rate of the director for the last two exercises (2020 and 2019) was below 75%, and similar low attendance rates were recorded for the exercises 2018 and 2017 (Item 5). * A vote FOR the election of the employees' shareholders representative candidate (Selma Alami), is warranted as the rationale for support by the board is sound.</i>				
5	Reelect Andronico Luksic Craig as Director	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: * A vote FOR the (re)election of this independent nominee (Marc Grynberg) is warranted in the absence of specific concerns (Item 4). * A vote FOR the (re)election of this non-independent nominee (Jose Francisco Perez Mackenna) is warranted given the satisfactory level of board independence (including all board members: 53.84 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 63.6 percent vs 50 percent recommended) and the absence of specific concerns (Item 6). * A vote AGAINST the reelection of the independent nominee Andronico Luksic Craig is warranted as the attendance rate of the director for the last two exercises (2020 and 2019) was below 75%, and similar low attendance rates were recorded for the exercises 2018 and 2017 (Item 5). * A vote FOR the election of the employees' shareholders representative candidate (Selma Alami), is warranted as the rationale for support by the board is sound.</i>				
6	Reelect Francisco Perez Mackenna as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: * A vote FOR the (re)election of this independent nominee (Marc Grynberg) is warranted in the absence of specific concerns (Item 4). * A vote FOR the (re)election of this non-independent nominee (Jose Francisco Perez Mackenna) is warranted given the satisfactory level of board independence (including all board members: 53.84 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 63.6 percent vs 50 percent recommended) and the absence of specific concerns (Item 6). * A vote AGAINST the reelection of the independent nominee Andronico Luksic Craig is warranted as the attendance rate of the director for the last two exercises (2020 and 2019) was below 75%, and similar low attendance rates were recorded for the exercises 2018 and 2017 (Item 5). * A vote FOR the election of the employees' shareholders representative candidate (Selma Alami), is warranted as the rationale for support by the board is sound.</i>				

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Nexans SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Elect Selma Alami as Representative of Employee Shareholders to the Board	Mgmt	For	For	For
<i>Voting Policy Rationale: * A vote FOR the (re)election of this independent nominee (Marc Grynberg) is warranted in the absence of specific concerns (Item 4). * A vote FOR the (re)election of this non-independent nominee (Jose Francisco Perez Mackenna) is warranted given the satisfactory level of board independence (including all board members: 53.84 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 63.6 percent vs 50 percent recommended) and the absence of specific concerns (Item 6). * A vote AGAINST the reelection of the independent nominee Andronico Luksic Craig is warranted as the attendance rate of the director for the last two exercises (2020 and 2019) was below 75%, and similar low attendance rates were recorded for the exercises 2018 and 2017 (Item 5). * A vote FOR the election of the employees' shareholders representative candidate (Selma Alami), is warranted as the rationale for support by the board is sound.</i>					
A	Elect Selim Yetkin as Representative of Employee Shareholders to the Board	Mgmt	Against	Against	Against
<i>Voting Policy Rationale: * A vote FOR the (re)election of this independent nominee (Marc Grynberg) is warranted in the absence of specific concerns (Item 4). * A vote FOR the (re)election of this non-independent nominee (Jose Francisco Perez Mackenna) is warranted given the satisfactory level of board independence (including all board members: 53.84 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 63.6 percent vs 50 percent recommended) and the absence of specific concerns (Item 6). * A vote AGAINST the reelection of the independent nominee Andronico Luksic Craig is warranted as the attendance rate of the director for the last two exercises (2020 and 2019) was below 75%, and similar low attendance rates were recorded for the exercises 2018 and 2017 (Item 5). * A vote FOR the election of the employees' shareholders representative candidate (Selma Alami), is warranted as the rationale for support by the board is sound.</i>					
8	Renew Appointments of Mazars as Auditor and Appoint CBA as Alternate Auditor	Mgmt	For	For	For
9	Approve Transaction with Bpifrance Financement	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the proposed transactions with BpiFrance Financement and with the pool of banking institutions are warranted, as the presented transactions do not raise specific concerns.</i>					
10	Approve Transaction with Natixis	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the proposed transactions with BpiFrance Financement and with the pool of banking institutions are warranted, as the presented transactions do not raise specific concerns.</i>					
11	Approve Compensation Report of Corporate Officers	Mgmt	For	For	For
12	Approve Compensation of Jean Mouton, Chairman of the Board	Mgmt	For	For	For
13	Approve Compensation of Christopher Guerin, CEO	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this remuneration report is warranted because: * The achievement levels of the financial criteria reflect a performance based only on the outlook restated in Jul. 28, 2020. Compared with the initial outlook of Feb. 2020, the overall financial performance does not explain the maximization of the bonus outcome under these criteria. * The LTIP remuneration allows for a slight vesting below median for the performance shares attributed under the relative TSR criterion.</i>					
14	Approve Remuneration Policy of Directors	Mgmt	For	For	For
15	Approve Remuneration Policy of Chairman of the Board	Mgmt	For	For	For
16	Approve Remuneration Policy of CEO	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Nexans SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
17	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
	Extraordinary Business	Mgmt			
18	Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	Mgmt	For	For	For
19	Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 14 Million	Mgmt	For	For	For
<i>Voting Policy Rationale: * Votes FOR the authorization under Items 19 and 23 are warranted as its proposed volume respects the recommended guidelines for issuances with preemptive rights (and over-allocation option). * Votes FOR the authorizations under Items 21 to 24 are warranted as their proposed volumes respect the recommended guidelines for issuances without preemptive rights (and over-allocation option).</i>					
20	Authorize Capitalization of Reserves of Up to EUR 14 Million for Bonus Issue or Increase in Par Value	Mgmt	For	For	For
21	Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 4.3 Million	Mgmt	For	For	For
<i>Voting Policy Rationale: * Votes FOR the authorization under Items 19 and 23 are warranted as its proposed volume respects the recommended guidelines for issuances with preemptive rights (and over-allocation option). * Votes FOR the authorizations under Items 21 to 24 are warranted as their proposed volumes respect the recommended guidelines for issuances without preemptive rights (and over-allocation option).</i>					
22	Approve Issuance of Equity or Equity-Linked Securities for Private Placements up to Aggregate Nominal Amount of EUR 4.3 Million	Mgmt	For	For	For
<i>Voting Policy Rationale: * Votes FOR the authorization under Items 19 and 23 are warranted as its proposed volume respects the recommended guidelines for issuances with preemptive rights (and over-allocation option). * Votes FOR the authorizations under Items 21 to 24 are warranted as their proposed volumes respect the recommended guidelines for issuances without preemptive rights (and over-allocation option).</i>					
23	Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Under Items 19, 21, 22 and 24	Mgmt	For	For	For
<i>Voting Policy Rationale: * Votes FOR the authorization under Items 19 and 23 are warranted as its proposed volume respects the recommended guidelines for issuances with preemptive rights (and over-allocation option). * Votes FOR the authorizations under Items 21 to 24 are warranted as their proposed volumes respect the recommended guidelines for issuances without preemptive rights (and over-allocation option).</i>					
24	Authorize Capital Increase of up to EUR 4.3 Million for Contributions in Kind	Mgmt	For	For	For
<i>Voting Policy Rationale: * Votes FOR the authorization under Items 19 and 23 are warranted as its proposed volume respects the recommended guidelines for issuances with preemptive rights (and over-allocation option). * Votes FOR the authorizations under Items 21 to 24 are warranted as their proposed volumes respect the recommended guidelines for issuances without preemptive rights (and over-allocation option).</i>					
25	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Nexans SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
26	Authorize Capital Issuances for Use in Employee Stock Purchase Plans Reserved for International Employees	Mgmt	For	For	For
27	Authorize Up to EUR 300,000 for Use in Restricted Stock Plan Reserved for Employees and Corporate Officers (With Performance Conditions Attached)	Mgmt	For	For	For
28	Authorize Up to EUR 50,000 for Use in Restricted Stock Plan Reserved for Employees and Subsidiaries (Without Performance Conditions Attached)	Mgmt	For	For	For
29	Authorize Up to EUR 100,000 for Use in Restricted Stock Plan Reserved for Employees and Corporate Officers (With Performance Conditions Attached)	Mgmt	For	For	For
30	Ordinary Business	Mgmt			
	Authorize Filing of Required Documents/Other Formalities	Mgmt	For	For	For

Standard Chartered Plc

Meeting Date: 05/12/2021	Country: United Kingdom	Primary Security ID: G84228157
Record Date: 05/10/2021	Meeting Type: Annual	Ticker: STAN
Shares Voted: 5,311		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3	Approve Remuneration Report	Mgmt	For	For	For
4	Elect Maria Ramos as Director	Mgmt	For	For	For
Voting Policy Rationale: Items 4-13, 15-16A vote FOR these Directors is warranted as no significant concerns have been identified.Item 14A vote FOR this Director is considered warranted, although it is not without concern for shareholders:* The Board's composition does not meet the Alexander-Hampton guidelines of at least 33% female membership. As Chair of the Nomination Committee, Jose Vinals is considered responsible for Board composition.The main reasons for support are:* The Company has provided a broad commitment to meeting the Hampton-Alexander guideline in the near future.					
5	Re-elect David Conner as Director	Mgmt	For	For	For
Voting Policy Rationale: Items 4-13, 15-16A vote FOR these Directors is warranted as no significant concerns have been identified.Item 14A vote FOR this Director is considered warranted, although it is not without concern for shareholders:* The Board's composition does not meet the Alexander-Hampton guidelines of at least 33% female membership. As Chair of the Nomination Committee, Jose Vinals is considered responsible for Board composition.The main reasons for support are:* The Company has provided a broad commitment to meeting the Hampton-Alexander guideline in the near future.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Standard Chartered Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Re-elect Byron Grote as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 4-13, 15-16A vote FOR these Directors is warranted as no significant concerns have been identified. Item 14A vote FOR this Director is considered warranted, although it is not without concern for shareholders.* The Board's composition does not meet the Alexander-Hampton guidelines of at least 33% female membership. As Chair of the Nomination Committee, Jose Vinals is considered responsible for Board composition. The main reasons for support are:* The Company has provided a broad commitment to meeting the Hampton-Alexander guideline in the near future.</i></p>					
7	Re-elect Andy Halford as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 4-13, 15-16A vote FOR these Directors is warranted as no significant concerns have been identified. Item 14A vote FOR this Director is considered warranted, although it is not without concern for shareholders.* The Board's composition does not meet the Alexander-Hampton guidelines of at least 33% female membership. As Chair of the Nomination Committee, Jose Vinals is considered responsible for Board composition. The main reasons for support are:* The Company has provided a broad commitment to meeting the Hampton-Alexander guideline in the near future.</i></p>					
8	Re-elect Christine Hodgson as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 4-13, 15-16A vote FOR these Directors is warranted as no significant concerns have been identified. Item 14A vote FOR this Director is considered warranted, although it is not without concern for shareholders.* The Board's composition does not meet the Alexander-Hampton guidelines of at least 33% female membership. As Chair of the Nomination Committee, Jose Vinals is considered responsible for Board composition. The main reasons for support are:* The Company has provided a broad commitment to meeting the Hampton-Alexander guideline in the near future.</i></p>					
9	Re-elect Gay Huey Evans as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 4-13, 15-16A vote FOR these Directors is warranted as no significant concerns have been identified. Item 14A vote FOR this Director is considered warranted, although it is not without concern for shareholders.* The Board's composition does not meet the Alexander-Hampton guidelines of at least 33% female membership. As Chair of the Nomination Committee, Jose Vinals is considered responsible for Board composition. The main reasons for support are:* The Company has provided a broad commitment to meeting the Hampton-Alexander guideline in the near future.</i></p>					
10	Re-elect Naguib Kheraj as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 4-13, 15-16A vote FOR these Directors is warranted as no significant concerns have been identified. Item 14A vote FOR this Director is considered warranted, although it is not without concern for shareholders.* The Board's composition does not meet the Alexander-Hampton guidelines of at least 33% female membership. As Chair of the Nomination Committee, Jose Vinals is considered responsible for Board composition. The main reasons for support are:* The Company has provided a broad commitment to meeting the Hampton-Alexander guideline in the near future.</i></p>					
11	Re-elect Phil Rivett as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 4-13, 15-16A vote FOR these Directors is warranted as no significant concerns have been identified. Item 14A vote FOR this Director is considered warranted, although it is not without concern for shareholders.* The Board's composition does not meet the Alexander-Hampton guidelines of at least 33% female membership. As Chair of the Nomination Committee, Jose Vinals is considered responsible for Board composition. The main reasons for support are:* The Company has provided a broad commitment to meeting the Hampton-Alexander guideline in the near future.</i></p>					
12	Re-elect David Tang as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 4-13, 15-16A vote FOR these Directors is warranted as no significant concerns have been identified. Item 14A vote FOR this Director is considered warranted, although it is not without concern for shareholders.* The Board's composition does not meet the Alexander-Hampton guidelines of at least 33% female membership. As Chair of the Nomination Committee, Jose Vinals is considered responsible for Board composition. The main reasons for support are:* The Company has provided a broad commitment to meeting the Hampton-Alexander guideline in the near future.</i></p>					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Standard Chartered Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
13	Re-elect Carlson Tong as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 4-13, 15-16A vote FOR these Directors is warranted as no significant concerns have been identified. Item 14A vote FOR this Director is considered warranted, although it is not without concern for shareholders.* The Board's composition does not meet the Alexander-Hampton guidelines of at least 33% female membership. As Chair of the Nomination Committee, Jose Vinals is considered responsible for Board composition. The main reasons for support are:* The Company has provided a broad commitment to meeting the Hampton-Alexander guideline in the near future.</i>					
14	Re-elect Jose Vinals as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 4-13, 15-16A vote FOR these Directors is warranted as no significant concerns have been identified. Item 14A vote FOR this Director is considered warranted, although it is not without concern for shareholders.* The Board's composition does not meet the Alexander-Hampton guidelines of at least 33% female membership. As Chair of the Nomination Committee, Jose Vinals is considered responsible for Board composition. The main reasons for support are:* The Company has provided a broad commitment to meeting the Hampton-Alexander guideline in the near future.</i>					
15	Re-elect Jasmine Whitbread as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 4-13, 15-16A vote FOR these Directors is warranted as no significant concerns have been identified. Item 14A vote FOR this Director is considered warranted, although it is not without concern for shareholders.* The Board's composition does not meet the Alexander-Hampton guidelines of at least 33% female membership. As Chair of the Nomination Committee, Jose Vinals is considered responsible for Board composition. The main reasons for support are:* The Company has provided a broad commitment to meeting the Hampton-Alexander guideline in the near future.</i>					
16	Re-elect Bill Winters as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 4-13, 15-16A vote FOR these Directors is warranted as no significant concerns have been identified. Item 14A vote FOR this Director is considered warranted, although it is not without concern for shareholders.* The Board's composition does not meet the Alexander-Hampton guidelines of at least 33% female membership. As Chair of the Nomination Committee, Jose Vinals is considered responsible for Board composition. The main reasons for support are:* The Company has provided a broad commitment to meeting the Hampton-Alexander guideline in the near future.</i>					
17	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	For	For
18	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
19	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
20	Approve Standard Chartered Share Plan	Mgmt	For	For	For
21	Authorise Issue of Equity	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
22	Extend the Authority to Allot Shares by Such Number of Shares Repurchased by the Company under the Authority Granted Pursuant to Resolution 27	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Standard Chartered Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
23	Authorise Issue of Equity in Relation to Equity Convertible Additional Tier 1 Securities	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted, although this is not without concern for shareholders:* If the Company were to issue Equity Convertible Additional Tier 1 (ECAT1) securities and a trigger event were to occur, causing them to convert into ordinary shares, this would result in significant dilution to non-participating shareholders.The main reasons for support are:* Such authorities are common proposals at UK banks and are intended to apply in extreme circumstances only; and* The conversion into ordinary shares would require the CET1 ratio to fall to a level which would denote significant capital weakness well beyond the Company s current position and minimum regulatory requirements.					
24	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
25	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
26	Authorise Issue of Equity without Pre-emptive Rights in Relation to Equity Convertible Additional Tier 1 Securities	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted, although this is not without concern for shareholders:* If the Company were to issue Equity Convertible Additional Tier 1 (ECAT1) securities and a trigger event were to occur, causing them to convert into ordinary shares, this would result in significant dilution to non-participating shareholders.The main reasons for support are:* Such authorities are common proposals at UK banks and are intended to apply in extreme circumstances only; and* The conversion into ordinary shares would require the CET1 ratio to fall to a level which would denote significant capital weakness well beyond the Company s current position and minimum regulatory requirements.					
27	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
28	Authorise Market Purchase of Preference Shares	Mgmt	For	For	For
29	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

Balfour Beatty Plc

Meeting Date: 05/13/2021	Country: United Kingdom	Primary Security ID: G3224V108
Record Date: 05/11/2021	Meeting Type: Annual	Ticker: BBY
Shares Voted: 280,604		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Balfour Beatty Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Approve Final Dividend	Mgmt	For	For	For
4	Re-elect Philip Aiken as Director	Mgmt	For	Against	Abstain
<i>Voting Policy Rationale: Item 4A vote AGAINST the re-election of Philip Aiken is warranted on account of:* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review and the Nomination Committee has not made a public commitment to meet the targets set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is his responsibility to ensure that the Board promotes gender diversity in line with good practice.Items 5 to 11A vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
5	Re-elect Dr Stephen Billingham as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 4A vote AGAINST the re-election of Philip Aiken is warranted on account of:* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review and the Nomination Committee has not made a public commitment to meet the targets set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is his responsibility to ensure that the Board promotes gender diversity in line with good practice.Items 5 to 11A vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
6	Re-elect Stuart Doughty as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 4A vote AGAINST the re-election of Philip Aiken is warranted on account of:* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review and the Nomination Committee has not made a public commitment to meet the targets set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is his responsibility to ensure that the Board promotes gender diversity in line with good practice.Items 5 to 11A vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
7	Re-elect Philip Harrison as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 4A vote AGAINST the re-election of Philip Aiken is warranted on account of:* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review and the Nomination Committee has not made a public commitment to meet the targets set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is his responsibility to ensure that the Board promotes gender diversity in line with good practice.Items 5 to 11A vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
8	Re-elect Michael Lucki as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 4A vote AGAINST the re-election of Philip Aiken is warranted on account of:* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review and the Nomination Committee has not made a public commitment to meet the targets set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is his responsibility to ensure that the Board promotes gender diversity in line with good practice.Items 5 to 11A vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
9	Re-elect Barbara Moorhouse as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 4A vote AGAINST the re-election of Philip Aiken is warranted on account of:* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review and the Nomination Committee has not made a public commitment to meet the targets set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is his responsibility to ensure that the Board promotes gender diversity in line with good practice.Items 5 to 11A vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
10	Re-elect Leo Quinn as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 4A vote AGAINST the re-election of Philip Aiken is warranted on account of:* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review and the Nomination Committee has not made a public commitment to meet the targets set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is his responsibility to ensure that the Board promotes gender diversity in line with good practice.Items 5 to 11A vote FOR these candidates is warranted as no significant concerns have been identified.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Balfour Beatty Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
11	Re-elect Anne Drinkwater as Director	Mgmt	For	For	For
Voting Policy Rationale: Item 4A vote AGAINST the re-election of Philip Aiken is warranted on account of:* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review and the Nomination Committee has not made a public commitment to meet the targets set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is his responsibility to ensure that the Board promotes gender diversity in line with good practice.Items 5 to 11A vote FOR these candidates is warranted as no significant concerns have been identified.					
12	Reappoint KPMG LLP as Auditors	Mgmt	For	For	For
13	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
14	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
15	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
16	Approve Performance Share Plan	Mgmt	For	For	For
17	Adopt New Articles of Association	Mgmt	For	For	For
18	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
19	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
20	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

China Resources Cement Holdings Ltd.

Meeting Date: 05/14/2021	Country: Cayman Islands	Primary Security ID: G2113L106
Record Date: 05/07/2021	Meeting Type: Annual	Ticker: 1313
Shares Voted: 18,190,000		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3.1	Elect Li Fuli as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote AGAINST Yan Wang is warranted for failing to attend at least 75 percent of board and committee meetings in the most recent fiscal year, without a satisfactory explanation.In the absence of any significant issues concerning other nominees, a vote FOR their election is warranted.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### China Resources Cement Holdings Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3.2	Elect Chen Ying as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote AGAINST Yan Wang is warranted for failing to attend at least 75 percent of board and committee meetings in the most recent fiscal year, without a satisfactory explanation. In the absence of any significant issues concerning other nominees, a vote FOR their election is warranted.</i>					
3.3	Elect Wang Yan as Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST Yan Wang is warranted for failing to attend at least 75 percent of board and committee meetings in the most recent fiscal year, without a satisfactory explanation. In the absence of any significant issues concerning other nominees, a vote FOR their election is warranted.</i>					
3.4	Elect Wan Suet Fei as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote AGAINST Yan Wang is warranted for failing to attend at least 75 percent of board and committee meetings in the most recent fiscal year, without a satisfactory explanation. In the absence of any significant issues concerning other nominees, a vote FOR their election is warranted.</i>					
3.5	Elect Jing Shiqing as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote AGAINST Yan Wang is warranted for failing to attend at least 75 percent of board and committee meetings in the most recent fiscal year, without a satisfactory explanation. In the absence of any significant issues concerning other nominees, a vote FOR their election is warranted.</i>					
3.6	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
4	Appoint Ernst and Young as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
6	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following: * The aggregate share issuance limit is greater than 10 percent of the relevant class of shares. * The company has not specified the discount limit.</i>					
7	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following: * The aggregate share issuance limit is greater than 10 percent of the relevant class of shares. * The company has not specified the discount limit.</i>					

### Robinsons Retail Holdings, Inc.

<b>Meeting Date:</b> 05/14/2021	<b>Country:</b> Philippines	<b>Primary Security ID:</b> Y7318T101
<b>Record Date:</b> 04/07/2021	<b>Meeting Type:</b> Annual	<b>Ticker:</b> RRHI
<b>Shares Voted:</b> 3,140,720		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Minutes of the Annual Meeting of the Shareholders held on May 14, 2020	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Robinsons Retail Holdings, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve Audited Financial Statements for the Year Ended December 31, 2020	Mgmt	For	For	For
	Elect 9 Directors by Cumulative Voting	Mgmt			
3.1	Elect James L. Go as a Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the election of all nominees is warranted in the absence of any corporate governance concerns in relation to the nominees and board composition.</i>					
3.2	Elect Lance Y. Gokongwei as a Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the election of all nominees is warranted in the absence of any corporate governance concerns in relation to the nominees and board composition.</i>					
3.3	Elect Robina Gokongwei-Pe as a Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the election of all nominees is warranted in the absence of any corporate governance concerns in relation to the nominees and board composition.</i>					
3.4	Elect Ian McLeod as a Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the election of all nominees is warranted in the absence of any corporate governance concerns in relation to the nominees and board composition.</i>					
3.5	Elect Samuel Sanghyun Kim as a Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the election of all nominees is warranted in the absence of any corporate governance concerns in relation to the nominees and board composition.</i>					
3.6	Elect Antonio L. Go as a Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the election of all nominees is warranted in the absence of any corporate governance concerns in relation to the nominees and board composition.</i>					
3.7	Elect Roberto R. Romulo as a Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the election of all nominees is warranted in the absence of any corporate governance concerns in relation to the nominees and board composition.</i>					
3.8	Elect Rodolfo P. Ang as a Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the election of all nominees is warranted in the absence of any corporate governance concerns in relation to the nominees and board composition.</i>					
3.9	Elect Cirilo P. Noel as a Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the election of all nominees is warranted in the absence of any corporate governance concerns in relation to the nominees and board composition.</i>					
4	Appoint SyCip Gorres Velayo & Co. as External Auditor	Mgmt	For	For	For
5	Ratify Acts of the Board of Directors and Its Committees, Officers and Management Since the Last Annual Meeting of the Shareholders	Mgmt	For	For	For
6	Approve Other Matters	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this resolution is warranted because the company did not disclose the other matters that will be taken up under this resolution.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

St. James's Place Plc

Meeting Date: 05/14/2021      Country: United Kingdom      Primary Security ID: G5005D124  
Record Date: 05/12/2021      Meeting Type: Annual      Ticker: STJ

Shares Voted: 1,412

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3	Re-elect Andrew Croft as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4	Re-elect Ian Gascoigne as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
5	Re-elect Craig Gentle as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
6	Re-elect Emma Griffin as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
7	Re-elect Rosemary Hilary as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
8	Re-elect Simon Jeffreys as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
9	Re-elect Roger Yates as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
10	Elect Lesley-Ann Nash as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
11	Elect Paul Manduca as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
12	Approve Remuneration Report	Mgmt	For	For	For
13	Reappoint PricewaterhouseCoopers LLP (PwC) as Auditors	Mgmt	For	For	For
14	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
15	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

St. James's Place Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
16	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
17	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
18	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

Weyerhaeuser Company

Meeting Date: 05/14/2021	Country: USA	Primary Security ID: 962166104
Record Date: 03/18/2021	Meeting Type: Annual	Ticker: WY
Shares Voted: 42,446		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Mark A. Emmert	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1b	Elect Director Rick R. Holley	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1c	Elect Director Sara Grootwassink Lewis	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1d	Elect Director Deidra C. Merriwether	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1e	Elect Director Al Monaco	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1f	Elect Director Nicole W. Piasecki	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1g	Elect Director Lawrence A. Selzer	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1h	Elect Director Devin W. Stockfish	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1i	Elect Director Kim Williams	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Weyerhaeuser Company

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For	For

ASM International NV

Meeting Date: 05/17/2021Country: NetherlandsPrimary Security ID: N07045201  
Record Date: 04/19/2021Meeting Type: AnnualTicker: ASM

Shares Voted: 3,967

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
1	Open Meeting	Mgmt			
2	Receive Report of Management Board (Non-Voting)	Mgmt			
3	Approve Remuneration Report	Mgmt	For	For	For
4	Adopt Financial Statements and Statutory Reports	Mgmt	For	For	For
5	Approve Dividends of EUR 2.00 Per Share	Mgmt	For	For	For
6	Approve Discharge of Management Board	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.					
7	Approve Discharge of Supervisory Board	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.					
8	Elect Paul Verhagen to Management Board	Mgmt	For	For	For
9	Elect Stefanie Kahle-Galonske to Supervisory Board	Mgmt	For	For	For
10	Ratify KPMG Accountants N.V. as Auditors	Mgmt	For	For	For
11a	Grant Board Authority to Issue Shares Up to 10 Percent of Issued Capital	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this proposal is warranted because it is in line with commonly used safeguards regarding volume and duration.					
11b	Authorize Board to Exclude Preemptive Rights from Share Issuances	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this proposal is warranted because it is in line with commonly used safeguards regarding volume and duration.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

ASM International NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
12	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
13	Amend Articles Re: Provision to Cover the Absence or Inability to Act of All Members of the Supervisory Board	Mgmt	For	For	For
14	Approve Cancellation of Repurchased Shares	Mgmt	For	For	For
15	Other Business (Non-Voting)	Mgmt			
16	Close Meeting	Mgmt			

Cairn Homes Plc

Meeting Date: 05/18/2021Country: IrelandPrimary Security ID: G1858L107  
Record Date: 05/14/2021Meeting Type: AnnualTicker: CSH

Shares Voted: 0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	Do Not Vote
2	Approve Remuneration Report	Mgmt	For	Against	Do Not Vote
Voting Policy Rationale: A vote AGAINST the remuneration report is considered warranted because:* The Remuneration Committee has decided to include the CEO Founder within the LTIP from FY2021, despite the fact that the performance period of the outstanding Founder Shares have not yet ended. The IPO Prospectus excluded the CEO Founder from participating in the LTIP.					
3a	Re-elect John Reynolds as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
3b	Re-elect Michael Stanley as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
3c	Re-elect Shane Doherty as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
3d	Re-elect Andrew Bernhardt as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
3e	Re-elect Gary Britton as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Cairn Homes Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3f	Re-elect Giles Davies as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
3g	Re-elect Linda Hickey as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
3h	Re-elect Jayne McGivern as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
3i	Re-elect Alan McIntosh as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
3j	Re-elect David O'Beirne as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	Do Not Vote
5	Authorise Issue of Equity	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
6	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
7	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
8	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	Do Not Vote
9	Authorise the Company to Determine the Price Range at which Treasury Shares may be Re-issued Off-Market	Mgmt	For	For	Do Not Vote
10	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	Do Not Vote

China Feihe Ltd.

Meeting Date: 05/18/2021Country: Cayman IslandsPrimary Security ID: G2121Q105  
Record Date: 05/12/2021Meeting Type: AnnualTicker: 6186

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

China Feihe Ltd.

Shares Voted: 30,354,609

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3a	Elect Liu Jinping as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
3b	Elect Song Jianwu as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
3c	Elect Fan Yonghong as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
3d	Elect Jacques Maurice Laforge as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
3e	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
4	Approve Ernst & Young as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
6	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					
7	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					

Dufry AG

Meeting Date: 05/18/2021	Country: Switzerland	Primary Security ID: H2082J107
Record Date:	Meeting Type: Annual	Ticker: DUFN

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

## Dufry AG

Shares Voted: 25,636

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Elect Yves Gerster as Chairman of Meeting	Mgmt	For	For	For
2.1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2.2	Approve Remuneration Report (Non-Binding)	Mgmt	For	Against	Against
3	Approve Treatment of Net Loss	Mgmt	For	For	For
4	Approve Discharge of Board and Senior Management	Mgmt	For	For	For
5	Approve Increase in Conditional Capital Pool to CHF 45.4 Million to Cover Exercise of Convertible Bonds	Mgmt	For	For	For
6.1	Reelect Juan Carretero as Director and Board Chairman	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed nominees are warranted.					
6.2.1	Reelect Jorge Born as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed nominees are warranted.					
6.2.2	Reelect Julian Gonzalez as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed nominees are warranted.					
6.2.3	Reelect Heekyung Min as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed nominees are warranted.					
6.2.4	Reelect Luis Camino as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed nominees are warranted.					
6.2.5	Reelect Steven Tadler as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed nominees are warranted.					
6.2.6	Reelect Mary Guilfoile as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed nominees are warranted.					
6.2.7	Reelect Ranjan Sen as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed nominees are warranted.					
6.2.8	Reelect Lynda Tyler-Cagni as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed nominees are warranted.					
6.3.1	Elect Eugenia Ulasewicz as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed nominees are warranted.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Dufry AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6.3.2	Elect Joaquin Cabrera as Director	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed nominees are warranted.					
7.1	Appoint Steven Tadler as Member of the Compensation Committee	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed nominees are warranted.					
7.2	Appoint Luis Camino as Member of the Compensation Committee	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed nominees are warranted.					
7.3	Appoint Eugenia Ulasewicz as Member of the Compensation Committee	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the proposed nominees are warranted.					
8	Ratify Deloitte AG as Auditors	Mgmt	For	For	For
9	Designate Altenburger Ltd as Independent Proxy	Mgmt	For	For	For
10.1	Approve Remuneration of Directors in the Amount of CHF 8.5 Million	Mgmt	For	For	For
10.2	Approve Remuneration of Executive Committee in the Amount of CHF 29 Million	Mgmt	For	For	For
11	Transact Other Business (Voting)	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST is warranted because:* This item concerns additional instructions from the shareholder to the proxy in case new voting items or counterproposals are introduced at the meeting by shareholders or the board of directors; and* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against this item on a precautionary basis.					

HENSOLDT AG

Meeting Date: 05/18/2021      Country: Germany      Primary Security ID: D3R14P109  
Record Date: 04/26/2021      Meeting Type: Annual      Ticker: HAG

Shares Voted: 30,715

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2020 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 0.13 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal Year 2020	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

## HENSOLDT AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Approve Discharge of Supervisory Board for Fiscal Year 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
5	Ratify KPMG AG as Auditors for Fiscal Year 2021	Mgmt	For	For	For
6	Approve Remuneration Policy	Mgmt	For	For	For
7	Approve Remuneration of Supervisory Board	Mgmt	For	For	For

## JPMorgan Chase & Co.

**Meeting Date:** 05/18/2021      **Country:** USA      **Primary Security ID:** 46625H100  
**Record Date:** 03/19/2021      **Meeting Type:** Annual      **Ticker:** JPM

**Shares Voted:** 85,081

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Linda B. Bammann	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR CEO and chair James Dimon and lead director Stephen Burke is warranted, with caution. Although certain concerns are raised regarding JP Morgan's climate risk-related actions, these are not considered to rise to a level of concern that would imply that a vote against Dimon and Burke is warranted. A vote FOR the other director nominees is warranted.</i>					
1b	Elect Director Stephen B. Burke	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR CEO and chair James Dimon and lead director Stephen Burke is warranted, with caution. Although certain concerns are raised regarding JP Morgan's climate risk-related actions, these are not considered to rise to a level of concern that would imply that a vote against Dimon and Burke is warranted. A vote FOR the other director nominees is warranted.</i>					
1c	Elect Director Todd A. Combs	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR CEO and chair James Dimon and lead director Stephen Burke is warranted, with caution. Although certain concerns are raised regarding JP Morgan's climate risk-related actions, these are not considered to rise to a level of concern that would imply that a vote against Dimon and Burke is warranted. A vote FOR the other director nominees is warranted.</i>					
1d	Elect Director James S. Crown	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR CEO and chair James Dimon and lead director Stephen Burke is warranted, with caution. Although certain concerns are raised regarding JP Morgan's climate risk-related actions, these are not considered to rise to a level of concern that would imply that a vote against Dimon and Burke is warranted. A vote FOR the other director nominees is warranted.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## JPMorgan Chase & Co.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1e	Elect Director James Dimon	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR CEO and chair James Dimon and lead director Stephen Burke is warranted, with caution. Although certain concerns are raised regarding JP Morgan's climate risk-related actions, these are not considered to rise to a level of concern that would imply that a vote against Dimon and Burke is warranted. A vote FOR the other director nominees is warranted.</i>					
1f	Elect Director Timothy P. Flynn	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR CEO and chair James Dimon and lead director Stephen Burke is warranted, with caution. Although certain concerns are raised regarding JP Morgan's climate risk-related actions, these are not considered to rise to a level of concern that would imply that a vote against Dimon and Burke is warranted. A vote FOR the other director nominees is warranted.</i>					
1g	Elect Director Mellody Hobson	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR CEO and chair James Dimon and lead director Stephen Burke is warranted, with caution. Although certain concerns are raised regarding JP Morgan's climate risk-related actions, these are not considered to rise to a level of concern that would imply that a vote against Dimon and Burke is warranted. A vote FOR the other director nominees is warranted.</i>					
1h	Elect Director Michael A. Neal	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR CEO and chair James Dimon and lead director Stephen Burke is warranted, with caution. Although certain concerns are raised regarding JP Morgan's climate risk-related actions, these are not considered to rise to a level of concern that would imply that a vote against Dimon and Burke is warranted. A vote FOR the other director nominees is warranted.</i>					
1i	Elect Director Phebe N. Novakovic	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR CEO and chair James Dimon and lead director Stephen Burke is warranted, with caution. Although certain concerns are raised regarding JP Morgan's climate risk-related actions, these are not considered to rise to a level of concern that would imply that a vote against Dimon and Burke is warranted. A vote FOR the other director nominees is warranted.</i>					
1j	Elect Director Virginia M. Rometty	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR CEO and chair James Dimon and lead director Stephen Burke is warranted, with caution. Although certain concerns are raised regarding JP Morgan's climate risk-related actions, these are not considered to rise to a level of concern that would imply that a vote against Dimon and Burke is warranted. A vote FOR the other director nominees is warranted.</i>					
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Amend Omnibus Stock Plan	Mgmt	For	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
5	Reduce Ownership Threshold for Shareholders to Request Action by Written Consent	SH	Against	For	For
<i>Voting Policy Rationale: A vote FOR this proposal is warranted. A reduction in the ownership threshold required to request a record date to initiate written consent would provide for a more meaningful written consent right for shareholders.</i>					
6	Report on Racial Equity Audit	SH	Against	Against	Against



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

JPMorgan Chase & Co.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Require Independent Board Chair	SH	Against	For	For
Voting Policy Rationale: A vote FOR this proposal is warranted as shareholders would benefit from more independent oversight in the form of an independent chair.					
8	Report on Congruency Political Analysis and Electioneering Expenditures	SH	Against	For	For
Voting Policy Rationale: A vote FOR this proposal is warranted, as more comprehensive information regarding JPMorgan Chase's PAC's political contribution spending and nonprofit organization participation would enable shareholders to have a more comprehensive understanding of how the company oversees and manages risks related to its political partnerships.					

Royal Dutch Shell Plc

Meeting Date: 05/18/2021	Country: United Kingdom	Primary Security ID: G7690A100
Record Date: 05/14/2021	Meeting Type: Annual	Ticker: RDSA
Shares Voted: 2,679		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Management Proposals	Mgmt			
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Elect Jane Lute as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.					
4	Re-elect Ben van Beurden as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.					
5	Re-elect Dick Boer as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.					
6	Re-elect Neil Carson as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.					
7	Re-elect Ann Godbehere as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.					
8	Re-elect Euleen Goh as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.					
9	Re-elect Catherine Hughes as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Royal Dutch Shell Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10	Re-elect Martina Hund-Mejean as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.					
11	Re-elect Sir Andrew Mackenzie as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.					
12	Elect Abraham Schot as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.					
13	Re-elect Jessica Uhl as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.					
14	Re-elect Gerrit Zalm as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these directors is warranted as no significant concerns have been identified.					
15	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	For	For
16	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
17	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
18	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
19	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
20	Approve the Shell Energy Transition Strategy	Mgmt	For	For	For
Shareholder Proposal		Mgmt			
21	Request Shell to Set and Publish Targets for Greenhouse Gas (GHG) Emissions	SH	Against	Against	Against

Signify NV

Meeting Date: 05/18/2021Country: NetherlandsPrimary Security ID: N8063K107  
Record Date: 04/20/2021Meeting Type: AnnualTicker: LIGHT

Shares Voted: 30,919

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Signify NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Presentation by CEO	Mgmt			
2	Approve Remuneration Report	Mgmt	For	For	For
3	Receive Explanation on Company's Reserves and Dividend Policy	Mgmt			
4	Adopt Financial Statements	Mgmt	For	For	For
5.a	Approve Extraordinary Dividends of EUR 1.35 Per Share	Mgmt	For	For	For
5.b	Approve Dividends of EUR 1.40 Per Share	Mgmt	For	For	For
6.a	Approve Discharge of Management Board	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.					
6.b	Approve Discharge of Supervisory Board	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.					
7	Reelect Gerard van de Aast to Supervisory Board	Mgmt	For	For	For
8.a	Grant Board Authority to Issue Shares Up to 10 Percent of Issued Capital	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this proposal is warranted because it is in line with commonly used safeguards regarding volume and duration.					
8.b	Authorize Board to Exclude Preemptive Rights from Share Issuances	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this proposal is warranted because it is in line with commonly used safeguards regarding volume and duration.					
9	Authorize Repurchase of Shares	Mgmt	For	For	For
10	Approve Cancellation of Repurchased Shares	Mgmt	For	For	For
11	Other Business (Non-Voting)	Mgmt			

Sinch AB

Meeting Date: 05/18/2021Country: SwedenPrimary Security ID: W835AF448  
Record Date: 05/07/2021Meeting Type: AnnualTicker: SINCH

Shares Voted: 2,859

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting	Mgmt			

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Sinch AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
2	Elect Chairman of Meeting	Mgmt	For	For	For
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
3	Designate Inspector(s) of Minutes of Meeting	Mgmt	For	For	For
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
4	Prepare and Approve List of Shareholders	Mgmt	For	For	For
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
5	Approve Agenda of Meeting	Mgmt	For	For	For
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
6	Acknowledge Proper Convening of Meeting	Mgmt	For	For	For
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
7	Receive Financial Statements and Statutory Reports	Mgmt			
8.a	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
8.b	Approve Allocation of Income and Omission of Dividends	Mgmt	For	For	For
8.c1	Approve Discharge of Erik Froberg	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.c2	Approve Discharge of Bridget Cosgrave	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.c3	Approve Discharge of Renee Robinson Stromberg	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.c4	Approve Discharge of Johan Stuart	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.c5	Approve Discharge of Bjorn Zethraeus	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8.c6	Approve Discharge of Oscar Werner	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Sinch AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8.c7	Approve Discharge of Robert Gerstmann	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
9.1	Determine Number of Members (6) and Deputy Members (0) of Board	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted because of a lack of controversy concerning the size of the board or the number of auditors.</i>				
9.2	Determine Number of Auditors (1) and Deputy Auditors (0)	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted because of a lack of controversy concerning the size of the board or the number of auditors.</i>				
10	Approve Remuneration of Directors in the Amount of SEK 1.5 Million for Chairman and SEK 700,000 for Other Directors; Approve Remuneration for Committee Work; Approve Remuneration of Auditors	Mgmt	For	For	For
11.1a	Reelect Erik Froberg as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>				
11.1b	Reelect Bridget Cosgrave as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>				
11.1c	Reelect Renee Robinson Stromberg as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>				
11.1d	Reelect Johan Stuart as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>				
11.1e	Reelect Bjorn Zethraeus as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>				
11.1f	Elect Luciana Carvalho as New Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted due to a lack of concern regarding the composition of the board or its committees.</i>				
11.2	Reelect Erik Froberg as Board Chairman	Mgmt	For	For	For
11.3	Ratify Deloitte as Auditors	Mgmt	For	For	For
12	Authorize Chairman of Board and Representatives of Four of Company's Largest Shareholders to Serve on Nominating Committee	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Sinch AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
13	Approve Remuneration Policy And Other Terms of Employment For Executive Management	Mgmt	For	For	For
14	Approve Remuneration Report	Mgmt	For	For	For
15	Approve Issuance of up to 20 Percent of Share Capital without Preemptive Rights	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST this issuance authorization is warranted because the potential share capital increase is excessive.					
16	Approve 10:1 Stock Split; Amend Articles Accordingly	Mgmt	For	For	For
17	Approve Stock Option Plan LTI 2021 for Key Employees	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted because the vesting period for part of the options is less than three years.					
18	Close Meeting	Mgmt			

Sungrow Power Supply Co., Ltd.

Meeting Date: 05/18/2021	Country: China	Primary Security ID: Y8211M102
Record Date: 05/11/2021	Meeting Type: Annual	Ticker: 300274
Shares Voted: 7,142,788		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Report of the Board of Directors	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.					
2	Approve Report of the Board of Supervisors	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.					
3	Approve Financial Statements	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.					
4	Approve Annual Report and Summary	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.					
5	Approve Profit Distribution	Mgmt	For	For	For
6	Approve to Appoint Auditor	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Sungrow Power Supply Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Approve Provision of Guarantees	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST is warranted because the level of guarantee to be provided to some of its subsidiaries is disproportionate to the level of ownership in the said subsidiaries. The company has failed to provide any justifications in the meeting circular.					
8	Approve Repurchase and Cancellation of Performance Shares	Mgmt	For	For	For
9	Approve Amendments to Articles of Association	Mgmt	For	For	For
10	Approve Remuneration of Directors, Supervisors and Senior Management	Mgmt	For	For	For
11	Approve Guarantee Provision Plan	Mgmt	For	For	For
12	Approve Foreign Exchange Hedging Business	Mgmt	For	For	For
13	Approve Application of Bank Credit Lines	Mgmt	For	For	For

TPI Composites, Inc.

Meeting Date: 05/18/2021	Country: USA	Primary Security ID: 87266J104
Record Date: 03/22/2021	Meeting Type: Annual	Ticker: TPIC
Shares Voted: 9,439		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Paul G. Giovacchini	Mgmt	For	Withhold	Withhold
Voting Policy Rationale: WITHHOLD votes are warranted for incumbent director nominees Paul Giovacchini and Jayshree Desai given the board's failure to remove, or subject to a sunset requirement, the supermajority vote requirement to enact certain changes to the governing documents and the classified board, each of which adversely impacts shareholder rights.A vote FOR the remaining director nominees is warranted.					
1.2	Elect Director Jayshree S. Desai	Mgmt	For	Withhold	Withhold
Voting Policy Rationale: WITHHOLD votes are warranted for incumbent director nominees Paul Giovacchini and Jayshree Desai given the board's failure to remove, or subject to a sunset requirement, the supermajority vote requirement to enact certain changes to the governing documents and the classified board, each of which adversely impacts shareholder rights.A vote FOR the remaining director nominees is warranted.					
1.3	Elect Director Linda P. Hudson	Mgmt	For	For	For
Voting Policy Rationale: WITHHOLD votes are warranted for incumbent director nominees Paul Giovacchini and Jayshree Desai given the board's failure to remove, or subject to a sunset requirement, the supermajority vote requirement to enact certain changes to the governing documents and the classified board, each of which adversely impacts shareholder rights.A vote FOR the remaining director nominees is warranted.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

TPI Composites, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.4	Elect Director Bavan M. Holloway	Mgmt	For	For	For
Voting Policy Rationale: WITHHOLD votes are warranted for incumbent director nominees Paul Giovacchini and Jayshree Desai given the board's failure to remove, or subject to a sunset requirement, the supermajority vote requirement to enact certain changes to the governing documents and the classified board, each of which adversely impacts shareholder rights.A vote FOR the remaining director nominees is warranted.					
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

Zhongji Innolight Co., Ltd.

Meeting Date: 05/18/2021	Country: China	Primary Security ID: Y7685V101
Record Date: 05/13/2021	Meeting Type: Annual	Ticker: 300308
Shares Voted: 2,585,310		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Report of the Board of Directors	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.					
2	Approve Report of the Board of Supervisors	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.					
3	Approve Financial Statements	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.					
4	Approve Annual Report and Summary	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.					
5	Approve Profit Distribution	Mgmt	For	For	For
6	Approve Special Report on the Deposit and Usage of Raised Funds	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.					
7	Approve Remuneration of Directors and Supervisors	Mgmt	For	For	For
8	Approve Application of Bank Credit Lines	Mgmt	For	For	For
9	Approve Provision of Guarantees	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST is warranted because the level of guarantee to be provided to some of its subsidiaries is disproportionate to the level of ownership in the said subsidiaries. The company has failed to provide any justifications in the meeting circular.					
10	Approve Appointment of Auditor	Mgmt	For	For	For



## Vote Summary Report

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Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Zhongji Innolight Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
11	Approve Use of Idle Raised Fund for Cash Management	Mgmt	For	For	For
12	Approve Use of Idle Own Funds for Cash Management	Mgmt	For	Against	For
<i>Voting Policy Rationale: A vote AGAINST is warranted because the proposed investment could expose the company to unnecessary risks.</i>					
13	Amend Articles of Association	Mgmt	For	For	For

### AIXTRON SE

**Meeting Date:** 05/19/2021      **Country:** Germany      **Primary Security ID:** D0257Y135  
**Record Date:**      **Meeting Type:** Annual      **Ticker:** AIXA

**Shares Voted:** 44,439

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2020 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 0.11 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal Year 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4	Approve Discharge of Supervisory Board for Fiscal Year 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
5.1	Elect Andreas Biagosch to the Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the proposed nominees are warranted.</i>					
5.2	Elect Petra Denk to the Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the proposed nominees are warranted.</i>					
6	Approve Remuneration of Supervisory Board	Mgmt	For	For	For
7	Ratify Deloitte GmbH as Auditors for Fiscal Year 2021	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Corbion NV

**Meeting Date:** 05/19/2021      **Country:** Netherlands      **Primary Security ID:** N2334V109  
**Record Date:** 04/21/2021      **Meeting Type:** Annual      **Ticker:** CRBN

**Shares Voted:** 13,367

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
1	Open Meeting	Mgmt			
2	Receive Report of Management Board (Non-Voting)	Mgmt			
3	Adopt Financial Statements and Statutory Reports	Mgmt	For	For	For
4	Approve Remuneration Report	Mgmt	For	For	For
5	Receive Explanation on Company's Reserves and Dividend Policy	Mgmt			
6	Approve Dividends of EUR 0.56 Per Share	Mgmt	For	For	For
7	Approve Discharge of Management Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.</i>					
8	Approve Discharge of Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.</i>					
9	Reelect Eddy van Rhede van der Kloot to Management Board	Mgmt	For	For	For
10	Elect Dessi Temperley to Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these elections is warranted because: * The nominees are elected for a period not exceeding four years; * The candidates appear to possess the necessary qualifications for board membership; and * There is no known controversy concerning the candidates</i>					
11	Reelect Mathieu Vrijssen to Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these elections is warranted because: * The nominees are elected for a period not exceeding four years; * The candidates appear to possess the necessary qualifications for board membership; and * There is no known controversy concerning the candidates</i>					
12	Grant Board Authority to Issue Shares Up to 10 Percent of Issued Capital for General Purposes	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this proposal is warranted because it is in line with commonly used safeguards regarding volume and duration.</i>					
13	Authorize Board to Exclude Preemptive Rights from Share Issuances under Item 12	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this proposal is warranted because it is in line with commonly used safeguards regarding volume and duration.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Corbion NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
14	Grant Board Authority to Issue Shares Up to 10 Percent of Issued Capital in Case of Mergers, Acquisitions, or Strategic Alliances	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST is warranted because it is not in line with commonly used safeguards regarding volume as this is cumulative to the authorization as requested under Item 12 and 13(i.e. the management board would be able to issue share up to 20 percent of the issued share capital in total).					
15	Authorize Board to Exclude Preemptive Rights from Share Issuances under Item 14	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST is warranted because it is not in line with commonly used safeguards regarding volume as this is cumulative to the authorization as requested under Item 12 and 13(i.e. the management board would be able to issue share up to 20 percent of the issued share capital in total).					
16	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
17	Approve Cancellation of Repurchased Shares	Mgmt	For	For	For
18	Ratify KPMG Accountants N.V as Auditors	Mgmt	For	For	For
19	Other Business (Non-Voting)	Mgmt			
20	Close Meeting	Mgmt			

Fidelity National Information Services, Inc.

Meeting Date: 05/19/2021      Country: USA      Primary Security ID: 31620M106  
Record Date: 03/25/2021      Meeting Type: Annual      Ticker: FIS

Shares Voted: 61,640

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Ellen R. Alemany	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1b	Elect Director Jeffrey A. Goldstein	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1c	Elect Director Lisa A. Hook	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1d	Elect Director Keith W. Hughes	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1e	Elect Director Gary L. Lauer	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Fidelity National Information Services, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1f	Elect Director Gary A. Norcross	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1g	Elect Director Louise M. Parent	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1h	Elect Director Brian T. Shea	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1i	Elect Director James B. Stallings, Jr.	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1j	Elect Director Jeffrey E. Stiefler	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For	For

Re:NewCell AB

Meeting Date: 05/19/2021	Country: Sweden	Primary Security ID: W7S25G113
Record Date: 05/10/2021	Meeting Type: Annual	Ticker: RENEW
Shares Voted: 56,020		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Elect Chairman of Meeting	Mgmt	For	For	For
	Voting Policy Rationale: These are routine meeting formalities.				
2	Prepare and Approve List of Shareholders	Mgmt	For	For	For
	Voting Policy Rationale: These are routine meeting formalities.				
3	Approve Agenda of Meeting	Mgmt	For	For	For
	Voting Policy Rationale: These are routine meeting formalities.				
4.1	Designate Malcolm Norlin as Inspector of Minutes of Meeting	Mgmt	For	For	For
	Voting Policy Rationale: These are routine meeting formalities.				
4.2	Designate Boris Gyllhamn as Inspector of Minutes of Meeting	Mgmt	For	For	For
	Voting Policy Rationale: These are routine meeting formalities.				

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Re:NewCell AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Acknowledge Proper Convening of Meeting	Mgmt	For	For	For
	<i>Voting Policy Rationale: These are routine meeting formalities.</i>				
6	Receive Financial Statements and Statutory Reports	Mgmt			
7.a	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
7.b	Approve Allocation of Income and Omission of Dividends	Mgmt	For	For	For
7.c.1	Approve Discharge of Mia Hemmingson	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.c.2	Approve Discharge of Om Bhatia	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.c.3	Approve Discharge of Erik Karlsson	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.c.4	Approve Discharge of Mikael Lindstrom	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.c.5	Approve Discharge of Henrik Norlin	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.c.6	Approve Discharge of Malcolm Norlin	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.c.7	Approve Discharge of Heikki Yrjana Hassi	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.c.8	Approve Discharge of Per Aniansson	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
7.c.9	Approve Discharge of Patrik Lundstrom	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
8	Determine Number of Members (5) and Deputy Members (0) of Board	Mgmt	For	For	For

Vote Summary Report

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Institution Account(s): All Institution Accounts

Re:NewCell AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9	Approve Remuneration of Directors in the Amount of SEK 220,000 for Chairman and SEK 120,000 for Other Directors; Approve Remuneration for Committee Work	Mgmt	For	For	For
10.1	Reelect Mia Hemmingson as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR candidates Mia Hemmingson, Henrik Ager, Anna Birgitta Attemark and Om Bhatia is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote AGAINST Helene Willberg is warranted because she is overboarded.					
10.2	Reelect Om Bhatia as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR candidates Mia Hemmingson, Henrik Ager, Anna Birgitta Attemark and Om Bhatia is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote AGAINST Helene Willberg is warranted because she is overboarded.					
10.3	Elect Anna Attemark as New Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR candidates Mia Hemmingson, Henrik Ager, Anna Birgitta Attemark and Om Bhatia is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote AGAINST Helene Willberg is warranted because she is overboarded.					
10.4	Elect Helene Willberg as New Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote FOR candidates Mia Hemmingson, Henrik Ager, Anna Birgitta Attemark and Om Bhatia is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote AGAINST Helene Willberg is warranted because she is overboarded.					
10.5	Elect Henrik Ager as New Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR candidates Mia Hemmingson, Henrik Ager, Anna Birgitta Attemark and Om Bhatia is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote AGAINST Helene Willberg is warranted because she is overboarded.					
10.6	Reelect Mia Hemmingson as Board Chair	Mgmt	For	For	For
11	Determine Number of Auditors (1) and Deputy Auditors (0)	Mgmt	For	For	For
12	Approve Remuneration of Auditors	Mgmt	For	For	For
13	Ratify Mazars AB as Auditors	Mgmt	For	For	For
14	Approve Creation of Pool of Capital without Preemptive Rights	Mgmt	For	For	For

AIA Group Limited

Meeting Date: 05/20/2021	Country: Hong Kong	Primary Security ID: Y002A1105
Record Date: 05/13/2021	Meeting Type: Annual	Ticker: 1299

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

AIA Group Limited

Shares Voted: 755,200

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3	Elect Lee Yuan Siong as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
4	Elect Chung-Kong Chow as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
5	Elect John Barrie Harrison as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
6	Elect Lawrence Juen-Yee Lau as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
7	Elect Cesar Velasquez Purisima as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
8	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
9A	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For	For
9B	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For

China Yongda Automobiles Services Holdings Limited

Meeting Date: 05/20/2021      Country: Cayman Islands      Primary Security ID: G2162W102  
Record Date: 05/13/2021      Meeting Type: Annual      Ticker: 3669

Shares Voted: 3,639,900

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3	Elect Cai Yingjie as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

China Yongda Automobiles Services Holdings Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Elect Wang Zhigao as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
5	Elect Wang Liquan as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
6	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
7	Approve Deloitte Touche Tohmatsu as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
8A	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					
8B	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
8C	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					

ENGIE SA

Meeting Date: 05/20/2021	Country: France	Primary Security ID: F7629A107
Record Date: 05/17/2021	Meeting Type: Annual/Special	Ticker: ENGI
Shares Voted: 1		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Ordinary Business	Mgmt			
	Approve Financial Statements and Statutory Reports	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.					
2	Approve Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.					
3	Approve Treatment of Losses and Dividends of EUR 0.53 per Share	Mgmt	For	For	For



## Vote Summary Report

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 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## ENGIE SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Shareholder Proposals Submitted by the Supervisory Board of the Solidarity Employee Mutual Fund Link France	Mgmt			
A	Set the Dividend at EUR 0.35 per Share	SH	Against	Against	Against
	Ordinary Business	Mgmt			
4	Approve Auditors' Special Report on Related-Party Transactions	Mgmt	For	For	For
5	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
6	Elect Catherine MacGregor as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the election of Catherine MacGregor is warranted given the satisfactory level of board independence (including all board members: 40.0 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 66.7 percent vs 50 percent recommended) and the absence of specific concerns (Item 6)A vote FOR the election of Mrs. Delage is warranted (item 7), as the candidate represents a larger share of capital. Therefore, a vote AGAINST item 8 is warranted (given the alternative nature of the proposals under Items 7 and 8).</i>					
7	Elect Jacinthe Delage as Representative of Employee Shareholders to the Board	Mgmt	None	For	For
<i>Voting Policy Rationale: A vote FOR the election of Catherine MacGregor is warranted given the satisfactory level of board independence (including all board members: 40.0 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 66.7 percent vs 50 percent recommended) and the absence of specific concerns (Item 6)A vote FOR the election of Mrs. Delage is warranted (item 7), as the candidate represents a larger share of capital. Therefore, a vote AGAINST item 8 is warranted (given the alternative nature of the proposals under Items 7 and 8).</i>					
8	Elect Steven Lambert as Representative of Employee Shareholders to the Board	Mgmt	None	Against	Against
<i>Voting Policy Rationale: A vote FOR the election of Catherine MacGregor is warranted given the satisfactory level of board independence (including all board members: 40.0 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 66.7 percent vs 50 percent recommended) and the absence of specific concerns (Item 6)A vote FOR the election of Mrs. Delage is warranted (item 7), as the candidate represents a larger share of capital. Therefore, a vote AGAINST item 8 is warranted (given the alternative nature of the proposals under Items 7 and 8).</i>					
9	Approve Compensation of Corporate Officers	Mgmt	For	For	For
10	Approve Compensation of Jean-Pierre Clamadieu, Chairman of the Board	Mgmt	For	For	For
11	Approve Compensation of Isabelle Kocher, CEO Until Feb. 24, 2020	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this remuneration report is warranted because:* The bonus paid under FY20 was not subject to performance conditions under the same FY,* The achievement of the performance conditions for the LTIP that vested lacks transparency, and,* The termination package paid to the former-CEO raises several concerns.</i>					
12	Approve Compensation of Claire Waysand, CEO Since Feb. 24, 2020	Mgmt	For	For	For
13	Approve Remuneration Policy of Directors	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this remuneration policy is warranted because it does not raise any significant concern.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

ENGIE SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
14	Approve Remuneration Policy of Chairman of the Board	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this remuneration policy is warranted because it does not raise any significant concern.					
15	Approve Remuneration Policy of CEO	Mgmt	For	For	For
	Extraordinary Business	Mgmt			
16	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these authorizations are warranted as they do not raise concerns.					
17	Authorize Capital Issuances for Use in Employee Stock Purchase Plans for Employees of International Subsidiaries	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these authorizations are warranted as they do not raise concerns.					
18	Authorize up to 0.75 Percent of Issued Capital for Use in Restricted Stock Plans	Mgmt	For	For	For
19	Authorize up to 0.75 Percent of Issued Capital for Use in Restricted Stock Plans Under Performance Conditions	Mgmt	For	For	For
20	Authorize Filing of Required Documents/Other Formalities	Mgmt	For	For	For

Flat Glass Group Co., Ltd.

Meeting Date: 05/20/2021	Country: China	Primary Security ID: Y2575W103
Record Date: 05/13/2021	Meeting Type: Annual	Ticker: 6865
Shares Voted: 734,416		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	AGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
1	Approve 2020 Report of the Board of Directors	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
2	Approve 2020 Report of the Supervisory Committee	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Flat Glass Group Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Approve 2020 Audited Consolidated Financial Statements and Its Subsidiaries	Mgmt	For	For	For
	<i>Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.</i>				
4	Approve 2020 Annual Report and Annual Results	Mgmt	For	For	For
	<i>Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.</i>				
5	Approve 2020 Final Accounts Report	Mgmt	For	For	For
	<i>Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.</i>				
6	Approve 2021 Financial Budget Report	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST this resolution is warranted given the lack of disclosure.</i>				
7	Approve 2020 Profit Distribution Plan	Mgmt	For	For	For
8	Approve Deloitte Touche Tohmatsu Certified Public Accountants LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
9	Approve Remuneration of Directors	Mgmt	For	For	For
	<i>Voting Policy Rationale: Director and supervisor remuneration at Hong Kong-listed companies are usually reasonable. In the absence of known concerns over director and supervisor remuneration at the company, a vote FOR these proposals is warranted.</i>				
10	Approve Remuneration of Supervisors	Mgmt	For	For	For
	<i>Voting Policy Rationale: Director and supervisor remuneration at Hong Kong-listed companies are usually reasonable. In the absence of known concerns over director and supervisor remuneration at the company, a vote FOR these proposals is warranted.</i>				
11	Approve 2020 Environmental, Social and Governance Report	Mgmt	For	For	For
	<i>Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.</i>				
12	Approve Investment in the Construction of 6 PV Modules Glass Projects	Mgmt	For	For	For
13	Approve 2020 Daily Related-party Transactions and Estimate on 2021 Daily Related-party Transactions	Mgmt	For	For	For
14	Approve Provision of Guarantees for Its Potential Credit Facility and Authorize the Chairman and Its Authorized Persons to Deal With All Matters in Relation to the Credit Facilities and Validity Period of This Resolution	Mgmt	For	For	For
	ELECT EXECUTIVE DIRECTORS	Mgmt			

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Flat Glass Group Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
15.1	Elect Ruan Hongliang as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of Jiang Jinhua is warranted given that she is an executive director serving on the remuneration committee, and the committee is not majority independent.A vote FOR the remaining nominees is warranted.				
15.2	Elect Jiang Jinhua as Director	Mgmt	For	Against	Against
	Voting Policy Rationale: A vote AGAINST the election of Jiang Jinhua is warranted given that she is an executive director serving on the remuneration committee, and the committee is not majority independent.A vote FOR the remaining nominees is warranted.				
15.3	Elect Wei Yezhong as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of Jiang Jinhua is warranted given that she is an executive director serving on the remuneration committee, and the committee is not majority independent.A vote FOR the remaining nominees is warranted.				
15.4	Elect Shen Qifu as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of Jiang Jinhua is warranted given that she is an executive director serving on the remuneration committee, and the committee is not majority independent.A vote FOR the remaining nominees is warranted.				
	ELECT INDEPENDENT NON-EXECUTIVE DIRECTORS	Mgmt			
16.1	Elect Xu Pan as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of Jiang Jinhua is warranted given that she is an executive director serving on the remuneration committee, and the committee is not majority independent.A vote FOR the remaining nominees is warranted.				
16.2	Elect Hua Fulan as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of Jiang Jinhua is warranted given that she is an executive director serving on the remuneration committee, and the committee is not majority independent.A vote FOR the remaining nominees is warranted.				
16.3	Elect Ng Yau Kuen Carmen as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of Jiang Jinhua is warranted given that she is an executive director serving on the remuneration committee, and the committee is not majority independent.A vote FOR the remaining nominees is warranted.				
	ELECT SUPERVISORS	Mgmt			
17.1	Elect Zheng Wenrong as Supervisor	Mgmt	For	For	For
	Voting Policy Rationale: In the absence of any known issues relating to the nominees, a vote FOR their election is warranted.				
17.2	Elect Zhu Quanming as Supervisor	Mgmt	For	For	For
	Voting Policy Rationale: In the absence of any known issues relating to the nominees, a vote FOR their election is warranted.				
17.3	Elect Shen Fuquan as Supervisor	Mgmt	For	For	For
	Voting Policy Rationale: In the absence of any known issues relating to the nominees, a vote FOR their election is warranted.				

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Knorr-Bremse AG

**Meeting Date:** 05/20/2021      **Country:** Germany      **Primary Security ID:** D4S43E114  
**Record Date:** 04/28/2021      **Meeting Type:** Annual      **Ticker:** KBX

**Shares Voted:** 44,621

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2020 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 1.52 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal Year 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
4	Approve Discharge of Supervisory Board for Fiscal Year 2020	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.</i>					
5	Ratify KPMG AG as Auditors for Fiscal Year 2021	Mgmt	For	For	For
6.1	Elect Klaus Mangold to the Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes AGAINST the proposed nominees Kathrin Dahnke, Thomas Enders, Stefan Sommer, Julia Thiele-Schuerhoff, and Theodor Weimer are warranted as their proposed term of office exceeds four years. However, a vote FOR the independent nominee Klaus Mangold is warranted due to lack of concerns.</i>					
6.2	Elect Kathrin Dahnke to the Supervisory Board	Mgmt	For	Against	Against
<i>Voting Policy Rationale: Votes AGAINST the proposed nominees Kathrin Dahnke, Thomas Enders, Stefan Sommer, Julia Thiele-Schuerhoff, and Theodor Weimer are warranted as their proposed term of office exceeds four years. However, a vote FOR the independent nominee Klaus Mangold is warranted due to lack of concerns.</i>					
6.3	Elect Thomas Enders to the Supervisory Board	Mgmt	For	Against	Against
<i>Voting Policy Rationale: Votes AGAINST the proposed nominees Kathrin Dahnke, Thomas Enders, Stefan Sommer, Julia Thiele-Schuerhoff, and Theodor Weimer are warranted as their proposed term of office exceeds four years. However, a vote FOR the independent nominee Klaus Mangold is warranted due to lack of concerns.</i>					
6.4	Elect Stefan Sommer to the Supervisory Board	Mgmt	For	Against	Against
<i>Voting Policy Rationale: Votes AGAINST the proposed nominees Kathrin Dahnke, Thomas Enders, Stefan Sommer, Julia Thiele-Schuerhoff, and Theodor Weimer are warranted as their proposed term of office exceeds four years. However, a vote FOR the independent nominee Klaus Mangold is warranted due to lack of concerns.</i>					
6.5	Elect Julia Thiele-Schuerhoff to the Supervisory Board	Mgmt	For	Against	Against
<i>Voting Policy Rationale: Votes AGAINST the proposed nominees Kathrin Dahnke, Thomas Enders, Stefan Sommer, Julia Thiele-Schuerhoff, and Theodor Weimer are warranted as their proposed term of office exceeds four years. However, a vote FOR the independent nominee Klaus Mangold is warranted due to lack of concerns.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Knorr-Bremse AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6.6	Elect Theodor Weimer to the Supervisory Board	Mgmt	For	Against	Against
Voting Policy Rationale: Votes AGAINST the proposed nominees Kathrin Dahnke, Thomas Enders, Stefan Sommer, Julia Thiele-Schuerhoff, and Theodor Weimer are warranted as their proposed term of office exceeds four years.However, a vote FOR the independent nominee Klaus Mangold is warranted due to lack of concerns.					
7	Approve Remuneration Policy	Mgmt	For	For	For
8	Approve US-Employee Share Purchase Plan	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this item is warranted in the absence of concerns regarding the size of the plan.					

Legal & General Group Plc

Meeting Date: 05/20/2021	Country: United Kingdom	Primary Security ID: G54404127
Record Date: 05/18/2021	Meeting Type: Annual	Ticker: LGEN
Shares Voted: 89		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3	Elect Ric Lewis as Director	Mgmt	For	For	For
Voting Policy Rationale: Items 3-7, 10-12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 8A vote FOR the re-election of John Kingman is warranted, although it is not without concern for shareholders: * Less than 33 percent of the Board currently consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. As Chair of the Nomination Committee, John Kingman is considered to be ultimately accountable for board diversity.The main reason for support is:* The Board is committed to achieving at least 33% female representation on the Board, in line with the recommendations of the Hampton- Alexander Review. * Women comprised 36% of the Board at the time of the previous AGM and the lack of alignment is partially attributable to the recent board refreshments.Item 9A vote FOR the re-election Lesley Knox is warranted, although it is not without concern for shareholders:* Lesley Knox was a NED and member of the audit committee at Thomas Cook plc, which entered liquidation in September 2019.The main reason for support is:* The events at Thomas Cook remain under investigation. Qualified support is warranted at this time.					
4	Elect Nilufer von Bismarck as Director	Mgmt	For	For	For
Voting Policy Rationale: Items 3-7, 10-12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 8A vote FOR the re-election of John Kingman is warranted, although it is not without concern for shareholders: * Less than 33 percent of the Board currently consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. As Chair of the Nomination Committee, John Kingman is considered to be ultimately accountable for board diversity.The main reason for support is:* The Board is committed to achieving at least 33% female representation on the Board, in line with the recommendations of the Hampton- Alexander Review. * Women comprised 36% of the Board at the time of the previous AGM and the lack of alignment is partially attributable to the recent board refreshments.Item 9A vote FOR the re-election Lesley Knox is warranted, although it is not without concern for shareholders:* Lesley Knox was a NED and member of the audit committee at Thomas Cook plc, which entered liquidation in September 2019.The main reason for support is:* The events at Thomas Cook remain under investigation. Qualified support is warranted at this time.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Legal & General Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Re-elect Henrietta Baldock as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 3-7, 10-12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 8A vote FOR the re-election of John Kingman is warranted, although it is not without concern for shareholders: * Less than 33 percent of the Board currently consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. As Chair of the Nomination Committee, John Kingman is considered to be ultimately accountable for board diversity.The main reason for support is:* The Board is committed to achieving at least 33% female representation on the Board, in line with the recommendations of the Hampton- Alexander Review. * Women comprised 36% of the Board at the time of the previous AGM and the lack of alignment is partially attributable to the recent board refreshments.Item 9A vote FOR the re-election Lesley Knox is warranted, although it is not without concern for shareholders:* Lesley Knox was a NED and member of the audit committee at Thomas Cook plc, which entered liquidation in September 2019.The main reason for support is:* The events at Thomas Cook remain under investigation. Qualified support is warranted at this time.</i></p>					
6	Re-elect Philip Broadley as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 3-7, 10-12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 8A vote FOR the re-election of John Kingman is warranted, although it is not without concern for shareholders: * Less than 33 percent of the Board currently consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. As Chair of the Nomination Committee, John Kingman is considered to be ultimately accountable for board diversity.The main reason for support is:* The Board is committed to achieving at least 33% female representation on the Board, in line with the recommendations of the Hampton- Alexander Review. * Women comprised 36% of the Board at the time of the previous AGM and the lack of alignment is partially attributable to the recent board refreshments.Item 9A vote FOR the re-election Lesley Knox is warranted, although it is not without concern for shareholders:* Lesley Knox was a NED and member of the audit committee at Thomas Cook plc, which entered liquidation in September 2019.The main reason for support is:* The events at Thomas Cook remain under investigation. Qualified support is warranted at this time.</i></p>					
7	Re-elect Jeff Davies as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 3-7, 10-12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 8A vote FOR the re-election of John Kingman is warranted, although it is not without concern for shareholders: * Less than 33 percent of the Board currently consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. As Chair of the Nomination Committee, John Kingman is considered to be ultimately accountable for board diversity.The main reason for support is:* The Board is committed to achieving at least 33% female representation on the Board, in line with the recommendations of the Hampton- Alexander Review. * Women comprised 36% of the Board at the time of the previous AGM and the lack of alignment is partially attributable to the recent board refreshments.Item 9A vote FOR the re-election Lesley Knox is warranted, although it is not without concern for shareholders:* Lesley Knox was a NED and member of the audit committee at Thomas Cook plc, which entered liquidation in September 2019.The main reason for support is:* The events at Thomas Cook remain under investigation. Qualified support is warranted at this time.</i></p>					
8	Re-elect Sir John Kingman as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 3-7, 10-12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 8A vote FOR the re-election of John Kingman is warranted, although it is not without concern for shareholders: * Less than 33 percent of the Board currently consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. As Chair of the Nomination Committee, John Kingman is considered to be ultimately accountable for board diversity.The main reason for support is:* The Board is committed to achieving at least 33% female representation on the Board, in line with the recommendations of the Hampton- Alexander Review. * Women comprised 36% of the Board at the time of the previous AGM and the lack of alignment is partially attributable to the recent board refreshments.Item 9A vote FOR the re-election Lesley Knox is warranted, although it is not without concern for shareholders:* Lesley Knox was a NED and member of the audit committee at Thomas Cook plc, which entered liquidation in September 2019.The main reason for support is:* The events at Thomas Cook remain under investigation. Qualified support is warranted at this time.</i></p>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Legal & General Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9	Re-elect Lesley Knox as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 3-7, 10-12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 8A vote FOR the re-election of John Kingman is warranted, although it is not without concern for shareholders: * Less than 33 percent of the Board currently consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. As Chair of the Nomination Committee, John Kingman is considered to be ultimately accountable for board diversity.The main reason for support is: * The Board is committed to achieving at least 33% female representation on the Board, in line with the recommendations of the Hampton- Alexander Review. * Women comprised 36% of the Board at the time of the previous AGM and the lack of alignment is partially attributable to the recent board refreshments.Item 9A vote FOR the re-election Lesley Knox is warranted, although it is not without concern for shareholders: * Lesley Knox was a NED and member of the audit committee at Thomas Cook plc, which entered liquidation in September 2019.The main reason for support is: * The events at Thomas Cook remain under investigation. Qualified support is warranted at this time.</i></p>					
10	Re-elect George Lewis as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 3-7, 10-12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 8A vote FOR the re-election of John Kingman is warranted, although it is not without concern for shareholders: * Less than 33 percent of the Board currently consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. As Chair of the Nomination Committee, John Kingman is considered to be ultimately accountable for board diversity.The main reason for support is: * The Board is committed to achieving at least 33% female representation on the Board, in line with the recommendations of the Hampton- Alexander Review. * Women comprised 36% of the Board at the time of the previous AGM and the lack of alignment is partially attributable to the recent board refreshments.Item 9A vote FOR the re-election Lesley Knox is warranted, although it is not without concern for shareholders: * Lesley Knox was a NED and member of the audit committee at Thomas Cook plc, which entered liquidation in September 2019.The main reason for support is: * The events at Thomas Cook remain under investigation. Qualified support is warranted at this time.</i></p>					
11	Re-elect Toby Strauss as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 3-7, 10-12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 8A vote FOR the re-election of John Kingman is warranted, although it is not without concern for shareholders: * Less than 33 percent of the Board currently consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. As Chair of the Nomination Committee, John Kingman is considered to be ultimately accountable for board diversity.The main reason for support is: * The Board is committed to achieving at least 33% female representation on the Board, in line with the recommendations of the Hampton- Alexander Review. * Women comprised 36% of the Board at the time of the previous AGM and the lack of alignment is partially attributable to the recent board refreshments.Item 9A vote FOR the re-election Lesley Knox is warranted, although it is not without concern for shareholders: * Lesley Knox was a NED and member of the audit committee at Thomas Cook plc, which entered liquidation in September 2019.The main reason for support is: * The events at Thomas Cook remain under investigation. Qualified support is warranted at this time.</i></p>					
12	Re-elect Nigel Wilson as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 3-7, 10-12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 8A vote FOR the re-election of John Kingman is warranted, although it is not without concern for shareholders: * Less than 33 percent of the Board currently consists of women, which is not in line with the recommendations of the Hampton-Alexander Review. As Chair of the Nomination Committee, John Kingman is considered to be ultimately accountable for board diversity.The main reason for support is: * The Board is committed to achieving at least 33% female representation on the Board, in line with the recommendations of the Hampton- Alexander Review. * Women comprised 36% of the Board at the time of the previous AGM and the lack of alignment is partially attributable to the recent board refreshments.Item 9A vote FOR the re-election Lesley Knox is warranted, although it is not without concern for shareholders: * Lesley Knox was a NED and member of the audit committee at Thomas Cook plc, which entered liquidation in September 2019.The main reason for support is: * The events at Thomas Cook remain under investigation. Qualified support is warranted at this time.</i></p>					
13	Reappoint KPMG LLP as Auditors	Mgmt	For	For	For
14	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
15	Approve Remuneration Report	Mgmt	For	For	For



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Legal & General Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
16	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
17	Authorise Issue of Equity in Connection with the Issue of Contingent Convertible Securities	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted, although this is not without concern for shareholders:* If the Company were to issue such securities and a trigger event were to occur, causing them to convert into ordinary shares, this would result in significant dilution to non-participating shareholders.The main reasons for support are:* Such authorities are common proposals at UK banks and are intended to apply in extreme circumstances only; and* The conversion into ordinary shares would require the CET1 ratio to fall to a level which would denote significant capital weakness well beyond the Company's current position and minimum regulatory requirements.					
18	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
19	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
20	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
21	Authorise Issue of Equity without Pre-emptive Rights in Connection with the Issue of Contingent Convertible Securities	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted, although this is not without concern for shareholders:* If the Company were to issue such securities and a trigger event were to occur, causing them to convert into ordinary shares, this would result in significant dilution to non-participating shareholders.The main reasons for support are:* Such authorities are common proposals at UK banks and are intended to apply in extreme circumstances only; and* The conversion into ordinary shares would require the CET1 ratio to fall to a level which would denote significant capital weakness well beyond the Company's current position and minimum regulatory requirements.					
22	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
23	Adopt New Articles of Association	Mgmt	For	For	For
24	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

Smart Metering Systems Plc

Meeting Date: 05/20/2021	Country: United Kingdom	Primary Security ID: G82373104
Record Date: 05/18/2021	Meeting Type: Annual	Ticker: SMS

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Smart Metering Systems Plc

Shares Voted: 218,098

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Re-elect Miriam Greenwood as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the re-election/election of Miriam Greenwood, Graeme Bissett, Jamie Richards, Ruth Leak, Alan Foy, Tim Mortlock and Gavin Urwin is warranted because no significant concerns have been identified.</i>					
3	Re-elect Graeme Bissett as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the re-election/election of Miriam Greenwood, Graeme Bissett, Jamie Richards, Ruth Leak, Alan Foy, Tim Mortlock and Gavin Urwin is warranted because no significant concerns have been identified.</i>					
4	Re-elect Jamie Richards as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the re-election/election of Miriam Greenwood, Graeme Bissett, Jamie Richards, Ruth Leak, Alan Foy, Tim Mortlock and Gavin Urwin is warranted because no significant concerns have been identified.</i>					
5	Re-elect Ruth Leak as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the re-election/election of Miriam Greenwood, Graeme Bissett, Jamie Richards, Ruth Leak, Alan Foy, Tim Mortlock and Gavin Urwin is warranted because no significant concerns have been identified.</i>					
6	Re-elect Alan Foy as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the re-election/election of Miriam Greenwood, Graeme Bissett, Jamie Richards, Ruth Leak, Alan Foy, Tim Mortlock and Gavin Urwin is warranted because no significant concerns have been identified.</i>					
7	Re-elect Timothy Mortlock as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the re-election/election of Miriam Greenwood, Graeme Bissett, Jamie Richards, Ruth Leak, Alan Foy, Tim Mortlock and Gavin Urwin is warranted because no significant concerns have been identified.</i>					
8	Elect Gavin Urwin as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the re-election/election of Miriam Greenwood, Graeme Bissett, Jamie Richards, Ruth Leak, Alan Foy, Tim Mortlock and Gavin Urwin is warranted because no significant concerns have been identified.</i>					
9	Approve Remuneration Report	Mgmt	For	Against	For
<i>Voting Policy Rationale: A vote AGAINST this resolution is warranted because:* The Company paid transaction-related bonuses during the year under review.</i>					
10	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	For	For
11	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
12	Approve Final Dividend	Mgmt	For	For	For
13	Authorise Issue of Equity	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 13A vote FOR this resolution is warranted, although it is not without concern for shareholders for the following reason:* The duration of the proposal is for longer than 18 months, contrary to recommended limits. The main reason for support is:* The Company has historically sought renewal of the authority each year. Item 14A vote FOR this resolution is warranted because the proposed amount and duration are within recommended limits.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Smart Metering Systems Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
14	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: Item 13A vote FOR this resolution is warranted, although it is not without concern for shareholders for the following reason:* The duration of the proposal is for longer than 18 months, contrary to recommended limits.The main reason for support is:* The Company has historically sought renewal of the authority each year.Item 14A vote FOR this resolution is warranted because the proposed amount and duration are within recommended limits.					
15	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

Tencent Holdings Limited

Meeting Date: 05/20/2021Country: Cayman IslandsPrimary Security ID: G87572163  
Record Date: 05/13/2021Meeting Type: AnnualTicker: 700

Shares Voted: 7,762,150

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3a	Elect Yang Siu Shun as Director	Mgmt	For	For	For
3b	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
4	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					
6	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
7	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					

Tencent Holdings Limited

Meeting Date: 05/20/2021Country: Cayman IslandsPrimary Security ID: G87572163  
Record Date: 05/13/2021Meeting Type: SpecialTicker: 700

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Tencent Holdings Limited

Shares Voted: 7,762,150

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Adopt Share Option Plan of China Literature Limited	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this proposal is warranted given the following:* China Literature could be considered a mature company and while the dilution limit under the proposed plan should not exceed 2.5 percent of its issued share capital, when aggregated with the other share incentive schemes of China Literature, the maximum dilution level pursuant to the schemes would exceed 5 percent of China Literature's issued share capital.* Performance conditions and meaningful vesting periods have not been disclosed.* The directors of China Literature who are eligible to receive options under the proposed plan are involved in the administration of the plan.					

Times Neighborhood Holdings Ltd.

Meeting Date: 05/20/2021Country: Cayman IslandsPrimary Security ID: G8903A101  
Record Date: 05/13/2021Meeting Type: AnnualTicker: 9928

Shares Voted: 52,502,171

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3a1	Elect Yao Xusheng as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
3a2	Elect Zhou Rui as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
3a3	Elect Wong Kong Tin as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
3b	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
4	Approve Ernst & Young as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5A	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					
5B	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Times Neighborhood Holdings Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5C	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.</i>					

### Contemporary Amperex Technology Co., Ltd.

<b>Meeting Date:</b> 05/21/2021	<b>Country:</b> China	<b>Primary Security ID:</b> Y1R48E105
<b>Record Date:</b> 05/14/2021	<b>Meeting Type:</b> Annual	<b>Ticker:</b> 300750
<b>Shares Voted:</b> 1,148,352		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Annual Report and Summary	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.</i>					
2	Approve Report of the Board of Directors	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.</i>					
3	Approve Report of the Board of Supervisors	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.</i>					
4	Approve Profit Distribution	Mgmt	For	For	For
5	Approve Financial Statements	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.</i>					
6	Approve Remuneration of Directors	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because no concerns have been identified.</i>					
7	Approve Remuneration of Supervisors	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because no concerns have been identified.</i>					
8	Approve Purchase of Liability Insurance for Directors, Supervisors and Senior Management Members	Mgmt	For	For	For
9	Approve Adjustment to Allowance of Independent Directors	Mgmt	For	For	For
10	Approve Related Party Transaction	Mgmt	For	For	For
11	Approve Guarantee Plan	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST is warranted because the level of guarantee to be provided to some of its subsidiaries is disproportionate to the level of ownership in the said subsidiaries. The company has failed to provide any justifications in the meeting circular.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Contemporary Amperex Technology Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
12	Approve Application of Credit Lines	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST is warranted because the proposed borrowing is considered excessive and may add to the company's financial burden, which is deemed not in the best interests of shareholders.</i>					
13	Approve to Appoint Auditor	Mgmt	For	For	For
14	Approve Proposal on Repurchase and Cancellation of Performance Shares Deliberated at the 21st Meeting of the Board of Directors	Mgmt	For	For	For
15	Approve Proposal on Repurchase and Cancellation of Performance Shares Deliberated at the 28th Meeting of the Board of Directors	Mgmt	For	For	For
16	Amend Articles of Association	Mgmt	For	For	For
17	Approve Additional Raised Funds Investment Project and Change in Usage of Raised Funds	Mgmt	For	For	For

### EssilorLuxottica SA

**Meeting Date:** 05/21/2021      **Country:** France      **Primary Security ID:** F31665106  
**Record Date:** 05/19/2021      **Meeting Type:** Annual/Special      **Ticker:** EL  
**Shares Voted:** 55,749

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Ordinary Business	Mgmt			
1	Approve Financial Statements and Statutory Reports	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.</i>					
2	Approve Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.</i>					
3	Approve Allocation of Income and Dividends of EUR 2.23 per Share	Mgmt	For	For	For
4	Approve Auditors' Special Report on Related-Party Transactions	Mgmt	For	For	For
5	Approve Compensation Report of Corporate Officers	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## EssilorLuxottica SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Approve Compensation of Leonardo Del Vecchio, Chairman and CEO Until Dec. 17, 2020 and Chairman of the Board Since Dec. 17, 2020	Mgmt	For	For	For
7	Approve Compensation of Hubert Sagnieres, Vice-Chairman and Vice-CEO Until Dec. 17, 2020 and Vice-Chairman of the Board Since Dec. 17, 2020	Mgmt	For	For	For
8	Approve Remuneration Policy of Corporate Officers, Since Jan. 1, 2020 Until the General Assembly	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the remuneration policy applicable to corporate officers from Jan. 2021 until the 2021 GM (Item 8) is warranted but is not without concerns as the CEO and Vice-CEO employment contracts have not been canceled under this period while they have been appointed CEO and Vice-CEO since Dec. 17, 2020. A vote AGAINST the remuneration policy applicable to corporate officers after 2021 GM (Item 9) is warranted as: * The CEO base salary increases without compelling rationale; * Severance agreement for the CEO and Vice-CEO raise several concerns; * The Vice-CEO employment contract has not been canceled (only suspended); * The CEO non-compete agreement is guaranteed; * The post mandate LTIP treatment is not in line with market practices; and * The stringency of a criterion of the LTIP 2021 is not deemed sufficient;</i>					
9	Approve Remuneration Policy of Corporate Officers, Since the General Assembly	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR the remuneration policy applicable to corporate officers from Jan. 2021 until the 2021 GM (Item 8) is warranted but is not without concerns as the CEO and Vice-CEO employment contracts have not been canceled under this period while they have been appointed CEO and Vice-CEO since Dec. 17, 2020. A vote AGAINST the remuneration policy applicable to corporate officers after 2021 GM (Item 9) is warranted as: * The CEO base salary increases without compelling rationale; * Severance agreement for the CEO and Vice-CEO raise several concerns; * The Vice-CEO employment contract has not been canceled (only suspended); * The CEO non-compete agreement is guaranteed; * The post mandate LTIP treatment is not in line with market practices; and * The stringency of a criterion of the LTIP 2021 is not deemed sufficient;</i>					
10	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
	Extraordinary Business	Mgmt			
11	Amend Bylaws to Comply with Legal Changes	Mgmt	For	For	For
<i>Voting Policy Rationale: These proposals merit votes FOR as the proposed amendments do not raise concerns. However, the adoption of the new articles proposed under Items 11 and 13 does not allow piecemeal voting by shareholders, who are presented with an all-or-nothing choice.</i>					
12	Amend Article 13 of Bylaws Re: Directors Length of Term	Mgmt	For	For	For
<i>Voting Policy Rationale: These proposals merit votes FOR as the proposed amendments do not raise concerns. However, the adoption of the new articles proposed under Items 11 and 13 does not allow piecemeal voting by shareholders, who are presented with an all-or-nothing choice.</i>					
13	Amend Article 15, 16 and 23 of Bylaws Re: Board Deliberation	Mgmt	For	For	For
<i>Voting Policy Rationale: These proposals merit votes FOR as the proposed amendments do not raise concerns. However, the adoption of the new articles proposed under Items 11 and 13 does not allow piecemeal voting by shareholders, who are presented with an all-or-nothing choice.</i>					
14	Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## EssilorLuxottica SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
15	Authorize up to 2.5 Percent of Issued Capital for Use in Restricted Stock Plans	Mgmt	For	For	For
16	Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to 5 Percent of Issued Capital	Mgmt	For	For	For
17	Authorize Capitalization of Reserves of Up to EUR 500 Million for Bonus Issue or Increase in Par Value	Mgmt	For	For	For
18	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	For	For	For
	Ordinary Business	Mgmt			
19	Reelect Leonardo Del Vecchio as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: * Votes FOR the (re)elections of these non-independent nominees are /warranted given the satisfactory level of board independence (including all board members: 50.0 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 63.6 percent vs 50 percent recommended) but are not without concerns as the Company does not disclose the composition of its committees (Items 19-21). * Votes FOR the (re)elections of the CEO and Vice-CEO are warranted but are not without concerns as the Company does not disclose the composition of its committees (Items 22-23). * Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 24-30).</i>					
20	Reelect Romolo Bardin as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: * Votes FOR the (re)elections of these non-independent nominees are /warranted given the satisfactory level of board independence (including all board members: 50.0 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 63.6 percent vs 50 percent recommended) but are not without concerns as the Company does not disclose the composition of its committees (Items 19-21). * Votes FOR the (re)elections of the CEO and Vice-CEO are warranted but are not without concerns as the Company does not disclose the composition of its committees (Items 22-23). * Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 24-30).</i>					
21	Reelect Juliette Favre as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: * Votes FOR the (re)elections of these non-independent nominees are /warranted given the satisfactory level of board independence (including all board members: 50.0 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 63.6 percent vs 50 percent recommended) but are not without concerns as the Company does not disclose the composition of its committees (Items 19-21). * Votes FOR the (re)elections of the CEO and Vice-CEO are warranted but are not without concerns as the Company does not disclose the composition of its committees (Items 22-23). * Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 24-30).</i>					
22	Reelect Francesco Milleri as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: * Votes FOR the (re)elections of these non-independent nominees are /warranted given the satisfactory level of board independence (including all board members: 50.0 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 63.6 percent vs 50 percent recommended) but are not without concerns as the Company does not disclose the composition of its committees (Items 19-21). * Votes FOR the (re)elections of the CEO and Vice-CEO are warranted but are not without concerns as the Company does not disclose the composition of its committees (Items 22-23). * Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 24-30).</i>					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### EssilorLuxottica SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
23	Reelect Paul du Saillant as Director	Mgmt	For	For	For
Voting Policy Rationale: * Votes FOR the (re)elections of these non-independent nominees are /warranted given the satisfactory level of board independence (including all board members: 50.0 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 63.6 percent vs 50 percent recommended) but are not without concerns as the Company does not disclose the composition of its committees (Items 19-21). * Votes FOR the (re)elections of the CEO and Vice-CEO are warranted but are not without concerns as the Company does not disclose the composition of its committees (Items 22-23). * Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 24-30).					
24	Reelect Cristina Scocchia as Director	Mgmt	For	For	For
Voting Policy Rationale: * Votes FOR the (re)elections of these non-independent nominees are /warranted given the satisfactory level of board independence (including all board members: 50.0 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 63.6 percent vs 50 percent recommended) but are not without concerns as the Company does not disclose the composition of its committees (Items 19-21). * Votes FOR the (re)elections of the CEO and Vice-CEO are warranted but are not without concerns as the Company does not disclose the composition of its committees (Items 22-23). * Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 24-30).					
25	Elect Jean-Luc Biamonti as Director	Mgmt	For	For	For
Voting Policy Rationale: * Votes FOR the (re)elections of these non-independent nominees are /warranted given the satisfactory level of board independence (including all board members: 50.0 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 63.6 percent vs 50 percent recommended) but are not without concerns as the Company does not disclose the composition of its committees (Items 19-21). * Votes FOR the (re)elections of the CEO and Vice-CEO are warranted but are not without concerns as the Company does not disclose the composition of its committees (Items 22-23). * Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 24-30).					
26	Elect Marie-Christine Coisne as Director	Mgmt	For	For	For
Voting Policy Rationale: * Votes FOR the (re)elections of these non-independent nominees are /warranted given the satisfactory level of board independence (including all board members: 50.0 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 63.6 percent vs 50 percent recommended) but are not without concerns as the Company does not disclose the composition of its committees (Items 19-21). * Votes FOR the (re)elections of the CEO and Vice-CEO are warranted but are not without concerns as the Company does not disclose the composition of its committees (Items 22-23). * Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 24-30).					
27	Elect Jose Gonzalo as Director	Mgmt	For	For	For
Voting Policy Rationale: * Votes FOR the (re)elections of these non-independent nominees are /warranted given the satisfactory level of board independence (including all board members: 50.0 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 63.6 percent vs 50 percent recommended) but are not without concerns as the Company does not disclose the composition of its committees (Items 19-21). * Votes FOR the (re)elections of the CEO and Vice-CEO are warranted but are not without concerns as the Company does not disclose the composition of its committees (Items 22-23). * Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 24-30).					
28	Elect Swati Piramal as Director	Mgmt	For	For	For
Voting Policy Rationale: * Votes FOR the (re)elections of these non-independent nominees are /warranted given the satisfactory level of board independence (including all board members: 50.0 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 63.6 percent vs 50 percent recommended) but are not without concerns as the Company does not disclose the composition of its committees (Items 19-21). * Votes FOR the (re)elections of the CEO and Vice-CEO are warranted but are not without concerns as the Company does not disclose the composition of its committees (Items 22-23). * Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 24-30).					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

EssilorLuxottica SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
29	Elect Nathalie von Siemens as Director	Mgmt	For	For	For
Voting Policy Rationale: * Votes FOR the (re)elections of these non-independent nominees are /warranted given the satisfactory level of board independence (including all board members: 50.0 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 63.6 percent vs 50 percent recommended) but are not without concerns as the Company does not disclose the composition of its committees (Items 19-21). * Votes FOR the (re)elections of the CEO and Vice-CEO are warranted but are not without concerns as the Company does not disclose the composition of its committees (Items 22-23). * Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 24-30).					
30	Elect Andrea Zappia as Director	Mgmt	For	For	For
Voting Policy Rationale: * Votes FOR the (re)elections of these non-independent nominees are /warranted given the satisfactory level of board independence (including all board members: 50.0 percent vs 33.3 percent recommended; excluding government representatives, employee representatives, and employee shareholder representatives (if any): 63.6 percent vs 50 percent recommended) but are not without concerns as the Company does not disclose the composition of its committees (Items 19-21). * Votes FOR the (re)elections of the CEO and Vice-CEO are warranted but are not without concerns as the Company does not disclose the composition of its committees (Items 22-23). * Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 24-30).					
31	Directors Length of Term, Pursuant Item 12	Mgmt	For	For	For
32	Authorize Filing of Required Documents/Other Formalities	Mgmt	For	For	For

Navinfo Co., Ltd.

Meeting Date: 05/21/2021	Country: China	Primary Security ID: Y62121101
Record Date: 05/17/2021	Meeting Type: Annual	Ticker: 002405
Shares Voted: 1,409,751		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Report of the Board of Directors	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.					
2	Approve Report of the Board of Supervisors	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.					
3	Approve Annual Report and Summary	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.					
4	Approve Financial Statements	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited for these routine resolutions because no concerns have been identified.					
5	Approve No Profit Distribution Plan	Mgmt	For	For	For
6	Approve to Appoint Auditor	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Navinfo Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Approve Comprehensive Credit Line Bank Application	Mgmt	For	For	For
8	Approve Related Party Transaction	Mgmt	For	For	For
9	Approve Amendments to Articles of Association	Mgmt	For	For	For
10	Approve Repurchase and Cancellation of Performance Shares	Mgmt	For	For	For

Sands China Ltd.

Meeting Date: 05/21/2021	Country: Cayman Islands	Primary Security ID: G7800X107
Record Date: 05/10/2021	Meeting Type: Annual	Ticker: 1928
Shares Voted: 1,672,600		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2a	Elect Robert Glen Goldstein as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
2b	Elect Steven Zygmunt Strasser as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
2c	Elect Kenneth Patrick Chung as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
2d	Elect Chum Kwan Lock, Grant as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
2e	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
3	Approve Deloitte Touche Tohmatsu as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
4	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
5	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Sands China Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					

Times China Holdings Limited

Meeting Date: 05/21/2021	Country: Cayman Islands	Primary Security ID: G8904A100
Record Date: 05/14/2021	Meeting Type: Annual	Ticker: 1233
Shares Voted: 16,594,099		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3A1	Elect Guan Jianhui as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
3A2	Elect Bai Xihong as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
3A3	Elect Li Qiang as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
3B	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
4	Approve Ernst & Young as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST this proposal is warranted given that the non-audit fees exceeded the total audit fees paid to the company's audit firm in the latest fiscal year without satisfactory explanation.					
5A	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					
5B	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
5C	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Weichai Power Co., Ltd.

**Meeting Date:** 05/21/2021      **Country:** China      **Primary Security ID:** Y9531A109  
**Record Date:** 05/14/2021      **Meeting Type:** Special      **Ticker:** 2338

**Shares Voted:** 14,166,325

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
1	Approve the Spin-off and Listing of Weichai Torch Technology Co., Ltd. on the ChiNext Board of the Shenzhen Stock Exchange in Compliance with the Relevant Laws and Regulations	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.</i>					
2	Approve the Spin-off and Listing of Weichai Torch Technology Co., Ltd. on the ChiNext Board of the Shenzhen Stock Exchange	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.</i>					
3	Approve the Plan for the Spin-off and Listing of Weichai Torch Technology Co., Ltd., a Subsidiary of Weichai Power Co., Ltd., on the ChiNext Board of the Shenzhen Stock Exchange	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.</i>					
4	Approve the Spin-off and Listing of Weichai Torch Technology Co., Ltd. on the ChiNext Board of the Shenzhen Stock Exchange in Compliance with the "Certain Provisions on Pilot Domestic Listing of Spin-off Subsidiaries of Listed Companies"	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.</i>					
5	Approve the Spin-off and Listing of Weichai Torch Technology Co., Ltd. on the ChiNext Board of the Shenzhen Stock Exchange which Benefits to Safeguard the Legal Rights and Interests of the Shareholders and Creditors of the Company	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Weichai Power Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Approve the Ability of the Company to Maintain Its Independence and Sustainable Operation Ability	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.</i>					
7	Approve the Capacity of Weichai Torch Technology Co., Ltd. to Operate in Accordance with the Corresponding Regulations	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.</i>					
8	Approve the Explanation Regarding the Completeness and Compliance of the Statutory Procedures Performed for the Spin-Off and the Validity of the Legal Documents to be Submitted in Relation Thereto	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.</i>					
9	Approve the Analysis in Relation to the Objectives, Commercial Reasonableness, Necessity and Feasibility of the Spin-Off	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.</i>					
10	Authorize the Board to Deal with the Matters Relating to the Spin-off	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.</i>					
11	Amend Articles of Association	Mgmt	For	For	For
12	Approve the Continuing Connected Transaction between the Company and Its Subsidiaries	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST Item 12 is warranted given the lack of disclosure on the pertinent details regarding this proposal.A vote FOR Items 13 and 14 is warranted given the transactions to be contemplated under these proposals are within the ordinary and usual course of the company's business and are on normal commercial terms.</i>					
13	Approve the CNHTC Purchase Agreement and the Relevant New Cap	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote AGAINST Item 12 is warranted given the lack of disclosure on the pertinent details regarding this proposal.A vote FOR Items 13 and 14 is warranted given the transactions to be contemplated under these proposals are within the ordinary and usual course of the company's business and are on normal commercial terms.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Weichai Power Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
14	Approve the CNHTC Supply Agreement and the Relevant New Cap	Mgmt	For	For	For
Voting Policy Rationale: A vote AGAINST Item 12 is warranted given the lack of disclosure on the pertinent details regarding this proposal.A vote FOR Items 13 and 14 is warranted given the transactions to be contemplated under these proposals are within the ordinary and usual course of the company's business and are on normal commercial terms.					

Weichai Power Co., Ltd.

Meeting Date: 05/21/2021	Country: China	Primary Security ID: Y9531A109
Record Date: 05/14/2021	Meeting Type: Special	Ticker: 2338
Shares Voted: 795,300		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	EGM BALLOT FOR HOLDERS OF A SHARES	Mgmt			
	Approve the Spin-off and Listing of Weichai Torch Technology Co., Ltd. on the ChiNext Board of the Shenzhen Stock Exchange in Compliance with the Relevant Laws and Regulations	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.					
2	Approve the Spin-off and Listing of Weichai Torch Technology Co., Ltd. on the ChiNext Board of the Shenzhen Stock Exchange	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.					
3	Approve the Plan for the Spin-off and Listing of Weichai Torch Technology Co., Ltd., a Subsidiary of Weichai Power Co., Ltd., on the ChiNext Board of the Shenzhen Stock Exchange	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Weichai Power Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Approve the Spin-off and Listing of Weichai Torch Technology Co., Ltd. on the ChiNext Board of the Shenzhen Stock Exchange in Compliance with the "Certain Provisions on Pilot Domestic Listing of Spin-off Subsidiaries of Listed Companies"	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.</i>					
5	Approve the Spin-off and Listing of Weichai Torch Technology Co., Ltd. on the ChiNext Board of the Shenzhen Stock Exchange which Benefits to Safeguard the Legal Rights and Interests of the Shareholders and Creditors of the Company	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.</i>					
6	Approve the Ability of the Company to Maintain Its Independence and Sustainable Operation Ability	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.</i>					
7	Approve the Capacity of Weichai Torch Technology Co., Ltd. to Operate in Accordance with the Corresponding Regulations	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.</i>					
8	Approve the Explanation Regarding the Completeness and Compliance of the Statutory Procedures Performed for the Spin-Off and the Validity of the Legal Documents to be Submitted in Relation Thereto	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.</i>					
9	Approve the Analysis in Relation to the Objectives, Commercial Reasonableness, Necessity and Feasibility of the Spin-Off	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.</i>					



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Weichai Power Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10	Authorize the Board to Deal with the Matters Relating to the Spin-off	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited because:* the proposed listing serves to expand the financial channel of the subsidiary;* the company will retain control over the subsidiary; and* the company's financials will not be significantly impacted.					
11	Amend Articles of Association	Mgmt	For	For	For
12	Approve the Continuing Connected Transaction between the Company and Its Subsidiaries	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST Item 12 is warranted given the lack of disclosure on the pertinent details regarding this proposal.A vote FOR Items 13 and 14 is warranted given the transactions to be contemplated under these proposals are within the ordinary and usual course of the company's business and are on normal commercial terms.					
13	Approve the CNHTC Purchase Agreement and the Relevant New Cap	Mgmt	For	For	For
Voting Policy Rationale: A vote AGAINST Item 12 is warranted given the lack of disclosure on the pertinent details regarding this proposal.A vote FOR Items 13 and 14 is warranted given the transactions to be contemplated under these proposals are within the ordinary and usual course of the company's business and are on normal commercial terms.					
14	Approve the CNHTC Supply Agreement and the Relevant New Cap	Mgmt	For	For	For
Voting Policy Rationale: A vote AGAINST Item 12 is warranted given the lack of disclosure on the pertinent details regarding this proposal.A vote FOR Items 13 and 14 is warranted given the transactions to be contemplated under these proposals are within the ordinary and usual course of the company's business and are on normal commercial terms.					

Geely Automobile Holdings Limited

Meeting Date: 05/24/2021	Country: Cayman Islands	Primary Security ID: G3777B103
Record Date: 05/17/2021	Meeting Type: Annual	Ticker: 175
Shares Voted: 36,601,577		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3	Elect Gui Sheng Yue as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
4	Elect An Cong Hui as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Geely Automobile Holdings Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Elect Wei Mei as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
6	Elect An Qing Heng as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
7	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
8	Approve Grant Thornton Hong Kong Limited as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
9	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
10	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For	For

Q Technology (Group) Co. Ltd.

Meeting Date: 05/24/2021	Country: Cayman Islands	Primary Security ID: G7306T105
Record Date: 05/17/2021	Meeting Type: Annual	Ticker: 1478
Shares Voted: 13,837,000		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3	Elect He Ningning as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
4	Elect Ng Sui Yin as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
5	Elect Fan Fuqiang as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
6	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
7	Approve KPMG as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Q Technology (Group) Co. Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					
9	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
10	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					

Zhongji Innolight Co., Ltd.

Meeting Date: 05/24/2021	Country: China	Primary Security ID: Y7685V101
Record Date: 05/18/2021	Meeting Type: Special	Ticker: 300308
Shares Voted: 2,620,010		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Company's Eligibility for Issuance of Shares to Specific Targets	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.					
	APPROVE ISSUANCE OF SHARES TO SPECIFIC TARGETS	Mgmt			
2.1	Approve Share Type and Par Value	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.					
2.2	Approve Issue Manner	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.					
2.3	Approve Target Subscribers	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.					
2.4	Approve Pricing Reference Date, Issue Price and Pricing Basis	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.					
2.5	Approve Issue Size and Subscription Method	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.					
2.6	Approve Lock-up Period	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Zhongji Innolight Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.7	Approve Distribution Arrangement of Undistributed Earnings	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>					
2.8	Approve Listing Exchange	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>					
2.9	Approve Amount and Use of Proceeds	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>					
2.10	Approve Resolution Validity Period	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>					
3	Approve Plan for Issuance of Shares to Specific Targets	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>					
4	Approve Demonstration Analysis Report in Connection to Issuance of Shares to Specific Targets	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>					
5	Approve Feasibility Analysis Report on the Use of Proceeds	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>					
6	Approve Report on the Usage of Previously Raised Funds	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>					
7	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>					
8	Approve Company's Commitment Regarding Counter-dilution Measures in Connection to the Issuance of Shares to Specific Targets	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>					
9	Approve Authorization of the Board to Handle All Related Matters	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>					

### Accsys Technologies Plc

<b>Meeting Date:</b> 05/25/2021	<b>Country:</b> United Kingdom	<b>Primary Security ID:</b> G01012130
<b>Record Date:</b> 05/21/2021	<b>Meeting Type:</b> Special	<b>Ticker:</b> AXS

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Accsys Technologies Plc

Shares Voted: 48,736

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Authorise Issue of Equity in Connection with the Placing and Open Offer	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this transaction is warranted, but it is not without concern for shareholders because:* The issuance of new shares under the Placing is slightly dilutive to non-participating shareholders.The main reasons for support are:* The rationale and use of proceeds of the transaction has been clearly explained, noting that the net proceeds are intended to fund the construction of its new Accoya plant in the USA and to provide additional capital to support the Group's growth and development.; and* The proposal includes an element of open offer, which allows qualifying shareholders to participate in the fundraising and therefore minimising the dilutive impact of the Placing.					
2	Authorise Issue of Equity without Pre-emptive Rights in Connection with the Placing and Open Offer	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this transaction is warranted, but it is not without concern for shareholders because:* The issuance of new shares under the Placing is slightly dilutive to non-participating shareholders.The main reasons for support are:* The rationale and use of proceeds of the transaction has been clearly explained, noting that the net proceeds are intended to fund the construction of its new Accoya plant in the USA and to provide additional capital to support the Group's growth and development.; and* The proposal includes an element of open offer, which allows qualifying shareholders to participate in the fundraising and therefore minimising the dilutive impact of the Placing.					

A-Living Smart City Services Co., Ltd.

Meeting Date: 05/25/2021      Country: China      Primary Security ID: Y0038M100  
Record Date: 04/23/2021      Meeting Type: Annual      Ticker: 3319

Shares Voted: 26,105,412

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve 2020 Report of the Board of Directors	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
2	Approve 2020 Report of the Supervisory Committee	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
3	Approve 2020 Audited Consolidated Financial Statements	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
4	Approve 2020 Annual Report	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

A-Living Smart City Services Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Approve 2021 Annual Financial Budget	Mgmt	For	For	For
6	Approve 2020 Final Dividend and Special Dividend	Mgmt	For	For	For
7	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
Voting Policy Rationale: Director and supervisor remuneration at Hong Kong-listed companies are usually reasonable. In the absence of known concerns over director and supervisor remuneration at the company, a vote FOR these proposals is warranted.					
8	Authorize Supervisory Committee to Fix Remuneration of Supervisors	Mgmt	For	For	For
Voting Policy Rationale: Director and supervisor remuneration at Hong Kong-listed companies are usually reasonable. In the absence of known concerns over director and supervisor remuneration at the company, a vote FOR these proposals is warranted.					
9	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
10	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Domestic Shares/Unlisted Foreign Shares/H Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted for the following:* The H share issuance limit is greater than 10 percent of the relevant class of shares. * The company has not specified the discount limit.					
11	Approve Grant of General Mandate to the Board to Repurchase H Shares of the Company	Mgmt	For	For	For

Bank of Ireland Group Plc

Meeting Date: 05/25/2021Country: IrelandPrimary Security ID: G0756R109  
Record Date: 05/21/2021Meeting Type: AnnualTicker: BIRG

Shares Voted: 0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	Do Not Vote
2a	Elect Giles Andrews as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
2b	Re-elect Evelyn Bourke as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Bank of Ireland Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2c	Re-elect Ian Buchanan as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
2d	Re-elect Eileen Fitzpatrick as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
2e	Re-elect Richard Goulding as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
2f	Re-elect as Michele Greene as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
2g	Re-elect Patrick Kennedy as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
2h	Re-elect Francesca McDonagh as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
2i	Re-elect Fiona Muldoon as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
2j	Re-elect Myles O'Grady as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
2k	Re-elect Steve Pateman as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
3	Ratify KPMG as Auditors	Mgmt	For	For	Do Not Vote
4	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	Do Not Vote
5	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	Do Not Vote
6	Approve Remuneration Report	Mgmt	For	For	Do Not Vote
7	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	Do Not Vote
8	Authorise Issue of Equity	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Bank of Ireland Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
10	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
11	Authorise Issue of Equity in Relation to Additional Tier 1 Contingent Equity Conversion Notes	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these resolutions is warranted, although this is not without concern for shareholders:* If the Company were to issue Additional Tier 1 Contingent Equity Conversion Notes and a trigger event were to occur, causing them to convert into ordinary shares, this would result in significant dilution to non-participating shareholders. The main reasons for support are:* Such authorities are common proposals at UK banks and are intended to apply in extreme circumstances only; and* The conversion into ordinary shares would require the CET1 ratio to fall to a level which would denote significant capital weakness well beyond the Company's current position and minimum regulatory requirements.					
12	Authorise Issue of Equity without Pre-emptive Rights in Relation to Additional Tier 1 Contingent Equity Conversion Notes	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these resolutions is warranted, although this is not without concern for shareholders:* If the Company were to issue Additional Tier 1 Contingent Equity Conversion Notes and a trigger event were to occur, causing them to convert into ordinary shares, this would result in significant dilution to non-participating shareholders. The main reasons for support are:* Such authorities are common proposals at UK banks and are intended to apply in extreme circumstances only; and* The conversion into ordinary shares would require the CET1 ratio to fall to a level which would denote significant capital weakness well beyond the Company's current position and minimum regulatory requirements.					

OCI NV

Meeting Date: 05/25/2021	Country: Netherlands	Primary Security ID: N6667A111
Record Date: 04/27/2021	Meeting Type: Annual	Ticker: OCI
Shares Voted: 2,448,320		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
1	Open Meeting	Mgmt			
2	Receive Board Report (Non-Voting)	Mgmt			
3	Approve Remuneration Report	Mgmt	For	For	Against
4	Adopt Financial Statements and Statutory Reports	Mgmt	For	For	For



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

OCI NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Receive Explanation on Amendment of Company's Dividend Policy	Mgmt			
6	Amend Annual Fee for the Chair of the HSE & Sustainability Committee	Mgmt	For	For	For
7	Approve Discharge of Executive Directors	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the board is not fulfilling its fiduciary duties. However, this is not without concerns for the company's updated board governance, and the role of the 'executive chair' and 'co-chair' in practice. We note that the Dutch Civil Code is clear in setting the requirements that the chairman of a one-tier board cannot be an executive director. Although the title description in the annual reports provides some clarity, concerns are raised over the title selection and what this means in practice for the board's governance.</i>					
8	Approve Discharge of Non-Executive Directors	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the board is not fulfilling its fiduciary duties. However, this is not without concerns for the company's updated board governance, and the role of the 'executive chair' and 'co-chair' in practice. We note that the Dutch Civil Code is clear in setting the requirements that the chairman of a one-tier board cannot be an executive director. Although the title description in the annual reports provides some clarity, concerns are raised over the title selection and what this means in practice for the board's governance.</i>					
9	Grant Board Authority to Issue Shares	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this proposal is warranted because it is not in line with commonly used safeguards regarding volume.</i>					
10	Authorize Board to Exclude Preemptive Rights from Share Issuances	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this proposal is warranted because it is not in line with commonly used safeguards regarding volume.</i>					
11	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
12	Ratify KPMG Accountants N.V as Auditors	Mgmt	For	For	For
13	Close Meeting	Mgmt			

PT Merdeka Copper Gold Tbk

Meeting Date: 05/25/2021	Country: Indonesia	Primary Security ID: Y60132100
Record Date: 04/30/2021	Meeting Type: Annual	Ticker: MDKA
Shares Voted: 62,438,900		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements, Statutory Reports, and Discharge of Directors and Commissioners	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

PT Merdeka Copper Gold Tbk

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve Allocation of Income	Mgmt	For	For	For
3	Approve Auditors	Mgmt	For	For	For
4	Approve Remuneration of Directors and Commissioners	Mgmt	For	For	For
5	Approve Composition of the Board of Directors	Mgmt	For	For	For

PT Merdeka Copper Gold Tbk

Meeting Date: 05/25/2021	Country: Indonesia	Primary Security ID: Y60132100
Record Date: 04/30/2021	Meeting Type: Special	Ticker: MDKA
Shares Voted: 62,438,900		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Report on the Use of Proceeds	Mgmt			
2	Approve Share Repurchase Program	Mgmt	For	For	For
3	Authorize the Board of Directors to Transfer the Result of Share Buyback in 2020	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted given the lack of information to make an informed voting decision.					
4	Amend Articles of Association	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted given the lack of further information to make an informed voting decision.					

Sibanye Stillwater Ltd.

Meeting Date: 05/25/2021	Country: South Africa	Primary Security ID: S7627K103
Record Date: 05/14/2021	Meeting Type: Annual	Ticker: SSW
Shares Voted: 5,817,765		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Ordinary Resolutions	Mgmt			
1	Reappoint Ernst & Young Inc as Auditors of the Company with Lance Tomlinson as the Designated Individual Partner	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Sibanye Stillwater Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Elect Sindiswa Zilwa as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these items is warranted.* No issues have been identified in relation to the election or re-election of these Directors.</i>				
3	Re-elect Rick Menell as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these items is warranted.* No issues have been identified in relation to the election or re-election of these Directors.</i>				
4	Re-elect Keith Rayner as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these items is warranted.* No issues have been identified in relation to the election or re-election of these Directors.</i>				
5	Re-elect Jerry Vilakazi as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these items is warranted.* No issues have been identified in relation to the election or re-election of these Directors.</i>				
6	Re-elect Keith Rayner as Chair of the Audit Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these items is warranted.* All of the members of the Audit Committee are independent.</i>				
7	Re-elect Timothy Cumming as Member of the Audit Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these items is warranted.* All of the members of the Audit Committee are independent.</i>				
8	Re-elect Savannah Danson as Member of the Audit Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these items is warranted.* All of the members of the Audit Committee are independent.</i>				
9	Re-elect Rick Menell as Member of the Audit Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these items is warranted.* All of the members of the Audit Committee are independent.</i>				
10	Re-elect Nkosemntu Nika as Member of the Audit Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these items is warranted.* All of the members of the Audit Committee are independent.</i>				
11	Re-elect Susan van der Merwe as Member of the Audit Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these items is warranted.* All of the members of the Audit Committee are independent.</i>				
12	Elect Sindiswa Zilwa as Member of the Audit Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these items is warranted.* All of the members of the Audit Committee are independent.</i>				
13	Place Authorised but Unissued Shares under Control of Directors	Mgmt	For	For	For
14	Authorise Board to Issue Shares for Cash	Mgmt	For	For	For
15	Approve Remuneration Policy	Mgmt	For	For	For
16	Approve Remuneration Implementation Report	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Sibanye Stillwater Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Special Resolutions	Mgmt			
	Approve Remuneration of Non-Executive Directors	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this item is warranted:* The proposed fees appear to be in line with what comparable South African companies are offering to their NEDs. No major concerns are raised.					
2	Approve Fees of Investment Committee Members	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR this item is warranted:* The proposed fees appear to be in line with what comparable South African companies are offering to their NEDs. No major concerns are raised.				
3	Approve Per Diem Allowance	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR this item is warranted:* The proposed fees appear to be in line with what comparable South African companies are offering to their NEDs. No major concerns are raised.				
4	Approve Financial Assistance in Terms of Sections 44 and 45 of the Companies Act	Mgmt	For	For	For
5	Authorise Repurchase of Issued Share Capital	Mgmt	For	For	For

Tongda Hong Tai Holdings Limited

Meeting Date: 05/25/2021      Country: Cayman Islands      Primary Security ID: G8919C109  
Record Date: 05/18/2021      Meeting Type: Annual      Ticker: 2363

Shares Voted: 1

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2a	Elect Wong Ming Li as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST Kin San (Alfred) Wu is warranted for failing to attend at least 75 percent of board and committee meetings in the most recent fiscal year, without a satisfactory explanation.In the absence of any significant issues concerning other nominees, a vote FOR their election is warranted.				
2b	Elect Wang Ming Zhi as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST Kin San (Alfred) Wu is warranted for failing to attend at least 75 percent of board and committee meetings in the most recent fiscal year, without a satisfactory explanation.In the absence of any significant issues concerning other nominees, a vote FOR their election is warranted.				
2c	Elect Wu Kin San Alfred as Director	Mgmt	For	Against	Against
	Voting Policy Rationale: A vote AGAINST Kin San (Alfred) Wu is warranted for failing to attend at least 75 percent of board and committee meetings in the most recent fiscal year, without a satisfactory explanation.In the absence of any significant issues concerning other nominees, a vote FOR their election is warranted.				
3	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Tongda Hong Tai Holdings Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Approve Ernst & Young as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5A	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					
5B	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
5C	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					

Amazon.com, Inc.

Meeting Date: 05/26/2021	Country: USA	Primary Security ID: 023135106
Record Date: 04/01/2021	Meeting Type: Annual	Ticker: AMZN
Shares Voted: 2,534		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Jeffrey P. Bezos	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1b	Elect Director Keith B. Alexander	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1c	Elect Director Jamie S. Gorelick	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1d	Elect Director Daniel P. Huttenlocher	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1e	Elect Director Judith A. McGrath	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1f	Elect Director Indra K. Nooyi	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1g	Elect Director Jonathan J. Rubinstein	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1h	Elect Director Thomas O. Ryder	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Amazon.com, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1i	Elect Director Patricia Q. Stonesifer	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>				
1j	Elect Director Wendell P. Weeks	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>				
2	Ratify Ernst & Young LLP as Auditor	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST this proposal is warranted. While CEO pay is not excessive, compensation for other NEOs includes large grants of time-vesting restricted shares, and incentive programs lack objective performance metrics and quantified goals. Although magnitude concerns are mitigated to a certain degree by the company's strong performance, the subjective nature of the incentive program is nonetheless problematic.</i>				
4	Report on Customers' Use of its Surveillance and Computer Vision Products Capabilities or Cloud Products Contribute to Human Rights Violations	SH	Against	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted, as an independent report on the company's process for determining whether customers' use of its products or services violates human rights would allow shareholders to better gauge how well Amazon is managing human rights related risks.</i>				
5	Require Independent Board Chair	SH	Against	Against	Against
6	Report on Gender/Racial Pay Gap	SH	Against	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted, as shareholders would benefit from additional information allowing them to better measure the progress of the company's diversity and inclusion initiatives and its management of related risks.</i>				
7	Report on Promotion Data	SH	Against	Against	Against
8	Report on the Impacts of Plastic Packaging	SH	Against	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted, as shareholders would benefit from additional information on how the company is managing risks related to the creation of plastic waste.</i>				
9	Oversee and Report on a Civil Rights, Equity, Diversity and Inclusion Audit	SH	Against	For	For
	<i>Voting Policy Rationale: A vote FOR this resolution is warranted, as an independent racial equity audit would help shareholders better assess the effectiveness of Amazon's efforts to address the issue of racial inequality and its management of related risks, particularly in light of recent discrimination lawsuits.</i>				
10	Adopt a Policy to Include Hourly Employees as Director Candidates	SH	Against	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted, as the company faces significant controversies related to treatment of its employees.</i>				
11	Report on Board Oversight of Risks Related to Anti-Competitive Practices	SH	Against	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted because shareholders would benefit from more robust disclosure of the company's processes and oversight mechanisms for managing risks related to anticompetitive practices, particularly in light of recent regulatory developments and Amazon's involvement in related controversies.</i>				

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Amazon.com, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
12	Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Against	For	For
<i>Voting Policy Rationale: A vote FOR this proposal is warranted, as the proposed threshold would enhance shareholders' right to call a special meeting, while presenting very little risk of abuse in light of the company's market capitalization and the composition of its shareholder base.</i>					
13	Report on Lobbying Payments and Policy	SH	Against	For	For
<i>Voting Policy Rationale: A vote FOR this proposal is warranted, as additional disclosure of the company's state level lobbying, indirect lobbying-related expenditures and board oversight mechanisms would help shareholders better assess the risks and benefits associated with the company's participation in the public policy process.</i>					
14	Report on Potential Human Rights Impacts of Customers' Use of Rekognition	SH	Against	For	For
<i>Voting Policy Rationale: A vote FOR this proposal is warranted, as an independent report on the company's process for determining whether customers' use of its products or services violates human rights would allow shareholders to better gauge how well Amazon is managing human rights related risks.</i>					

### Anthem, Inc.

<b>Meeting Date:</b> 05/26/2021	<b>Country:</b> USA	<b>Primary Security ID:</b> 036752103
<b>Record Date:</b> 03/22/2021	<b>Meeting Type:</b> Annual	<b>Ticker:</b> ANTM
<b>Shares Voted:</b> 22,765		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Lewis Hay, III	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>					
1.2	Elect Director Antonio F. Neri	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>					
1.3	Elect Director Ramiro G. Peru	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>					
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

### Dollar General Corporation

<b>Meeting Date:</b> 05/26/2021	<b>Country:</b> USA	<b>Primary Security ID:</b> 256677105
<b>Record Date:</b> 03/18/2021	<b>Meeting Type:</b> Annual	<b>Ticker:</b> DG

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Dollar General Corporation

Shares Voted: 9,657

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Warren F. Bryant	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1b	Elect Director Michael M. Calbert	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1c	Elect Director Patricia D. Fili-Krushel	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1d	Elect Director Timothy I. McGuire	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1e	Elect Director William C. Rhodes, III	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1f	Elect Director Debra A. Sandler	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1g	Elect Director Ralph E. Santana	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1h	Elect Director Todd J. Vasos	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
4	Approve Omnibus Stock Plan	Mgmt	For	For	For
5	Provide Right to Call Special Meeting of the total of 25% of Outstanding Common Stock	Mgmt	For	For	For
6	Provide Right to Call A Special Meeting of the total of 10% of Outstanding Common Stock	SH	Against	For	For
	Voting Policy Rationale: A vote FOR this proposal is warranted. This shareholder proposal includes a 10 percent ownership threshold which shareholders may view as a more reasonable threshold than the 25 percent threshold proposed by management. This proposal would also represent an enhancement to shareholder rights, as shareholders do not currently have the right to call special meetings.				

Shimao Services Holdings Ltd.

Meeting Date: 05/26/2021	Country: China	Primary Security ID: G8104A108
Record Date: 05/18/2021	Meeting Type: Annual	Ticker: 873



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Shimao Services Holdings Ltd.

Shares Voted: 1,379,481

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3.1	Elect Hui Sai Tan, Jason as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
3.2	Elect Cao Shiyang as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
3.3	Elect Sun Yan as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
3.4	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
4	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					
6	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
7	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					

Daiseki Co., Ltd.

Meeting Date: 05/27/2021Country: JapanPrimary Security ID: J10773109  
Record Date: 02/28/2021Meeting Type: AnnualTicker: 9793

Shares Voted: 16,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 28	Mgmt	For	For	For
2.1	Elect Director Ito, Hiroyuki	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Daiseki Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.2	Elect Director Hashira, Hideki	Mgmt	For	For	For
2.3	Elect Director Yamamoto, Tetsuya	Mgmt	For	For	For
2.4	Elect Director Amano, Koji	Mgmt	For	For	For
2.5	Elect Director Ito, Yasuo	Mgmt	For	For	For
3	Approve Restricted Stock Plan	Mgmt	For	For	For

Glenveagh Properties Plc

Meeting Date: 05/27/2021Country: IrelandPrimary Security ID: G39155109  
Record Date: 05/23/2021Meeting Type: AnnualTicker: GVR

Shares Voted: 0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	Do Not Vote
2	Approve Remuneration Report	Mgmt	For	For	Do Not Vote
3	Approve Remuneration Policy	Mgmt	For	For	Do Not Vote
4a	Re-elect John Mulcahy as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4b	Re-elect Stephen Garvey as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4c	Re-elect Robert Dix as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4d	Re-elect Richard Cherry as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4e	Re-elect Cara Ryan as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4f	Re-elect Pat McCann as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Glenveagh Properties Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4g	Re-elect Michael Rice as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
5	Ratify KPMG as Auditors	Mgmt	For	For	Do Not Vote
6	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	Do Not Vote
7	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	Do Not Vote
8	Authorise Issue of Equity	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
9	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
10	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
11	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	Do Not Vote
12	Authorise the Company to Determine the Price Range at which Treasury Shares may be Re-issued Off-Market	Mgmt	For	For	Do Not Vote

Installed Building Products, Inc.

Meeting Date: 05/27/2021	Country: USA	Primary Security ID: 45780R101
Record Date: 04/01/2021	Meeting Type: Annual	Ticker: IBP
Shares Voted: 95,883		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Margot L. Carter	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1.2	Elect Director David R. Meuse	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Installed Building Products, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.3	Elect Director Michael H. Thomas	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
2	Ratify Deloitte & Touche LLP as Auditor	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

Linx SA

Meeting Date: 05/27/2021	Country: Brazil	Primary Security ID: P6S933101
Record Date: 05/17/2021	Meeting Type: Annual/Special	Ticker: LINX3
Shares Voted: 16,810		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
	Annual Meeting	Mgmt			
1	Accept Financial Statements and Statutory Reports for Fiscal Year Ended Dec. 31, 2020	Mgmt	For	For	For
2	Approve Treatment of Net Loss	Mgmt	For	For	For
	Extraordinary Meeting	Mgmt			
1	Approve Grant of Deferred Shares to Independent Board Members	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this item is warranted because:* The company has not disclosed sufficient information regarding the calculation of the awards to be granted to the incumbent independent director Roger de Barbosa Ingold, who presented the original transaction for the sale of the company, and to Joao Cox, Linx's independent board member until April 30, 2021; and* The main terms of the deferred share plan, under which the awards would be granted, do not appear to be in line with ISS policy guidelines.					

STMicroelectronics NV

Meeting Date: 05/27/2021	Country: Netherlands	Primary Security ID: N83574108
Record Date: 04/29/2021	Meeting Type: Annual	Ticker: STM
Shares Voted: 17,219		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## STMicroelectronics NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Report of Management Board (Non-Voting)	Mgmt			
<i>Voting Policy Rationale: No vote is required for this item.</i>					
2	Receive Report of Supervisory Board (Non-Voting)	Mgmt			
<i>Voting Policy Rationale: No vote is required for this item.</i>					
3	Approve Remuneration Report	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST is warranted because: * Two-thirds of equity grants is vesting before the third anniversary, in deviation of best market practices and the Dutch Corporate Governance Code; * The 'LTI' continues to be measured on the basis of one-year performance periods only; * Retrospective disclosure on performance achievement against targets is not sufficient to entirely understand the link between performance and pay. More specifically, the non-financial KPIs under the STI and all KPIs under the LTI do not have detailed information on how performance targets were applied in 2020. This is however while acknowledging that the company is addressing these concerns under the proposed amended remuneration policy (Item 4).</i>					
4	Approve Remuneration Policy for Management Board	Mgmt	For	For	For
5	Adopt Financial Statements and Statutory Reports	Mgmt	For	For	For
6	Approve Dividends	Mgmt	For	For	For
7	Approve Discharge of Management Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.</i>					
8	Approve Discharge of Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.</i>					
9	Reelect Jean-Marc Chery to Management Board	Mgmt	For	For	For
10	Approve Grant of Unvested Stock Awards to Jean-Marc Chery as President and CEO	Mgmt	For	For	For
11	Approve Unvested Stock Award Plan for Management and Key Employees	Mgmt	For	For	For
12	Reelect Nicolas Dufourcq to Supervisory Board	Mgmt	For	For	For
13	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
14	Grant Board Authority to Issue Shares Up To 10 Percent of Issued Capital and Exclude Pre-emptive Rights	Mgmt	For	For	For
15	Allow Questions	Mgmt			

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Sunac China Holdings Limited

Meeting Date: 05/27/2021      Country: Cayman Islands      Primary Security ID: G8569A106  
Record Date: 05/20/2021      Meeting Type: Annual      Ticker: 1918

Shares Voted: 24,379,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3A1	Elect Chi Xun as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote AGAINST the election of Chiu Kwok Poon (Zhaoguo Pan) is warranted as he serves on more than six public company boards.A vote FOR the remaining nominees is warranted.					
3A2	Elect Sun Kevin Zheyi as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote AGAINST the election of Chiu Kwok Poon (Zhaoguo Pan) is warranted as he serves on more than six public company boards.A vote FOR the remaining nominees is warranted.					
3A3	Elect Poon Chiu Kwok as Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST the election of Chiu Kwok Poon (Zhaoguo Pan) is warranted as he serves on more than six public company boards.A vote FOR the remaining nominees is warranted.					
3A4	Elect Zhu Jia as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote AGAINST the election of Chiu Kwok Poon (Zhaoguo Pan) is warranted as he serves on more than six public company boards.A vote FOR the remaining nominees is warranted.					
3A5	Elect Yuan Zhigang as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote AGAINST the election of Chiu Kwok Poon (Zhaoguo Pan) is warranted as he serves on more than six public company boards.A vote FOR the remaining nominees is warranted.					
3B	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
4	Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5A	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					
5B	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
5C	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Sunac China Holdings Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Amend Existing Memorandum of Association and Articles of Association and Adopt the Amended and Restated Memorandum of Association and Articles of Association	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted given that the company has a track record of calling a shareholder meeting with short notice, and the proposed amendments would enable the company to call certain meetings with shorter notice period.					

Agronomics Ltd.

Meeting Date: 05/28/2021	Country: Isle of Man	Primary Security ID: G0133Q105
Record Date: 05/26/2021	Meeting Type: Special	Ticker: ANIC
Shares Voted: 107,737		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Authorise Issue of Equity in Connection with the Fundraising, Broker Option, and Warrants	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST these resolutions is warranted because:* The issuance of new ordinary shares is highly dilutive to non-participating shareholders;* There is a lack of disclosure surrounding the bookbuild process and how it led to the Issue Price, which represents a significant discount to the Company's market price prior to announcement;* The Company has not provided a compelling rationale for the use of the proceeds as a means to raise capital; and* The proposed amounts under the general authority included in these resolutions exceed recommended limits of 33 percent of issued share capital for share issuances with pre-emptive rights and 10 percent of issued share capital for share issuances without pre-emptive rights.					
2	Authorise Issue of Equity without Pre-emptive Rights in Connection with the Fundraising, Broker Option, and Warrants	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST these resolutions is warranted because:* The issuance of new ordinary shares is highly dilutive to non-participating shareholders;* There is a lack of disclosure surrounding the bookbuild process and how it led to the Issue Price, which represents a significant discount to the Company's market price prior to announcement;* The Company has not provided a compelling rationale for the use of the proceeds as a means to raise capital; and* The proposed amounts under the general authority included in these resolutions exceed recommended limits of 33 percent of issued share capital for share issuances with pre-emptive rights and 10 percent of issued share capital for share issuances without pre-emptive rights.					

Kinsus Interconnect Technology Corp.

Meeting Date: 05/28/2021	Country: Taiwan	Primary Security ID: Y4804T109
Record Date: 03/29/2021	Meeting Type: Annual	Ticker: 3189

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Kinsus Interconnect Technology Corp.

Shares Voted: 2,637,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Business Operations Report, Financial Statements and Consolidated Financial Statements	Mgmt	For	For	For
2	Approve Profit Distribution	Mgmt	For	For	For
3	Approve Amendment to Rules and Procedures for Election of Directors	Mgmt	For	For	For
	ELECT NON-INDEPENDENT DIRECTORS AND INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			
4.1	Elect TONG, ZI-XIAN, with Shareholder No. 00086726, as Non-independent Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the independent director nominee under Item 4.9 is warranted given the absence of any known issues concerning the nominee. A vote AGAINST the independent director nominees under Items 4.7-4.8 is warranted because, considered by the company as independent directors, they are non-independent non-executive directors under ISS' classification. A vote AGAINST the non-independent director nominees under Items 4.2-4.6 is warranted because the independence level will be 11.11 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR the non-independent director nominee under Item 4.1 is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					
4.2	Elect GUO, MING-DONG, with Shareholder No. 00000009, as Non-independent Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR the independent director nominee under Item 4.9 is warranted given the absence of any known issues concerning the nominee. A vote AGAINST the independent director nominees under Items 4.7-4.8 is warranted because, considered by the company as independent directors, they are non-independent non-executive directors under ISS' classification. A vote AGAINST the non-independent director nominees under Items 4.2-4.6 is warranted because the independence level will be 11.11 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR the non-independent director nominee under Item 4.1 is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					
4.3	Elect LIAO, SIH-JHENG, with Shareholder No. M101221XXX, as Non-independent Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR the independent director nominee under Item 4.9 is warranted given the absence of any known issues concerning the nominee. A vote AGAINST the independent director nominees under Items 4.7-4.8 is warranted because, considered by the company as independent directors, they are non-independent non-executive directors under ISS' classification. A vote AGAINST the non-independent director nominees under Items 4.2-4.6 is warranted because the independence level will be 11.11 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR the non-independent director nominee under Item 4.1 is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					
4.4	Elect CHEN, HE-XU, with Shareholder No. 00000017, as Non-independent Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR the independent director nominee under Item 4.9 is warranted given the absence of any known issues concerning the nominee. A vote AGAINST the independent director nominees under Items 4.7-4.8 is warranted because, considered by the company as independent directors, they are non-independent non-executive directors under ISS' classification. A vote AGAINST the non-independent director nominees under Items 4.2-4.6 is warranted because the independence level will be 11.11 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR the non-independent director nominee under Item 4.1 is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Kinsus Interconnect Technology Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.5	Elect CHANG, CHIEN-WEI, a Representative of ASUSPOER INVESTMENT, with Shareholder No. 00000001, as Non-independent Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR the independent director nominee under Item 4.9 is warranted given the absence of any known issues concerning the nominee. A vote AGAINST the independent director nominees under Items 4.7-4.8 is warranted because, considered by the company as independent directors, they are non-independent non-executive directors under ISS' classification. A vote AGAINST the non-independent director nominees under Items 4.2-4.6 is warranted because the independence level will be 11.11 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR the non-independent director nominee under Item 4.1 is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					
4.6	Elect HU, KUEI-CHIN, a Representative of ASUSTEK INVESTMENT, with Shareholder No. 00000003, as Non-independent Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR the independent director nominee under Item 4.9 is warranted given the absence of any known issues concerning the nominee. A vote AGAINST the independent director nominees under Items 4.7-4.8 is warranted because, considered by the company as independent directors, they are non-independent non-executive directors under ISS' classification. A vote AGAINST the non-independent director nominees under Items 4.2-4.6 is warranted because the independence level will be 11.11 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR the non-independent director nominee under Item 4.1 is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					
4.7	Elect CHEN, JIN-CAI, with Shareholder No. F101003XXX, as Independent Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR the independent director nominee under Item 4.9 is warranted given the absence of any known issues concerning the nominee. A vote AGAINST the independent director nominees under Items 4.7-4.8 is warranted because, considered by the company as independent directors, they are non-independent non-executive directors under ISS' classification. A vote AGAINST the non-independent director nominees under Items 4.2-4.6 is warranted because the independence level will be 11.11 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR the non-independent director nominee under Item 4.1 is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					
4.8	Elect WU, HUI-HUANG, with Shareholder No. P100014XXX, as Independent Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR the independent director nominee under Item 4.9 is warranted given the absence of any known issues concerning the nominee. A vote AGAINST the independent director nominees under Items 4.7-4.8 is warranted because, considered by the company as independent directors, they are non-independent non-executive directors under ISS' classification. A vote AGAINST the non-independent director nominees under Items 4.2-4.6 is warranted because the independence level will be 11.11 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR the non-independent director nominee under Item 4.1 is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					
4.9	Elect LEE, MING-YU, with Shareholder No. F120639XXX, as Independent Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR the independent director nominee under Item 4.9 is warranted given the absence of any known issues concerning the nominee. A vote AGAINST the independent director nominees under Items 4.7-4.8 is warranted because, considered by the company as independent directors, they are non-independent non-executive directors under ISS' classification. A vote AGAINST the non-independent director nominees under Items 4.2-4.6 is warranted because the independence level will be 11.11 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR the non-independent director nominee under Item 4.1 is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					
5	Approve Release of Restrictions of Competitive Activities of Newly Appointed Directors and Representatives	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

LyondellBasell Industries N.V.

Meeting Date: 05/28/2021      Country: Netherlands      Primary Security ID: N53745100  
Record Date: 04/30/2021      Meeting Type: Annual      Ticker: LYB

Shares Voted: 51,347

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Jacques Aigrain	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1b	Elect Director Lincoln Benet	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1c	Elect Director Jagjeet (Jeet) Bindra	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1d	Elect Director Robin Buchanan	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1e	Elect Director Anthony (Tony) Chase	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1f	Elect Director Stephen Cooper	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1g	Elect Director Nance Dicciani	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1h	Elect Director Robert (Bob) Dudley	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1i	Elect Director Claire Farley	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1j	Elect Director Michael Hanley	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1k	Elect Director Albert Manifold	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
1l	Elect Director Bhavesh (Bob) Patel	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR the director nominees is warranted.				
2	Approve Discharge of Directors	Mgmt	For	For	For
3	Adopt Financial Statements and Statutory Reports	Mgmt	For	For	For
4	Ratify PricewaterhouseCoopers Accountants N.V. as Auditors	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

LyondellBasell Industries N.V.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
6	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
7	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
8	Approve Cancellation of Shares	Mgmt	For	For	For
9	Amend Omnibus Stock Plan	Mgmt	For	For	For
10	Amend Qualified Employee Stock Purchase Plan	Mgmt	For	For	For

Pharmaron Beijing Co., Ltd.

Meeting Date: 05/28/2021	Country: China	Primary Security ID: Y989K6119
Record Date: 05/24/2021	Meeting Type: Annual	Ticker: 3759
Shares Voted: 1,901,311		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	AGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
1	Approve Work Report of the Board of Directors	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
2	Approve Work Report of the Supervisory Committee	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
3	Approve Financial Statements	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
4	Approve Profit Distribution Plan	Mgmt	For	For	For
5	Approve Annual Report and Annual Results Announcement	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
6	Approve Remuneration of the Directors	Mgmt	For	For	For
Voting Policy Rationale: Director and supervisor remuneration at Hong Kong-listed companies are usually reasonable. In the absence of known concerns over director and supervisor remuneration at the company, a vote FOR these proposals is warranted.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Pharmaron Beijing Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Approve Remuneration of the Supervisors	Mgmt	For	For	For
<i>Voting Policy Rationale: Director and supervisor remuneration at Hong Kong-listed companies are usually reasonable. In the absence of known concerns over director and supervisor remuneration at the company, a vote FOR these proposals is warranted.</i>					
8	Approve Ernst & Young Hua Ming (LLP) as Domestic Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the absence of any known issues concerning the audit firm, its remuneration, and the way the audit was conducted.</i>					
9	Approve Ernst & Young as International Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the absence of any known issues concerning the audit firm, its remuneration, and the way the audit was conducted.</i>					
10	Approve Guarantees Quota	Mgmt	For	For	For
11	Approve Foreign Exchange Hedging Quota	Mgmt	For	For	For
12	Approve Repurchase and Cancellation of Part of the Restricted A Shares Granted Under the A Share Incentive Scheme	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.</i>					
13	Approve Reduction of Registered Capital	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.</i>					
14	Amend Articles of Association	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.</i>					
15	Approve Authorization for Registration of the Reduction in Registered Capital and Amendments to the Articles of Association	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.</i>					
16	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for A Shares and/or H Shares	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this resolution is warranted for the following:* The share issuance limit is greater than 10 percent of the relevant class of shares. * The company has not specified the discount limit.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Pharmaron Beijing Co., Ltd.

**Meeting Date:** 05/28/2021      **Country:** China      **Primary Security ID:** Y989K6119  
**Record Date:** 05/24/2021      **Meeting Type:** Annual      **Ticker:** 3759

**Shares Voted:** 2,773,520

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	AGM BALLOT FOR HOLDERS OF A SHARES	Mgmt			
1	Approve Work Report of the Board of Directors	Mgmt	For	For	For
	<i>Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.</i>				
2	Approve Work Report of the Supervisory Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.</i>				
3	Approve Financial Statements	Mgmt	For	For	For
	<i>Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.</i>				
4	Approve Profit Distribution Plan	Mgmt	For	For	For
5	Approve Annual Report and Annual Results Announcement	Mgmt	For	For	For
	<i>Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.</i>				
6	Approve Remuneration of the Directors	Mgmt	For	For	For
	<i>Voting Policy Rationale: Director and supervisor remuneration at Hong Kong-listed companies are usually reasonable. In the absence of known concerns over director and supervisor remuneration at the company, a vote FOR these proposals is warranted.</i>				
7	Approve Remuneration of the Supervisors	Mgmt	For	For	For
	<i>Voting Policy Rationale: Director and supervisor remuneration at Hong Kong-listed companies are usually reasonable. In the absence of known concerns over director and supervisor remuneration at the company, a vote FOR these proposals is warranted.</i>				
8	Approve Ernst & Young Hua Ming (LLP) as Domestic Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the absence of any known issues concerning the audit firm, its remuneration, and the way the audit was conducted.</i>				
9	Approve Ernst & Young as International Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the absence of any known issues concerning the audit firm, its remuneration, and the way the audit was conducted.</i>				
10	Approve Guarantees Quota	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Pharmaron Beijing Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
11	Approve Foreign Exchange Hedging Quota	Mgmt	For	For	For
12	Approve Repurchase and Cancellation of Part of the Restricted A Shares Granted Under the A Share Incentive Scheme	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.</i>					
13	Approve Reduction of Registered Capital	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.</i>					
14	Amend Articles of Association	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.</i>					
15	Approve Authorization for Registration of the Reduction in Registered Capital and Amendments to the Articles of Association	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.</i>					
16	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for A Shares and/or H Shares	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this resolution is warranted for the following:* The share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.</i>					

### Pharmaron Beijing Co., Ltd.

<b>Meeting Date:</b> 05/28/2021	<b>Country:</b> China	<b>Primary Security ID:</b> Y989K6119
<b>Record Date:</b> 05/24/2021	<b>Meeting Type:</b> Special	<b>Ticker:</b> 3759
<b>Shares Voted:</b> 2,773,520		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	CLASS MEETING FOR HOLDERS OF A SHARES	Mgmt			
1	Approve Repurchase and Cancellation of Part of the Restricted A Shares Granted Under the A Share Incentive Scheme	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Pharmaron Beijing Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve Reduction of Registered Capital	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.					

Pharmaron Beijing Co., Ltd.

Meeting Date: 05/28/2021	Country: China	Primary Security ID: Y989K6119
Record Date: 05/24/2021	Meeting Type: Special	Ticker: 3759
Shares Voted: 1,901,311		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt			
	Approve Repurchase and Cancellation of Part of the Restricted A Shares Granted Under the A Share Incentive Scheme	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.					
2	Approve Reduction of Registered Capital	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.					

Reckitt Benckiser Group Plc

Meeting Date: 05/28/2021	Country: United Kingdom	Primary Security ID: G74079107
Record Date: 05/26/2021	Meeting Type: Annual	Ticker: RKT
Shares Voted: 21,502		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Approve Final Dividend	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Reckitt Benckiser Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Re-elect Andrew Bonfield as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
5	Re-elect Jeff Carr as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
6	Re-elect Nicandro Durante as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
7	Re-elect Mary Harris as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
8	Re-elect Mehmood Khan as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
9	Re-elect Pam Kirby as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
10	Re-elect Sara Mathew as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
11	Re-elect Laxman Narasimhan as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
12	Re-elect Chris Sinclair as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
13	Re-elect Elane Stock as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
14	Elect Olivier Bohuon as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
15	Elect Margherita Della Valle as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.</i>				
16	Reappoint KPMG LLP as Auditors	Mgmt	For	For	For
17	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
18	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
19	Authorise Issue of Equity	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>				



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

### Reckitt Benckiser Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
20	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
21	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
22	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
23	Adopt New Articles of Association	Mgmt	For	For	For
24	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

### Xiabuxiabu Catering Management (China) Holdings Co., Ltd.

**Meeting Date:** 05/28/2021      **Country:** Cayman Islands      **Primary Security ID:** G98297107  
**Record Date:** 05/24/2021      **Meeting Type:** Annual      **Ticker:** 520

**Shares Voted:** 18,646,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because these are routine dividend proposals.</i>					
3.1a	Elect Ho Kuang-Chi as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote AGAINST the reelection of Eric Zhang Chi is warranted for failing to attend at least 75 percent of board and committee meetings in the most recent fiscal year, without a satisfactory explanation. In the absence of any significant issues concerning other nominees, a vote FOR their election is warranted.</i>					
3.1b	Elect Chen Su-Yin as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote AGAINST the reelection of Eric Zhang Chi is warranted for failing to attend at least 75 percent of board and committee meetings in the most recent fiscal year, without a satisfactory explanation. In the absence of any significant issues concerning other nominees, a vote FOR their election is warranted.</i>					
3.1c	Elect Zhang Chi as Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST the reelection of Eric Zhang Chi is warranted for failing to attend at least 75 percent of board and committee meetings in the most recent fiscal year, without a satisfactory explanation. In the absence of any significant issues concerning other nominees, a vote FOR their election is warranted.</i>					
3.2	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Xiabuxiabu Catering Management (China) Holdings Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Approve Deloitte Touche Tohmatsu as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
6	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					
7	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					
8	Approve Interim Dividend	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because these are routine dividend proposals.					

Evergreen Marine Corp. (Taiwan) Ltd.

Meeting Date: 05/31/2021	Country: Taiwan	Primary Security ID: Y23632105
Record Date: 04/01/2021	Meeting Type: Annual	Ticker: 2603
Shares Voted: 31,705,000		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Business Operations Report and Financial Statements	Mgmt	For	For	For
2	Approve Profit Distribution	Mgmt	For	For	For
3	Amend Rules and Procedures Regarding Shareholder's General Meeting	Mgmt	For	For	For
4	Approve Release of Restrictions of Competitive Activities of Chairman CHANG, YEN-I	Mgmt	For	For	For

Faurecia SE

Meeting Date: 05/31/2021	Country: France	Primary Security ID: F3445A108
Record Date: 05/27/2021	Meeting Type: Annual/Special	Ticker: EO

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Faurecia SE

Shares Voted: 25,216

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Ordinary Business Approve Financial Statements and Statutory Reports	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.					
2	Approve Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.					
3	Approve Allocation of Income and Dividends of EUR 1 per Share	Mgmt	For	For	For
4	Approve Auditors' Special Report on Related-Party Transactions Mentioning the Absence of New Transactions	Mgmt	For	For	For
5	Ratify Appointment of Jean-Bernard Levy as Director	Mgmt	For	For	For
Voting Policy Rationale: * A vote FOR the (re)election of the CEO is warranted in the absence of specific concerns (Item 6). * Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 5, 7 and 8). * The number of outside mandates held by Robert Peugeot is in excess of recommended guidelines for non-executive directors. His election however warrants a vote FOR as he is Chairman of the Board of Peugeot Invest and that the Company is an investment holding company (Item 9).					
6	Reelect Patrick Koller as Director	Mgmt	For	For	For
Voting Policy Rationale: * A vote FOR the (re)election of the CEO is warranted in the absence of specific concerns (Item 6). * Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 5, 7 and 8). * The number of outside mandates held by Robert Peugeot is in excess of recommended guidelines for non-executive directors. His election however warrants a vote FOR as he is Chairman of the Board of Peugeot Invest and that the Company is an investment holding company (Item 9).					
7	Reelect Penelope Herscher as Director	Mgmt	For	For	For
Voting Policy Rationale: * A vote FOR the (re)election of the CEO is warranted in the absence of specific concerns (Item 6). * Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 5, 7 and 8). * The number of outside mandates held by Robert Peugeot is in excess of recommended guidelines for non-executive directors. His election however warrants a vote FOR as he is Chairman of the Board of Peugeot Invest and that the Company is an investment holding company (Item 9).					
8	Reelect Valerie Landon as Director	Mgmt	For	For	For
Voting Policy Rationale: * A vote FOR the (re)election of the CEO is warranted in the absence of specific concerns (Item 6). * Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 5, 7 and 8). * The number of outside mandates held by Robert Peugeot is in excess of recommended guidelines for non-executive directors. His election however warrants a vote FOR as he is Chairman of the Board of Peugeot Invest and that the Company is an investment holding company (Item 9).					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Faurecia SE

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9	Reelect Peugeot 1810 as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: * A vote FOR the (re)election of the CEO is warranted in the absence of specific concerns (Item 6). * Votes FOR the (re)elections of these independent nominees are warranted in the absence of specific concerns (Items 5, 7 and 8). * The number of outside mandates held by Robert Peugeot is in excess of recommended guidelines for non-executive directors. His election however warrants a vote FOR as he is Chairman of the Board of Peugeot Invest and that the Company is an investment holding company (Item 9).</i>					
10	Approve Compensation Report	Mgmt	For	For	For
11	Approve Compensation of Michel de Rosen, Chairman of the Board	Mgmt	For	For	For
12	Approve Compensation of Patrick Koller, CEO	Mgmt	For	For	For
13	Approve Remuneration Policy of Directors	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these remuneration policies are warranted because they do not raise any significant concern.</i>					
14	Approve Remuneration Policy of Chairman of the Board	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these remuneration policies are warranted because they do not raise any significant concern.</i>					
15	Approve Remuneration Policy of CEO	Mgmt	For	For	For
16	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
<i>Extraordinary Business</i>					
17	Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 290 Million	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the authorizations under Items 17-21 are warranted as their proposed volumes respect the recommended guidelines for issuances with and without preemptive rights.</i>					
18	Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 95 Million	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the authorizations under Items 17-21 are warranted as their proposed volumes respect the recommended guidelines for issuances with and without preemptive rights.</i>					
19	Approve Issuance of Equity or Equity-Linked Securities Reserved for Qualified Investors, up to Aggregate Nominal Amount of EUR 95 Million	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the authorizations under Items 17-21 are warranted as their proposed volumes respect the recommended guidelines for issuances with and without preemptive rights.</i>					
20	Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Under Item 17 to 19	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the authorizations under Items 17-21 are warranted as their proposed volumes respect the recommended guidelines for issuances with and without preemptive rights.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Faurecia SE

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
21	Authorize Capital Increase of up to Aggregate Nominal Amount of EUR 95 Million for Contributions in Kind	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the authorizations under Items 17-21 are warranted as their proposed volumes respect the recommended guidelines for issuances with and without preemptive rights.					
22	Authorize up to 2 Million Shares for Use in Restricted Stock Plans	Mgmt	For	For	For
23	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR are warranted because the plans could increase employee participation to a level of ownership that would remain acceptable for savings-related share purchase plans.					
24	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR are warranted because the plans could increase employee participation to a level of ownership that would remain acceptable for savings-related share purchase plans.					
25	Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	Mgmt	For	For	For
26	Amend Article 30 of Bylaws Re: Shareholding Disclosure Thresholds	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these items are warranted as they are not deemed contentious.					
27	Amend Article 16 and 23 of Bylaws to Comply with Legal Changes	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these items are warranted as they are not deemed contentious.					
	Ordinary Business	Mgmt			
28	Authorize Filing of Required Documents/Other Formalities	Mgmt	For	For	For

China Oilfield Services Limited

Meeting Date: 06/01/2021	Country: China	Primary Security ID: Y15002101
Record Date: 05/12/2021	Meeting Type: Annual	Ticker: 2883
Shares Voted: 4,669,998		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	AGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
1	Approve 2020 Financial Statements and Statutory Reports	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

China Oilfield Services Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve 2020 Profit Distribution Plan and Annual Dividend Plan	Mgmt	For	For	For
3	Approve 2020 Report of the Directors	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
4	Approve 2020 Report of the Supervisory Committee	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
5	Approve Ernst & Young Hua Ming LLP as Domestic Auditors and Ernst & Young as International Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
6	Approve Provision of Guarantees for Other Parties	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted since the company will be taking in a disproportionate amount of risk relative to its ownership stake without compelling justification.					
7	Elect Chiu Lai Kuen, Susanna as Director	Mgmt	For	For	For
8	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for H Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted for the following:* The share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					
9	Authorize Repurchase of Issued A and H Share Capital	Mgmt	For	For	For

China Oilfield Services Limited

Meeting Date: 06/01/2021      Country: China      Primary Security ID: Y15002101  
Record Date: 05/12/2021      Meeting Type: Special      Ticker: 2883

Shares Voted: 4,669,998

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt			
1	Authorize Repurchase of Issued A and H Share Capital	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

RemeGen Co., Ltd.

Meeting Date: 06/01/2021      Country: China      Primary Security ID: Y7S9CK101  
Record Date: 04/30/2021      Meeting Type: Annual      Ticker: 9995

Shares Voted: 35,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Report of the Board of Directors	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
2	Approve Report of the Board of Supervisors	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
3	Approve Annual Report	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
4	Approve Financial Accounts Report	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
5	Approve Financial Budget	Mgmt	For	For	For
6	Approve Annual Profit Distribution Plan	Mgmt	For	For	For
7	Approve Ernst & Young Hua Ming LLP and Ernst & Young as PRC Financial Report Auditors and International Financial Report Auditors, Respectively, and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For

RemeGen Co., Ltd.

Meeting Date: 06/01/2021      Country: China      Primary Security ID: Y7S9CK101  
Record Date: 04/30/2021      Meeting Type: Special      Ticker: 9995

Shares Voted: 35,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Fulfillment by the Company of the Requirements for Initial Public Offering of A Shares and Listing on the Sci-Tech Board	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## RemeGen Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
<p><i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i></p>					
	RESOLUTIONS IN RELATION TO THE PROPOSED ISSUE OF A SHARES	Mgmt			
2.1	Approve Class of New Shares to be Issued	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i></p>					
2.2	Approve Place of Listing	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i></p>					
2.3	Approve Nominal Value of New Shares to be Issued	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i></p>					
2.4	Approve Issue Size	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i></p>					
2.5	Approve Target Subscribers	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i></p>					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## RemeGen Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.6	Approve Method of Issuance	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following:* the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company;* the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
2.7	Approve Method of Underwriting	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following:* the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company;* the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
2.8	Approve Pricing Methodology	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following:* the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company;* the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
2.9	Approve Schedule of Issuance	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following:* the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company;* the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
2.10	Approve Validity Period of the Resolutions	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following:* the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company;* the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
3	Approve Investment Projects to be Funded by the Proceeds Raised from the Issue of A Shares and Feasibility Analysis	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following:* the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company;* the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## RemeGen Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Approve Accumulated Profit Distribution and Plan for Undertaking Unrecovered Losses Prior to the Issue of A Shares	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i></p>					
5	Approve Share Price Stabilization Plan and Restraining Measures within Three Years After the Initial Public Offering of A Shares and Listing on the Sci-Tech Board	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i></p>					
6	Approve Three-Year Dividend Distribution Plan for Shareholders After the Initial Public Offering of A Shares and Listing on the Sci-Tech Board	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i></p>					
7	Approve Analysis on Dilution on Immediate Return by Initial Public Offering of A Shares and Recovery Measures for the Immediate Return	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i></p>					
8	Approve Undertakings and Restraining Measures Relating to the Issue of A Shares and Listing on the Sci-Tech Board and Related Transactions	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i></p>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
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### RemeGen Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9	Authorize Board to Deal with All Matters in Relation to the Proposed Issue of A Shares and the Listing on the Sci-Tech Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
10	Approve Engagement of Intermediaries, Including Huatai United Securities Co., Ltd. as Sponsor/Lead Underwriter, King & Wood Mallesons as Legal Adviser and Ernst & Young Hua Ming LLP as Auditor	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
11	Approve Status of Major Transactions with Related Parties	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
12	Amend Articles of Association	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
	RESOLUTIONS IN RELATION TO THE AMENDMENTS TO OR ADOPTION OF THE FOLLOWING INTERNAL MANAGEMENT POLICIES	Mgmt			
13a	Approve Rules and Procedures Regarding General Meetings of Shareholders	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					

## Vote Summary Report

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 Institution Account(s): All Institution Accounts

### RemeGen Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
13b	Approve Rules and Procedures Regarding Meetings of Board of Directors	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
13c	Approve Rules and Procedures Regarding Meetings of Supervisory Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
13d	Approve Terms of Reference for the Independent Non-Executive Directors	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
14	Approve Report on the Use of Proceeds Raised in Previous Offering	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
15	Approve Uncovered Deficit of the Company Amounting to One-Third of the Total Share Capital	Mgmt	For	For	For
	RESOLUTIONS IN RELATION TO THE AMENDMENTS TO OR ADOPTION OF THE INTERNAL MANAGEMENT POLICIES	Mgmt			
16a	Approve Management Policies for Related (Connected) Transactions	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
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### RemeGen Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
16b	Approve Management Policies for External Guarantees	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following:* the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company;* the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
16c	Approve Management Policies for External Investment	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following:* the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company;* the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
16d	Approve Management Policies for Funds Transfers with Related Parties	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following:* the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company;* the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
16e	Approve Management Policies for Material Transactions	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following:* the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company;* the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
16f	Approve Management Policies for Raised Proceeds	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following:* the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company;* the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
17	Elect Ma Lan as Director	Mgmt	For	For	For

### RemeGen Co., Ltd.

<b>Meeting Date:</b> 06/01/2021	<b>Country:</b> China	<b>Primary Security ID:</b> Y7S9CK101
<b>Record Date:</b> 04/30/2021	<b>Meeting Type:</b> Special	<b>Ticker:</b> 9995

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
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 Institution Account(s): All Institution Accounts

## RemeGen Co., Ltd.

Shares Voted: 35,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	CLASS MEETING FOR HOLDERS OF H SHARES Approve Fulfillment by the Company of the Requirements for Initial Public Offering of A Shares and Listing on the Sci-Tech Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
2.1	RESOLUTIONS IN RELATION TO THE PROPOSED ISSUE OF A SHARES Approve Class of New Shares to be Issued	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
2.2	Approve Place of Listing	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
2.3	Approve Nominal Value of New Shares to be Issued	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
2.4	Approve Issue Size	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					

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## RemeGen Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.5	Approve Target Subscribers	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
2.6	Approve Method of Issuance	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
2.7	Approve Method of Underwriting	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
2.8	Approve Pricing Methodology	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
2.9	Approve Schedule of Issuance	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
2.10	Approve Validity Period of the Resolutions	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					



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### RemeGen Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Approve Investment Projects to be Funded by the Proceeds Raised from the Issue of A Shares and Feasibility Analysis	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
4	Approve Accumulated Profit Distribution and Plan for Undertaking Unrecovered Losses Prior to the Issue of A Shares	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
5	Approve Share Price Stabilization Plan and Restraining Measures within Three Years After the Initial Public Offering of A Shares and Listing on the Sci-Tech Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
6	Approve Three-Year Dividend Distribution Plan for Shareholders After the Initial Public Offering of A Shares and Listing on the Sci-Tech Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
7	Approve Analysis on Dilution on Immediate Return by Initial Public Offering of A Shares and Recovery Measures for the Immediate Return	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## RemeGen Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8	Approve Undertakings and Restraining Measures Relating to the Issue of A Shares and Listing on the Sci-Tech Board and Related Transactions	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
9	Authorize Board to Deal with All Matters in Relation to the Proposed Issue of A Shares and the Listing on the Sci-Tech Board	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
10	Approve Engagement of Intermediaries, Including Huatai United Securities Co., Ltd. as Sponsor/Lead Underwriter, King & Wood Mallesons as Legal Adviser and Ernst & Young Hua Ming LLP as Auditor	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
11	Approve Status of Major Transactions with Related Parties	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
12	Amend Articles of Association	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and * the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
	RESOLUTIONS IN RELATION TO THE AMENDMENTS TO OR ADOPTION OF THE FOLLOWING INTERNAL MANAGEMENT POLICIES	Mgmt			

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### RemeGen Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
13a	Approve Rules and Procedures Regarding General Meetings of Shareholders	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
13b	Approve Rules and Procedures Regarding Meetings of Board of Directors	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
13c	Approve Rules and Procedures Regarding Meetings of Supervisory Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
13d	Approve Terms of Reference for the Independent Non-Executive Directors	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					
14	Approve Report on the Use of Proceeds Raised in Previous Offering	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted given the following: * the proposed A share issuance will allow the group to have more financing channel which will improve the overall capacity and competitiveness of the business of the group as well as enhance the capital structure and shareholder base of the company; * the proceeds will be used for the company's project that are within the context of the group's primary business and replenish its working capital; and* the issue price will be set, in accordance with the relevant laws and regulation, through market mechanism that normally would not compromise the value of the company or be detrimental to the interest of existing shareholders.</i>					

### SolarEdge Technologies, Inc.

<b>Meeting Date:</b> 06/01/2021	<b>Country:</b> USA	<b>Primary Security ID:</b> 83417M104
<b>Record Date:</b> 04/05/2021	<b>Meeting Type:</b> Annual	<b>Ticker:</b> SEDG

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

SolarEdge Technologies, Inc.

Shares Voted: 1,093

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Nadav Zafirir	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST Nadav Zafirir, Avery More, and Zyi (Zivi) Lando is warranted given the board's failure to remove, or subject to a sunset requirement, the supermajority vote requirement to enact certain changes to the governing documents and the classified board, each of which adversely impacts shareholder rights.					
1b	Elect Director Avery More	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST Nadav Zafirir, Avery More, and Zyi (Zivi) Lando is warranted given the board's failure to remove, or subject to a sunset requirement, the supermajority vote requirement to enact certain changes to the governing documents and the classified board, each of which adversely impacts shareholder rights.					
1c	Elect Director Zivi Lando	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST Nadav Zafirir, Avery More, and Zyi (Zivi) Lando is warranted given the board's failure to remove, or subject to a sunset requirement, the supermajority vote requirement to enact certain changes to the governing documents and the classified board, each of which adversely impacts shareholder rights.					
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

ACM Research, Inc.

Meeting Date: 06/02/2021      Country: USA      Primary Security ID: 001083109  
Record Date: 04/08/2021      Meeting Type: Annual      Ticker: ACMR

Shares Voted: 13,748

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Haiping Dun	Mgmt	For	Withhold	Withhold
Voting Policy Rationale: WITHHOLD votes are warranted for incumbent directors David Wang, Haiping Dun, Chenming Hu, Tracy Liu, and Yinan Xiang for failure to remove, or subject to a reasonable sunset provision, the problematic capital structure implemented at the time of the IPO that negatively impacts shareholder rights.					
1b	Elect Director Chenming C. Hu	Mgmt	For	Withhold	Withhold
Voting Policy Rationale: WITHHOLD votes are warranted for incumbent directors David Wang, Haiping Dun, Chenming Hu, Tracy Liu, and Yinan Xiang for failure to remove, or subject to a reasonable sunset provision, the problematic capital structure implemented at the time of the IPO that negatively impacts shareholder rights.					
1c	Elect Director Tracy Liu	Mgmt	For	Withhold	Withhold
Voting Policy Rationale: WITHHOLD votes are warranted for incumbent directors David Wang, Haiping Dun, Chenming Hu, Tracy Liu, and Yinan Xiang for failure to remove, or subject to a reasonable sunset provision, the problematic capital structure implemented at the time of the IPO that negatively impacts shareholder rights.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

ACM Research, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1d	Elect Director David H. Wang	Mgmt	For	Withhold	Withhold
Voting Policy Rationale: WITHHOLD votes are warranted for incumbent directors David Wang, Haiping Dun, Chenming Hu, Tracy Liu, and Yinan Xiang for failure to remove, or subject to a reasonable sunset provision, the problematic capital structure implemented at the time of the IPO that negatively impacts shareholder rights.					
1e	Elect Director Yinan Xiang	Mgmt	For	Withhold	Withhold
Voting Policy Rationale: WITHHOLD votes are warranted for incumbent directors David Wang, Haiping Dun, Chenming Hu, Tracy Liu, and Yinan Xiang for failure to remove, or subject to a reasonable sunset provision, the problematic capital structure implemented at the time of the IPO that negatively impacts shareholder rights.					
2	Increase Authorized Common Stock	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this proposal is warranted given that the proposed increase in authorized shares of Class A and Class B common stock is excessive. In addition, the increase in Class B common stock would perpetuate the company's dual-class structure with unequal voting rights.					
3	Ratify BDO China Shu Lun Pan Certified Public Accountants LLP as Auditors	Mgmt	For	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

Aon plc

Meeting Date: 06/02/2021	Country: Ireland	Primary Security ID: G0403H108
Record Date: 04/08/2021	Meeting Type: Annual	Ticker: AON
Shares Voted: 13,193		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Lester B. Knight	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1.2	Elect Director Gregory C. Case	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1.3	Elect Director Jin-Yong Cai	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1.4	Elect Director Jeffrey C. Campbell	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1.5	Elect Director Fulvio Conti	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					
1.6	Elect Director Cheryl A. Francis	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the director nominees is warranted.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Aon plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.7	Elect Director J. Michael Losh	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>				
1.8	Elect Director Richard B. Myers	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>				
1.9	Elect Director Richard C. Notebaert	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>				
1.10	Elect Director Gloria Santana	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>				
1.11	Elect Director Byron O. Spruell	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>				
1.12	Elect Director Carolyn Y. Woo	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR the director nominees is warranted.</i>				
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
4	Ratify Ernst & Young Chartered Accountants as Statutory Auditor	Mgmt	For	For	For
5	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For	For
6	Amend Articles of Association Re: Article 190	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is considered warranted as no concerns have been identified.</i>				
7	Authorize the Board of Directors to Capitalize Certain Non-distributable Reserves	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is considered warranted as no concerns have been identified.</i>				
8	Approve Creation of Distributable Profits by the Reduction and Cancellation of the Amounts Capitalized Pursuant to the Authority Given Under Proposal 7	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is considered warranted as no concerns have been identified.</i>				

### Melco Resorts & Entertainment Ltd.

<b>Meeting Date:</b> 06/02/2021	<b>Country:</b> Cayman Islands	<b>Primary Security ID:</b> 585464100
<b>Record Date:</b> 04/26/2021	<b>Meeting Type:</b> Annual	<b>Ticker:</b> MLCO

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Melco Resorts & Entertainment Ltd.

Shares Voted: 0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Informational Meeting for ADR Holders	Mgmt			
	Transact Other Business (Non-Voting)	Mgmt			

Aker Carbon Capture AS

Meeting Date: 06/03/2021Country: NorwayPrimary Security ID: R00762113  
Record Date:Meeting Type: Extraordinary ShareholdersTicker: ACC  
Shares Voted: 0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Elect Chairman of Meeting; Designate Inspector(s) of Minutes of Meeting	Mgmt			
Voting Policy Rationale: These are routine meeting formalities.					
2	Approve Notice of Meeting and Agenda	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: These are routine meeting formalities.					
3	Approve Conversion of the Company into a Norwegian Public Limited LiabilityCompany	Mgmt	For	For	Do Not Vote
4	Elect Linda Litlekalsoy Aase as New Director	Mgmt	For	Against	Do Not Vote
Voting Policy Rationale: A vote AGAINST candidate Linda Aase is warranted due to her non-independent status on a board with an insufficient level of overall independence.					
5	Elect Ingebret G. Hisdal (Chairman) and Svein Oskar Stoknes as Members of Nominating Committee	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these items is warranted due to a lack of concern with the proposed nominating committee.					
6	Approve Instructions for Nominating Committee	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: A vote FOR these items is warranted due to a lack of concern with the proposed nominating committee.					
7	Adopt New Articles of Association	Mgmt	For	For	Do Not Vote

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Hansoh Pharmaceutical Group Company Limited

Meeting Date: 06/03/2021      Country: Cayman Islands      Primary Security ID: G54958106  
Record Date: 05/28/2021      Meeting Type: Annual      Ticker: 3692

Shares Voted: 768,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3a	Elect Lyu Aifeng as Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST the election of Executive Director Aifeng Lyu is warranted given that the company has no formal nomination committee and the board is not majority independent.A vote FOR the remaining nominees is warranted given the absence of any known issues concerning these nominees.					
3b	Elect Ma Cuifang as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote AGAINST the election of Executive Director Aifeng Lyu is warranted given that the company has no formal nomination committee and the board is not majority independent.A vote FOR the remaining nominees is warranted given the absence of any known issues concerning these nominees.					
3c	Elect Lin Guoqiang as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote AGAINST the election of Executive Director Aifeng Lyu is warranted given that the company has no formal nomination committee and the board is not majority independent.A vote FOR the remaining nominees is warranted given the absence of any known issues concerning these nominees.					
3d	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
4	Approve Ernst & Young as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
6	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					
7	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					

KWG Living Group Holdings Ltd.

Meeting Date: 06/03/2021      Country: Cayman Islands      Primary Security ID: G5322R103  
Record Date: 05/28/2021      Meeting Type: Annual      Ticker: 3913

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### KWG Living Group Holdings Ltd.

Shares Voted: 50,198,020

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3a	Elect Kong Jiannan as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.</i>					
3b	Elect Yang Jingbo as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.</i>					
3c	Elect Wang Yue as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.</i>					
3d	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
4	Approve Ernst & Young as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
6	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.</i>					
7	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.</i>					
8	Adopt Share Option Scheme	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this resolution is warranted because:* The company could be considered a mature company, and the limit under the proposed Scheme, together with other share incentive schemes of the company, exceeds 5 percent of the company's issued capital.* Performance conditions and meaningful vesting periods have not been disclosed.* The directors eligible to receive options under the Scheme are involved in the administration.</i>					

### GasLog Ltd.

Meeting Date: 06/04/2021  
Record Date: 04/30/2021

Country: Bermuda  
Meeting Type: Special

Primary Security ID: G37585117  
Ticker: GLOG



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

GasLog Ltd.

Shares Voted: 28,608

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Merger Agreement	Mgmt	For	For	For

Public Power Corp. SA

Meeting Date: 06/04/2021Country: GreecePrimary Security ID: X7023M103  
Record Date: 05/28/2021Meeting Type: Extraordinary Ticker: PPC  
Shareholders

Shares Voted: 53,798

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Special Meeting Agenda	Mgmt			
1	Amend Company Articles	Mgmt	For	For	For
2	Approve Suitability Policy for Directors	Mgmt	For	For	For
3	Approve Remuneration Policy	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this item is warranted because the performance is measured over two year periods under the performance share plan.					
4	Various Announcements	Mgmt			

ArcelorMittal SA

Meeting Date: 06/08/2021Country: LuxembourgPrimary Security ID: L0302D210  
Record Date: 05/25/2021Meeting Type: Annual/Special Ticker: MT

Shares Voted: 66,190

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
I	Approve Consolidated Financial Statements	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted due to a lack of concern regarding the accounts presented or audit procedures used.					
II	Approve Financial Statements	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted due to a lack of concern regarding the accounts presented or audit procedures used.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### ArcelorMittal SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
III	Approve Dividends of USD 0.30 Per Share	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is warranted in the absence of specific concerns regarding the income allocation proposal.</i>					
IV	Approve Allocation of Income	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is warranted in the absence of specific concerns regarding the income allocation proposal.</i>					
V	Approve Remuneration Policy	Mgmt	For	For	For
VI	Approve Remuneration Report	Mgmt	For	For	For
VII	Approve Remuneration of the Directors, Members and Chairs of the Audit and Risk Committee and Members and Chairs of the Other Committee	Mgmt	For	For	For
VIII	Approve Discharge of Directors	Mgmt	For	For	For
IX	Reelect Karyn Ovelmen as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates.</i>					
X	Reelect Tye Burt as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates.</i>					
XI	Elect Clarissa Lins as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates.</i>					
XII	Approve Share Repurchase	Mgmt	For	For	For
XIII	Renew Appointment of Deloitte Audit as Auditor	Mgmt	For	For	For
XIV	Approve Share Plan Grant, Restricted Share Unit Plan and Performance Unit Plan under the Executive Office PSU Plan and ArcelorMittal Equity Plan	Mgmt	For	For	For
	Special Meeting Agenda	Mgmt			
I	Approve Reduction in Share Capital through Cancellation of Shares and Amend Articles 5.1 and 5.2 of the Articles of Association	Mgmt	For	For	For

### Shimao Group Holdings Limited

**Meeting Date:** 06/08/2021      **Country:** Cayman Islands      **Primary Security ID:** G81043104  
**Record Date:** 06/01/2021      **Meeting Type:** Annual      **Ticker:** 813

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Shimao Group Holdings Limited

Shares Voted: 1,143,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2.1	Approve Final Dividend	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because these are routine dividend proposals.					
2.2	Approve Special Dividend	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because these are routine dividend proposals.					
3.1	Elect Hui Sai Tan, Jason as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
3.2	Elect Ye Mingjie as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
3.3	Elect Lyu Hong Bing as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
3.4	Elect Lam Ching Kam as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
3.5	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
4	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For	For
6	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For

Taiwan Semiconductor Manufacturing Co., Ltd.

Meeting Date: 06/08/2021      Country: Taiwan      Primary Security ID: Y84629107  
Record Date: 04/09/2021      Meeting Type: Annual      Ticker: 2330

Shares Voted: 21,809,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Business Operations Report and Financial Statements	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Taiwan Semiconductor Manufacturing Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve Amendment to Rules and Procedures for Election of Directors	Mgmt	For	For	For
3	Approve Issuance of Restricted Stocks	Mgmt	For	For	For
	ELECT NON-INDEPENDENT DIRECTORS AND INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			
4.1	Elect Mark Liu, with Shareholder No. 10758, as Non-independent Director	Mgmt	For	For	For
<i>Voting Policy Rationale: VOTE RECOMMENDATIONA vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.</i>					
4.2	Elect C.C. Wei, with Shareholder No. 370885, as Non-independent Director	Mgmt	For	For	For
<i>Voting Policy Rationale: VOTE RECOMMENDATIONA vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.</i>					
4.3	Elect F.C. Tseng, with Shareholder No. 104, as Non-independent Director	Mgmt	For	For	For
<i>Voting Policy Rationale: VOTE RECOMMENDATIONA vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.</i>					
4.4	Elect Ming Hsin Kung, a Representative of National Development Fund, Executive Yuan, with Shareholder No. 1, as Non-independent Director	Mgmt	For	For	For
<i>Voting Policy Rationale: VOTE RECOMMENDATIONA vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.</i>					
4.5	Elect Peter L. Bonfield, with Shareholder No. 504512XXX, as Independent Director	Mgmt	For	For	For
<i>Voting Policy Rationale: VOTE RECOMMENDATIONA vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.</i>					
4.6	Elect Kok Choo Chen, with Shareholder No. A210358XXX, as Independent Director	Mgmt	For	For	For
<i>Voting Policy Rationale: VOTE RECOMMENDATIONA vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.</i>					
4.7	Elect Michael R. Splinter, with Shareholder No. 488601XXX, as Independent Director	Mgmt	For	For	For
<i>Voting Policy Rationale: VOTE RECOMMENDATIONA vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.</i>					
4.8	Elect Moshe N. Gavrielov, with Shareholder No. 505930XXX, as Independent Director	Mgmt	For	For	For
<i>Voting Policy Rationale: VOTE RECOMMENDATIONA vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.</i>					
4.9	Elect Yancey Hai, with Shareholder No. D100708XXX, as Independent Director	Mgmt	For	For	For
<i>Voting Policy Rationale: VOTE RECOMMENDATIONA vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Taiwan Semiconductor Manufacturing Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.10	Elect L. Rafael Reif, with Shareholder No. 545784XXX, as Independent Director	Mgmt	For	For	For
Voting Policy Rationale: VOTE RECOMMENDATIONA vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					

Hellenic Telecommunications Organization SA

Meeting Date: 06/09/2021	Country: Greece	Primary Security ID: X3258B102
Record Date: 06/03/2021	Meeting Type: Annual	Ticker: HTO
Shares Voted: 61,752		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
1	Approve Financial Statements, Statutory Reports and Income Allocation	Mgmt	For	For	For
2	Receive Audit Committee's Activity Report	Mgmt			
3	Approve Management of Company and Grant Discharge to Auditors	Mgmt	For	For	For
4	Ratify Auditors	Mgmt	For	For	For
5	Approve Remuneration of Directors and Members of Committees	Mgmt	For	For	For
6	Approve Remuneration of Certain Board Members	Mgmt	For	For	For
7	Advisory Vote on Remuneration Report	Mgmt	For	For	For
8	Approve Director and Officer Liability Contracts	Mgmt	For	For	For
9	Announcement on Related Party Transactions	Mgmt			
10	Approve Reduction in Issued Share Capital	Mgmt	For	For	For
11	Approve Suitability Policy for Directors	Mgmt	For	For	For
	Elect Directors: Items 12.1-12.10: Please Vote FOR up to Ten Directors; Otherwise Please ABSTAIN	Mgmt			
	Shareholder Proposals 12.1-12.6, 12.8 and 12.10 Submitted by Deutsche Telekom AG and 12.7 and 12.9 Submitted by Hellenic Republic	Mgmt			

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Hellenic Telecommunications Organization SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
12.1	Elect Michael Tsamaz as Director	SH	None	Abstain	Abstain
<i>Voting Policy Rationale: A vote FOR Items 12.8-12.10 is warranted due to a lack of concerns about the independent director nominees.An 'ABSTAIN' vote regarding the elections of executive and NI-NED nominees submitted under Items 12.1-12.7 is warranted because the board does not meet the one third independence guideline and the combined remuneration and nomination committee is not 50 percent independent.In addition, director Tsamaz (Item 12.1) combines the position of chairman and CEO.</i>					
12.2	Elect Charalampos Mazarakis as Director	SH	None	Abstain	Abstain
<i>Voting Policy Rationale: A vote FOR Items 12.8-12.10 is warranted due to a lack of concerns about the independent director nominees.An 'ABSTAIN' vote regarding the elections of executive and NI-NED nominees submitted under Items 12.1-12.7 is warranted because the board does not meet the one third independence guideline and the combined remuneration and nomination committee is not 50 percent independent.In addition, director Tsamaz (Item 12.1) combines the position of chairman and CEO.</i>					
12.3	Elect Robert Hauber as Director	SH	None	Abstain	Abstain
<i>Voting Policy Rationale: A vote FOR Items 12.8-12.10 is warranted due to a lack of concerns about the independent director nominees.An 'ABSTAIN' vote regarding the elections of executive and NI-NED nominees submitted under Items 12.1-12.7 is warranted because the board does not meet the one third independence guideline and the combined remuneration and nomination committee is not 50 percent independent.In addition, director Tsamaz (Item 12.1) combines the position of chairman and CEO.</i>					
12.4	Elect Kyra Orth as Director	SH	None	Abstain	Abstain
<i>Voting Policy Rationale: A vote FOR Items 12.8-12.10 is warranted due to a lack of concerns about the independent director nominees.An 'ABSTAIN' vote regarding the elections of executive and NI-NED nominees submitted under Items 12.1-12.7 is warranted because the board does not meet the one third independence guideline and the combined remuneration and nomination committee is not 50 percent independent.In addition, director Tsamaz (Item 12.1) combines the position of chairman and CEO.</i>					
12.5	Elect Dominique Leroy as Director	SH	None	Abstain	Abstain
<i>Voting Policy Rationale: A vote FOR Items 12.8-12.10 is warranted due to a lack of concerns about the independent director nominees.An 'ABSTAIN' vote regarding the elections of executive and NI-NED nominees submitted under Items 12.1-12.7 is warranted because the board does not meet the one third independence guideline and the combined remuneration and nomination committee is not 50 percent independent.In addition, director Tsamaz (Item 12.1) combines the position of chairman and CEO.</i>					
12.6	Elect Michael Wilkens as Director	SH	None	Abstain	Abstain
<i>Voting Policy Rationale: A vote FOR Items 12.8-12.10 is warranted due to a lack of concerns about the independent director nominees.An 'ABSTAIN' vote regarding the elections of executive and NI-NED nominees submitted under Items 12.1-12.7 is warranted because the board does not meet the one third independence guideline and the combined remuneration and nomination committee is not 50 percent independent.In addition, director Tsamaz (Item 12.1) combines the position of chairman and CEO.</i>					
12.7	Elect Gregory Zarifopoulos as Director	SH	None	Abstain	Abstain
<i>Voting Policy Rationale: A vote FOR Items 12.8-12.10 is warranted due to a lack of concerns about the independent director nominees.An 'ABSTAIN' vote regarding the elections of executive and NI-NED nominees submitted under Items 12.1-12.7 is warranted because the board does not meet the one third independence guideline and the combined remuneration and nomination committee is not 50 percent independent.In addition, director Tsamaz (Item 12.1) combines the position of chairman and CEO.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Hellenic Telecommunications Organization SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
12.8	Elect Eelco Blok as Independent Director	SH	None	For	For
Voting Policy Rationale: A vote FOR Items 12.8-12.10 is warranted due to a lack of concerns about the independent director nominees.An 'ABSTAIN' vote regarding the elections of executive and NI-NED nominees submitted under Items 12.1-12.7 is warranted because the board does not meet the one third independence guideline and the combined remuneration and nomination committee is not 50 percent independent.In addition, director Tsamaz (Item 12.1) combines the position of chairman and CEO.					
12.9	Elect Dimitris Georgoutsos as Independent Director	SH	None	For	For
Voting Policy Rationale: A vote FOR Items 12.8-12.10 is warranted due to a lack of concerns about the independent director nominees.An 'ABSTAIN' vote regarding the elections of executive and NI-NED nominees submitted under Items 12.1-12.7 is warranted because the board does not meet the one third independence guideline and the combined remuneration and nomination committee is not 50 percent independent.In addition, director Tsamaz (Item 12.1) combines the position of chairman and CEO.					
12.10	Elect Catherine Dorlodot as Independent Director	SH	None	For	For
Voting Policy Rationale: A vote FOR Items 12.8-12.10 is warranted due to a lack of concerns about the independent director nominees.An 'ABSTAIN' vote regarding the elections of executive and NI-NED nominees submitted under Items 12.1-12.7 is warranted because the board does not meet the one third independence guideline and the combined remuneration and nomination committee is not 50 percent independent.In addition, director Tsamaz (Item 12.1) combines the position of chairman and CEO.					
13	Approve Type, Composition and Tenure of the Audit Committee	SH	None	For	For
14	Authorize Board to Participate in Companies with Similar Business Interests	Mgmt	For	For	For
15	Various Announcements	Mgmt			

Instone Real Estate Group AG

Meeting Date: 06/09/2021	Country: Germany	Primary Security ID: D3706C100
Record Date: 05/18/2021	Meeting Type: Annual	Ticker: INS
Shares Voted: 23,666		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2020 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 0.26 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal Year 2020	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Instone Real Estate Group AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Approve Discharge of Supervisory Board for Fiscal Year 2020	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.					
5	Ratify Deloitte GmbH as Auditors for Fiscal Year 2021	Mgmt	For	For	For
6	Approve Remuneration Policy	Mgmt	For	For	For
7	Approve Remuneration of Supervisory Board	Mgmt	For	For	For
8	Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds without Preemptive Rights up to Aggregate Nominal Amount of EUR 350 Million; Approve Creation of EUR 4.7 Million Pool of Capital to Guarantee Conversion Rights	Mgmt	For	For	For
9	Approve Creation of EUR 8 Million Pool of Capital with Partial Exclusion of Preemptive Rights	Mgmt	For	For	For
10	Change of Corporate Form to Societas Europaea (SE)	Mgmt	For	For	For

B2W Companhia Digital

Meeting Date: 06/10/2021	Country: Brazil	Primary Security ID: P19055113
Record Date:	Meeting Type: Special	Ticker: BTOW3
Shares Voted: 15,990,079		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Reduction in Share Capital Without Cancellation of Shares and Amend Article 5 Accordingly	Mgmt	For	For	For
2	Approve Agreement for Partial Spin-Off of Lojas Americanas S.A. (Lojas Americanas) and Absorption of Partial Spun-Off Assets	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because:* The company has provided a sound strategic rationale for the proposed transaction;* The related-party transaction appears to have been negotiated at arms-length, and there are no known concerns regarding the fairness of the deal;* Given that the operations of the two companies would be concentrated under B2W, current shareholders would participate in the potential upside of the combined operational company; and* The company would continue to trade on B3's Novo Mercado listing segment, Brazil's highest differentiated corporate governance listing segment.					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## B2W Companhia Digital

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Ratify XP Investimentos Corretora de Cambio, Titulos e Valores Mobiliarios S.A. as Independent Firm to Appraise Proposed Transaction	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company has provided a sound strategic rationale for the proposed transaction;* The related-party transaction appears to have been negotiated at arms-length, and there are no known concerns regarding the fairness of the deal;* Given that the operations of the two companies would be concentrated under B2W, current shareholders would participate in the potential upside of the combined operational company; and* The company would continue to trade on B3's Novo Mercado listing segment, Brazil's highest differentiated corporate governance listing segment.</i>					
4	Approve Independent Firm's Appraisal	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company has provided a sound strategic rationale for the proposed transaction;* The related-party transaction appears to have been negotiated at arms-length, and there are no known concerns regarding the fairness of the deal;* Given that the operations of the two companies would be concentrated under B2W, current shareholders would participate in the potential upside of the combined operational company; and* The company would continue to trade on B3's Novo Mercado listing segment, Brazil's highest differentiated corporate governance listing segment.</i>					
5	Approve Related Party Transaction: Partial Spin-Off of Lojas Americanas S.A. (Lojas Americanas) and Absorption of Partial Spun-Off Assets With Capital Increase and Issuance of Shares	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted because:* The company has provided a sound strategic rationale for the proposed transaction;* The related-party transaction appears to have been negotiated at arms-length, and there are no known concerns regarding the fairness of the deal;* Given that the operations of the two companies would be concentrated under B2W, current shareholders would participate in the potential upside of the combined operational company; and* The company would continue to trade on B3's Novo Mercado listing segment, Brazil's highest differentiated corporate governance listing segment.</i>					
6	Amend Article 5 to Reflect Changes in Capital	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full text of the proposed amendments;* The changes are mostly related to the proposed related-party transaction presented under the previous items of this agenda; and* There are no known concerns regarding the proposed amendments.</i>					
7	Change Company Name to Americanas S.A. and Amend Article 1 Accordingly	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full text of the proposed amendments;* The changes are mostly related to the proposed related-party transaction presented under the previous items of this agenda; and* There are no known concerns regarding the proposed amendments.</i>					
8	Amend Article 3 Re: Corporate Purpose	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full text of the proposed amendments;* The changes are mostly related to the proposed related-party transaction presented under the previous items of this agenda; and* There are no known concerns regarding the proposed amendments.</i>					
9	Approve Increase in Authorized Capital and Amend Article 5 Accordingly	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full text of the proposed amendments;* The changes are mostly related to the proposed related-party transaction presented under the previous items of this agenda; and* There are no known concerns regarding the proposed amendments.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

B2W Companhia Digital

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10	Consolidate Bylaws	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full text of the proposed amendments;* The changes are mostly related to the proposed related-party transaction presented under the previous items of this agenda; and* There are no known concerns regarding the proposed amendments.					
11	Authorize Board to Ratify and Execute Approved Resolutions	Mgmt	For	For	For

B2W Companhia Digital

Meeting Date: 06/10/2021	Country: Brazil	Primary Security ID: P19055113
Record Date:	Meeting Type: Extraordinary Shareholders	Ticker: BTOW3
Shares Voted: 15,990,079		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Elect Directors	Mgmt	For	Against	Against
2	In Case There is Any Change to the Board Slate Composition, May Your Votes Still be Counted for the Proposed Slate?	Mgmt	None	Against	Against
3	In Case Cumulative Voting Is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees below?	Mgmt	None	Abstain	Abstain
Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 3, 4.1-4.7 and 6 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.					
	IF CUMULATIVE VOTE IS ADOPTED - If Voting FOR Item 3: Votes Are Distributed in Equal % Amongst Nominees Voted FOR. An AGAINST Vote Is Required If Supporting Candidate(s) Under Cumulative and Not Supporting Any Director(s)/Slate Under Majority Voting	Mgmt			
4.1	Percentage of Votes to Be Assigned - Elect Eduardo Saggioro Garcia as Director	Mgmt	None	Abstain	Abstain
Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 3, 4.1-4.7 and 6 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## B2W Companhia Digital

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.2	Percentage of Votes to Be Assigned - Elect Carlos Alberto da Veiga Sicupira as Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 3, 4.1-4.7 and 6 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
4.3	Percentage of Votes to Be Assigned - Elect Claudio Moniz Barreto Garcia as Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 3, 4.1-4.7 and 6 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
4.4	Percentage of Votes to Be Assigned - Elect Paulo Alberto Lemann as Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 3, 4.1-4.7 and 6 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
4.5	Percentage of Votes to Be Assigned - Elect Mauro Muratorio Not as Independent Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 3, 4.1-4.7 and 6 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
4.6	Percentage of Votes to Be Assigned - Elect Sidney Victor da Costa Breyer as Independent Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 3, 4.1-4.7 and 6 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
4.7	Percentage of Votes to Be Assigned - Elect Vanessa Claro Lopes as Independent Director	Mgmt	None	Abstain	Abstain
<i>Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 3, 4.1-4.7 and 6 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.</i>					
5	As an Ordinary Shareholder, Would You like to Request a Separate Minority Election of a Member of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	Mgmt	None	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

B2W Companhia Digital

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Do You Wish to Adopt Cumulative Voting for the Election of the Members of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	Mgmt	None	Abstain	Abstain
Voting Policy Rationale: Under these items, the company presents shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies.ABSTAIN vote recommendations are warranted for Items 3, 4.1-4.7 and 6 in the absence of publicly-available information, disclosed in a timely manner, regarding a cumulative voting request presented by shareholders.					
7	Amend Article 23	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full text of the proposed amendments;* The company has presented a sound rationale; and* There are no concerns regarding the proposed changes.					
8	Consolidate Bylaws	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these requests is warranted because:* The company has provided the full text of the proposed amendments;* The company has presented a sound rationale; and* There are no concerns regarding the proposed changes.					

Toyota Industries Corp.

Meeting Date: 06/10/2021Country: JapanPrimary Security ID: J92628106  
Record Date: 03/31/2021Meeting Type: AnnualTicker: 6201

Shares Voted: 10,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Toyoda, Tetsuro	Mgmt	For	For	For
1.2	Elect Director Onishi, Akira	Mgmt	For	For	For
1.3	Elect Director Sasaki, Takuo	Mgmt	For	For	For
1.4	Elect Director Mizuno, Yojiro	Mgmt	For	For	For
1.5	Elect Director Ishizaki, Yuji	Mgmt	For	For	For
1.6	Elect Director Sumi, Shuzo	Mgmt	For	For	For
1.7	Elect Director Yamanishi, Kenichiro	Mgmt	For	For	For
1.8	Elect Director Maeda, Masahiko	Mgmt	For	For	For
2	Appoint Statutory Auditor Inagawa, Toru	Mgmt	For	For	For
3	Appoint Alternate Statutory Auditor Furusawa, Hitoshi	Mgmt	For	For	For
4	Approve Annual Bonus	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### VEON Ltd.

**Meeting Date:** 06/10/2021 **Country:** Bermuda **Primary Security ID:** G9349W103  
**Record Date:** 05/05/2021 **Meeting Type:** Annual **Ticker:** VEON

**Shares Voted:** 31,640,982

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
2	Amend Bye-Laws	Mgmt	For	For	Against
	Elect Directors by Cumulative Voting	Mgmt			
3.1	Elect Hans-Holger Albrecht as Director	Mgmt	For	For	Against
<i>Voting Policy Rationale: Votes FOR Hans-Holger Albrecht, Leonid Boguslavsky, Gennady Gazin, Amos Genish, Gunnar Holt, Stephen (Steve) Pusey, Irene Shvakman, Vasily Sidorov and Robert Jan van de Kraats (Items 3.1, 3.2, 3.4, 3.5, 3.9, 3.10, 3.11, 3.13 and 3.12) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>					
3.2	Elect Leonid Boguslavsky as Director	Mgmt	For	For	Against
<i>Voting Policy Rationale: Votes FOR Hans-Holger Albrecht, Leonid Boguslavsky, Gennady Gazin, Amos Genish, Gunnar Holt, Stephen (Steve) Pusey, Irene Shvakman, Vasily Sidorov and Robert Jan van de Kraats (Items 3.1, 3.2, 3.4, 3.5, 3.9, 3.10, 3.11, 3.13 and 3.12) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>					
3.3	Elect Mikhail Fridman as Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: Votes FOR Hans-Holger Albrecht, Leonid Boguslavsky, Gennady Gazin, Amos Genish, Gunnar Holt, Stephen (Steve) Pusey, Irene Shvakman, Vasily Sidorov and Robert Jan van de Kraats (Items 3.1, 3.2, 3.4, 3.5, 3.9, 3.10, 3.11, 3.13 and 3.12) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>					
3.4	Elect Gennady Gazin as Director	Mgmt	For	For	Against
<i>Voting Policy Rationale: Votes FOR Hans-Holger Albrecht, Leonid Boguslavsky, Gennady Gazin, Amos Genish, Gunnar Holt, Stephen (Steve) Pusey, Irene Shvakman, Vasily Sidorov and Robert Jan van de Kraats (Items 3.1, 3.2, 3.4, 3.5, 3.9, 3.10, 3.11, 3.13 and 3.12) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>					
3.5	Elect Amos Genish as Director	Mgmt	For	For	Against
<i>Voting Policy Rationale: Votes FOR Hans-Holger Albrecht, Leonid Boguslavsky, Gennady Gazin, Amos Genish, Gunnar Holt, Stephen (Steve) Pusey, Irene Shvakman, Vasily Sidorov and Robert Jan van de Kraats (Items 3.1, 3.2, 3.4, 3.5, 3.9, 3.10, 3.11, 3.13 and 3.12) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>					
3.6	Elect Yaroslav Glazunov as Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: Votes FOR Hans-Holger Albrecht, Leonid Boguslavsky, Gennady Gazin, Amos Genish, Gunnar Holt, Stephen (Steve) Pusey, Irene Shvakman, Vasily Sidorov and Robert Jan van de Kraats (Items 3.1, 3.2, 3.4, 3.5, 3.9, 3.10, 3.11, 3.13 and 3.12) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

VEON Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3.7	Elect Andrei Gusev as Director	Mgmt	For	Against	Against
Voting Policy Rationale: Votes FOR Hans-Holger Albrecht, Leonid Boguslavsky, Gennady Gazin, Amos Genish, Gunnar Holt, Stephen (Steve) Pusey, Irene Shvakman, Vasily Sidorov and Robert Jan van de Kraats (Items 3.1, 3.2, 3.4, 3.5, 3.9, 3.10, 3.11, 3.13 and 3.12) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.					
3.8	Elect Sergi Herrero as Director	Mgmt	For	Against	Against
Voting Policy Rationale: Votes FOR Hans-Holger Albrecht, Leonid Boguslavsky, Gennady Gazin, Amos Genish, Gunnar Holt, Stephen (Steve) Pusey, Irene Shvakman, Vasily Sidorov and Robert Jan van de Kraats (Items 3.1, 3.2, 3.4, 3.5, 3.9, 3.10, 3.11, 3.13 and 3.12) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.					
3.9	Elect Gunnar Holt as Director	Mgmt	For	For	Against
Voting Policy Rationale: Votes FOR Hans-Holger Albrecht, Leonid Boguslavsky, Gennady Gazin, Amos Genish, Gunnar Holt, Stephen (Steve) Pusey, Irene Shvakman, Vasily Sidorov and Robert Jan van de Kraats (Items 3.1, 3.2, 3.4, 3.5, 3.9, 3.10, 3.11, 3.13 and 3.12) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.					
3.10	Elect Stephen Pusey as Director	Mgmt	For	For	Against
Voting Policy Rationale: Votes FOR Hans-Holger Albrecht, Leonid Boguslavsky, Gennady Gazin, Amos Genish, Gunnar Holt, Stephen (Steve) Pusey, Irene Shvakman, Vasily Sidorov and Robert Jan van de Kraats (Items 3.1, 3.2, 3.4, 3.5, 3.9, 3.10, 3.11, 3.13 and 3.12) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.					
3.11	Elect Irene Shvakman as Director	Mgmt	For	For	Against
Voting Policy Rationale: Votes FOR Hans-Holger Albrecht, Leonid Boguslavsky, Gennady Gazin, Amos Genish, Gunnar Holt, Stephen (Steve) Pusey, Irene Shvakman, Vasily Sidorov and Robert Jan van de Kraats (Items 3.1, 3.2, 3.4, 3.5, 3.9, 3.10, 3.11, 3.13 and 3.12) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.					
3.12	Elect Robert Jan van de Kraats as Director	Mgmt	For	For	Against
Voting Policy Rationale: Votes FOR Hans-Holger Albrecht, Leonid Boguslavsky, Gennady Gazin, Amos Genish, Gunnar Holt, Stephen (Steve) Pusey, Irene Shvakman, Vasily Sidorov and Robert Jan van de Kraats (Items 3.1, 3.2, 3.4, 3.5, 3.9, 3.10, 3.11, 3.13 and 3.12) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.					
3.13	Elect Vasily Sidorov as Director	Mgmt	None	For	For
Voting Policy Rationale: Votes FOR Hans-Holger Albrecht, Leonid Boguslavsky, Gennady Gazin, Amos Genish, Gunnar Holt, Stephen (Steve) Pusey, Irene Shvakman, Vasily Sidorov and Robert Jan van de Kraats (Items 3.1, 3.2, 3.4, 3.5, 3.9, 3.10, 3.11, 3.13 and 3.12) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.					
4	If you are holding less than 5% of the Company's total issued and outstanding shares, vote FOR. Otherwise vote AGAINST.	Mgmt	None	Refer	For

Asmedia Technology Inc.

Meeting Date: 06/11/2021	Country: Taiwan	Primary Security ID: Y0397P108
Record Date: 04/12/2021	Meeting Type: Annual	Ticker: 5269

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Asmedia Technology Inc.

Shares Voted: 72,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements	Mgmt	For	For	For
2	Approve Profit Distribution	Mgmt	For	For	For
3	Approve Issuance of Restricted Stock Awards (For Employees)	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST is warranted because there is limited disclosure of the performance hurdles to be applied.					

Delta Electronics, Inc.

Meeting Date: 06/11/2021      Country: Taiwan      Primary Security ID: Y20263102  
Record Date: 04/12/2021      Meeting Type: Annual      Ticker: 2308

Shares Voted: 18,602,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements	Mgmt	For	For	For
2	Approve Profit Distribution	Mgmt	For	For	For
3.1	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			
	Elect YANCEY HAI, with SHAREHOLDER NO.38010, as Non-Independent Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
3.2	Elect MARK KO, with Shareholder No. 15314, as Non-Independent Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
3.3	Elect BRUCE CH CHENG, with SHAREHOLDER NO.1, as Non-Independent Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
3.4	Elect PING CHENG, with SHAREHOLDER NO.43, as Non-Independent Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Delta Electronics, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3.5	Elect SIMON CHANG, with SHAREHOLDER NO.19, as Non-Independent Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
3.6	Elect VICTOR CHENG, with SHAREHOLDER NO.44, as Non-Independent Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			
3.7	Elect JI-REN LEE, with ID NO.Y120143XXX, as Independent Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
3.8	Elect SHYUE-CHING LU, with ID NO.H100330XXX, as Independent Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
3.9	Elect ROSE TSOU, with ID NO.E220471XXX, as Independent Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
3.10	Elect JACK J.T. HUANG, with ID NO.A100320XXX, as Independent Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
4	Approve Release of Restrictions of Competitive Activities of Directors	Mgmt	For	For	For

Hainan Meilan International Airport Company Limited

Meeting Date: 06/11/2021	Country: China	Primary Security ID: Y3245V103
Record Date: 05/21/2021	Meeting Type: Annual	Ticker: 357
Shares Voted: 13,117,520		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Work Report of the Board of Directors	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the Work Reports.					



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Hainan Meilan International Airport Company Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve Work Report of the Board of Supervisors	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the Work Reports.					
3	Approve Audited Financial Statements of the Company and Its Subsidiaries	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted given the matters described by the auditors would raise concerns over the accuracy and reliability of the company's financial statements.					
4	Approve Non-Distribution of Final Dividend	Mgmt	For	For	For
5	Approve Remuneration of Directors and Supervisors	Mgmt	For	For	For
6	Approve PricewaterhouseCoopers Zhong Tian LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
7	Approve Proposals Put Forward by Any Shareholder(s) Holding Three Percent or More of the Shares Entitled to Vote	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted given the lack of information.					
8	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Domestic Shares or H Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted for the following:* The share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					
9	Other Business	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted given the lack of information.					

Powerlong Real Estate Holdings Limited

Meeting Date: 06/11/2021Country: Cayman IslandsPrimary Security ID: G72005104  
Record Date: 06/04/2021Meeting Type: AnnualTicker: 1238

Shares Voted: 3,548,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3	Elect Hoi Wa Fong as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Powerlong Real Estate Holdings Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Elect Zhang Hong Feng as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
5	Elect Ding Zu Yu as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
6	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
7	Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
8	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					
9	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
10	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					

Diagnosticos da America SA

Meeting Date: 06/14/2021	Country: Brazil	Primary Security ID: P3589C109
Record Date:	Meeting Type: Extraordinary Shareholders	Ticker: DASA3
Shares Voted: 8,421,400		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Amend Articles and Consolidate Bylaws	Mgmt	For	For	For
2	Authorize Executives to Ratify and Execute Approved Resolutions	Mgmt	For	For	For

Nova Ljubljanska Banka dd

Meeting Date: 06/14/2021	Country: Slovenia	Primary Security ID: X58782131
Record Date: 05/14/2021	Meeting Type: Annual	Ticker: NLBR

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Nova Ljubljanska Banka dd

Shares Voted: 2,935,059

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Open Meeting; Verify Quorum; Elect Meeting Chairman	Mgmt	For	For	For
1.1	Proposals by Shareholders to Item 1	SH	Against	Against	Against
<i>Voting Policy Rationale: Because the content of these items is unknown, a vote AGAINST is recommended.</i>					
2	Receive Annual Report and Statutory Reports	Mgmt			
3.1	Approve Allocation of Income and Dividends	Mgmt	For	For	For
3.1.1	Proposals by Shareholders to Item 3.1	SH	Against	Against	Against
<i>Voting Policy Rationale: Because the content of these items is unknown, a vote AGAINST is recommended.</i>					
3.2	Approve Discharge of Management Board Members	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these items are warranted, because there are no known problems with the company's management and supervisory boards that occurred during the fiscal year in review.</i>					
3.2.1	Proposals by Shareholders to Item 3.2	SH	Against	Against	Against
<i>Voting Policy Rationale: Because the content of these items is unknown, a vote AGAINST is recommended.</i>					
3.3	Approve Discharge of Supervisory Board Members	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR these items are warranted, because there are no known problems with the company's management and supervisory boards that occurred during the fiscal year in review.</i>					
3.3.1	Proposals by Shareholders to Item 3.3	SH	Against	Against	Against
<i>Voting Policy Rationale: Because the content of these items is unknown, a vote AGAINST is recommended.</i>					
4	Receive Internal Auditor's Report	Mgmt			
5	Amend Statute	Mgmt	For	For	For
5.1	Proposals by Shareholders to Item 5	SH	Against	Against	Against
<i>Voting Policy Rationale: Because the content of these items is unknown, a vote AGAINST is recommended.</i>					
6	Elect Islam Osama Zekry as Supervisory Board Member	Mgmt	For	For	For
6.1	Elect Peter Groznik as Supervisory Board Member for Four-Year Term of Office	SH	Against	Against	Against
<i>Voting Policy Rationale: Because the content of these items is unknown, a vote AGAINST is recommended.</i>					
6.2	Proposals by Shareholders to Item 6.1	SH	Against	Against	Against
<i>Voting Policy Rationale: Because the content of these items is unknown, a vote AGAINST is recommended.</i>					
7	Receive Information on Resignation and Appointment of Supervisory Board Members	Mgmt			

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Nova Ljubljanska Banka dd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8	Receive Report on Share Repurchase Program	Mgmt			

Jumbo SA

Meeting Date: 06/15/2021	Country: Greece	Primary Security ID: X4114P111
Record Date: 06/09/2021	Meeting Type: Annual	Ticker: BELA
Shares Voted: 69,161		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Postponed Meeting Agenda	Mgmt			
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Receive Audit Committee's Activity Report	Mgmt			
3	Ratify Previously Approved Decision on Distribution of Special Dividend	Mgmt	For	For	For
4	Approve Allocation of Income and Non-Distribution of Dividends	Mgmt	For	For	For
5	Approve Management of Company and Grant Discharge to Auditors	Mgmt	For	For	For
6	Approve Remuneration of Certain Board Members	Mgmt	For	For	For
7	Approve Auditors and Fix Their Remuneration	Mgmt	For	For	For
8	Advisory Vote on Remuneration Report	Mgmt	For	For	For
9	Approve Suitability Policy for Directors	Mgmt	For	For	For
10	Approve Remuneration Policy	Mgmt	For	For	For
11	Allow Shareholder Meetings to be Held in Virtual-Only Format	Mgmt	For	For	For
12	Elect Directors (Bundled)	Mgmt	For	For	For
13	Approve Type and Term of Office of the Audit Committee	Mgmt	For	For	For

Shenzhen S.C New Energy Technology Corp.

Meeting Date: 06/15/2021	Country: China	Primary Security ID: Y774E4109
Record Date: 06/08/2021	Meeting Type: Special	Ticker: 300724

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Shenzhen S.C New Energy Technology Corp.

Shares Voted: 779,395

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Provision of Guarantee	Mgmt	For	For	For

Ceres Power Holdings plc

Meeting Date: 06/17/2021Country: United KingdomPrimary Security ID: G2091U128  
Record Date: 06/15/2021Meeting Type: AnnualTicker: CWR

Shares Voted: 24,927

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Reappoint BDO LLP as Auditors and Authorise Their Remuneration	Mgmt	For	For	For
3	Elect William Brown as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election or re-election of William Brown, Philip Caldwell, Stephen Callaghan and Aidan Hughes is warranted because no significant concerns have been identified.					
4	Re-elect Philip Caldwell as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election or re-election of William Brown, Philip Caldwell, Stephen Callaghan and Aidan Hughes is warranted because no significant concerns have been identified.					
5	Re-elect Stephen Callaghan as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election or re-election of William Brown, Philip Caldwell, Stephen Callaghan and Aidan Hughes is warranted because no significant concerns have been identified.					
6	Re-elect Aidan Hughes as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election or re-election of William Brown, Philip Caldwell, Stephen Callaghan and Aidan Hughes is warranted because no significant concerns have been identified.					
7	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
8	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Ceres Power Holdings plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					

Greek Organisation of Football Prognostics SA

Meeting Date: 06/17/2021Country: GreecePrimary Security ID: X3232T104  
Record Date: 06/11/2021Meeting Type: AnnualTicker: OPAP

Shares Voted: 73,939

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Management of Company and Grant Discharge to Auditors	Mgmt	For	For	For
3	Ratify Auditors	Mgmt	For	For	For
4	Approve Dividend Distribution	Mgmt	For	For	For
5	Approve Annual Bonus by Means of Profit Distribution to Executives and Key Personnel	Mgmt	For	For	For
6	Advisory Vote on Remuneration Report	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this item is warranted because:* All remuneration committee members are affiliated with the controlling shareholder;* Disclosure is insufficient on the EUR 1.18 million 'exclusive' bonus received by former CEO in relation to 2019, reported in this year's remuneration report; and* The c. 19 percent increase in the executive chairman's salary has not been explained.					
7	Amend Remuneration Policy	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST the proposed amendments to the company's remuneration policy is warranted because:* Salary levels have not been disclosed;* The policy significantly increases management's variable pay opportunity, without a compelling rationale;* The focus on short-term incentives (200 percent of salary at max) vs long-term (120 percent at max) is inappropriate; and* The company has missed the opportunity to introduce a clawback provision in its remuneration policy.					
8	Approve Suitability Policy for Directors	Mgmt	For	For	For
9.1	Ratify Director Appointment	Mgmt			
9.2.1	Elect Nicole Conrad-Forker as Independent Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this proposal is warranted due to a lack of concerns about the independent director nominees.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Greek Organisation of Football Prognostics SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9.2.2	Elect Vasiliki Karagianni as Independent Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this proposal is warranted due to a lack of concerns about the independent director nominees.					
10	Approve Type, Composition, and Term of the Audit Committee	Mgmt	For	For	For
11	Authorize Share Repurchase Program	Mgmt	For	For	For
12	Receive Audit Committee's Activity Report	Mgmt			

Sichuan Languang Justbon Services Group Co., Ltd.

Meeting Date: 06/17/2021	Country: China	Primary Security ID: Y793CL103
Record Date: 05/17/2021	Meeting Type: Annual	Ticker: 2606
Shares Voted: 158,498		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	ORDINARY RESOLUTIONS	Mgmt			
1	Approve Report of the Board of Directors	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
2	Approve Report of the Supervisory Committee	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
3	Approve Audited Consolidated Financial Statements	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
4	Approve Non-Distribution of Final Dividend	Mgmt	For	For	For
5	Approve Annual Report	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
6a	Elect Li Changjiang as Director and Authorize Board to Fix His Remuneration	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST the following nominees is warranted:* Non-independent director nominees Fenghua Chen, Tao Dai, Zhanjun Guo, Changjiang Li and Zhenwen Liu given that the board is not one-third independent; and* Meng Rui for serving on more than six public company boards.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Sichuan Languang Justbon Services Group Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6b	Elect Chen Fenghua as Director and Authorize Board to Fix His Remuneration	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST the following nominees is warranted:* Non-independent director nominees Fenghua Chen, Tao Dai, Zhanjun Guo, Changjiang Li and Zhenwen Liu given that the board is not one-third independent; and* Meng Rui for serving on more than six public company boards.					
6c	Elect Guo Zhanjun as Director and Authorize Board to Fix His Remuneration	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST the following nominees is warranted:* Non-independent director nominees Fenghua Chen, Tao Dai, Zhanjun Guo, Changjiang Li and Zhenwen Liu given that the board is not one-third independent; and* Meng Rui for serving on more than six public company boards.					
6d	Elect Liu Zhenwen as Director and Authorize Board to Fix His Remuneration	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST the following nominees is warranted:* Non-independent director nominees Fenghua Chen, Tao Dai, Zhanjun Guo, Changjiang Li and Zhenwen Liu given that the board is not one-third independent; and* Meng Rui for serving on more than six public company boards.					
6e	Elect Dai Tao as Director and Authorize Board to Fix His Remuneration	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST the following nominees is warranted:* Non-independent director nominees Fenghua Chen, Tao Dai, Zhanjun Guo, Changjiang Li and Zhenwen Liu given that the board is not one-third independent; and* Meng Rui for serving on more than six public company boards.					
6f	Elect Rui Meng as Director and Authorize Board to Fix His Remuneration	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST the following nominees is warranted:* Non-independent director nominees Fenghua Chen, Tao Dai, Zhanjun Guo, Changjiang Li and Zhenwen Liu given that the board is not one-third independent; and* Meng Rui for serving on more than six public company boards.					
7	Elect Zhao Liyan as Supervisor and Authorize Board to Fix His Remuneration	Mgmt	For	For	For
8	Authorize Board to Fix Remuneration of Directors and Supervisors	Mgmt	For	For	For
9	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
	SPECIAL RESOLUTION	Mgmt			
1	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Domestic Shares and H Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted for the following:* The share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					

Sichuan Languang Justbon Services Group Co., Ltd.

Meeting Date: 06/17/2021	Country: China	Primary Security ID: Y793CL103
Record Date: 05/17/2021	Meeting Type: Special	Ticker: 2606



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Sichuan Languang Justbon Services Group Co., Ltd.

Shares Voted: 158,498

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve H Share Offer, Domestic Share Offer, Voluntary Withdrawal of Listing of H Shares and Related Transactions	Mgmt	For	For	For

Sichuan Languang Justbon Services Group Co., Ltd.

Meeting Date: 06/17/2021Country: ChinaPrimary Security ID: Y793CL103  
Record Date: 05/17/2021Meeting Type: SpecialTicker: 2606

Shares Voted: 158,498

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt			
1	Approve H Share Offer, Domestic Share Offer, Voluntary Withdrawal of Listing of H Shares and Related Transactions	Mgmt	For	For	For

Unimicron Technology Corp.

Meeting Date: 06/17/2021Country: TaiwanPrimary Security ID: Y90668107  
Record Date: 04/16/2021Meeting Type: AnnualTicker: 3037

Shares Voted: 34,222,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Business Operations Report and Financial Statements	Mgmt	For	For	For
2	Approve Profit Distribution	Mgmt	For	For	For
3	Approve Amendments to Articles of Association	Mgmt	For	For	For

Voting Policy Rationale: A vote FOR Item 3 is warranted given that the amendments are mostly technical in nature and based on operational needs.A vote AGAINST Item 4 is warranted because:\* The proposed amendments, once approved, would grant finance managers greater authority in making transaction decisions without any check and balance from the board and independent directors; and\* The company has failed to provide a compelling rationale for such changes.

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Unimicron Technology Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Amend Procedures Governing the Acquisition or Disposal of Assets	Mgmt	For	Against	Against
Voting Policy Rationale: A vote FOR Item 3 is warranted given that the amendments are mostly technical in nature and based on operational needs.A vote AGAINST Item 4 is warranted because:* The proposed amendments, once approved, would grant finance managers greater authority in making transaction decisions without any check and balance from the board and independent directors; and* The company has failed to provide a compelling rationale for such changes.					

Dai-ichi Life Holdings, Inc.

Meeting Date: 06/21/2021	Country: Japan	Primary Security ID: J09748112
Record Date: 03/31/2021	Meeting Type: Annual	Ticker: 8750
Shares Voted: 125,000		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 62	Mgmt	For	For	For
2.1	Elect Director Watanabe, Koichiro	Mgmt	For	For	For
2.2	Elect Director Inagaki, Seiji	Mgmt	For	For	For
2.3	Elect Director Teramoto, Hideo	Mgmt	For	For	For
2.4	Elect Director Kikuta, Tetsuya	Mgmt	For	For	For
2.5	Elect Director Shoji, Hiroshi	Mgmt	For	For	For
2.6	Elect Director Akashi, Mamoru	Mgmt	For	For	For
2.7	Elect Director Sumino, Toshiaki	Mgmt	For	For	For
2.8	Elect Director George Olcott	Mgmt	For	For	For
2.9	Elect Director Maeda, Koichi	Mgmt	For	For	For
2.10	Elect Director Inoue, Yuriko	Mgmt	For	For	For
2.11	Elect Director Shingai, Yasushi	Mgmt	For	For	For

Coca-Cola HBC AG

Meeting Date: 06/22/2021	Country: Switzerland	Primary Security ID: H1512E100
Record Date: 06/18/2021	Meeting Type: Annual	Ticker: CCH

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Coca-Cola HBC AG

Shares Voted: 156,562

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2.1	Approve Allocation of Income	Mgmt	For	For	For
2.2	Approve Dividend from Reserves	Mgmt	For	For	For
3	Approve Discharge of Board and Senior Management	Mgmt	For	For	For
4.1.1	Re-elect Anastassis David as Director and as Board Chairman	Mgmt	For	For	For
Voting Policy Rationale: Item 4.1.1 – 4.1.3, 4.1.5-4.1.9, 4.1.A, 4.1.B, 4.2, 4.3: A vote FOR these candidates is warranted as no significant concerns have been identified. Item 4.1.4: A vote FOR Reto Francioni is warranted although it is not without concerns: * As Chair of the Nomination Committee, he is considered to be ultimately responsible for overseeing board composition. Currently, only 31% of the Board comprises female directors, which is not aligned with the recommendations of the Hampton-Alexander Review. The main reason for support is: * The Company has stated it is mindful of the Hampton Alexander targets and is committed to improving the Board gender balance. * There are 4 female directors on the board with a female representation of 31%, which does not indicate material concerns at this stage with respect to the Board's gender diversity.					
4.1.2	Re-elect Zoran Bogdanovic as Director	Mgmt	For	For	For
Voting Policy Rationale: Item 4.1.1 – 4.1.3, 4.1.5-4.1.9, 4.1.A, 4.1.B, 4.2, 4.3: A vote FOR these candidates is warranted as no significant concerns have been identified. Item 4.1.4: A vote FOR Reto Francioni is warranted although it is not without concerns: * As Chair of the Nomination Committee, he is considered to be ultimately responsible for overseeing board composition. Currently, only 31% of the Board comprises female directors, which is not aligned with the recommendations of the Hampton-Alexander Review. The main reason for support is: * The Company has stated it is mindful of the Hampton Alexander targets and is committed to improving the Board gender balance. * There are 4 female directors on the board with a female representation of 31%, which does not indicate material concerns at this stage with respect to the Board's gender diversity.					
4.1.3	Re-elect Charlotte Boyle as Director and as Member of the Remuneration Committee	Mgmt	For	For	For
Voting Policy Rationale: Item 4.1.1 – 4.1.3, 4.1.5-4.1.9, 4.1.A, 4.1.B, 4.2, 4.3: A vote FOR these candidates is warranted as no significant concerns have been identified. Item 4.1.4: A vote FOR Reto Francioni is warranted although it is not without concerns: * As Chair of the Nomination Committee, he is considered to be ultimately responsible for overseeing board composition. Currently, only 31% of the Board comprises female directors, which is not aligned with the recommendations of the Hampton-Alexander Review. The main reason for support is: * The Company has stated it is mindful of the Hampton Alexander targets and is committed to improving the Board gender balance. * There are 4 female directors on the board with a female representation of 31%, which does not indicate material concerns at this stage with respect to the Board's gender diversity.					
4.1.4	Re-elect Reto Francioni as Director and as Member of the Remuneration Committee	Mgmt	For	For	For
Voting Policy Rationale: Item 4.1.1 – 4.1.3, 4.1.5-4.1.9, 4.1.A, 4.1.B, 4.2, 4.3: A vote FOR these candidates is warranted as no significant concerns have been identified. Item 4.1.4: A vote FOR Reto Francioni is warranted although it is not without concerns: * As Chair of the Nomination Committee, he is considered to be ultimately responsible for overseeing board composition. Currently, only 31% of the Board comprises female directors, which is not aligned with the recommendations of the Hampton-Alexander Review. The main reason for support is: * The Company has stated it is mindful of the Hampton Alexander targets and is committed to improving the Board gender balance. * There are 4 female directors on the board with a female representation of 31%, which does not indicate material concerns at this stage with respect to the Board's gender diversity.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Coca-Cola HBC AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.1.5	Re-elect Olusola David-Borha as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 4.1.1 – 4.1.3, 4.1.5-4.1.9, 4.1.A, 4.1.B, 4.2, 4.3: A vote FOR these candidates is warranted as no significant concerns have been identified. Item 4.1.4: A vote FOR Reto Francioni is warranted although it is not without concerns: * As Chair of the Nomination Committee, he is considered to be ultimately responsible for overseeing board composition. Currently, only 31% of the Board comprises female directors, which is not aligned with the recommendations of the Hampton-Alexander Review. The main reason for support is: * The Company has stated it is mindful of the Hampton Alexander targets and is committed to improving the Board gender balance. * There are 4 female directors on the board with a female representation of 31%, which does not indicate material concerns at this stage with respect to the Board's gender diversity.</i></p>					
4.1.6	Re-elect William Douglas III as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 4.1.1 – 4.1.3, 4.1.5-4.1.9, 4.1.A, 4.1.B, 4.2, 4.3: A vote FOR these candidates is warranted as no significant concerns have been identified. Item 4.1.4: A vote FOR Reto Francioni is warranted although it is not without concerns: * As Chair of the Nomination Committee, he is considered to be ultimately responsible for overseeing board composition. Currently, only 31% of the Board comprises female directors, which is not aligned with the recommendations of the Hampton-Alexander Review. The main reason for support is: * The Company has stated it is mindful of the Hampton Alexander targets and is committed to improving the Board gender balance. * There are 4 female directors on the board with a female representation of 31%, which does not indicate material concerns at this stage with respect to the Board's gender diversity.</i></p>					
4.1.7	Re-elect Anastasios Leventis as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 4.1.1 – 4.1.3, 4.1.5-4.1.9, 4.1.A, 4.1.B, 4.2, 4.3: A vote FOR these candidates is warranted as no significant concerns have been identified. Item 4.1.4: A vote FOR Reto Francioni is warranted although it is not without concerns: * As Chair of the Nomination Committee, he is considered to be ultimately responsible for overseeing board composition. Currently, only 31% of the Board comprises female directors, which is not aligned with the recommendations of the Hampton-Alexander Review. The main reason for support is: * The Company has stated it is mindful of the Hampton Alexander targets and is committed to improving the Board gender balance. * There are 4 female directors on the board with a female representation of 31%, which does not indicate material concerns at this stage with respect to the Board's gender diversity.</i></p>					
4.1.8	Re-elect Christodoulos Leventis as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 4.1.1 – 4.1.3, 4.1.5-4.1.9, 4.1.A, 4.1.B, 4.2, 4.3: A vote FOR these candidates is warranted as no significant concerns have been identified. Item 4.1.4: A vote FOR Reto Francioni is warranted although it is not without concerns: * As Chair of the Nomination Committee, he is considered to be ultimately responsible for overseeing board composition. Currently, only 31% of the Board comprises female directors, which is not aligned with the recommendations of the Hampton-Alexander Review. The main reason for support is: * The Company has stated it is mindful of the Hampton Alexander targets and is committed to improving the Board gender balance. * There are 4 female directors on the board with a female representation of 31%, which does not indicate material concerns at this stage with respect to the Board's gender diversity.</i></p>					
4.1.9	Re-elect Alexandra Papalexopoulou as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 4.1.1 – 4.1.3, 4.1.5-4.1.9, 4.1.A, 4.1.B, 4.2, 4.3: A vote FOR these candidates is warranted as no significant concerns have been identified. Item 4.1.4: A vote FOR Reto Francioni is warranted although it is not without concerns: * As Chair of the Nomination Committee, he is considered to be ultimately responsible for overseeing board composition. Currently, only 31% of the Board comprises female directors, which is not aligned with the recommendations of the Hampton-Alexander Review. The main reason for support is: * The Company has stated it is mindful of the Hampton Alexander targets and is committed to improving the Board gender balance. * There are 4 female directors on the board with a female representation of 31%, which does not indicate material concerns at this stage with respect to the Board's gender diversity.</i></p>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Coca-Cola HBC AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.1.A	Re-elect Ryan Rudolph as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 4.1.1 – 4.1.3, 4.1.5-4.1.9, 4.1.A, 4.1.B, 4.2, 4.3: A vote FOR these candidates is warranted as no significant concerns have been identified. Item 4.1.4: A vote FOR Reto Francioni is warranted although it is not without concerns: * As Chair of the Nomination Committee, he is considered to be ultimately responsible for overseeing board composition. Currently, only 31% of the Board comprises female directors, which is not aligned with the recommendations of the Hampton-Alexander Review. The main reason for support is: * The Company has stated it is mindful of the Hampton Alexander targets and is committed to improving the Board gender balance. * There are 4 female directors on the board with a female representation of 31%, which does not indicate material concerns at this stage with respect to the Board's gender diversity.</i></p>					
4.1.B	Re-elect Anna Diamantopoulou as Director and as Member of the Remuneration Committee	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 4.1.1 – 4.1.3, 4.1.5-4.1.9, 4.1.A, 4.1.B, 4.2, 4.3: A vote FOR these candidates is warranted as no significant concerns have been identified. Item 4.1.4: A vote FOR Reto Francioni is warranted although it is not without concerns: * As Chair of the Nomination Committee, he is considered to be ultimately responsible for overseeing board composition. Currently, only 31% of the Board comprises female directors, which is not aligned with the recommendations of the Hampton-Alexander Review. The main reason for support is: * The Company has stated it is mindful of the Hampton Alexander targets and is committed to improving the Board gender balance. * There are 4 female directors on the board with a female representation of 31%, which does not indicate material concerns at this stage with respect to the Board's gender diversity.</i></p>					
4.2	Elect Bruno Pietracchi as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 4.1.1 – 4.1.3, 4.1.5-4.1.9, 4.1.A, 4.1.B, 4.2, 4.3: A vote FOR these candidates is warranted as no significant concerns have been identified. Item 4.1.4: A vote FOR Reto Francioni is warranted although it is not without concerns: * As Chair of the Nomination Committee, he is considered to be ultimately responsible for overseeing board composition. Currently, only 31% of the Board comprises female directors, which is not aligned with the recommendations of the Hampton-Alexander Review. The main reason for support is: * The Company has stated it is mindful of the Hampton Alexander targets and is committed to improving the Board gender balance. * There are 4 female directors on the board with a female representation of 31%, which does not indicate material concerns at this stage with respect to the Board's gender diversity.</i></p>					
4.3	Elect Henrique Braun as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 4.1.1 – 4.1.3, 4.1.5-4.1.9, 4.1.A, 4.1.B, 4.2, 4.3: A vote FOR these candidates is warranted as no significant concerns have been identified. Item 4.1.4: A vote FOR Reto Francioni is warranted although it is not without concerns: * As Chair of the Nomination Committee, he is considered to be ultimately responsible for overseeing board composition. Currently, only 31% of the Board comprises female directors, which is not aligned with the recommendations of the Hampton-Alexander Review. The main reason for support is: * The Company has stated it is mindful of the Hampton Alexander targets and is committed to improving the Board gender balance. * There are 4 female directors on the board with a female representation of 31%, which does not indicate material concerns at this stage with respect to the Board's gender diversity.</i></p>					
5	Designate Ines Poeschel as Independent Proxy	Mgmt	For	For	For
6.1	Reappoint PricewaterhouseCoopers AG as Auditors	Mgmt	For	For	For
6.2	Advisory Vote on Reappointment of the Independent Registered Public Accounting Firm PricewaterhouseCoopers SA for UK Purposes	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Coca-Cola HBC AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Approve UK Remuneration Report	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted:* While the FY2018 PSP award quantum was reduced by 50% in light of the impact of the pandemic, the Remuneration Committee exercised discretion to amend the performance targets applicable for the FY2018 LTIP, which resulted in maximum vesting of the award. Concerns are being raised on the appropriateness of its application and the lack of a compelling rationale behind this approach.					
8	Approve Remuneration Policy	Mgmt	For	For	For
9	Approve Swiss Remuneration Report	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted:* While the FY2018 PSP award quantum was reduced by 50% in light of the impact of the pandemic, the Remuneration Committee exercised discretion to amend the performance targets applicable for the FY2018 LTIP, which resulted in maximum vesting of the award. Concerns are being raised on the appropriateness of its application and the lack of a compelling rationale behind this approach.					
10.1	Approve Maximum Aggregate Amount of Remuneration for Directors	Mgmt	For	For	For
10.2	Approve Maximum Aggregate Amount of Remuneration for the Operating Committee	Mgmt	For	For	For
11	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For

Sony Group Corp.

Meeting Date: 06/22/2021	Country: Japan	Primary Security ID: J76379106
Record Date: 03/31/2021	Meeting Type: Annual	Ticker: 6758
Shares Voted: 13,000		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Yoshida, Kenichiro	Mgmt	For	For	For
1.2	Elect Director Totoki, Hiroki	Mgmt	For	For	For
1.3	Elect Director Sumi, Shuzo	Mgmt	For	For	For
1.4	Elect Director Tim Schaaff	Mgmt	For	For	For
1.5	Elect Director Oka, Toshiko	Mgmt	For	For	For
1.6	Elect Director Akiyama, Sakie	Mgmt	For	For	For
1.7	Elect Director Wendy Becker	Mgmt	For	For	For
1.8	Elect Director Hatanaka, Yoshihiko	Mgmt	For	For	For
1.9	Elect Director Adam Crozier	Mgmt	For	For	For
1.10	Elect Director Kishigami, Keiko	Mgmt	For	For	For
1.11	Elect Director Joseph A. Kraft Jr	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Sony Group Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve Stock Option Plan	Mgmt	For	For	For

Iwatani Corp.

Meeting Date: 06/23/2021	Country: Japan	Primary Security ID: J2R14R101
Record Date: 03/31/2021	Meeting Type: Annual	Ticker: 8088
Shares Voted: 34,700		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 75	Mgmt	For	For	For
2	Elect Director Sato, Hiroshi	Mgmt	For	For	For
3	Appoint Statutory Auditor Yokoi, Yasushi	Mgmt	For	Against	Against

Voting Policy Rationale: A vote AGAINST this nominee is warranted because:\* The outside statutory auditor nominee's affiliation with the company could compromise independence.

JD.com, Inc.

Meeting Date: 06/23/2021	Country: Cayman Islands	Primary Security ID: G8208B101
Record Date: 05/20/2021	Meeting Type: Annual	Ticker: 9618
Shares Voted: 2,277,340		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Adopt Chinese Name as Dual Foreign Name of the Company	Mgmt	For	For	For
2	Amend Memorandum of Association and Articles of Association	Mgmt	For	For	For

JD.com, Inc.

Meeting Date: 06/23/2021	Country: Cayman Islands	Primary Security ID: G8208B101
Record Date: 05/20/2021	Meeting Type: Annual	Ticker: 9618

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

JD.com, Inc.

Shares Voted: 1,484,012

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1	Adopt Chinese Name as Dual Foreign Name of the Company	Mgmt	For	For	For
2	Amend Memorandum of Association and Articles of Association	Mgmt	For	For	For

Meituan

Meeting Date: 06/23/2021      Country: Cayman Islands      Primary Security ID: G59669104  
Record Date: 06/17/2021      Meeting Type: Annual      Ticker: 3690

Shares Voted: 176,300

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Elect Wang Huiwen as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
3	Elect Lau Chi Ping Martin as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
4	Elect Neil Nanpeng Shen as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
5	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
6	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					
7	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
8	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Meituan

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
10a	Approve Tencent Subscription Agreement	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the following:* The proposed issuance of shares to Tencent would enable the company to raise additional funds for its research and development as well as enhance its working capital.* The use of proceeds from the issuance of shares would strengthen the company's technological capabilities and would provide an opportunity for the company to provide new solutions through the development of cutting-edge technologies.* The proposed issuance of shares would not excessively dilute existing shareholders as the 11.4 million new shares to be issued would only represent 0.2 percent of the company's existing issued shares.					
10b	Approve Grant of Specific Mandate to Issue Tencent Subscription Shares	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the following:* The proposed issuance of shares to Tencent would enable the company to raise additional funds for its research and development as well as enhance its working capital.* The use of proceeds from the issuance of shares would strengthen the company's technological capabilities and would provide an opportunity for the company to provide new solutions through the development of cutting-edge technologies.* The proposed issuance of shares would not excessively dilute existing shareholders as the 11.4 million new shares to be issued would only represent 0.2 percent of the company's existing issued shares.					
10c	Authorize Board to Deal with All Matters in Relation to the Tencent Subscription Agreement	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the following:* The proposed issuance of shares to Tencent would enable the company to raise additional funds for its research and development as well as enhance its working capital.* The use of proceeds from the issuance of shares would strengthen the company's technological capabilities and would provide an opportunity for the company to provide new solutions through the development of cutting-edge technologies.* The proposed issuance of shares would not excessively dilute existing shareholders as the 11.4 million new shares to be issued would only represent 0.2 percent of the company's existing issued shares.					
11	Amend Memorandum and Articles of Association	Mgmt	For	For	For

Terna Energy SA

Meeting Date: 06/23/2021	Country: Greece	Primary Security ID: X8979G108
Record Date: 06/17/2021	Meeting Type: Annual	Ticker: TENERGY
Shares Voted: 329,797		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Terna Energy SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.a	Approve Allocation of Income and Dividends	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR Item 2.a is warranted due to a lack of concerns with the proposed dividend.A vote AGAINST Item 2.b is warranted due to the excessive increase of executive chairman and CEO salaries (see Item 5) and the lack of supporting rationale.</i>					
2.b	Approve Remuneration of Directors	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR Item 2.a is warranted due to a lack of concerns with the proposed dividend.A vote AGAINST Item 2.b is warranted due to the excessive increase of executive chairman and CEO salaries (see Item 5) and the lack of supporting rationale.</i>					
3	Approve Management of Company	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted due to the absence of concerns.</i>					
4	Approve Discharge of Auditors	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted due to the absence of concerns.</i>					
5	Advisory Vote on Remuneration Report	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this item is warranted because:* The salaries of the executive chairman and of the CEO increased significantly YoY and the company did not provide any explanation.* The company does not disclose information on remuneration from group companies, pension arrangements, and exit payment.</i>					
6	Receive Audit Committee's Activity Report	Mgmt	For	For	For
7	Approve Auditors and Fix Their Remuneration	Mgmt	For	For	For
8.1	Elect George Peristeris as Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST these items is warranted because the nominees will serve for a term exceeding four years.</i>					
8.2	Elect Emmanouil Maragoudakis as Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST these items is warranted because the nominees will serve for a term exceeding four years.</i>					
8.3	Elect George Spyrou as Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST these items is warranted because the nominees will serve for a term exceeding four years.</i>					
8.4	Elect Aristotelis Spiliotis as Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST these items is warranted because the nominees will serve for a term exceeding four years.</i>					
8.5	Elect Michael Gourzis as Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST these items is warranted because the nominees will serve for a term exceeding four years.</i>					
8.6	Elect Nikolaos Voutichtis as Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST these items is warranted because the nominees will serve for a term exceeding four years.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Terna Energy SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8.7	Elect George Mergos as Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these items is warranted because the nominees will serve for a term exceeding four years.					
8.8	Elect Marina Sarkisian Ochanesoglou as Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these items is warranted because the nominees will serve for a term exceeding four years.					
8.9	Elect Andreas Tapratzis as Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these items is warranted because the nominees will serve for a term exceeding four years.					
8.10	Elect Sofia Kounenaki Efraimoglou as Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these items is warranted because the nominees will serve for a term exceeding four years.					
8.11	Elect Tatiana Karapanagioti as Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these items is warranted because the nominees will serve for a term exceeding four years.					
9	Elect Members of Audit Committee (Bundled)	Mgmt	For	For	For
10	Amend Articles	Mgmt	For	For	For
11	Amend Remuneration Policy	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this item is warranted because the board has not provided a compelling rationale for the executive chairman's and the CEO's higher pay opportunity. However, the company has made several improvements, including: * The inclusion of malus/clawback mechanisms in its remuneration policy; * The policy includes a list of KPIs attached to the annual bonus scheme; and * The removal of extraordinary annual bonus of 150 percent of fixed pay for executive directors.					
12	Approve Suitability Policy for Directors	Mgmt	For	For	For
13	Various Announcements	Mgmt			

Public Power Corp. SA

Meeting Date: 06/24/2021      Country: Greece      Primary Security ID: X7023M103  
Record Date: 06/18/2021      Meeting Type: Annual      Ticker: PPC

Shares Voted: 53,798

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Public Power Corp. SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements	Mgmt	For	For	For
Voting Policy Rationale: These items warrant a vote FOR because there are no specific concerns with the company's accounts and the proposed omission of dividends.					
2	Approve Non-Distribution of Dividends	Mgmt	For	For	For
Voting Policy Rationale: These items warrant a vote FOR because there are no specific concerns with the company's accounts and the proposed omission of dividends.					
3	Approve Management of Company and Grant Discharge to Auditors	Mgmt	For	For	For
4	Advisory Vote on Remuneration Report	Mgmt	For	For	For
5	Receive Information on Activities of the Audit Committee	Mgmt			
6	Various Announcements	Mgmt			

Reliance Industries Ltd.

Meeting Date: 06/24/2021	Country: India	Primary Security ID: Y72596102
Record Date: 06/17/2021	Meeting Type: Annual	Ticker: 500325
Shares Voted: 3,145,688		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
Voting Policy Rationale: While the auditors' report includes an emphasis of matter, their opinion remains unqualified. As such, a vote FOR these resolutions is warranted.					
1b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For
Voting Policy Rationale: While the auditors' report includes an emphasis of matter, their opinion remains unqualified. As such, a vote FOR these resolutions is warranted.					
2	Approve Dividend	Mgmt	For	For	For
3	Elect Nikhil R. Meswani as Director	Mgmt	For	Against	Against
Voting Policy Rationale: Items 3 and 4: Re-elect Nikhil Rasiklal Meswani and Pawan Kumar Kapil as directorsA vote AGAINST the following nominees is warranted because:* The board independence norms are not met (based on ISS reclassification), and Pawan Kumar Kapil (Item 4) and Nikhil Rasiklal Meswani (Item 3) are non-independent director nominees.Item 5: Re-elect Shumeet Banerji as directorA vote FOR Shumeet Banerji (Item 5) is warranted given the absence of any known issues concerning the nominee and the company's board and committee dynamics.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Reliance Industries Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Elect Pawan Kumar Kapil as Director	Mgmt	For	Against	Against
Voting Policy Rationale: Items 3 and 4: Re-elect Nikhil Rasiklal Meswani and Pawan Kumar Kapil as directorsA vote AGAINST the following nominees is warranted because:* The board independence norms are not met (based on ISS reclassification), and Pawan Kumar Kapil (Item 4) and Nikhil Rasiklal Meswani (Item 3) are non-independent director nominees.Item 5: Re-elect Shumeet Banerji as directorA vote FOR Shumeet Banerji (Item 5) is warranted given the absence of any known issues concerning the nominee and the company's board and committee dynamics.					
5	Reelect Shumeet Banerji as Director	Mgmt	For	For	For
Voting Policy Rationale: Items 3 and 4: Re-elect Nikhil Rasiklal Meswani and Pawan Kumar Kapil as directorsA vote AGAINST the following nominees is warranted because:* The board independence norms are not met (based on ISS reclassification), and Pawan Kumar Kapil (Item 4) and Nikhil Rasiklal Meswani (Item 3) are non-independent director nominees.Item 5: Re-elect Shumeet Banerji as directorA vote FOR Shumeet Banerji (Item 5) is warranted given the absence of any known issues concerning the nominee and the company's board and committee dynamics.					
6	Approve Remuneration of Cost Auditors	Mgmt	For	For	For

STEICO SE

Meeting Date: 06/24/2021Country: GermanyPrimary Security ID: D7632P119  
Record Date: 06/02/2021Meeting Type: AnnualTicker: ST5

Shares Voted: 4,871

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2020 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 0.30 per Share	Mgmt	For	For	For
3	Approve Discharge of Board of Directors for Fiscal Year 2020	Mgmt	For	For	For
4	Ratify Deloitte GmbH as Auditors for Fiscal Year 2021	Mgmt	For	For	For

Zai Lab Limited

Meeting Date: 06/24/2021Country: Cayman IslandsPrimary Security ID: G9887T108  
Record Date: 04/27/2021Meeting Type: AnnualTicker: 9688

Shares Voted: 364,157

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Zai Lab Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Declassify the Board of Directors	Mgmt	For	For	For
2	Amend Articles	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted given that the proposed amendments are intended to bring the company's Articles in line with the relevant rules and regulation of SEHK.					
3	Amend Articles to Adopt the Fifth Amendment of Articles of Association	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted given that the proposed amendments are intended to bring the company's Articles in line with the relevant rules and regulation of SEHK.					
4	Ratify Deloitte Touche Tohmatsu Certified Public Accountants LLP and Deloitte Touche Tohmatsu as Auditors	Mgmt	For	For	For

Entain Plc

Meeting Date: 06/25/2021Country: Isle of ManPrimary Security ID: G3167C109  
Record Date: 06/23/2021Meeting Type: AnnualTicker: ENT

Shares Voted: 47,741

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
4	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
5	Elect David Satz as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
6	Elect Robert Hoskin as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
7	Elect Stella David as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
8	Elect Vicky Jarman as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
9	Elect Mark Gregory as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Entain Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10	Re-elect Rob Wood as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
11	Re-elect Jette Nygaard-Andersen as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
12	Re-elect Barry Gibson as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
13	Re-elect Peter Isola as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
14	Re-elect Pierre Bouchut as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
15	Re-elect Virginia McDowell as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
16	Approve Increase in Aggregate Fees Payable to Non-executive Directors	Mgmt	For	For	For
17	Approve Increase in Size of Board	Mgmt	For	For	For
18	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
19	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
20	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
21	Authorise Market Purchase of Shares	Mgmt	For	For	For

Gazprom PJSC

Meeting Date: 06/25/2021	Country: Russia	Primary Security ID: X3123F106
Record Date: 05/31/2021	Meeting Type: Annual	Ticker: GAZP

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Gazprom PJSC

Shares Voted: 3,638,776

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Annual Report	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR these items are warranted because there are no concerns with the accounts presented or the audit procedures used.</i>				
2	Approve Financial Statements	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR these items are warranted because there are no concerns with the accounts presented or the audit procedures used.</i>				
3	Approve Allocation of Income	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR these items are warranted because there are no known concerns regarding the proposal and the company's past income allocation practices.</i>				
4	Approve Dividends of RUB 12.55 per Share	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR these items are warranted because there are no known concerns regarding the proposal and the company's past income allocation practices.</i>				
5	Ratify FBK as Auditor	Mgmt	For	For	For
6	Approve Remuneration of Directors	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST this item is warranted because the non-executive remuneration is performance based, in deviation of local market best practices.</i>				
7	Approve Remuneration of Members of Audit Commission	Mgmt	For	For	For
8	Amend Charter	Mgmt	For	For	For
9	Amend Regulations on Board of Directors	Mgmt	For	For	For
	Elect 11 Directors by Cumulative Voting	Mgmt			
10.1	Elect Andrei Akimov as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes AGAINST all the proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
10.2	Elect Viktor Zubkov as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes AGAINST all the proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
10.3	Elect Timur Kulibaev as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes AGAINST all the proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
10.4	Elect Denis Manturov as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes AGAINST all the proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Gazprom PJSC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10.5	Elect Vitalii Markelov as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes AGAINST all the proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
10.6	Elect Viktor Martynov as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes AGAINST all the proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
10.7	Elect Vladimir Mau as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes AGAINST all the proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
10.8	Elect Aleksei Miller as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes AGAINST all the proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
10.9	Elect Aleksandr Novak as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes AGAINST all the proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
10.10	Elect Mikhail Sereda as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes AGAINST all the proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
10.11	Elect Nikolai Shulginov as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes AGAINST all the proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
	Elect Five Members of Audit Commission	Mgmt			
11.1	Elect Tatiana Zobkova as Member of Audit Commission	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR these items are warranted because:* All the nominees will be elected to the audit commission;* There are no compelling reasons to support any particular candidate over any of the others.</i>				
11.2	Elect Ilia Karpov as Member of Audit Commission	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR these items are warranted because:* All the nominees will be elected to the audit commission;* There are no compelling reasons to support any particular candidate over any of the others.</i>				
11.3	Elect Tatiana Fisenko as Member of Audit Commission	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR these items are warranted because:* All the nominees will be elected to the audit commission;* There are no compelling reasons to support any particular candidate over any of the others.</i>				

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Gazprom PJSC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
11.4	Elect Pavel Shumov as Member of Audit Commission	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these items are warranted because: * All the nominees will be elected to the audit commission; * There are no compelling reasons to support any particular candidate over any of the others.					
11.5	Elect Aleksei Iakovlev as Member of Audit Commission	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these items are warranted because: * All the nominees will be elected to the audit commission; * There are no compelling reasons to support any particular candidate over any of the others.					

Gazprom PJSC

Meeting Date: 06/25/2021	Country: Russia	Primary Security ID: X3123F106
Record Date: 05/28/2021	Meeting Type: Annual	Ticker: GAZP
Shares Voted: 3,491,797		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Meeting for ADR Holders	Mgmt			
	Approve Annual Report	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these items are warranted because there are no concerns with the accounts presented or the audit procedures used.					
2	Approve Financial Statements	Mgmt	For	For	For
	Voting Policy Rationale: Votes FOR these items are warranted because there are no concerns with the accounts presented or the audit procedures used.				
3	Approve Allocation of Income	Mgmt	For	For	For
	Voting Policy Rationale: Votes FOR these items are warranted because there are no known concerns regarding the proposal and the company's past income allocation practices.				
4	Approve Dividends of RUB 12.55 per Share	Mgmt	For	For	For
	Voting Policy Rationale: Votes FOR these items are warranted because there are no known concerns regarding the proposal and the company's past income allocation practices.				
5	Ratify FBK as Auditor	Mgmt	For	For	For
6	Approve Remuneration of Directors	Mgmt			
7	Approve Remuneration of Members of Audit Commission	Mgmt	For	For	For
8	Amend Charter	Mgmt	For	For	For
9	Amend Regulations on Board of Directors	Mgmt	For	For	For
	Elect 11 Directors by Cumulative Voting	Mgmt			

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Gazprom PJSC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10.1	Elect Andrei Akimov as Director	Mgmt			
	<i>Voting Policy Rationale: Please note that no vote recommendation is provided for Items 10.1 and 10.8. Votes AGAINST all other proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
10.2	Elect Viktor Zubkov as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Please note that no vote recommendation is provided for Items 10.1 and 10.8. Votes AGAINST all other proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
10.3	Elect Timur Kulibaev as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Please note that no vote recommendation is provided for Items 10.1 and 10.8. Votes AGAINST all other proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
10.4	Elect Denis Manturov as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Please note that no vote recommendation is provided for Items 10.1 and 10.8. Votes AGAINST all other proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
10.5	Elect Vitalii Markelov as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Please note that no vote recommendation is provided for Items 10.1 and 10.8. Votes AGAINST all other proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
10.6	Elect Viktor Martynov as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Please note that no vote recommendation is provided for Items 10.1 and 10.8. Votes AGAINST all other proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
10.7	Elect Vladimir Mau as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Please note that no vote recommendation is provided for Items 10.1 and 10.8. Votes AGAINST all other proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
10.8	Elect Aleksei Miller as Director	Mgmt			
	<i>Voting Policy Rationale: Please note that no vote recommendation is provided for Items 10.1 and 10.8. Votes AGAINST all other proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
10.9	Elect Aleksandr Novak as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Please note that no vote recommendation is provided for Items 10.1 and 10.8. Votes AGAINST all other proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				
10.10	Elect Mikhail Sereda as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Please note that no vote recommendation is provided for Items 10.1 and 10.8. Votes AGAINST all other proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.</i>				

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Gazprom PJSC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10.11	Elect Nikolai Shulginov as Director	Mgmt	None	Against	Against
Voting Policy Rationale: Please note that no vote recommendation is provided for Items 10.1 and 10.8.Votes AGAINST all other proposed nominees are warranted, because none of the candidates can be classified as independent, and the resulting board profile will lack in sufficient independence in case the proposed candidates are elected.					
	Elect Five Members of Audit Commission	Mgmt			
11.1	Elect Tatiana Zobkova as Member of Audit Commission	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these items are warranted because:* All the nominees will be elected to the audit commission;* There are no compelling reasons to support any particular candidate over any of the others.					
11.2	Elect Ilia Karpov as Member of Audit Commission	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these items are warranted because:* All the nominees will be elected to the audit commission;* There are no compelling reasons to support any particular candidate over any of the others.					
11.3	Elect Tatiana Fisenko as Member of Audit Commission	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these items are warranted because:* All the nominees will be elected to the audit commission;* There are no compelling reasons to support any particular candidate over any of the others.					
11.4	Elect Pavel Shumov as Member of Audit Commission	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these items are warranted because:* All the nominees will be elected to the audit commission;* There are no compelling reasons to support any particular candidate over any of the others.					
11.5	Elect Aleksei Iakovlev as Member of Audit Commission	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these items are warranted because:* All the nominees will be elected to the audit commission;* There are no compelling reasons to support any particular candidate over any of the others.					

Merida Industry Co., Ltd.

Meeting Date: 06/25/2021	Country: Taiwan	Primary Security ID: Y6020B101
Record Date: 04/26/2021	Meeting Type: Annual	Ticker: 9914
Shares Voted: 50,000		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Business Operations Report and Financial Statements	Mgmt	For	For	For
2	Approve Plan on Profit Distribution	Mgmt	For	For	For
3	Approve Amendments to Articles of Association	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is warranted given that the amendments are mostly technical in nature, meant to align with regulations and based on operational needs.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Merida Industry Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Approve Amendments to Rules and Procedures Regarding Shareholder's General Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR is warranted given that the amendments are mostly technical in nature, meant to align with regulations and based on operational needs.</i>					
	ELECT NON-INDEPENDENT DIRECTORS AND INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			
5.1	Elect Zeng Song-Zhu, with SHAREHOLDER NO.15 as Non-independent Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR all independent director nominees is warranted given the absence of any known issues concerning the nominees. A vote AGAINST the non-independent director nominees under Items 5.2-5.9 is warranted because the independence level will be 30.77 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR the non-independent director nominee, Zeng Song-Zhu, is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					
5.2	Elect Zenglu Min-hua, with SHAREHOLDER NO.18 as Non-independent Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR all independent director nominees is warranted given the absence of any known issues concerning the nominees. A vote AGAINST the non-independent director nominees under Items 5.2-5.9 is warranted because the independence level will be 30.77 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR the non-independent director nominee, Zeng Song-Zhu, is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					
5.3	Elect Zeng Song-Ling, with SHAREHOLDER NO.16 as Non-independent Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR all independent director nominees is warranted given the absence of any known issues concerning the nominees. A vote AGAINST the non-independent director nominees under Items 5.2-5.9 is warranted because the independence level will be 30.77 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR the non-independent director nominee, Zeng Song-Zhu, is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					
5.4	Elect Lo Tsai-Jen, with SHAREHOLDER NO.519 as Non-independent Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR all independent director nominees is warranted given the absence of any known issues concerning the nominees. A vote AGAINST the non-independent director nominees under Items 5.2-5.9 is warranted because the independence level will be 30.77 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR the non-independent director nominee, Zeng Song-Zhu, is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					
5.5	Elect Zeng Hui-Juan, a Representative of Dingcheng Investment Co Ltd, with SHAREHOLDER NO.24746, as Non-independent Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR all independent director nominees is warranted given the absence of any known issues concerning the nominees. A vote AGAINST the non-independent director nominees under Items 5.2-5.9 is warranted because the independence level will be 30.77 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR the non-independent director nominee, Zeng Song-Zhu, is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Merida Industry Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.6	Elect Zheng Wen-Xiang, a Representative of Dinghong Investment Co Ltd, with SHAREHOLDER NO.115936 as Non-independent Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR all independent director nominees is warranted given the absence of any known issues concerning the nominees.A vote AGAINST the non-independent director nominees under Items 5.2-5.9 is warranted because the independence level will be 30.77 percent under ISS classification, which does not meet the ISS minimum requirement of one-third.A vote FOR the non-independent director nominee, Zeng Song-Zhu, is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					
5.7	Elect YUAN QI-BIN, a Representative of Dinghong Investment Co Ltd, with SHAREHOLDER NO.115936 as Non-independent Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR all independent director nominees is warranted given the absence of any known issues concerning the nominees.A vote AGAINST the non-independent director nominees under Items 5.2-5.9 is warranted because the independence level will be 30.77 percent under ISS classification, which does not meet the ISS minimum requirement of one-third.A vote FOR the non-independent director nominee, Zeng Song-Zhu, is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					
5.8	Elect Lai Ru-Ding, a Representative of Dinghong Investment Co Ltd, with SHAREHOLDER NO.115936, as Non-independent Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR all independent director nominees is warranted given the absence of any known issues concerning the nominees.A vote AGAINST the non-independent director nominees under Items 5.2-5.9 is warranted because the independence level will be 30.77 percent under ISS classification, which does not meet the ISS minimum requirement of one-third.A vote FOR the non-independent director nominee, Zeng Song-Zhu, is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					
5.9	Elect Tseng Ching-Cheng, a Representative of Dinghong Investment Co Ltd, with SHAREHOLDER NO.115936, as Non-independent Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote FOR all independent director nominees is warranted given the absence of any known issues concerning the nominees.A vote AGAINST the non-independent director nominees under Items 5.2-5.9 is warranted because the independence level will be 30.77 percent under ISS classification, which does not meet the ISS minimum requirement of one-third.A vote FOR the non-independent director nominee, Zeng Song-Zhu, is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					
5.10	Elect CHEN SHUI-JIN, with SHAREHOLDER NO.P120616XXX as Independent Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR all independent director nominees is warranted given the absence of any known issues concerning the nominees.A vote AGAINST the non-independent director nominees under Items 5.2-5.9 is warranted because the independence level will be 30.77 percent under ISS classification, which does not meet the ISS minimum requirement of one-third.A vote FOR the non-independent director nominee, Zeng Song-Zhu, is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					
5.11	Elect CHEN JIAN-NAN, with SHAREHOLDER NO.E121399XXX as Independent Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR all independent director nominees is warranted given the absence of any known issues concerning the nominees.A vote AGAINST the non-independent director nominees under Items 5.2-5.9 is warranted because the independence level will be 30.77 percent under ISS classification, which does not meet the ISS minimum requirement of one-third.A vote FOR the non-independent director nominee, Zeng Song-Zhu, is warranted given his removal would be expected to have material negative impacts on shareholder value.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Merida Industry Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.12	Elect Wu-Ying Tsai, with SHAREHOLDER NO.521 as Independent Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all independent director nominees is warranted given the absence of any known issues concerning the nominees.A vote AGAINST the non-independent director nominees under Items 5.2-5.9 is warranted because the independence level will be 30.77 percent under ISS classification, which does not meet the ISS minimum requirement of one-third.A vote FOR the non-independent director nominee, Zeng Song-Zhu, is warranted given his removal would be expected to have material negative impacts on shareholder value.					
5.13	Elect Shin-Jung LEI, with SHAREHOLDER NO.Q222744XXX as Independent Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all independent director nominees is warranted given the absence of any known issues concerning the nominees.A vote AGAINST the non-independent director nominees under Items 5.2-5.9 is warranted because the independence level will be 30.77 percent under ISS classification, which does not meet the ISS minimum requirement of one-third.A vote FOR the non-independent director nominee, Zeng Song-Zhu, is warranted given his removal would be expected to have material negative impacts on shareholder value.					
6	Approve Release of Restrictions of Competitive Activities of Directors	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST is warranted because there is lack of disclosure on the pertinent details of the proposal.					

ORIX Corp.

Meeting Date: 06/25/2021	Country: Japan	Primary Security ID: J61933123
Record Date: 03/31/2021	Meeting Type: Annual	Ticker: 8591
Shares Voted: 80,600		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Amend Articles to Amend Business Lines	Mgmt	For	For	For
2.1	Elect Director Inoue, Makoto	Mgmt	For	For	For
2.2	Elect Director Irie, Shuji	Mgmt	For	For	For
2.3	Elect Director Taniguchi, Shoji	Mgmt	For	For	For
2.4	Elect Director Matsuzaki, Satoru	Mgmt	For	For	For
2.5	Elect Director Suzuki, Yoshiteru	Mgmt	For	For	For
2.6	Elect Director Stan Koyanagi	Mgmt	For	For	For
2.7	Elect Director Takenaka, Heizo	Mgmt	For	For	For
2.8	Elect Director Michael Cusumano	Mgmt	For	For	For
2.9	Elect Director Akiyama, Sakie	Mgmt	For	For	For
2.10	Elect Director Watanabe, Hiroshi	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

ORIX Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.11	Elect Director Sekine, Aiko	Mgmt	For	For	For
2.12	Elect Director Hodo, Chikatomo	Mgmt	For	For	For

Parade Technologies Ltd.

Meeting Date: 06/25/2021Country: Cayman IslandsPrimary Security ID: G6892A108  
Record Date: 04/26/2021Meeting Type: AnnualTicker: 4966

Shares Voted: 1,375,120

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Business Operations Report	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited for this routine resolution because no concerns have been identified.					
2	Approve Consolidated Financial Statements	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited for this routine resolution because no concerns have been identified.					
3	Approve Profit Distribution	Mgmt	For	For	For
4	Approve Issuance of Restricted Stocks	Mgmt	For	For	For

Shenzhen S.C New Energy Technology Corp.

Meeting Date: 06/25/2021Country: ChinaPrimary Security ID: Y774E4109  
Record Date: 06/18/2021Meeting Type: SpecialTicker: 300724

Shares Voted: 2,665,494

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Repurchase and Cancellation of Performance Shares	Mgmt	For	For	For
2	Amend Articles of Association	Mgmt	For	For	For

State Bank of India

Meeting Date: 06/25/2021Country: IndiaPrimary Security ID: Y8155P103  
Record Date:Meeting Type: AnnualTicker: 500112



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

State Bank of India

Shares Voted: 6,083,182

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For

T&D Holdings, Inc.

Meeting Date: 06/25/2021Country: JapanPrimary Security ID: J86796109  
Record Date: 03/31/2021Meeting Type: AnnualTicker: 8795

Shares Voted: 165,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 24	Mgmt	For	For	For
2.1	Elect Director Uehara, Hirohisa	Mgmt	For	For	For
2.2	Elect Director Morinaka, Kanaya	Mgmt	For	For	For
2.3	Elect Director Nagata, Mitsuhiro	Mgmt	For	For	For
2.4	Elect Director Ogo, Naoki	Mgmt	For	For	For
2.5	Elect Director Watanabe, Kensaku	Mgmt	For	For	For
2.6	Elect Director Soejima, Naoki	Mgmt	For	For	For
2.7	Elect Director Kitahara, Mutsuro	Mgmt	For	For	For
3	Elect Alternate Director and Audit Committee Member Shimma, Yuichiro	Mgmt	For	For	For

Tatneft PJSC

Meeting Date: 06/25/2021Country: RussiaPrimary Security ID: X89366102  
Record Date: 06/01/2021Meeting Type: AnnualTicker: TATN

Shares Voted: 20,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR/GDR Holders	Mgmt			

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Tatneft PJSC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Annual Report	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR these items are warranted because there are no known concerns about the accounts presented or the audit procedures used.</i>				
2	Approve Financial Statements	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR these items are warranted because there are no known concerns about the accounts presented or the audit procedures used.</i>				
3	Approve Allocation of Income and Dividends	Mgmt	For	For	For
	Elect 14 Directors by Cumulative Voting	Mgmt			
4.1	Elect Fanil Agliullin as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Laszlo Gerecs and Iurii Levin (Items 4.3 and 4.5) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
4.2	Elect Radik Gaizatullin as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Laszlo Gerecs and Iurii Levin (Items 4.3 and 4.5) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
4.3	Elect Laszlo Gerecs as Director	Mgmt	None	For	For
	<i>Voting Policy Rationale: Votes FOR Laszlo Gerecs and Iurii Levin (Items 4.3 and 4.5) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
4.4	Elect Larisa Glukhova as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Laszlo Gerecs and Iurii Levin (Items 4.3 and 4.5) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
4.5	Elect Iurii Levin as Director	Mgmt	None	For	For
	<i>Voting Policy Rationale: Votes FOR Laszlo Gerecs and Iurii Levin (Items 4.3 and 4.5) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
4.6	Elect Nail Maganov as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Laszlo Gerecs and Iurii Levin (Items 4.3 and 4.5) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
4.7	Elect Albert Nafigin as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Laszlo Gerecs and Iurii Levin (Items 4.3 and 4.5) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
4.8	Elect Rafail Nurmukhametov as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Laszlo Gerecs and Iurii Levin (Items 4.3 and 4.5) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
4.9	Elect Valerii Sorokin as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Laszlo Gerecs and Iurii Levin (Items 4.3 and 4.5) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
4.10	Elect Nurislam Siubaev as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Laszlo Gerecs and Iurii Levin (Items 4.3 and 4.5) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Tatneft PJSC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.11	Elect Shafagat Takhautdinov as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Laszlo Gerecs and Iurii Levin (Items 4.3 and 4.5) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
4.12	Elect Rustam Khalimov as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Laszlo Gerecs and Iurii Levin (Items 4.3 and 4.5) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
4.13	Elect Rais Khisamov as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Laszlo Gerecs and Iurii Levin (Items 4.3 and 4.5) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
4.14	Elect Rene Steiner as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Laszlo Gerecs and Iurii Levin (Items 4.3 and 4.5) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
	Elect Members of Audit Commission	Mgmt			
5.1	Elect Marsel Abdullin as Member of Audit Commission	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR these items are warranted because: * All the nominees will be elected to the audit commission; * There are no compelling reasons to support any particular candidate over any of the others.</i>				
5.2	Elect Kseniia Borzunova as Member of Audit Commission	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR these items are warranted because: * All the nominees will be elected to the audit commission; * There are no compelling reasons to support any particular candidate over any of the others.</i>				
5.3	Elect Ilnur Gabidullin as Member of Audit Commission	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR these items are warranted because: * All the nominees will be elected to the audit commission; * There are no compelling reasons to support any particular candidate over any of the others.</i>				
5.4	Elect Guzal Gilfanova as Member of Audit Commission	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR these items are warranted because: * All the nominees will be elected to the audit commission; * There are no compelling reasons to support any particular candidate over any of the others.</i>				
5.5	Elect Tatiana Malakhova as Member of Audit Commission	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR these items are warranted because: * All the nominees will be elected to the audit commission; * There are no compelling reasons to support any particular candidate over any of the others.</i>				
5.6	Elect Liliia Rakhimzianova as Member of Audit Commission	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR these items are warranted because: * All the nominees will be elected to the audit commission; * There are no compelling reasons to support any particular candidate over any of the others.</i>				
5.7	Elect Ramil Khairullin as Member of Audit Commission	Mgmt	For	For	For
	<i>Voting Policy Rationale: Votes FOR these items are warranted because: * All the nominees will be elected to the audit commission; * There are no compelling reasons to support any particular candidate over any of the others.</i>				

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Tatneft PJSC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.8	Elect Ravil Sharifullin as Member of Audit Commission	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these items are warranted because: * All the nominees will be elected to the audit commission; * There are no compelling reasons to support any particular candidate over any of the others.					
6	Ratify Auditor	Mgmt	For	For	For

Ganfeng Lithium Co., Ltd.

Meeting Date: 06/28/2021	Country: China	Primary Security ID: Y2690M105
Record Date: 06/22/2021	Meeting Type: Extraordinary Shareholders	Ticker: 1772
Shares Voted: 2,022,600		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
1	Approve Increase in Continuing Related Party Transactions Forecast	Mgmt	For	For	For
2	Approve Offer for Bacanora by Shanghai Ganfeng Involving Mining Rights Investment and Related-Party Transaction	Mgmt	For	For	For

Weichai Power Co., Ltd.

Meeting Date: 06/28/2021	Country: China	Primary Security ID: Y9531A109
Record Date: 06/22/2021	Meeting Type: Annual	Ticker: 2338
Shares Voted: 14,768,012		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	AGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
1	Approve Annual Report	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					
2	Approve Report of the Board of Directors	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Weichai Power Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Approve Report of the Supervisory Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.</i>				
4	Approve Audited Financial Statements and Auditors' Report	Mgmt	For	For	For
	<i>Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.</i>				
5	Approve Final Financial Report	Mgmt	For	For	For
	<i>Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.</i>				
6	Approve Financial Budget Report	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST this proposal is warranted due to lack of disclosure.</i>				
7	Approve Deloitte Touche Tohmatsu Certified Public Accountants LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
	<i>Voting Policy Rationale: While the poor disclosure on the audit firms' remuneration is concerning, a vote against this proposal on this basis may not be in the best interest of shareholders absent evidence of potential accounting or auditing problems. As such, a vote FOR these proposals is warranted.</i>				
8	Approve Hexin Accountants LLP as Internal Control Auditors	Mgmt	For	For	For
	<i>Voting Policy Rationale: While the poor disclosure on the audit firms' remuneration is concerning, a vote against this proposal on this basis may not be in the best interest of shareholders absent evidence of potential accounting or auditing problems. As such, a vote FOR these proposals is warranted.</i>				
9	Approve Merger and Absorption of Weichai Power (Weifang) Intensive Logistics Co., Ltd.	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted given the transaction is not expected to have any material impact on shareholder value as the entities to be absorbed are wholly-owned by the company.</i>				
10	Approve Merger and Absorption of Weichai Power (Weifang) Reconstruction Co., Ltd.	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted given the transaction is not expected to have any material impact on shareholder value as the entities to be absorbed are wholly-owned by the company.</i>				
11	Approve Adjusted Proposal for the Distribution of Profit	SH	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given that this is a reasonable request that is made in line with applicable laws in China.</i>				
12	Approve Payment of Interim Dividend	SH	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given that this is a reasonable request that is made in line with applicable laws in China.</i>				
	ELECT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Weichai Power Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
13a	Elect Tan Xuguang as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
13b	Elect Zhang Liangfu as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
13c	Elect Jiang Kui as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
13d	Elect Zhang Quan as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
13e	Elect Xu Xinyu as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
13f	Elect Sun Shaojun as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
13g	Elect Yuan Hongming as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
13h	Elect Yan Jianbo as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
13i	Elect Gordon Riske as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
13j	Elect Michael Martin Macht as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
	ELECT INDEPENDENT NON-EXECUTIVE DIRECTORS VIA CUMULATIVE VOTING	Mgmt			

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Weichai Power Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
14a	Elect Li Hongwu as Director	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.					
14b	Elect Wen Daocai as Director	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.					
14c	Elect Jiang Yan as Director	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.					
14d	Elect Yu Zhuoping as Director	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.					
14e	Elect Zhao Huifang as Director	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.					
	ELECT SUPERVISORS VIA CUMULATIVE VOTING	Mgmt			
15a	Elect Lu Wenwu as Supervisor	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues relating to the nominees, a vote FOR their election is warranted.					
15b	Elect Wu Hongwei as Supervisor	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues relating to the nominees, a vote FOR their election is warranted.					

Weichai Power Co., Ltd.

Meeting Date: 06/28/2021	Country: China	Primary Security ID: Y9531A109
Record Date: 06/22/2021	Meeting Type: Annual	Ticker: 2338
Shares Voted: 795,300		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	AGM BALLOT FOR HOLDERS OF A SHARES	Mgmt			
1	Approve Annual Report	Mgmt	For	For	For
Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Weichai Power Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve Report of the Board of Directors	Mgmt	For	For	For
	<i>Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.</i>				
3	Approve Report of the Supervisory Committee	Mgmt	For	For	For
	<i>Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.</i>				
4	Approve Audited Financial Statements and Auditors' Report	Mgmt	For	For	For
	<i>Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.</i>				
5	Approve Final Financial Report	Mgmt	For	For	For
	<i>Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.</i>				
6	Approve Financial Budget Report	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST this proposal is warranted due to lack of disclosure.</i>				
7	Approve Deloitte Touche Tohmatsu Certified Public Accountants LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
	<i>Voting Policy Rationale: While the poor disclosure on the audit firms' remuneration is concerning, a vote against this proposal on this basis may not be in the best interest of shareholders absent evidence of potential accounting or auditing problems. As such, a vote FOR these proposals is warranted.</i>				
8	Approve Hexin Accountants LLP as Internal Control Auditors	Mgmt	For	For	For
	<i>Voting Policy Rationale: While the poor disclosure on the audit firms' remuneration is concerning, a vote against this proposal on this basis may not be in the best interest of shareholders absent evidence of potential accounting or auditing problems. As such, a vote FOR these proposals is warranted.</i>				
9	Approve Merger and Absorption of Weichai Power (Weifang) Intensive Logistics Co., Ltd.	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted given the transaction is not expected to have any material impact on shareholder value as the entities to be absorbed are wholly-owned by the company.</i>				
10	Approve Merger and Absorption of Weichai Power (Weifang) Reconstruction Co., Ltd.	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted given the transaction is not expected to have any material impact on shareholder value as the entities to be absorbed are wholly-owned by the company.</i>				
11	Approve Adjusted Proposal for the Distribution of Profit	SH	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given that this is a reasonable request that is made in line with applicable laws in China.</i>				
12	Approve Payment of Interim Dividend	SH	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given that this is a reasonable request that is made in line with applicable laws in China.</i>				



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Weichai Power Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	ELECT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			
13.1	Elect Tan Xuguang as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
13.2	Elect Zhang Liangfu as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
13.3	Elect Jiang Kui as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
13.4	Elect Zhang Quan as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
13.5	Elect Xu Xinyu as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
13.6	Elect Sun Shaojun as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
13.7	Elect Yuan Hongming as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
13.8	Elect Yan Jianbo as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
13.9	Elect Gordon Riske as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
13.10	Elect Michael Martin Macht as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Weichai Power Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	ELECT INDEPENDENT NON-EXECUTIVE DIRECTORS VIA CUMULATIVE VOTING	Mgmt			
14.1	Elect Li Hongwu as Director	Mgmt	For	Against	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
14.2	Elect Wen Daocai as Director	Mgmt	For	Against	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
14.3	Elect Jiang Yan as Director	Mgmt	For	Against	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
14.4	Elect Yu Zhuoping as Director	Mgmt	For	Against	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
14.5	Elect Zhao Huifang as Director	Mgmt	For	Against	For
	Voting Policy Rationale: A vote AGAINST the election of audit committee members Jiang Yan, Li Hongwu, Wen Daocai, Yu Zhuoping, and Zhao Huifang is warranted for failure to disclose the breakdown of the fees paid to the company's auditor.A vote FOR the remaining nominees is warranted.				
	ELECT SUPERVISORS VIA CUMULATIVE VOTING	Mgmt			
15.1	Elect Lu Wenwu as Supervisor	Mgmt	For	For	For
	Voting Policy Rationale: In the absence of any known issues relating to the nominees, a vote FOR their election is warranted.				
15.2	Elect Wu Hongwei as Supervisor	Mgmt	For	For	For
	Voting Policy Rationale: In the absence of any known issues relating to the nominees, a vote FOR their election is warranted.				

China Machinery Engineering Corporation

Meeting Date: 06/29/2021Country: ChinaPrimary Security ID: Y1501U108  
Record Date: 06/23/2021Meeting Type: AnnualTicker: 1829

Shares Voted: 1,141,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Work Report of the Board of Directors	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

China Machinery Engineering Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
<i>Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.</i>					
2	Approve Work Report of the Supervisory Board	Mgmt	For	For	For
<i>Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.</i>					
3	Approve Audited Consolidated Financial Statements and Independent Auditor's Report	Mgmt	For	For	For
<i>Voting Policy Rationale: In the absence of any known issues concerning the company's audited accounts, financial statements, and statutory reports, a vote FOR these resolutions is warranted.</i>					
4	Approve No Profits Distribution Plan	Mgmt	For	For	For
5	Approve Ernst & Young and Ernst & Young Hua Ming LLP (Special General Partnership) as International Auditor and Domestic Auditor, Respectively, and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
6	Approve Proposals Put Forward by Any Shareholder(s) Holding Three Percent or More of the Shares Entitled to Vote	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST this resolution is warranted given the lack of information.</i>					

FUJIFILM Holdings Corp.

Meeting Date: 06/29/2021      Country: Japan      Primary Security ID: J14208102  
Record Date: 03/31/2021      Meeting Type: Annual      Ticker: 4901

Shares Voted: 43,300

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 52.5	Mgmt	For	For	For
2.1	Elect Director Sukeno, Kenji	Mgmt	For	For	For
2.2	Elect Director Goto, Teiichi	Mgmt	For	For	For
2.3	Elect Director Tamai, Koichi	Mgmt	For	For	For
2.4	Elect Director Iwasaki, Takashi	Mgmt	For	For	For
2.5	Elect Director Ishikawa, Takatoshi	Mgmt	For	For	For
2.6	Elect Director Okada, Junji	Mgmt	For	For	For
2.7	Elect Director Kawada, Tatsuo	Mgmt	For	For	For
2.8	Elect Director Kitamura, Kunitaro	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

FUJIFILM Holdings Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.9	Elect Director Eda, Makiko	Mgmt	For	For	For
2.10	Elect Director Shimada, Takashi	Mgmt	For	For	For
2.11	Elect Director Higuchi, Masayuki	Mgmt	For	For	For
3	Appoint Statutory Auditor Kawasaki, Motoko	Mgmt	For	For	For
4	Approve Restricted Stock Plan and Performance Share Plan	Mgmt	For	For	For
5	Approve Career Achievement Bonus for Director	Mgmt	For	For	For

QIAGEN NV

Meeting Date: 06/29/2021	Country: Netherlands	Primary Security ID: N72482123
Record Date: 06/01/2021	Meeting Type: Annual	Ticker: QGEN
Shares Voted: 27,500		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
1	Adopt Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	Against	Against
3	Approve Discharge of Management Board	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.					
4	Approve Discharge of Supervisory Board	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is warranted because of the absence of any information about significant and compelling controversies that the management board and/or supervisory board are not fulfilling their fiduciary duties.					
5.a	Reelect Metin Colpan to Supervisory Board	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates					
5.b	Reelect Thomas Ebeling to Supervisory Board	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## QIAGEN NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5.c	Reelect Toralf Haag to Supervisory Board	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates</i>				
5.d	Reelect Ross L. Levine to Supervisory Board	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates</i>				
5.e	Reelect Elaine Mardis to Supervisory Board	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates</i>				
5.f	Reelect Lawrence A. Rosen to Supervisory Board	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates</i>				
5.g	Reelect Elizabeth E. Tallett to Supervisory Board	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates</i>				
6.a	Reelect Thierry Bernard to Management Board	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this election is warranted because:* The nominee is elected for a term not exceeding four years;* The candidate appears to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidate.</i>				
6.b	Reelect Roland Sackers to Management Board	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this election is warranted because:* The nominee is elected for a term not exceeding four years;* The candidate appears to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidate.</i>				
7	Approve Remuneration Policy for Management Board	Mgmt	For	For	For
8.a	Approve Partial Amendment of Remuneration Policy for Supervisory Board	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is warranted, since there is no evidence of excessiveness on the part of the supervisory board regarding this remuneration proposal.</i>				
8.b	Approve Remuneration of Supervisory Board	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is warranted, since there is no evidence of excessiveness on the part of the supervisory board regarding this remuneration proposal.</i>				
9	Ratify KPMG Accountants N.V. as Auditors	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

QIAGEN NV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10.a	Grant Board Authority to Issue Shares	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted because it is in line with commonly used safeguards regarding volume and duration.					
10.b	Authorize Board to Exclude Preemptive Rights from Share Issuances	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted because it is in line with commonly used safeguards regarding volume and duration.					
10.c	Authorize Board to Exclude Preemptive Rights from Share Issuances in Connection to Mergers, Acquisitions or Strategic Alliances	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this proposal is warranted because it is not in line with commonly used safeguards regarding volume (i.e. the management board would be able to issue share up to 20 percent of the issued share capital).					
11	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
12	Amend Articles of Association in Connection with Changes to Dutch Law	Mgmt	For	For	For

SBI Holdings, Inc.

Meeting Date: 06/29/2021	Country: Japan	Primary Security ID: J6991H100
Record Date: 03/31/2021	Meeting Type: Annual	Ticker: 8473
Shares Voted: 103,000		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Kitao, Yoshitaka	Mgmt	For	For	For
1.2	Elect Director Kawashima, Katsuya	Mgmt	For	For	For
1.3	Elect Director Nakagawa, Takashi	Mgmt	For	For	For
1.4	Elect Director Takamura, Masato	Mgmt	For	For	For
1.5	Elect Director Morita, Shumpei	Mgmt	For	For	For
1.6	Elect Director Yamada, Masayuki	Mgmt	For	For	For
1.7	Elect Director Kusakabe, Satoe	Mgmt	For	For	For
1.8	Elect Director Yoshida, Masaki	Mgmt	For	For	For
1.9	Elect Director Sato, Teruhide	Mgmt	For	For	For
1.10	Elect Director Takenaka, Heizo	Mgmt	For	For	For
1.11	Elect Director Suzuki, Yasuhiro	Mgmt	For	For	For
1.12	Elect Director Ito, Hiroshi	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### SBI Holdings, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.13	Elect Director Takeuchi, Kanae	Mgmt	For	For	For
1.14	Elect Director Fukuda, Junichi	Mgmt	For	For	For
1.15	Elect Director Suematsu, Hiroyuki	Mgmt	For	For	For
2	Appoint Alternate Statutory Auditor Wakatsuki, Tetsutaro	Mgmt	For	For	For

### Century Pacific Food, Inc.

**Meeting Date:** 06/30/2021      **Country:** Philippines      **Primary Security ID:** Y1249R102  
**Record Date:** 05/17/2021      **Meeting Type:** Annual      **Ticker:** CNPF

**Shares Voted:** 10,469,600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Minutes of Previous Meeting	Mgmt	For	For	For
2	Approve Annual Report	Mgmt	For	For	For
3	Ratify Acts of the Board of Directors and Officers	Mgmt	For	For	For
	Elect 9 Directors by Cumulative Voting	Mgmt			
4.1	Elect Ricardo S. Po, Sr. as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of all nominees is warranted in the absence of any corporate governance concerns in relation to the nominees and board composition.					
4.2	Elect Christopher T. Po as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of all nominees is warranted in the absence of any corporate governance concerns in relation to the nominees and board composition.					
4.3	Elect Ricardo Gabriel T. Po as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of all nominees is warranted in the absence of any corporate governance concerns in relation to the nominees and board composition.					
4.4	Elect Teodoro Alexander T. Po as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of all nominees is warranted in the absence of any corporate governance concerns in relation to the nominees and board composition.					
4.5	Elect Leonardo Arthur T. Po as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of all nominees is warranted in the absence of any corporate governance concerns in relation to the nominees and board composition.					
4.6	Elect Fernan Victor P. Lukban as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of all nominees is warranted in the absence of any corporate governance concerns in relation to the nominees and board composition.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Century Pacific Food, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.7	Elect Frances J. Yu as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of all nominees is warranted in the absence of any corporate governance concerns in relation to the nominees and board composition.					
4.8	Elect Johnip G. Cua as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of all nominees is warranted in the absence of any corporate governance concerns in relation to the nominees and board composition.					
4.9	Elect Regina Roberta L. Lorenzana as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election of all nominees is warranted in the absence of any corporate governance concerns in relation to the nominees and board composition.					
5	Elect SGV & Co. as Independent Auditor and Fixing of Its Remuneration	Mgmt	For	For	For
6	Approve Other Matters	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted because the company did not disclose the other matters that will be taken up under this resolution.					

Meltwater BV

Meeting Date: 06/30/2021	Country: Netherlands	Primary Security ID: N56028108
Record Date: 06/25/2021	Meeting Type: Annual	Ticker: MWTR
Shares Voted: 77,036		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
1	Open Meeting	Mgmt			
2a	Receive Report of Management Board (Non-Voting)	Mgmt			
2b	Adopt Financial Statements	Mgmt	For	For	For
3	Approve Allocation of Loss	Mgmt	For	For	For
4a	Approve Discharge of Executive Director	Mgmt	For	For	For
4b	Approve Discharge of Non-Executive Directors	Mgmt	For	For	For
5	Grant Board Authority to Issue Shares Up To 10 Percent of Issued Capital and Exclude Pre-emptive Rights	Mgmt	For	For	For
6	Authorize Repurchase of Shares	Mgmt	For	For	For
7a	Receive Information on the Composition of the Board of Directors	Mgmt			



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Meltwater BV

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7b	Elect Erik Langaker as Non-Executive Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates					
7c	Elect Stefanie Witte as Non-Executive Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these elections is warranted because:* The nominees are elected for a period not exceeding four years;* The candidates appear to possess the necessary qualifications for board membership; and* There is no known controversy concerning the candidates					
8	Approve United States Dollars as Currency and the Use of English Language in the Directors' Report and Annual Accounts	Mgmt	For	For	For
9	Ratify PricewaterhouseCoopers Accountants N.V. as Auditors	Mgmt	For	For	For
10	Approve Submission of Information to Shareholders through Electronic Means	Mgmt	For	For	For
11	Other Business (Non-Voting)	Mgmt			
12	Close Meeting	Mgmt			

Savannah Energy Plc

Meeting Date: 06/30/2021	Country: United Kingdom	Primary Security ID: G781AA104
Record Date: 06/28/2021	Meeting Type: Annual	Ticker: SAVE
Shares Voted: 90,259,078		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST this resolution is warranted because:* There is insufficient independent representation on the Board;* The Audit and Remuneration Committees are not fully independent;* The CFO received a significant salary increase during the year under review, without any compelling rationale from the Company; and* A former NED received additional payments during the period under review in addition to his basic fees.In addition, the independent auditors have included a material uncertainty statement in their report regarding the Group's ability to continue as a going concern.					
2	Appoint BDO LLP as Auditors	Mgmt	For	For	For
3	Authorise the Audit and Risk Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
4	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: Item 4A vote FOR this resolution is warranted because the proposed amount and duration are within recommended limits.Item 5A vote AGAINST this resolution is warranted because:* The proposed amount exceeds the recommended limit of 10 percent of issued share capital.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Savannah Energy Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	Against	For
Voting Policy Rationale: Item 4A vote FOR this resolution is warranted because the proposed amount and duration are within recommended limits.Item 5A vote AGAINST this resolution is warranted because:* The proposed amount exceeds the recommended limit of 10 percent of issued share capital.					
6	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For

VTB Bank PJSC

Meeting Date: 06/30/2021	Country: Russia	Primary Security ID: X9814J117
Record Date: 06/07/2021	Meeting Type: Annual	Ticker: VTBR
Shares Voted: 82,989,325,776		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Annual Report	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this item is warranted because:* There are no known concerns about the accounts presented or the audit procedures used.* There are no known concerns regarding the company's past income allocation practices					
2	Approve Financial Statements	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this item is warranted because:* There are no known concerns about the accounts presented or the audit procedures used.* There are no known concerns regarding the company's past income allocation practices					
3	Approve Allocation of Income	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this resolution is warranted because there are no concerns regarding the proposals.					
4	Approve Allocation of Undistributed Profit from Previous Years	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this resolution is warranted because there are no concerns regarding the proposals.					
5	Approve Dividends on Common and Preferred Shares	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this resolution is warranted because there are no concerns regarding the proposals.					
6	Approve Dividends from Retained Earning from Previous Years	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this resolution is warranted because there are no concerns regarding the proposals.					
7	Approve New Edition of Regulations on Remuneration of Directors	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST Item 7 is warranted because:* The proposed amendment to withdraw the requirement of the regular approval of the director's fees by the general meeting may lead to the decrease in the company's accountability towards the minority shareholders.* The proposal is not supported by a compelling rationale.A vote FOR Item 8 is warranted as the proposed level and structure of director remuneration is not problematic.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### VTB Bank PJSC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8	Approve Remuneration of Directors	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote AGAINST Item 7 is warranted because:* The proposed amendment to withdraw the requirement of the regular approval of the director's fees by the general meeting may lead to the decrease in the company's accountability towards the minority shareholders.* The proposal is not supported by a compelling rationale.A vote FOR Item 8 is warranted as the proposed level and structure of director remuneration is not problematic.</i>					
9	Approve Remuneration of Members of Audit Commission	Mgmt	For	For	For
10	Fix Number of Directors	Mgmt	For	For	For
	Elect 11 Directors by Cumulative Voting	Mgmt			
11.1	Elect Tatiana Bakalchuk as Director	Mgmt	None	For	For
<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>					
11.2	Elect Arthur Matthias Warnig as Director	Mgmt	None	Against	Against
<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>					
11.3	Elect Dmitrii Grigorenko as Director	Mgmt	None	Against	Against
<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>					
11.4	Elect Yves Thibault de Silguy as Director	Mgmt	None	Against	Against
<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>					
11.5	Elect Andrei Kostin as Director	Mgmt	None	Against	Against
<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>					
11.6	Elect Israfil Mammadov as Director	Mgmt	None	For	For
<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>					
11.7	Elect Aleksei Moiseev as Director	Mgmt	None	Against	Against
<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>					
11.8	Elect Mikhail Motorin as Director	Mgmt	None	Against	Against
<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

VTB Bank PJSC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
11.9	Elect Igor Repin as Director	Mgmt	None	For	For
Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.					
11.10	Elect Maksim Reshetnikov as Director	Mgmt	None	Against	Against
Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.					
11.11	Elect Valerii Sidorenko as Director	Mgmt	None	Against	Against
Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.					
12	Fix Number of Audit Commission Members	Mgmt	For	For	For
13	Elect Members of Audit Commission	Mgmt	For	For	For
14	Ratify Auditor	Mgmt	For	For	For
15	Amend Charter	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR are warranted because:* The proposed amendments are aimed at bringing the charter and the regulation on management in line with the recent legal changes.* The proposed amendments are not expected to have any adverse effect on shareholder rights.					
16	Approve New Edition of Regulations on Management	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR are warranted because:* The proposed amendments are aimed at bringing the charter and the regulation on management in line with the recent legal changes.* The proposed amendments are not expected to have any adverse effect on shareholder rights.					

VTB Bank PJSC

Meeting Date: 06/30/2021	Country: Russia	Primary Security ID: X9814J117
Record Date: 06/07/2021	Meeting Type: Annual	Ticker: VTBR
Shares Voted: 9,135,842		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Meeting for GDR Holders	Mgmt			
	Approve Annual Report	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this item is warranted because:* There are no known concerns about the accounts presented or the audit procedures used.* There are no known concerns regarding the company's past income allocation practices					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### VTB Bank PJSC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve Financial Statements	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this item is warranted because: * There are no known concerns about the accounts presented or the audit procedures used. * There are no known concerns regarding the company's past income allocation practices</i>				
3	Approve Allocation of Income	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this resolution is warranted because there are no concerns regarding the proposals.</i>				
4	Approve Allocation of Undistributed Profit from Previous Years	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this resolution is warranted because there are no concerns regarding the proposals.</i>				
5	Approve Dividends on Common and Preferred Shares	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this resolution is warranted because there are no concerns regarding the proposals.</i>				
6	Approve Dividends from Retained Earning from Previous Years	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this resolution is warranted because there are no concerns regarding the proposals.</i>				
7	Approve New Edition of Regulations on Remuneration of Directors	Mgmt			
	<i>Voting Policy Rationale: These are non-voting items. No vote recommendation is provided for this analysis.</i>				
8	Approve Remuneration of Directors	Mgmt			
	<i>Voting Policy Rationale: These are non-voting items. No vote recommendation is provided for this analysis.</i>				
9	Approve Remuneration of Members of Audit Commission	Mgmt	For	For	For
10	Fix Number of Directors	Mgmt	For	For	For
	Elect 11 Directors by Cumulative Voting	Mgmt			
11.1	Elect Tatiana Bakalchuk as Director	Mgmt	None	For	For
	<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
11.2	Elect Arthur Matthias Warnig as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
11.3	Elect Dmitrii Grigorenko as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## VTB Bank PJSC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
11.4	Elect Yves Thibault de Silguy as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
11.5	Elect Andrei Kostin as Director	Mgmt			
	<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
11.6	Elect Israfil Mammadov as Director	Mgmt	None	For	For
	<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
11.7	Elect Aleksei Moiseev as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
11.8	Elect Mikhail Motorin as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
11.9	Elect Igor Repin as Director	Mgmt	None	For	For
	<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
11.10	Elect Maksim Reshetnikov as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
11.11	Elect Valerii Sidorenko as Director	Mgmt	None	Against	Against
	<i>Voting Policy Rationale: Votes FOR Tatiana Bakalchuk, Israfil Aidyn ogly Mammadov and Igor Repin (Items 11.1, 11.6 and 11.9) are warranted because these nominees can be classified as independent, making them the most likely of all the candidates to act in all shareholders' interest.</i>				
12	Fix Number of Audit Commission Members	Mgmt	For	For	For
13	Elect Members of Audit Commission	Mgmt	For	For	For
14	Ratify Auditor	Mgmt	For	For	For
15	Amend Charter	Mgmt			
	<i>Voting Policy Rationale: These are non-voting items. No vote recommendation is provided for this analysis.</i>				
16	Approve New Edition of Regulations on Management	Mgmt			
	<i>Voting Policy Rationale: These are non-voting items. No vote recommendation is provided for this analysis.</i>				

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

KWG Living Group Holdings Ltd.

**Meeting Date:** 07/05/2021  
**Record Date:** 06/28/2021

**Country:** Cayman Islands  
**Meeting Type:** Extraordinary Shareholders

**Primary Security ID:** G5322R103  
**Ticker:** 3913

**Shares Voted:** 50,327,019

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Acquisition Agreement and Related Transactions	Mgmt	For	For	For

Yageo Corp.

**Meeting Date:** 07/07/2021  
**Record Date:** 04/01/2021

**Country:** Taiwan  
**Meeting Type:** Annual

**Primary Security ID:** Y9723R100  
**Ticker:** 2327

**Shares Voted:** 294,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve 2020 Closing Accounts	Mgmt	For	For	For
2	Approve to Change the Fund Usage Plan of 2020 GDR and 5th ECB	Mgmt	For	For	For
3	Approve Cash Distribution from Capital Surplus	Mgmt	For	For	For
	ELECT NON-INDEPENDENT DIRECTORS AND INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			
4.1	Elect Tie-Min Chen with Shareholder No. 2 as Non-independent Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote AGAINST independent director nominees Cheng-Ling Lee under Item 4.7 and Hong-So Chen under Item 4.9 is warranted because they are considered non-independent non-executive directors under ISS' classification.A vote FOR the independent director nominee under Item 4.8 is warranted given the absence of any known issues concerning the nominee.A vote AGAINST non-independent director nominees under Items 4.3 – 4.6 is warranted because the independence level will be 11.11 percent under ISS classification, which does not meet the ISS minimum requirement of one-third.A vote FOR non-independent director nominees Tie-Min Chen under Item 4.1 and Deng-Rue Wang under Item 4.2 is warranted given their removal would be expected to have material negative impacts on shareholder value.</i>					
4.2	Elect Deng-Rue Wang, a Representative of Hsu Chang Investment Ltd with Shareholder No. 99108 as Non-independent Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote AGAINST independent director nominees Cheng-Ling Lee under Item 4.7 and Hong-So Chen under Item 4.9 is warranted because they are considered non-independent non-executive directors under ISS' classification.A vote FOR the independent director nominee under Item 4.8 is warranted given the absence of any known issues concerning the nominee.A vote AGAINST non-independent director nominees under Items 4.3 – 4.6 is warranted because the independence level will be 11.11 percent under ISS classification, which does not meet the ISS minimum requirement of one-third.A vote FOR non-independent director nominees Tie-Min Chen under Item 4.1 and Deng-Rue Wang under Item 4.2 is warranted given their removal would be expected to have material negative impacts on shareholder value.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Yageo Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.3	Elect Chin-San Wang, a Representative of Hsu Chang Investment Ltd with Shareholder No. 99108 as Non-independent Director	Mgmt	For	Against	Against
<p><i>Voting Policy Rationale: A vote AGAINST independent director nominees Cheng-Ling Lee under Item 4.7 and Hong-So Chen under Item 4.9 is warranted because they are considered non-independent non-executive directors under ISS' classification. A vote FOR the independent director nominee under Item 4.8 is warranted given the absence of any known issues concerning the nominee. A vote AGAINST non-independent director nominees under Items 4.3 – 4.6 is warranted because the independence level will be 11.11 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR non-independent director nominees Tie-Min Chen under Item 4.1 and Deng-Rue Wang under Item 4.2 is warranted given their removal would be expected to have material negative impacts on shareholder value.</i></p>					
4.4	Elect Tzone-Yeong Lin, a Representative of Hsu Chang Investment Ltd with Shareholder No. 99108 as Non-independent Director	Mgmt	For	Against	Against
<p><i>Voting Policy Rationale: A vote AGAINST independent director nominees Cheng-Ling Lee under Item 4.7 and Hong-So Chen under Item 4.9 is warranted because they are considered non-independent non-executive directors under ISS' classification. A vote FOR the independent director nominee under Item 4.8 is warranted given the absence of any known issues concerning the nominee. A vote AGAINST non-independent director nominees under Items 4.3 – 4.6 is warranted because the independence level will be 11.11 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR non-independent director nominees Tie-Min Chen under Item 4.1 and Deng-Rue Wang under Item 4.2 is warranted given their removal would be expected to have material negative impacts on shareholder value.</i></p>					
4.5	Elect Shih-Chien Yang, a Representative of Hsu Chang Investment Ltd with Shareholder No. 99108 as Non-independent Director	Mgmt	For	Against	Against
<p><i>Voting Policy Rationale: A vote AGAINST independent director nominees Cheng-Ling Lee under Item 4.7 and Hong-So Chen under Item 4.9 is warranted because they are considered non-independent non-executive directors under ISS' classification. A vote FOR the independent director nominee under Item 4.8 is warranted given the absence of any known issues concerning the nominee. A vote AGAINST non-independent director nominees under Items 4.3 – 4.6 is warranted because the independence level will be 11.11 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR non-independent director nominees Tie-Min Chen under Item 4.1 and Deng-Rue Wang under Item 4.2 is warranted given their removal would be expected to have material negative impacts on shareholder value.</i></p>					
4.6	Elect Ching-Chang Yen, a Representative of Hsu Chang Investment Ltd with Shareholder No. 99108 as Non-independent Director	Mgmt	For	Against	Against
<p><i>Voting Policy Rationale: A vote AGAINST independent director nominees Cheng-Ling Lee under Item 4.7 and Hong-So Chen under Item 4.9 is warranted because they are considered non-independent non-executive directors under ISS' classification. A vote FOR the independent director nominee under Item 4.8 is warranted given the absence of any known issues concerning the nominee. A vote AGAINST non-independent director nominees under Items 4.3 – 4.6 is warranted because the independence level will be 11.11 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR non-independent director nominees Tie-Min Chen under Item 4.1 and Deng-Rue Wang under Item 4.2 is warranted given their removal would be expected to have material negative impacts on shareholder value.</i></p>					
4.7	Elect Cheng-Ling Lee with ID No. A110406XXX as Independent Director	Mgmt	For	Against	Against
<p><i>Voting Policy Rationale: A vote AGAINST independent director nominees Cheng-Ling Lee under Item 4.7 and Hong-So Chen under Item 4.9 is warranted because they are considered non-independent non-executive directors under ISS' classification. A vote FOR the independent director nominee under Item 4.8 is warranted given the absence of any known issues concerning the nominee. A vote AGAINST non-independent director nominees under Items 4.3 – 4.6 is warranted because the independence level will be 11.11 percent under ISS classification, which does not meet the ISS minimum requirement of one-third. A vote FOR non-independent director nominees Tie-Min Chen under Item 4.1 and Deng-Rue Wang under Item 4.2 is warranted given their removal would be expected to have material negative impacts on shareholder value.</i></p>					



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Yageo Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.8	Elect LIN HSU TUN SON with ID No. AC00636XXX as Independent Director	Mgmt	For	For	For
Voting Policy Rationale: A vote AGAINST independent director nominees Cheng-Ling Lee under Item 4.7 and Hong-So Chen under Item 4.9 is warranted because they are considered non-independent non-executive directors under ISS' classification.A vote FOR the independent director nominee under Item 4.8 is warranted given the absence of any known issues concerning the nominee.A vote AGAINST non-independent director nominees under Items 4.3 – 4.6 is warranted because the independence level will be 11.11 percent under ISS classification, which does not meet the ISS minimum requirement of one-third.A vote FOR non-independent director nominees Tie-Min Chen under Item 4.1 and Deng-Rue Wang under Item 4.2 is warranted given their removal would be expected to have material negative impacts on shareholder value.					
4.9	Elect Hong-So Chen with ID No. F120677XXX as Independent Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST independent director nominees Cheng-Ling Lee under Item 4.7 and Hong-So Chen under Item 4.9 is warranted because they are considered non-independent non-executive directors under ISS' classification.A vote FOR the independent director nominee under Item 4.8 is warranted given the absence of any known issues concerning the nominee.A vote AGAINST non-independent director nominees under Items 4.3 – 4.6 is warranted because the independence level will be 11.11 percent under ISS classification, which does not meet the ISS minimum requirement of one-third.A vote FOR non-independent director nominees Tie-Min Chen under Item 4.1 and Deng-Rue Wang under Item 4.2 is warranted given their removal would be expected to have material negative impacts on shareholder value.					
5	Approve Release of Restrictions of Competitive Activities of Directors	Mgmt	For	For	For

AT & S Austria Technologie & Systemtechnik AG

Meeting Date: 07/08/2021      Country: Austria      Primary Security ID: A05345110  
Record Date: 06/28/2021      Meeting Type: Annual      Ticker: ATS

Shares Voted: 19,656

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2020/21 (Non-Voting)	Mgmt			
2.1	Approve Allocation of Income and Dividends of EUR 0.39 per Share	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the allocation of income resolutions are warranted.					
2.2	Approve Transfer of EUR 50 Million from Allocated Retained Earnings to Free Reserves	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the allocation of income resolutions are warranted.					
3	Approve Discharge of Management Board for Fiscal Year 2020/21	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

AT & S Austria Technologie & Systemtechnik AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Approve Discharge of Supervisory Board for Fiscal Year 2020/21	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR these proposals are warranted as there is no evidence that the boards have not fulfilled their fiduciary duties.					
5	Approve Supervisory Board Remuneration Policy	Mgmt	For	For	For
6	Approve Remuneration of Supervisory Board Members	Mgmt	For	For	For
7	Approve Remuneration Report	Mgmt	For	For	For
8	Receive Report on Share Repurchase Program (Non-Voting)	Mgmt			
9	Ratify Deloitte Audit Wirtschaftspruefungs GmbH as Auditors for Fiscal Year 2021/22	Mgmt	For	For	For
10	Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares	Mgmt	For	For	For
11.1	New/Amended Proposals from Shareholders	Mgmt	None	Against	Against
Voting Policy Rationale: Votes AGAINST these items are warranted because:* These items concern additional instructions from the shareholder to the proxy in case new or amended voting items are introduced at the meeting by shareholders (Item 11.1) or the management and/or supervisory boards (Item 11.2); and* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against these items on a precautionary basis.					
11.2	New/Amended Proposals from Management and Supervisory Board	Mgmt	None	Against	Against
Voting Policy Rationale: Votes AGAINST these items are warranted because:* These items concern additional instructions from the shareholder to the proxy in case new or amended voting items are introduced at the meeting by shareholders (Item 11.1) or the management and/or supervisory boards (Item 11.2); and* The content of these new items or counterproposals is not known at this time. Therefore, it is in shareholders' best interest to vote against these items on a precautionary basis.					

Melrose Industries Plc

Meeting Date: 07/09/2021      Country: United Kingdom      Primary Security ID: G5973J178  
Record Date: 07/07/2021      Meeting Type: Special      Ticker: MRO

Shares Voted: 397,039

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Reduction of Share Premium Account	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted as no significant concerns have been identified.					
2	Approve Issuance of B2 Shares	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted as no significant concerns have been identified.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Melrose Industries Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Approve Share Consolidation	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted as no significant concerns have been identified.					

Pharmaron Beijing Co., Ltd.

Meeting Date: 07/12/2021	Country: China	Primary Security ID: Y989K6119
Record Date: 07/06/2021	Meeting Type: Extraordinary Shareholders	Ticker: 3759
Shares Voted: 1,133,496		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
1	Approve 2021 Restricted A Share Incentive Scheme (Draft) and Its Summary	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given that the overall terms of the proposed plan is reasonable.					
2	Approve Management Measures for the Implementation of the 2021 Restricted A Share Incentive Scheme	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given that the overall terms of the proposed plan is reasonable.					
3	Authorize Board to Handle All Matters in Relation to the 2021 Restricted A Share Incentive Scheme	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given that the overall terms of the proposed plan is reasonable.					
4	Approve Repurchase and Cancellation of Part of the Restricted A Shares Granted under the A Share Incentive Scheme	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.					
5	Approve Reduction of Registered Capital	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.					
6	Amend Articles of Association	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Pharmaron Beijing Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Authorize Board to Handle All Matters in Relation to the Amendment of Articles of Association	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.					

Pharmaron Beijing Co., Ltd.

Meeting Date: 07/12/2021	Country: China	Primary Security ID: Y989K6119
Record Date: 07/06/2021	Meeting Type: Special	Ticker: 3759
Shares Voted: 1,133,496		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt			
	Approve 2021 Restricted A Share Incentive Scheme (Draft) and Its Summary	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given that the overall terms of the proposed plan is reasonable.					
2	Approve Management Measures for the Implementation of the 2021 Restricted A Share Incentive Scheme	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given that the overall terms of the proposed plan is reasonable.					
3	Authorize Board to Handle All Matters in Relation to the 2021 Restricted A Share Incentive Scheme	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given that the overall terms of the proposed plan is reasonable.					
4	Approve Repurchase and Cancellation of Part of the Restricted A Shares Granted under the A Share Incentive Scheme	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.					
5	Approve Reduction of Registered Capital	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Pharmaron Beijing Co., Ltd.

<b>Meeting Date:</b> 07/12/2021	<b>Country:</b> China	<b>Primary Security ID:</b> Y989K6119
<b>Record Date:</b> 07/06/2021	<b>Meeting Type:</b> Extraordinary Shareholders	<b>Ticker:</b> 3759
<b>Shares Voted:</b> 750,420		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	EGM BALLOT FOR HOLDERS OF A SHARES	Mgmt			
	Approve 2021 Restricted A Share Incentive Scheme (Draft) and Its Summary	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given that the overall terms of the proposed plan is reasonable.</i>				
2	Approve Management Measures for the Implementation of the 2021 Restricted A Share Incentive Scheme	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given that the overall terms of the proposed plan is reasonable.</i>				
3	Authorize Board to Handle All Matters in Relation to the 2021 Restricted A Share Incentive Scheme	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given that the overall terms of the proposed plan is reasonable.</i>				
4	Approve Repurchase and Cancellation of Part of the Restricted A Shares Granted under the A Share Incentive Scheme	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.</i>				
5	Approve Reduction of Registered Capital	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.</i>				
6	Amend Articles of Association	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.</i>				
7	Authorize Board to Handle All Matters in Relation to the Amendment of Articles of Association	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.</i>				

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Pharmaron Beijing Co., Ltd.

Meeting Date: 07/12/2021      Country: China      Primary Security ID: Y989K6119  
Record Date: 07/06/2021      Meeting Type: Special      Ticker: 3759

Shares Voted: 750,420

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	CLASS MEETING FOR HOLDERS OF A SHARES	Mgmt			
	Approve 2021 Restricted A Share Incentive Scheme (Draft) and Its Summary	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given that the overall terms of the proposed plan is reasonable.					
2	Approve Management Measures for the Implementation of the 2021 Restricted A Share Incentive Scheme	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR these resolutions is warranted given that the overall terms of the proposed plan is reasonable.				
3	Authorize Board to Handle All Matters in Relation to the 2021 Restricted A Share Incentive Scheme	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR these resolutions is warranted given that the overall terms of the proposed plan is reasonable.				
4	Approve Repurchase and Cancellation of Part of the Restricted A Shares Granted under the A Share Incentive Scheme	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.				
5	Approve Reduction of Registered Capital	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR these resolutions is warranted given the proposed repurchase and cancellation of the restricted shares would support the purpose of the company's A share incentive scheme of aligning the interests of existing employees with the interests of the group.				

Burberry Group Plc

Meeting Date: 07/14/2021      Country: United Kingdom      Primary Security ID: G1700D105  
Record Date: 07/12/2021      Meeting Type: Annual      Ticker: BRBY

Shares Voted: 41,982

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Burberry Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Approve Final Dividend	Mgmt	For	For	For
4	Re-elect Dr Gerry Murphy as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
5	Re-elect Marco Gobetti as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
6	Re-elect Julie Brown as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
7	Re-elect Fabiola Arredondo as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
8	Re-elect Sam Fischer as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
9	Re-elect Ron Frasci as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
10	Re-elect Matthew Key as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
11	Re-elect Debra Lee as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
12	Re-elect Dame Carolyn McCall as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
13	Re-elect Orna NiChionna as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
14	Elect Antoine de Saint-Affrique as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
15	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	For	For
16	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
17	Approve International Free Share Plan	Mgmt	For	For	For
18	Approve Share Incentive Plan	Mgmt	For	For	For
19	Approve Sharesave Plan	Mgmt	For	For	For
20	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Burberry Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
21	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
22	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
23	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
24	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
25	Adopt New Articles of Association	Mgmt	For	For	For

Johnson Electric Holdings Ltd.

Meeting Date: 07/14/2021	Country: Bermuda	Primary Security ID: G5150J157
Record Date: 07/08/2021	Meeting Type: Annual	Ticker: 179
Shares Voted: 3,405,241		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
4a	Elect Austin Jesse Wang as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
4b	Elect Peter Kin-Chung Wang as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
4c	Elect Joseph Chi-Kwong Yam as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
5	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
6	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Johnson Electric Holdings Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					
8	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST these resolutions is warranted for the following:* The aggregate share issuance limit is greater than 10 percent of the relevant class of shares.* The company has not specified the discount limit.					

Renewi Plc

Meeting Date: 07/15/2021	Country: United Kingdom	Primary Security ID: G7492H105
Record Date: 07/13/2021	Meeting Type: Annual	Ticker: RWI
Shares Voted: 276,280		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Re-elect Ben Verwaayen as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
4	Re-elect Allard Castelein as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
5	Re-elect Marina Wyatt as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
6	Re-elect Jolande Sap as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
7	Re-elect Luc Sterckx as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
8	Re-elect Neil Hartley as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
9	Re-elect Otto de Bont as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					
10	Re-elect Toby Woolrych as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Renewi Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
11	Reappoint BDO LLP as Auditors	Mgmt	For	For	For
12	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
13	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
14	Approve Share Consolidation	Mgmt	For	For	For
15	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
16	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
17	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
18	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
19	Adopt New Articles of Association	Mgmt	For	For	For

DCC Plc

Meeting Date: 07/16/2021Country: IrelandPrimary Security ID: G2689P101  
Record Date: 07/12/2021Meeting Type: AnnualTicker: DCC

Shares Voted: 0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	Do Not Vote
2	Approve Final Dividend	Mgmt	For	For	Do Not Vote
3	Approve Remuneration Report	Mgmt	For	For	Do Not Vote
4	Approve Remuneration Policy	Mgmt	For	For	Do Not Vote

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## DCC Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5(a)	Re-elect Mark Breuer as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: Item 5(a)A vote FOR the re-election of Mark Breuer is warranted, although it is not without concerns because:* At the upcoming AGM, Mark Breuer will replace John Moloney as Board Chair. However, Mark Breuer remains a member of the Audit Committee, which is contrary to the provisions of the UK Code.The main reasons for support are:* As Mark Breuer will only be appointed as Board Chair at the upcoming AGM, some flexibility is considered warranted with regard to his membership on the Audit Committee. The membership of the Audit Committee will be kept under review.Items 5(b) to 5(i)A vote FOR these candidates is warranted as no significant concerns have been identified.					
5(b)	Re-elect Caroline Dowling as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: Item 5(a)A vote FOR the re-election of Mark Breuer is warranted, although it is not without concerns because:* At the upcoming AGM, Mark Breuer will replace John Moloney as Board Chair. However, Mark Breuer remains a member of the Audit Committee, which is contrary to the provisions of the UK Code.The main reasons for support are:* As Mark Breuer will only be appointed as Board Chair at the upcoming AGM, some flexibility is considered warranted with regard to his membership on the Audit Committee. The membership of the Audit Committee will be kept under review.Items 5(b) to 5(i)A vote FOR these candidates is warranted as no significant concerns have been identified.					
5(c)	Re-elect Tufan Erginbilgic as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: Item 5(a)A vote FOR the re-election of Mark Breuer is warranted, although it is not without concerns because:* At the upcoming AGM, Mark Breuer will replace John Moloney as Board Chair. However, Mark Breuer remains a member of the Audit Committee, which is contrary to the provisions of the UK Code.The main reasons for support are:* As Mark Breuer will only be appointed as Board Chair at the upcoming AGM, some flexibility is considered warranted with regard to his membership on the Audit Committee. The membership of the Audit Committee will be kept under review.Items 5(b) to 5(i)A vote FOR these candidates is warranted as no significant concerns have been identified.					
5(d)	Re-elect David Jukes as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: Item 5(a)A vote FOR the re-election of Mark Breuer is warranted, although it is not without concerns because:* At the upcoming AGM, Mark Breuer will replace John Moloney as Board Chair. However, Mark Breuer remains a member of the Audit Committee, which is contrary to the provisions of the UK Code.The main reasons for support are:* As Mark Breuer will only be appointed as Board Chair at the upcoming AGM, some flexibility is considered warranted with regard to his membership on the Audit Committee. The membership of the Audit Committee will be kept under review.Items 5(b) to 5(i)A vote FOR these candidates is warranted as no significant concerns have been identified.					
5(e)	Re-elect Pamela Kirby as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: Item 5(a)A vote FOR the re-election of Mark Breuer is warranted, although it is not without concerns because:* At the upcoming AGM, Mark Breuer will replace John Moloney as Board Chair. However, Mark Breuer remains a member of the Audit Committee, which is contrary to the provisions of the UK Code.The main reasons for support are:* As Mark Breuer will only be appointed as Board Chair at the upcoming AGM, some flexibility is considered warranted with regard to his membership on the Audit Committee. The membership of the Audit Committee will be kept under review.Items 5(b) to 5(i)A vote FOR these candidates is warranted as no significant concerns have been identified.					
5(f)	Elect Kevin Lucey as Director	Mgmt	For	For	Do Not Vote
Voting Policy Rationale: Item 5(a)A vote FOR the re-election of Mark Breuer is warranted, although it is not without concerns because:* At the upcoming AGM, Mark Breuer will replace John Moloney as Board Chair. However, Mark Breuer remains a member of the Audit Committee, which is contrary to the provisions of the UK Code.The main reasons for support are:* As Mark Breuer will only be appointed as Board Chair at the upcoming AGM, some flexibility is considered warranted with regard to his membership on the Audit Committee. The membership of the Audit Committee will be kept under review.Items 5(b) to 5(i)A vote FOR these candidates is warranted as no significant concerns have been identified.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## DCC Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5(g)	Re-elect Cormac McCarthy as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: Item 5(a)A vote FOR the re-election of Mark Breuer is warranted, although it is not without concerns because:* At the upcoming AGM, Mark Breuer will replace John Moloney as Board Chair. However, Mark Breuer remains a member of the Audit Committee, which is contrary to the provisions of the UK Code.The main reasons for support are:* As Mark Breuer will only be appointed as Board Chair at the upcoming AGM, some flexibility is considered warranted with regard to his membership on the Audit Committee. The membership of the Audit Committee will be kept under review.Items 5(b) to 5(i)A vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
5(h)	Re-elect Donal Murphy as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: Item 5(a)A vote FOR the re-election of Mark Breuer is warranted, although it is not without concerns because:* At the upcoming AGM, Mark Breuer will replace John Moloney as Board Chair. However, Mark Breuer remains a member of the Audit Committee, which is contrary to the provisions of the UK Code.The main reasons for support are:* As Mark Breuer will only be appointed as Board Chair at the upcoming AGM, some flexibility is considered warranted with regard to his membership on the Audit Committee. The membership of the Audit Committee will be kept under review.Items 5(b) to 5(i)A vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
5(i)	Re-elect Mark Ryan as Director	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: Item 5(a)A vote FOR the re-election of Mark Breuer is warranted, although it is not without concerns because:* At the upcoming AGM, Mark Breuer will replace John Moloney as Board Chair. However, Mark Breuer remains a member of the Audit Committee, which is contrary to the provisions of the UK Code.The main reasons for support are:* As Mark Breuer will only be appointed as Board Chair at the upcoming AGM, some flexibility is considered warranted with regard to his membership on the Audit Committee. The membership of the Audit Committee will be kept under review.Items 5(b) to 5(i)A vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
6	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	Do Not Vote
7	Authorise Issue of Equity	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
8	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
9	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	Do Not Vote
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
10	Authorise Market Purchase of Shares	Mgmt	For	For	Do Not Vote
11	Authorise Reissuance Price Range of Treasury Shares	Mgmt	For	For	Do Not Vote
12	Approve Long Term Incentive Plan 2021	Mgmt	For	For	Do Not Vote

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## DCC Plc

Shares Voted: 868

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3	Approve Remuneration Report	Mgmt	For	For	For
4	Approve Remuneration Policy	Mgmt	For	For	For
5(a)	Re-elect Mark Breuer as Director	Mgmt	For	For	For
Voting Policy Rationale: Item 5(a)A vote FOR the re-election of Mark Breuer is warranted, although it is not without concerns because:* At the upcoming AGM, Mark Breuer will replace John Moloney as Board Chair. However, Mark Breuer remains a member of the Audit Committee, which is contrary to the provisions of the UK Code.The main reasons for support are:* As Mark Breuer will only be appointed as Board Chair at the upcoming AGM, some flexibility is considered warranted with regard to his membership on the Audit Committee. The membership of the Audit Committee will be kept under review.Items 5(b) to 5(i)A vote FOR these candidates is warranted as no significant concerns have been identified.					
5(b)	Re-elect Caroline Dowling as Director	Mgmt	For	For	For
Voting Policy Rationale: Item 5(a)A vote FOR the re-election of Mark Breuer is warranted, although it is not without concerns because:* At the upcoming AGM, Mark Breuer will replace John Moloney as Board Chair. However, Mark Breuer remains a member of the Audit Committee, which is contrary to the provisions of the UK Code.The main reasons for support are:* As Mark Breuer will only be appointed as Board Chair at the upcoming AGM, some flexibility is considered warranted with regard to his membership on the Audit Committee. The membership of the Audit Committee will be kept under review.Items 5(b) to 5(i)A vote FOR these candidates is warranted as no significant concerns have been identified.					
5(c)	Re-elect Tufan Erginbilgic as Director	Mgmt	For	For	For
Voting Policy Rationale: Item 5(a)A vote FOR the re-election of Mark Breuer is warranted, although it is not without concerns because:* At the upcoming AGM, Mark Breuer will replace John Moloney as Board Chair. However, Mark Breuer remains a member of the Audit Committee, which is contrary to the provisions of the UK Code.The main reasons for support are:* As Mark Breuer will only be appointed as Board Chair at the upcoming AGM, some flexibility is considered warranted with regard to his membership on the Audit Committee. The membership of the Audit Committee will be kept under review.Items 5(b) to 5(i)A vote FOR these candidates is warranted as no significant concerns have been identified.					
5(d)	Re-elect David Jukes as Director	Mgmt	For	For	For
Voting Policy Rationale: Item 5(a)A vote FOR the re-election of Mark Breuer is warranted, although it is not without concerns because:* At the upcoming AGM, Mark Breuer will replace John Moloney as Board Chair. However, Mark Breuer remains a member of the Audit Committee, which is contrary to the provisions of the UK Code.The main reasons for support are:* As Mark Breuer will only be appointed as Board Chair at the upcoming AGM, some flexibility is considered warranted with regard to his membership on the Audit Committee. The membership of the Audit Committee will be kept under review.Items 5(b) to 5(i)A vote FOR these candidates is warranted as no significant concerns have been identified.					
5(e)	Re-elect Pamela Kirby as Director	Mgmt	For	For	For
Voting Policy Rationale: Item 5(a)A vote FOR the re-election of Mark Breuer is warranted, although it is not without concerns because:* At the upcoming AGM, Mark Breuer will replace John Moloney as Board Chair. However, Mark Breuer remains a member of the Audit Committee, which is contrary to the provisions of the UK Code.The main reasons for support are:* As Mark Breuer will only be appointed as Board Chair at the upcoming AGM, some flexibility is considered warranted with regard to his membership on the Audit Committee. The membership of the Audit Committee will be kept under review.Items 5(b) to 5(i)A vote FOR these candidates is warranted as no significant concerns have been identified.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## DCC Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5(f)	Elect Kevin Lucey as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 5(a)A vote FOR the re-election of Mark Breuer is warranted, although it is not without concerns because:* At the upcoming AGM, Mark Breuer will replace John Moloney as Board Chair. However, Mark Breuer remains a member of the Audit Committee, which is contrary to the provisions of the UK Code.The main reasons for support are:* As Mark Breuer will only be appointed as Board Chair at the upcoming AGM, some flexibility is considered warranted with regard to his membership on the Audit Committee. The membership of the Audit Committee will be kept under review.Items 5(b) to 5(i)A vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
5(g)	Re-elect Cormac McCarthy as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 5(a)A vote FOR the re-election of Mark Breuer is warranted, although it is not without concerns because:* At the upcoming AGM, Mark Breuer will replace John Moloney as Board Chair. However, Mark Breuer remains a member of the Audit Committee, which is contrary to the provisions of the UK Code.The main reasons for support are:* As Mark Breuer will only be appointed as Board Chair at the upcoming AGM, some flexibility is considered warranted with regard to his membership on the Audit Committee. The membership of the Audit Committee will be kept under review.Items 5(b) to 5(i)A vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
5(h)	Re-elect Donal Murphy as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 5(a)A vote FOR the re-election of Mark Breuer is warranted, although it is not without concerns because:* At the upcoming AGM, Mark Breuer will replace John Moloney as Board Chair. However, Mark Breuer remains a member of the Audit Committee, which is contrary to the provisions of the UK Code.The main reasons for support are:* As Mark Breuer will only be appointed as Board Chair at the upcoming AGM, some flexibility is considered warranted with regard to his membership on the Audit Committee. The membership of the Audit Committee will be kept under review.Items 5(b) to 5(i)A vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
5(i)	Re-elect Mark Ryan as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 5(a)A vote FOR the re-election of Mark Breuer is warranted, although it is not without concerns because:* At the upcoming AGM, Mark Breuer will replace John Moloney as Board Chair. However, Mark Breuer remains a member of the Audit Committee, which is contrary to the provisions of the UK Code.The main reasons for support are:* As Mark Breuer will only be appointed as Board Chair at the upcoming AGM, some flexibility is considered warranted with regard to his membership on the Audit Committee. The membership of the Audit Committee will be kept under review.Items 5(b) to 5(i)A vote FOR these candidates is warranted as no significant concerns have been identified.</i>					
6	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
7	Authorise Issue of Equity	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
8	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
9	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
10	Authorise Market Purchase of Shares	Mgmt	For	For	For
11	Authorise Reissuance Price Range of Treasury Shares	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

DCC Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
12	Approve Long Term Incentive Plan 2021	Mgmt	For	For	For

Piramal Enterprises Limited

Meeting Date: 07/16/2021	Country: India	Primary Security ID: Y6941N101
Record Date: 07/09/2021	Meeting Type: Annual	Ticker: 500302
Shares Voted: 2,583,197		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3	Reelect Nandini Piramal as Director	Mgmt	For	Against	For
Voting Policy Rationale: Items 5-7:A vote FOR these nominees is warranted given the absence of any known issues.Item 3, 4 and 8:A vote AGAINST the following nominees is warranted because:* The board independence norms are not met (based on ISS re-classification) and Khushru Jijina, Nandini Piramal and Anand Piramal are non-independent director nominees.					
4	Reelect Anand Piramal as Director	Mgmt	For	Against	For
Voting Policy Rationale: Items 5-7:A vote FOR these nominees is warranted given the absence of any known issues.Item 3, 4 and 8:A vote AGAINST the following nominees is warranted because:* The board independence norms are not met (based on ISS re-classification) and Khushru Jijina, Nandini Piramal and Anand Piramal are non-independent director nominees.					
5	Elect Kunal Bahl as Director	Mgmt	For	For	For
Voting Policy Rationale: Items 5-7:A vote FOR these nominees is warranted given the absence of any known issues.Item 3, 4 and 8:A vote AGAINST the following nominees is warranted because:* The board independence norms are not met (based on ISS re-classification) and Khushru Jijina, Nandini Piramal and Anand Piramal are non-independent director nominees.					
6	Elect Suhail Nathani as Director	Mgmt	For	For	For
Voting Policy Rationale: Items 5-7:A vote FOR these nominees is warranted given the absence of any known issues.Item 3, 4 and 8:A vote AGAINST the following nominees is warranted because:* The board independence norms are not met (based on ISS re-classification) and Khushru Jijina, Nandini Piramal and Anand Piramal are non-independent director nominees.					
7	Elect Anjali Bansal as Director	Mgmt	For	For	For
Voting Policy Rationale: Items 5-7:A vote FOR these nominees is warranted given the absence of any known issues.Item 3, 4 and 8:A vote AGAINST the following nominees is warranted because:* The board independence norms are not met (based on ISS re-classification) and Khushru Jijina, Nandini Piramal and Anand Piramal are non-independent director nominees.					
8	Elect Khushru Jijina as Director and Approve His Appointment as Whole-Time Director, Designated as Executive Director	Mgmt	For	Against	For
Voting Policy Rationale: Items 5-7:A vote FOR these nominees is warranted given the absence of any known issues.Item 3, 4 and 8:A vote AGAINST the following nominees is warranted because:* The board independence norms are not met (based on ISS re-classification) and Khushru Jijina, Nandini Piramal and Anand Piramal are non-independent director nominees.					
9	Approve Payment of Commission to Non-Executive Directors	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Piramal Enterprises Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10	Amend Piramal Enterprises Limited Senior Employees' Stock Ownership Plan - 2015	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST these resolutions is warranted given the lack of adequate information on the overall terms of the ESOP scheme. The company has not disclosed the exercise price or pricing formula at which the options will be granted.</i>					
11	Approve Secondary Acquisition of Shares Under the Piramal Enterprises Limited Senior Employees' Stock Ownership Plan - 2015	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST these resolutions is warranted given the lack of adequate information on the overall terms of the ESOP scheme. The company has not disclosed the exercise price or pricing formula at which the options will be granted.</i>					
12	Approve Loan and Provision of Guarantee or Security for Purchase of Its Own Shares by Trust/Trustees for the Benefit of Employees Under the Piramal Enterprises Limited Senior Employees' Stock Ownership Plan - 2015	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST these resolutions is warranted given the lack of adequate information on the overall terms of the ESOP scheme. The company has not disclosed the exercise price or pricing formula at which the options will be granted.</i>					
13	Approve Remuneration of Cost Auditors	Mgmt	For	For	For
14	Authorize Issuance of Non-Convertible Debentures on Private Placement Basis	Mgmt	For	For	For

### Zhejiang Satellite Petrochemical Co., Ltd.

<b>Meeting Date:</b> 07/16/2021	<b>Country:</b> China	<b>Primary Security ID:</b> Y98961116
<b>Record Date:</b> 07/09/2021	<b>Meeting Type:</b> Special	<b>Ticker:</b> 002648
<b>Shares Voted:</b> 10,730,880		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Completion of Raised Funds Investment Projects and Use of Excess Raised Funds to Replenish Working Capital	Mgmt	For	For	For
2	Approve Repurchase and Cancellation of Performance Shares	Mgmt	For	For	For
3	Amend Articles of Association	Mgmt	For	For	For

### HDFC Bank Limited

<b>Meeting Date:</b> 07/17/2021	<b>Country:</b> India	<b>Primary Security ID:</b> Y3119P190
<b>Record Date:</b> 07/10/2021	<b>Meeting Type:</b> Annual	<b>Ticker:</b> 500180



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## HDFC Bank Limited

Shares Voted: 19,866

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For	For
	<i>Voting Policy Rationale: While the auditors' report includes an emphasis of matter, their opinion remains unqualified. As such, a vote FOR these resolutions is warranted.</i>				
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For
	<i>Voting Policy Rationale: While the auditors' report includes an emphasis of matter, their opinion remains unqualified. As such, a vote FOR these resolutions is warranted.</i>				
3	Approve Dividend	Mgmt	For	For	For
4	Reelect Srikanth Nadhamuni as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.</i>				
5	Authorize Board to Fix Remuneration of MSKA & Associates, Chartered Accountants as Statutory Auditors	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted given the absence of any known issues.</i>				
6	Approve Revision in the Term of Office of MSKA & Associates, Chartered Accountants as Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted given the absence of any known issues.</i>				
7	Approve M. M. Nissim & Co. LLP, Chartered Accountants as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR this proposal is warranted given the absence of any known issues.</i>				
8	Approve Revised Remuneration of Non-Executive Directors Except for Part Time Non-Executive Chairperson	Mgmt	For	For	For
9	Elect Umesh Chandra Sarangi as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.</i>				
10	Approve Appointment and Remuneration of Atanu Chakraborty as Part time Non-Executive Chairman and Independent Director	Mgmt	For	For	For
11	Elect Sunita Maheshwari as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.</i>				

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### HDFC Bank Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
12	Approve Related Party Transactions with Housing Development Finance Corporation Limited	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 12:A vote FOR this proposal is warranted, although it is not without concerns:* The bank will exercise its voting rights in accordance with votes cast by HDFC Limited for the HDFC Ergo stake. There is no clarity for shareholders on whether the valuation of HDFC Ergo shares factors in this aspect.Main reasons for support:* Control will remain within the group and the transaction will be carried out on an arms' length basis post receipt of requisite regulatory approvals.* No overriding concerns have been noted.Item 13:A vote FOR this resolution is warranted given that the proposed transactions are within the ordinary course of the company's business and are entered into with the company's subsidiary. In addition, shareholders will get an opportunity to review these transactions after one year.</i>					
13	Approve Related Party Transactions with HDB Financial Services Limited	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 12:A vote FOR this proposal is warranted, although it is not without concerns:* The bank will exercise its voting rights in accordance with votes cast by HDFC Limited for the HDFC Ergo stake. There is no clarity for shareholders on whether the valuation of HDFC Ergo shares factors in this aspect.Main reasons for support:* Control will remain within the group and the transaction will be carried out on an arms' length basis post receipt of requisite regulatory approvals.* No overriding concerns have been noted.Item 13:A vote FOR this resolution is warranted given that the proposed transactions are within the ordinary course of the company's business and are entered into with the company's subsidiary. In addition, shareholders will get an opportunity to review these transactions after one year.</i>					
14	Authorize Issuance of Unsecured Perpetual Debt Instruments, Tier II Capital Bonds and Long Term Bonds on Private Placement Basis	Mgmt	For	For	For
15	Amend HDFC Bank Limited Employees' Stock Option Scheme, 2007 (ESOS-Plan D-2007)	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given no known issues have been noted with the proposed amendments.</i>					
16	Amend HDFC Bank Limited Employees' Stock Option Scheme, 2010 (ESOS-Plan E-2010)	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given no known issues have been noted with the proposed amendments.</i>					
17	Amend HDFC Bank Limited Employees' Stock Option Scheme, 2013 (ESOS-Plan F-2013)	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given no known issues have been noted with the proposed amendments.</i>					
18	Amend HDFC Bank Limited Employees' Stock Option Scheme, 2016 (ESOS-Plan G-2016)	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given no known issues have been noted with the proposed amendments.</i>					

### Biffa Plc

<b>Meeting Date:</b> 07/19/2021	<b>Country:</b> United Kingdom	<b>Primary Security ID:</b> G1R62B102
<b>Record Date:</b> 07/15/2021	<b>Meeting Type:</b> Annual	<b>Ticker:</b> BIFF

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Biffa Plc

Shares Voted: 4,762

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Elect Claire Miles as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 3-5 &amp; 7-9A vote FOR these candidates is warranted as no significant concerns have been identified. Item 6A vote FOR this resolution is considered warranted, although it is not without concern for shareholders: * Board Chair Kenneth Lever is also the Chair of the Nomination Committee and less than 33% of the Board currently consists of women, which is not in line with the recommendation of the Hampton-Alexander Review. * In addition to his role at Biffa, Kenneth Lever holds a significant number of external time commitments which might affect his ability to devote sufficient time to his role at Biffa. The main reasons for support are: * Progress has been made during the year with the appointment of one female NED and there is stated intention from the Company for the Board to be aligned to the Hampton-Alexander recommendations by the next AGM. * Three of the five boards where Kenneth Lever is a Director are AIM listed companies, including one investment company, and time commitments at these companies are generally considered to be less onerous. * Kenneth Lever has committed to reducing board mandates by the 2022 AGM.</i></p>					
4	Re-elect Michael Averill as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 3-5 &amp; 7-9A vote FOR these candidates is warranted as no significant concerns have been identified. Item 6A vote FOR this resolution is considered warranted, although it is not without concern for shareholders: * Board Chair Kenneth Lever is also the Chair of the Nomination Committee and less than 33% of the Board currently consists of women, which is not in line with the recommendation of the Hampton-Alexander Review. * In addition to his role at Biffa, Kenneth Lever holds a significant number of external time commitments which might affect his ability to devote sufficient time to his role at Biffa. The main reasons for support are: * Progress has been made during the year with the appointment of one female NED and there is stated intention from the Company for the Board to be aligned to the Hampton-Alexander recommendations by the next AGM. * Three of the five boards where Kenneth Lever is a Director are AIM listed companies, including one investment company, and time commitments at these companies are generally considered to be less onerous. * Kenneth Lever has committed to reducing board mandates by the 2022 AGM.</i></p>					
5	Re-elect Carol Chesney as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 3-5 &amp; 7-9A vote FOR these candidates is warranted as no significant concerns have been identified. Item 6A vote FOR this resolution is considered warranted, although it is not without concern for shareholders: * Board Chair Kenneth Lever is also the Chair of the Nomination Committee and less than 33% of the Board currently consists of women, which is not in line with the recommendation of the Hampton-Alexander Review. * In addition to his role at Biffa, Kenneth Lever holds a significant number of external time commitments which might affect his ability to devote sufficient time to his role at Biffa. The main reasons for support are: * Progress has been made during the year with the appointment of one female NED and there is stated intention from the Company for the Board to be aligned to the Hampton-Alexander recommendations by the next AGM. * Three of the five boards where Kenneth Lever is a Director are AIM listed companies, including one investment company, and time commitments at these companies are generally considered to be less onerous. * Kenneth Lever has committed to reducing board mandates by the 2022 AGM.</i></p>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Biffa Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Re-elect Kenneth Lever as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 3-5 &amp; 7-9A vote FOR these candidates is warranted as no significant concerns have been identified. Item 6A vote FOR this resolution is considered warranted, although it is not without concern for shareholders: * Board Chair Kenneth Lever is also the Chair of the Nomination Committee and less than 33% of the Board currently consists of women, which is not in line with the recommendation of the Hampton-Alexander Review. * In addition to his role at Biffa, Kenneth Lever holds a significant number of external time commitments which might affect his ability to devote sufficient time to his role at Biffa. The main reasons for support are: * Progress has been made during the year with the appointment of one female NED and there is stated intention from the Company for the Board to be aligned to the Hampton-Alexander recommendations by the next AGM. * Three of the five boards where Kenneth Lever is a Director are AIM listed companies, including one investment company, and time commitments at these companies are generally considered to be less onerous. * Kenneth Lever has committed to reducing board mandates by the 2022 AGM.</i></p>					
7	Re-elect David Martin as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 3-5 &amp; 7-9A vote FOR these candidates is warranted as no significant concerns have been identified. Item 6A vote FOR this resolution is considered warranted, although it is not without concern for shareholders: * Board Chair Kenneth Lever is also the Chair of the Nomination Committee and less than 33% of the Board currently consists of women, which is not in line with the recommendation of the Hampton-Alexander Review. * In addition to his role at Biffa, Kenneth Lever holds a significant number of external time commitments which might affect his ability to devote sufficient time to his role at Biffa. The main reasons for support are: * Progress has been made during the year with the appointment of one female NED and there is stated intention from the Company for the Board to be aligned to the Hampton-Alexander recommendations by the next AGM. * Three of the five boards where Kenneth Lever is a Director are AIM listed companies, including one investment company, and time commitments at these companies are generally considered to be less onerous. * Kenneth Lever has committed to reducing board mandates by the 2022 AGM.</i></p>					
8	Re-elect Richard Pike as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 3-5 &amp; 7-9A vote FOR these candidates is warranted as no significant concerns have been identified. Item 6A vote FOR this resolution is considered warranted, although it is not without concern for shareholders: * Board Chair Kenneth Lever is also the Chair of the Nomination Committee and less than 33% of the Board currently consists of women, which is not in line with the recommendation of the Hampton-Alexander Review. * In addition to his role at Biffa, Kenneth Lever holds a significant number of external time commitments which might affect his ability to devote sufficient time to his role at Biffa. The main reasons for support are: * Progress has been made during the year with the appointment of one female NED and there is stated intention from the Company for the Board to be aligned to the Hampton-Alexander recommendations by the next AGM. * Three of the five boards where Kenneth Lever is a Director are AIM listed companies, including one investment company, and time commitments at these companies are generally considered to be less onerous. * Kenneth Lever has committed to reducing board mandates by the 2022 AGM.</i></p>					
9	Re-elect Michael Topham as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Items 3-5 &amp; 7-9A vote FOR these candidates is warranted as no significant concerns have been identified. Item 6A vote FOR this resolution is considered warranted, although it is not without concern for shareholders: * Board Chair Kenneth Lever is also the Chair of the Nomination Committee and less than 33% of the Board currently consists of women, which is not in line with the recommendation of the Hampton-Alexander Review. * In addition to his role at Biffa, Kenneth Lever holds a significant number of external time commitments which might affect his ability to devote sufficient time to his role at Biffa. The main reasons for support are: * Progress has been made during the year with the appointment of one female NED and there is stated intention from the Company for the Board to be aligned to the Hampton-Alexander recommendations by the next AGM. * Three of the five boards where Kenneth Lever is a Director are AIM listed companies, including one investment company, and time commitments at these companies are generally considered to be less onerous. * Kenneth Lever has committed to reducing board mandates by the 2022 AGM.</i></p>					
10	Reappoint Deloitte LLP as Auditors	Mgmt	For	For	For
11	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Biffa Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
12	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
13	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
14	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
15	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
16	Adopt New Articles of Association	Mgmt	For	For	For

SSE Plc

Meeting Date: 07/22/2021	Country: United Kingdom	Primary Security ID: G8842P102
Record Date: 07/20/2021	Meeting Type: Annual	Ticker: SSE
Shares Voted: 1,405		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Approve Final Dividend	Mgmt	For	For	For
4	Re-elect Gregor Alexander as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
5	Re-elect Sue Bruce as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
6	Re-elect Tony Cocker as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
7	Re-elect Peter Lynas as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

SSE Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8	Re-elect Helen Mahy as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
9	Elect John Manzoni as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
10	Re-elect Alistair Phillips-Davies as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
11	Re-elect Martin Pibworth as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
12	Re-elect Melanie Smith as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
13	Re-elect Angela Strank as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these candidates is warranted as no significant concerns have been identified.					
14	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	For	For
15	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
16	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
17	Approve Scrip Dividend Scheme	Mgmt	For	For	For
18	Approve Sharesave Scheme	Mgmt	For	For	For
19	Approve Net Zero Transition Report	Mgmt	For	For	For
20	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
21	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
22	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
23	Adopt New Articles of Association	Mgmt	For	For	For

Telecom Plus Plc

Meeting Date: 07/22/2021	Country: United Kingdom	Primary Security ID: G8729H108
Record Date: 07/20/2021	Meeting Type: Annual	Ticker: TEP

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Telecom Plus Plc

Shares Voted: 1,342

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Approve Final Dividend	Mgmt	For	For	For
4	Re-elect Charles Wigoder as Director	Mgmt	For	Against	Abstain
<p><i>Voting Policy Rationale: Item 4A vote AGAINST is considered warranted for the re-election of Charles Wigoder because:* He is the Board Chair and considered responsible for the Corporate Governance arrangements at the Company. It is noted the composition of the Audit Committee and the overall Board structure has been non-compliant with the recommendation of the Code for a number of years, with no effective improvement. Furthermore, board diversity concerns persist, due to the limited female representation on the Board, and the lack of any commitment to have Board composition be aligned with the Hampton-Alexander guidelines.Item 5-9 &amp; 12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 10A vote AGAINST the re-election of Melvin Lawson is considered warranted because:* Melvin Lawson is a non-independent NED whose membership of the Board contributes to the non-compliance with the Code. The director does not sit on any of the principal board Committees leading to a qualified support for his re-election considered warranted at the 2020 AGM. However, a negative recommendation is considered warranted as the Company has failed to improve the independence on the Board.Item 11A vote AGAINST Julian Schild is warranted because:* Julian Schild is considered non-independent due to his tenure but remains the Chair of the Audit Committee. In line with the UK Code, audit committees should comprise wholly of independent directors.</i></p>					
5	Re-elect Andrew Lindsay as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 4A vote AGAINST is considered warranted for the re-election of Charles Wigoder because:* He is the Board Chair and considered responsible for the Corporate Governance arrangements at the Company. It is noted the composition of the Audit Committee and the overall Board structure has been non-compliant with the recommendation of the Code for a number of years, with no effective improvement. Furthermore, board diversity concerns persist, due to the limited female representation on the Board, and the lack of any commitment to have Board composition be aligned with the Hampton-Alexander guidelines.Item 5-9 &amp; 12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 10A vote AGAINST the re-election of Melvin Lawson is considered warranted because:* Melvin Lawson is a non-independent NED whose membership of the Board contributes to the non-compliance with the Code. The director does not sit on any of the principal board Committees leading to a qualified support for his re-election considered warranted at the 2020 AGM. However, a negative recommendation is considered warranted as the Company has failed to improve the independence on the Board.Item 11A vote AGAINST Julian Schild is warranted because:* Julian Schild is considered non-independent due to his tenure but remains the Chair of the Audit Committee. In line with the UK Code, audit committees should comprise wholly of independent directors.</i></p>					
6	Re-elect Nicholas Schoenfeld as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 4A vote AGAINST is considered warranted for the re-election of Charles Wigoder because:* He is the Board Chair and considered responsible for the Corporate Governance arrangements at the Company. It is noted the composition of the Audit Committee and the overall Board structure has been non-compliant with the recommendation of the Code for a number of years, with no effective improvement. Furthermore, board diversity concerns persist, due to the limited female representation on the Board, and the lack of any commitment to have Board composition be aligned with the Hampton-Alexander guidelines.Item 5-9 &amp; 12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 10A vote AGAINST the re-election of Melvin Lawson is considered warranted because:* Melvin Lawson is a non-independent NED whose membership of the Board contributes to the non-compliance with the Code. The director does not sit on any of the principal board Committees leading to a qualified support for his re-election considered warranted at the 2020 AGM. However, a negative recommendation is considered warranted as the Company has failed to improve the independence on the Board.Item 11A vote AGAINST Julian Schild is warranted because:* Julian Schild is considered non-independent due to his tenure but remains the Chair of the Audit Committee. In line with the UK Code, audit committees should comprise wholly of independent directors.</i></p>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Telecom Plus Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Elect Stuart Burnett as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 4A vote AGAINST is considered warranted for the re-election of Charles Wigoder because:* He is the Board Chair and considered responsible for the Corporate Governance arrangements at the Company. It is noted the composition of the Audit Committee and the overall Board structure has been non-compliant with the recommendation of the Code for a number of years, with no effective improvement. Furthermore, board diversity concerns persist, due to the limited female representation on the Board, and the lack of any commitment to have Board composition be aligned with the Hampton-Alexander guidelines.Item 5-9 &amp; 12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 10A vote AGAINST the re-election of Melvin Lawson is considered warranted because:* Melvin Lawson is a non-independent NED whose membership of the Board contributes to the non-compliance with the Code. The director does not sit on any of the principal board Committees leading to a qualified support for his re-election considered warranted at the 2020 AGM. However, a negative recommendation is considered warranted as the Company has failed to improve the independence on the Board.Item 11A vote AGAINST Julian Schild is warranted because:* Julian Schild is considered non-independent due to his tenure but remains the Chair of the Audit Committee. In line with the UK Code, audit committees should comprise wholly of independent directors.</i></p>					
8	Re-elect Beatrice Hollond as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 4A vote AGAINST is considered warranted for the re-election of Charles Wigoder because:* He is the Board Chair and considered responsible for the Corporate Governance arrangements at the Company. It is noted the composition of the Audit Committee and the overall Board structure has been non-compliant with the recommendation of the Code for a number of years, with no effective improvement. Furthermore, board diversity concerns persist, due to the limited female representation on the Board, and the lack of any commitment to have Board composition be aligned with the Hampton-Alexander guidelines.Item 5-9 &amp; 12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 10A vote AGAINST the re-election of Melvin Lawson is considered warranted because:* Melvin Lawson is a non-independent NED whose membership of the Board contributes to the non-compliance with the Code. The director does not sit on any of the principal board Committees leading to a qualified support for his re-election considered warranted at the 2020 AGM. However, a negative recommendation is considered warranted as the Company has failed to improve the independence on the Board.Item 11A vote AGAINST Julian Schild is warranted because:* Julian Schild is considered non-independent due to his tenure but remains the Chair of the Audit Committee. In line with the UK Code, audit committees should comprise wholly of independent directors.</i></p>					
9	Re-elect Andrew Blowers as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 4A vote AGAINST is considered warranted for the re-election of Charles Wigoder because:* He is the Board Chair and considered responsible for the Corporate Governance arrangements at the Company. It is noted the composition of the Audit Committee and the overall Board structure has been non-compliant with the recommendation of the Code for a number of years, with no effective improvement. Furthermore, board diversity concerns persist, due to the limited female representation on the Board, and the lack of any commitment to have Board composition be aligned with the Hampton-Alexander guidelines.Item 5-9 &amp; 12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 10A vote AGAINST the re-election of Melvin Lawson is considered warranted because:* Melvin Lawson is a non-independent NED whose membership of the Board contributes to the non-compliance with the Code. The director does not sit on any of the principal board Committees leading to a qualified support for his re-election considered warranted at the 2020 AGM. However, a negative recommendation is considered warranted as the Company has failed to improve the independence on the Board.Item 11A vote AGAINST Julian Schild is warranted because:* Julian Schild is considered non-independent due to his tenure but remains the Chair of the Audit Committee. In line with the UK Code, audit committees should comprise wholly of independent directors.</i></p>					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Telecom Plus Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10	Re-elect Melvin Lawson as Director	Mgmt	For	Against	Against
<p><i>Voting Policy Rationale: Item 4A vote AGAINST is considered warranted for the re-election of Charles Wigoder because:* He is the Board Chair and considered responsible for the Corporate Governance arrangements at the Company. It is noted the composition of the Audit Committee and the overall Board structure has been non-compliant with the recommendation of the Code for a number of years, with no effective improvement. Furthermore, board diversity concerns persist, due to the limited female representation on the Board, and the lack of any commitment to have Board composition be aligned with the Hampton-Alexander guidelines.Item 5-9 &amp; 12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 10A vote AGAINST the re-election of Melvin Lawson is considered warranted because:* Melvin Lawson is a non-independent NED whose membership of the Board contributes to the non-compliance with the Code. The director does not sit on any of the principal board Committees leading to a qualified support for his re-election considered warranted at the 2020 AGM. However, a negative recommendation is considered warranted as the Company has failed to improve the independence on the Board.Item 11A vote AGAINST Julian Schild is warranted because:* Julian Schild is considered non-independent due to his tenure but remains the Chair of the Audit Committee. In line with the UK Code, audit committees should comprise wholly of independent directors.</i></p>					
11	Re-elect Julian Schild as Director	Mgmt	For	Against	Abstain
<p><i>Voting Policy Rationale: Item 4A vote AGAINST is considered warranted for the re-election of Charles Wigoder because:* He is the Board Chair and considered responsible for the Corporate Governance arrangements at the Company. It is noted the composition of the Audit Committee and the overall Board structure has been non-compliant with the recommendation of the Code for a number of years, with no effective improvement. Furthermore, board diversity concerns persist, due to the limited female representation on the Board, and the lack of any commitment to have Board composition be aligned with the Hampton-Alexander guidelines.Item 5-9 &amp; 12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 10A vote AGAINST the re-election of Melvin Lawson is considered warranted because:* Melvin Lawson is a non-independent NED whose membership of the Board contributes to the non-compliance with the Code. The director does not sit on any of the principal board Committees leading to a qualified support for his re-election considered warranted at the 2020 AGM. However, a negative recommendation is considered warranted as the Company has failed to improve the independence on the Board.Item 11A vote AGAINST Julian Schild is warranted because:* Julian Schild is considered non-independent due to his tenure but remains the Chair of the Audit Committee. In line with the UK Code, audit committees should comprise wholly of independent directors.</i></p>					
12	Elect Suzanne Williams as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 4A vote AGAINST is considered warranted for the re-election of Charles Wigoder because:* He is the Board Chair and considered responsible for the Corporate Governance arrangements at the Company. It is noted the composition of the Audit Committee and the overall Board structure has been non-compliant with the recommendation of the Code for a number of years, with no effective improvement. Furthermore, board diversity concerns persist, due to the limited female representation on the Board, and the lack of any commitment to have Board composition be aligned with the Hampton-Alexander guidelines.Item 5-9 &amp; 12A vote FOR these Directors is warranted as no significant concerns have been identified.Item 10A vote AGAINST the re-election of Melvin Lawson is considered warranted because:* Melvin Lawson is a non-independent NED whose membership of the Board contributes to the non-compliance with the Code. The director does not sit on any of the principal board Committees leading to a qualified support for his re-election considered warranted at the 2020 AGM. However, a negative recommendation is considered warranted as the Company has failed to improve the independence on the Board.Item 11A vote AGAINST Julian Schild is warranted because:* Julian Schild is considered non-independent due to his tenure but remains the Chair of the Audit Committee. In line with the UK Code, audit committees should comprise wholly of independent directors.</i></p>					
13	Reappoint KPMG LLP as Auditors	Mgmt	For	For	For
14	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
15	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
16	Authorise Issue of Equity	Mgmt	For	For	For
<p><i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i></p>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Telecom Plus Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
17	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
18	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
19	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
20	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

### Navinfo Co., Ltd.

**Meeting Date:** 07/23/2021      **Country:** China      **Primary Security ID:** Y62121101  
**Record Date:** 07/19/2021      **Meeting Type:** Special      **Ticker:** 002405

**Shares Voted:** 829,251

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Acquisition of Shares in a Company	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST is warranted due to lack of disclosure.</i>					
2	Approve Extension of Financial Assistance to Subsidiaries	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST is warranted because the level of loan to be provided to the receiving entity is disproportionate to the company's ownership in the said entity. The company has failed to provide any justifications in the meeting circular.</i>					
3	Approve Draft and Summary of Performance Shares Incentive Plan	SH	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST is warranted because:* The performance hurdles are proposed in the second half of the year which also take into consideration of that from the first half of year. Its fairness may be questionable; and* Directors eligible to receive performance shares under the scheme are involved in the administration of the scheme.</i>					
4	Approve Methods to Assess the Performance of Plan Participants	SH	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST is warranted because:* The performance hurdles are proposed in the second half of the year which also take into consideration of that from the first half of year. Its fairness may be questionable; and* Directors eligible to receive performance shares under the scheme are involved in the administration of the scheme.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Navinfo Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Approve Authorization of Board to Handle All Related Matters	SH	For	Against	Against
Voting Policy Rationale: A vote AGAINST is warranted because:* The performance hurdles are proposed in the second half of the year which also take into consideration of that from the first half of year. Its fairness may be questionable; and* Directors eligible to receive performance shares under the scheme are involved in the administration of the scheme.					
6	Amend Articles of Association	SH	For	Against	Against
Voting Policy Rationale: A vote AGAINST is warranted given the underlying performance share incentive plan does not warrant shareholder support.					

Imperial Logistics Ltd.

Meeting Date: 07/26/2021	Country: South Africa	Primary Security ID: S38127122
Record Date: 07/16/2021	Meeting Type: Special	Ticker: IPL
Shares Voted: 276,127		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Related Party Transaction	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this transaction is warranted, although it is not without concern because:* The transaction involves a related party. The main reasons for support are:* The transaction will broaden BEE ownership in the Group, and is in line with its strategy for South Africa operations; and* The Independent Expert has provided an opinion that the transaction is fair to Imperial shareholders.					
2	Approve Listed Share Flip-Up	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this transaction is warranted, although it is not without concern because:* The transaction involves a related party. The main reasons for support are:* The transaction will broaden BEE ownership in the Group, and is in line with its strategy for South Africa operations; and* The Independent Expert has provided an opinion that the transaction is fair to Imperial shareholders.					
3	Authorise Ratification of Approved Resolutions	Mgmt	For	For	For

Vodafone Group Plc

Meeting Date: 07/27/2021	Country: United Kingdom	Primary Security ID: G93882192
Record Date: 07/23/2021	Meeting Type: Annual	Ticker: VOD
Shares Voted: 3,265		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Vodafone Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Elect Olaf Swantee as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>				
3	Re-elect Jean-Francois van Boxmeer as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>				
4	Re-elect Nick Read as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>				
5	Re-elect Margherita Della Valle as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>				
6	Re-elect Sir Crispin Davis as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>				
7	Re-elect Michel Demare as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>				
8	Re-elect Dame Clara Furse as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>				
9	Re-elect Valerie Gooding as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>				
10	Re-elect Maria Amparo Moraleda Martinez as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>				
11	Re-elect Sanjiv Ahuja as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>				
12	Re-elect David Nish as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these Directors is warranted as no significant concerns have been identified.</i>				
13	Approve Final Dividend	Mgmt	For	For	For
14	Approve Remuneration Report	Mgmt	For	For	For
15	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	For	For
16	Authorise the Audit and Risk Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
17	Authorise Issue of Equity	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>				

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Vodafone Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
18	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
19	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
20	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
21	Adopt New Articles of Association	Mgmt	For	For	For
22	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
23	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

Wizz Air Holdings Plc

Meeting Date: 07/27/2021	Country: Jersey	Primary Security ID: G96871101
Record Date: 07/23/2021	Meeting Type: Annual	Ticker: WIZZ
Shares Voted: 154,573		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Policy	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST the proposed remuneration policy is considered warranted:* The Company has not provided a compelling rationale for the retentive or motivational effects of the Value Creation Plan for the CEO, who already holds a significant number of shares; and* The quantum available for the CEO under the VCP is considered excessive;* The policy on service contracts is not fully in line with UK good practice as upon termination of employment other than for cause, Executive Directors are entitled to a severance payment equal to six months' base salary in addition to any notice pay or payment in lieu of notice; and* The bonus deferral policy is not clearly defined in the policy.					
3	Approve Remuneration Report	Mgmt	For	For	For
4	Approve Omnibus Plan	Mgmt	For	For	For
5	Approve Value Creation Plan	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST this resolution is considered warranted* The Company has not provided a compelling rationale for the retentive or motivational effects of the scheme for the CEO, who already holds a significant number of shares; and* The quantum available for CEO is considered excessive.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Wizz Air Holdings Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Re-elect William Franke as Director	Mgmt	For	Against	Against
<p><i>Voting Policy Rationale: Item 6A vote AGAINST the re-election of William Franke is considered warranted because:*</i> The Board only includes two women directors (20%), which is below the 33% target set by the Hampton-Alexander Review. The Nomination Committee does not provide any public commitment that it intends to meet the target set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is William Franke's responsibility to ensure the appropriate composition of the Board.* As raised last year, there are also concerns with the tenure of William Franke and the lack of a succession plan.Items 7, 10 to 21A vote FOR these Directors is warranted as no significant concerns have been identified.Items 8 and 9A vote FOR the re-election of Simon Duffy is considered warranted, although it is not without concern for shareholders:* Simon Duffy has a significant number of external director mandates at publicly-listed companies.The main reasons for support are:* Simon Duffy's number of director mandates have not changed during the period in review. Although it is noted that Nordic Entertainment has spun off from Modern Times in 2018 and is therefore now considered a separate company, however it is recognised that there are synergies across his portfolio of directorships.</p>					
7	Re-elect Jozsef Varadi as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 6A vote AGAINST the re-election of William Franke is considered warranted because:*</i> The Board only includes two women directors (20%), which is below the 33% target set by the Hampton-Alexander Review. The Nomination Committee does not provide any public commitment that it intends to meet the target set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is William Franke's responsibility to ensure the appropriate composition of the Board.* As raised last year, there are also concerns with the tenure of William Franke and the lack of a succession plan.Items 7, 10 to 21A vote FOR these Directors is warranted as no significant concerns have been identified.Items 8 and 9A vote FOR the re-election of Simon Duffy is considered warranted, although it is not without concern for shareholders:* Simon Duffy has a significant number of external director mandates at publicly-listed companies.The main reasons for support are:* Simon Duffy's number of director mandates have not changed during the period in review. Although it is noted that Nordic Entertainment has spun off from Modern Times in 2018 and is therefore now considered a separate company, however it is recognised that there are synergies across his portfolio of directorships.</p>					
8	Re-elect Simon Duffy as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 6A vote AGAINST the re-election of William Franke is considered warranted because:*</i> The Board only includes two women directors (20%), which is below the 33% target set by the Hampton-Alexander Review. The Nomination Committee does not provide any public commitment that it intends to meet the target set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is William Franke's responsibility to ensure the appropriate composition of the Board.* As raised last year, there are also concerns with the tenure of William Franke and the lack of a succession plan.Items 7, 10 to 21A vote FOR these Directors is warranted as no significant concerns have been identified.Items 8 and 9A vote FOR the re-election of Simon Duffy is considered warranted, although it is not without concern for shareholders:* Simon Duffy has a significant number of external director mandates at publicly-listed companies.The main reasons for support are:* Simon Duffy's number of director mandates have not changed during the period in review. Although it is noted that Nordic Entertainment has spun off from Modern Times in 2018 and is therefore now considered a separate company, however it is recognised that there are synergies across his portfolio of directorships.</p>					
9	Re-elect Simon Duffy as Director (Independent Shareholder Vote)	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 6A vote AGAINST the re-election of William Franke is considered warranted because:*</i> The Board only includes two women directors (20%), which is below the 33% target set by the Hampton-Alexander Review. The Nomination Committee does not provide any public commitment that it intends to meet the target set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is William Franke's responsibility to ensure the appropriate composition of the Board.* As raised last year, there are also concerns with the tenure of William Franke and the lack of a succession plan.Items 7, 10 to 21A vote FOR these Directors is warranted as no significant concerns have been identified.Items 8 and 9A vote FOR the re-election of Simon Duffy is considered warranted, although it is not without concern for shareholders:* Simon Duffy has a significant number of external director mandates at publicly-listed companies.The main reasons for support are:* Simon Duffy's number of director mandates have not changed during the period in review. Although it is noted that Nordic Entertainment has spun off from Modern Times in 2018 and is therefore now considered a separate company, however it is recognised that there are synergies across his portfolio of directorships.</p>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Wizz Air Holdings Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10	Re-elect Stephen Johnson as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 6A vote AGAINST the re-election of William Franke is considered warranted because:*</i> The Board only includes two women directors (20%), which is below the 33% target set by the Hampton-Alexander Review. The Nomination Committee does not provide any public commitment that it intends to meet the target set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is William Franke's responsibility to ensure the appropriate composition of the Board.* As raised last year, there are also concerns with the tenure of William Franke and the lack of a succession plan.Items 7, 10 to 21A vote FOR these Directors is warranted as no significant concerns have been identified.Items 8 and 9A vote FOR the re-election of Simon Duffy is considered warranted, although it is not without concern for shareholders:* Simon Duffy has a significant number of external director mandates at publicly-listed companies.The main reasons for support are:* Simon Duffy's number of director mandates have not changed during the period in review. Although it is noted that Nordic Entertainment has spun off from Modern Times in 2018 and is therefore now considered a separate company, however it is recognised that there are synergies across his portfolio of directorships.</p>					
11	Re-elect Barry Eccleston as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 6A vote AGAINST the re-election of William Franke is considered warranted because:*</i> The Board only includes two women directors (20%), which is below the 33% target set by the Hampton-Alexander Review. The Nomination Committee does not provide any public commitment that it intends to meet the target set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is William Franke's responsibility to ensure the appropriate composition of the Board.* As raised last year, there are also concerns with the tenure of William Franke and the lack of a succession plan.Items 7, 10 to 21A vote FOR these Directors is warranted as no significant concerns have been identified.Items 8 and 9A vote FOR the re-election of Simon Duffy is considered warranted, although it is not without concern for shareholders:* Simon Duffy has a significant number of external director mandates at publicly-listed companies.The main reasons for support are:* Simon Duffy's number of director mandates have not changed during the period in review. Although it is noted that Nordic Entertainment has spun off from Modern Times in 2018 and is therefore now considered a separate company, however it is recognised that there are synergies across his portfolio of directorships.</p>					
12	Re-elect Barry Eccleston as Director (Independent Shareholder Vote)	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 6A vote AGAINST the re-election of William Franke is considered warranted because:*</i> The Board only includes two women directors (20%), which is below the 33% target set by the Hampton-Alexander Review. The Nomination Committee does not provide any public commitment that it intends to meet the target set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is William Franke's responsibility to ensure the appropriate composition of the Board.* As raised last year, there are also concerns with the tenure of William Franke and the lack of a succession plan.Items 7, 10 to 21A vote FOR these Directors is warranted as no significant concerns have been identified.Items 8 and 9A vote FOR the re-election of Simon Duffy is considered warranted, although it is not without concern for shareholders:* Simon Duffy has a significant number of external director mandates at publicly-listed companies.The main reasons for support are:* Simon Duffy's number of director mandates have not changed during the period in review. Although it is noted that Nordic Entertainment has spun off from Modern Times in 2018 and is therefore now considered a separate company, however it is recognised that there are synergies across his portfolio of directorships.</p>					
13	Re-elect Andrew Broderick as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 6A vote AGAINST the re-election of William Franke is considered warranted because:*</i> The Board only includes two women directors (20%), which is below the 33% target set by the Hampton-Alexander Review. The Nomination Committee does not provide any public commitment that it intends to meet the target set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is William Franke's responsibility to ensure the appropriate composition of the Board.* As raised last year, there are also concerns with the tenure of William Franke and the lack of a succession plan.Items 7, 10 to 21A vote FOR these Directors is warranted as no significant concerns have been identified.Items 8 and 9A vote FOR the re-election of Simon Duffy is considered warranted, although it is not without concern for shareholders:* Simon Duffy has a significant number of external director mandates at publicly-listed companies.The main reasons for support are:* Simon Duffy's number of director mandates have not changed during the period in review. Although it is noted that Nordic Entertainment has spun off from Modern Times in 2018 and is therefore now considered a separate company, however it is recognised that there are synergies across his portfolio of directorships.</p>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Wizz Air Holdings Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
14	Re-elect Charlotte Pedersen as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 6A vote AGAINST the re-election of William Franke is considered warranted because:* The Board only includes two women directors (20%), which is below the 33% target set by the Hampton-Alexander Review. The Nomination Committee does not provide any public commitment that it intends to meet the target set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is William Franke's responsibility to ensure the appropriate composition of the Board.* As raised last year, there are also concerns with the tenure of William Franke and the lack of a succession plan.Items 7, 10 to 21A vote FOR these Directors is warranted as no significant concerns have been identified.Items 8 and 9A vote FOR the re-election of Simon Duffy is considered warranted, although it is not without concern for shareholders:* Simon Duffy has a significant number of external director mandates at publicly-listed companies.The main reasons for support are:* Simon Duffy's number of director mandates have not changed during the period in review. Although it is noted that Nordic Entertainment has spun off from Modern Times in 2018 and is therefore now considered a separate company, however it is recognised that there are synergies across his portfolio of directorships.</i></p>					
15	Re-elect Charlotte Pedersen as Director (Independent Shareholder Vote)	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 6A vote AGAINST the re-election of William Franke is considered warranted because:* The Board only includes two women directors (20%), which is below the 33% target set by the Hampton-Alexander Review. The Nomination Committee does not provide any public commitment that it intends to meet the target set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is William Franke's responsibility to ensure the appropriate composition of the Board.* As raised last year, there are also concerns with the tenure of William Franke and the lack of a succession plan.Items 7, 10 to 21A vote FOR these Directors is warranted as no significant concerns have been identified.Items 8 and 9A vote FOR the re-election of Simon Duffy is considered warranted, although it is not without concern for shareholders:* Simon Duffy has a significant number of external director mandates at publicly-listed companies.The main reasons for support are:* Simon Duffy's number of director mandates have not changed during the period in review. Although it is noted that Nordic Entertainment has spun off from Modern Times in 2018 and is therefore now considered a separate company, however it is recognised that there are synergies across his portfolio of directorships.</i></p>					
16	Elect Charlotte Andsager as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 6A vote AGAINST the re-election of William Franke is considered warranted because:* The Board only includes two women directors (20%), which is below the 33% target set by the Hampton-Alexander Review. The Nomination Committee does not provide any public commitment that it intends to meet the target set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is William Franke's responsibility to ensure the appropriate composition of the Board.* As raised last year, there are also concerns with the tenure of William Franke and the lack of a succession plan.Items 7, 10 to 21A vote FOR these Directors is warranted as no significant concerns have been identified.Items 8 and 9A vote FOR the re-election of Simon Duffy is considered warranted, although it is not without concern for shareholders:* Simon Duffy has a significant number of external director mandates at publicly-listed companies.The main reasons for support are:* Simon Duffy's number of director mandates have not changed during the period in review. Although it is noted that Nordic Entertainment has spun off from Modern Times in 2018 and is therefore now considered a separate company, however it is recognised that there are synergies across his portfolio of directorships.</i></p>					
17	Elect Charlotte Andsager as Director (Independent Shareholder Vote)	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 6A vote AGAINST the re-election of William Franke is considered warranted because:* The Board only includes two women directors (20%), which is below the 33% target set by the Hampton-Alexander Review. The Nomination Committee does not provide any public commitment that it intends to meet the target set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is William Franke's responsibility to ensure the appropriate composition of the Board.* As raised last year, there are also concerns with the tenure of William Franke and the lack of a succession plan.Items 7, 10 to 21A vote FOR these Directors is warranted as no significant concerns have been identified.Items 8 and 9A vote FOR the re-election of Simon Duffy is considered warranted, although it is not without concern for shareholders:* Simon Duffy has a significant number of external director mandates at publicly-listed companies.The main reasons for support are:* Simon Duffy's number of director mandates have not changed during the period in review. Although it is noted that Nordic Entertainment has spun off from Modern Times in 2018 and is therefore now considered a separate company, however it is recognised that there are synergies across his portfolio of directorships.</i></p>					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Wizz Air Holdings Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
18	Elect Enrique Dupuy de Lome Chavarri as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 6A vote AGAINST the re-election of William Franke is considered warranted because:* The Board only includes two women directors (20%), which is below the 33% target set by the Hampton-Alexander Review. The Nomination Committee does not provide any public commitment that it intends to meet the target set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is William Franke's responsibility to ensure the appropriate composition of the Board.* As raised last year, there are also concerns with the tenure of William Franke and the lack of a succession plan.Items 7, 10 to 21A vote FOR these Directors is warranted as no significant concerns have been identified.Items 8 and 9A vote FOR the re-election of Simon Duffy is considered warranted, although it is not without concern for shareholders:* Simon Duffy has a significant number of external director mandates at publicly-listed companies.The main reasons for support are:* Simon Duffy's number of director mandates have not changed during the period in review. Although it is noted that Nordic Entertainment has spun off from Modern Times in 2018 and is therefore now considered a separate company, however it is recognised that there are synergies across his portfolio of directorships.</i></p>					
19	Elect Enrique Dupuy de Lome Chavarri as Director (Independent Shareholder Vote)	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 6A vote AGAINST the re-election of William Franke is considered warranted because:* The Board only includes two women directors (20%), which is below the 33% target set by the Hampton-Alexander Review. The Nomination Committee does not provide any public commitment that it intends to meet the target set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is William Franke's responsibility to ensure the appropriate composition of the Board.* As raised last year, there are also concerns with the tenure of William Franke and the lack of a succession plan.Items 7, 10 to 21A vote FOR these Directors is warranted as no significant concerns have been identified.Items 8 and 9A vote FOR the re-election of Simon Duffy is considered warranted, although it is not without concern for shareholders:* Simon Duffy has a significant number of external director mandates at publicly-listed companies.The main reasons for support are:* Simon Duffy's number of director mandates have not changed during the period in review. Although it is noted that Nordic Entertainment has spun off from Modern Times in 2018 and is therefore now considered a separate company, however it is recognised that there are synergies across his portfolio of directorships.</i></p>					
20	Elect Anthony Radev as Director	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 6A vote AGAINST the re-election of William Franke is considered warranted because:* The Board only includes two women directors (20%), which is below the 33% target set by the Hampton-Alexander Review. The Nomination Committee does not provide any public commitment that it intends to meet the target set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is William Franke's responsibility to ensure the appropriate composition of the Board.* As raised last year, there are also concerns with the tenure of William Franke and the lack of a succession plan.Items 7, 10 to 21A vote FOR these Directors is warranted as no significant concerns have been identified.Items 8 and 9A vote FOR the re-election of Simon Duffy is considered warranted, although it is not without concern for shareholders:* Simon Duffy has a significant number of external director mandates at publicly-listed companies.The main reasons for support are:* Simon Duffy's number of director mandates have not changed during the period in review. Although it is noted that Nordic Entertainment has spun off from Modern Times in 2018 and is therefore now considered a separate company, however it is recognised that there are synergies across his portfolio of directorships.</i></p>					
21	Elect Anthony Radev as Director (Independent Shareholder Vote)	Mgmt	For	For	For
<p><i>Voting Policy Rationale: Item 6A vote AGAINST the re-election of William Franke is considered warranted because:* The Board only includes two women directors (20%), which is below the 33% target set by the Hampton-Alexander Review. The Nomination Committee does not provide any public commitment that it intends to meet the target set by the Hampton-Alexander Review by the next AGM. As Chair of the Nomination Committee, it is William Franke's responsibility to ensure the appropriate composition of the Board.* As raised last year, there are also concerns with the tenure of William Franke and the lack of a succession plan.Items 7, 10 to 21A vote FOR these Directors is warranted as no significant concerns have been identified.Items 8 and 9A vote FOR the re-election of Simon Duffy is considered warranted, although it is not without concern for shareholders:* Simon Duffy has a significant number of external director mandates at publicly-listed companies.The main reasons for support are:* Simon Duffy's number of director mandates have not changed during the period in review. Although it is noted that Nordic Entertainment has spun off from Modern Times in 2018 and is therefore now considered a separate company, however it is recognised that there are synergies across his portfolio of directorships.</i></p>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Wizz Air Holdings Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
22	Reappoint PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
23	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
24	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
25	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
26	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Specified Capital Investment	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					

Alstom SA

Meeting Date: 07/28/2021      Country: France      Primary Security ID: F0259M475  
Record Date: 07/26/2021      Meeting Type: Annual/Special      Ticker: ALO

Shares Voted: 322

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Ordinary Business	Mgmt			
	Approve Financial Statements and Statutory Reports	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.					
2	Approve Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For
Voting Policy Rationale: Votes FOR the approval of the annual accounts are warranted due to the unqualified auditors' opinion and lack of concerns.					
3	Approve Allocation of Income and Dividends of EUR 0.25 per Share With an Option for Payment of Dividends in Cash or in Shares	Mgmt	For	For	For
4	Approve Auditors' Special Report on Related-Party Transactions Mentioning the Absence of New Transactions	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Alstom SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Renew Appointment of PricewaterhouseCoopers Audit as Auditor	Mgmt	For	For	For
<i>Voting Policy Rationale: * A vote FOR Item 5 regarding the renewal of PricewaterhouseCoopers Audit as auditor is warranted although it raises some concerns given that the non-audit fees are higher than audit fees. The main reason for support is that these exceptional, non-recurring and linked to the acquisition of Bombardier Transport. * A vote FOR Item 7 is warranted because there are no concerns regarding the proposed renewal of Mazars as auditor.</i>					
6	Acknowledge End of Mandate of Jean-Christophe Georgiou as Alternate Auditor and Decision Not to Replace and Renew	Mgmt	For	For	For
<i>Voting Policy Rationale: In the absence of specific concerns, votes FOR these items are warranted.</i>					
7	Renew Appointment of Mazars as Auditor	Mgmt	For	For	For
<i>Voting Policy Rationale: * A vote FOR Item 5 regarding the renewal of PricewaterhouseCoopers Audit as auditor is warranted although it raises some concerns given that the non-audit fees are higher than audit fees. The main reason for support is that these exceptional, non-recurring and linked to the acquisition of Bombardier Transport. * A vote FOR Item 7 is warranted because there are no concerns regarding the proposed renewal of Mazars as auditor.</i>					
8	Acknowledge End of Mandate of Jean-Maurice El Nouchi as Alternate Auditor and Decision Not to Replace and Renew	Mgmt	For	For	For
<i>Voting Policy Rationale: In the absence of specific concerns, votes FOR these items are warranted.</i>					
9	Approve Remuneration Policy of Chairman and CEO	Mgmt	For	For	For
10	Approve Remuneration Policy of Directors	Mgmt	For	For	For
11	Approve Compensation Report of Corporate Officers	Mgmt	For	For	For
12	Approve Compensation of Henri Poupart-Lafarge, Chairman and CEO	Mgmt	For	For	For
13	Authorize Repurchase of Up to 5 Percent of Issued Share Capital	Mgmt	For	For	For
	Extraordinary Business	Mgmt			
14	Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	Mgmt	For	For	For
15	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the employee stock purchase plans are warranted as their proposed volume respect the 10-percent recommended guidelines.</i>					
16	Authorize Capital Issuances for Use in Employee Stock Purchase Plans Reserved for Employees of International Subsidiaries	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the employee stock purchase plans are warranted as their proposed volume respect the 10-percent recommended guidelines.</i>					
17	Authorize up to 5 Million Shares for Use in Restricted Stock Plans	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Alstom SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
18	Remove Articles Related to Preferred Stock	Mgmt	For	For	For
19	Amend Articles of Bylaws to Comply with Legal Changes	Mgmt	For	For	For
20	Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 911 Million	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the authorizations under Items 20-27 are warranted as their proposed volumes respect the recommended guidelines for issuances without preemptive rights.</i>					
21	Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 260 Million	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the authorizations under Items 20-27 are warranted as their proposed volumes respect the recommended guidelines for issuances without preemptive rights.</i>					
22	Approve Issuance of Equity or Equity-Linked Securities for Private Placements, up to Aggregate Nominal Amount of EUR 260 Million	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the authorizations under Items 20-27 are warranted as their proposed volumes respect the recommended guidelines for issuances without preemptive rights.</i>					
23	Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the authorizations under Items 20-27 are warranted as their proposed volumes respect the recommended guidelines for issuances without preemptive rights.</i>					
24	Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the authorizations under Items 20-27 are warranted as their proposed volumes respect the recommended guidelines for issuances without preemptive rights.</i>					
25	Authorize Board to Set Issue Price for 10 Percent Per Year of Issued Capital Pursuant to Issue Authority without Preemptive Rights	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the authorizations under Items 20-27 are warranted as their proposed volumes respect the recommended guidelines for issuances without preemptive rights.</i>					
26	Authorize Capital Increase of Up to EUR 260 Million for Future Exchange Offers	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the authorizations under Items 20-27 are warranted as their proposed volumes respect the recommended guidelines for issuances without preemptive rights.</i>					
27	Approve Issuance of Equity or Equity-Linked Securities for Reserved for Specific Beneficiaries, up to Aggregate Nominal Amount of EUR 260 Million	Mgmt	For	For	For
<i>Voting Policy Rationale: Votes FOR the authorizations under Items 20-27 are warranted as their proposed volumes respect the recommended guidelines for issuances without preemptive rights.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Alstom SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
28	Ordinary Business	Mgmt			
	Authorize Filing of Required Documents/Other Formalities	Mgmt	For	For	For

China Machinery Engineering Corporation

Meeting Date: 07/28/2021	Country: China	Primary Security ID: Y1501U108
Record Date: 07/22/2021	Meeting Type: Extraordinary Shareholders	Ticker: 1829
Shares Voted: 1,141,000		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Merger Agreement and Authorize Fang Yanshui to Deal with All Matters in Relation to the Merger Agreement	Mgmt	For	For	For

China Machinery Engineering Corporation

Meeting Date: 07/28/2021	Country: China	Primary Security ID: Y1501U108
Record Date: 07/22/2021	Meeting Type: Special	Ticker: 1829
Shares Voted: 1,141,000		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt			
	Approve Merger Agreement and Authorize Fang Yanshui to Deal with All Matters in Relation to the Merger Agreement	Mgmt	For	For	For

Xiabuxiabu Catering Management (China) Holdings Co., Ltd.

Meeting Date: 07/28/2021	Country: Cayman Islands	Primary Security ID: G98297107
Record Date: 07/22/2021	Meeting Type: Extraordinary Shareholders	Ticker: 520

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Xiabuxiabu Catering Management (China) Holdings Co., Ltd.

Shares Voted: 19,035,500

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Removal of Zhao Yi as Director	Mgmt	For	For	For

Axis Bank Limited

Meeting Date: 07/30/2021Country: IndiaPrimary Security ID: Y0487S137  
Record Date: 07/23/2021Meeting Type: AnnualTicker: 532215

Shares Voted: 19,763,660

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Reelect Rajiv Anand as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.					
3	Approve M P Chitale & Co., Chartered Accountants, Mumbai as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this proposal is warranted given the absence of any significant concerns.					
4	Approve C N K & Associates LLP, Chartered Accountants, Mumbai as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this proposal is warranted given the absence of any significant concerns.					
5	Elect Vasantha Govindan as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.					
6	Elect S. Mahendra Dev as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board dynamics.					
7	Approve Revision in Remuneration to Rakesh Makhija as Non-Executive (Part-Time) Chairman	Mgmt	For	For	For
8	Approve Revision in Remuneration to Amitabh Chaudhry as Managing Director & CEO	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominee and his proposed remuneration.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Axis Bank Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9	Approve Reappointment and Remuneration of Amitabh Chaudhry as Managing Director & CEO	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominee and his proposed remuneration.</i>					
10	Approve Revision in Remuneration to Rajiv Anand as Executive Director (Wholesale Banking)	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and their proposed remuneration.</i>					
11	Approve Revision in Remuneration to Rajesh Dahiya as Executive Director (Corporate Centre)	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and their proposed remuneration.</i>					
12	Approve Payment of Compensation to Non-Executive Director (Excluding the Non-Executive (Part-time) Chairperson)	Mgmt	For	For	For
13	Authorize Issuance of Debt Securities	Mgmt	For	For	For
14	Approve Issuance of Additional Equity Stock Options Convertible into Equity Shares to Permanent Employees and Whole-Time Directors of the Company	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given that the overall terms of the proposed plan is reasonable.</i>					
15	Approve Issuance of Additional Equity Stock Options Convertible into Equity Shares to Permanent Employees and Whole-Time Directors of Present and Future Subsidiary Companies	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given that the overall terms of the proposed plan is reasonable.</i>					
16	Approve Reclassification of United India Insurance Company Limited from Promoter Group to Public Category	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given that the reasons provided are deemed sufficient and there is no known adverse impact on shareholder value and rights.</i>					
17	Approve Reclassification of National Insurance Company Limited from Promoter Group to Public Category	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted given that the reasons provided are deemed sufficient and there is no known adverse impact on shareholder value and rights.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Axis Bank Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
18	Approve Reclassification of The New India Assurance Company Limited from Promoter Group to Public Category	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given that the reasons provided are deemed sufficient and there is no known adverse impact on shareholder value and rights.					
19	Approve Reclassification of General Insurance Corporation of India from Promoter Group to Public Category	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given that the reasons provided are deemed sufficient and there is no known adverse impact on shareholder value and rights.					

Lens Technology Co., Ltd.

Meeting Date: 07/30/2021	Country: China	Primary Security ID: Y5227A106
Record Date: 07/26/2021	Meeting Type: Special	Ticker: 300433
Shares Voted: 16,614,523		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			
	Elect Zhou Qunfei as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
1.2	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			
	Elect Zheng Junlong as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
1.3	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			
	Elect Rao Qiaobing as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
2.1	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			
	Elect Tang Guoping as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
2.2	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			
	Elect Wan Wei as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Lens Technology Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.3	Elect Liu Yue as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
2.4	Elect Peng Diefeng as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
	ELECT SUPERVISORS VIA CUMULATIVE VOTING	Mgmt			
3.1	Elect Kuang Hongfeng as Supervisor	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR both nominees is warranted given the absence of any known issues concerning the nominees.					
3.2	Elect Tang Jun as Supervisor	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR both nominees is warranted given the absence of any known issues concerning the nominees.					
4	Approve Provision of Guarantee	Mgmt	For	For	For

Great Canadian Gaming Corporation

Meeting Date: 08/04/2021	Country: Canada	Primary Security ID: 389914102
Record Date: 06/08/2021	Meeting Type: Annual/Special	Ticker: GC
Shares Voted: 8,906		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Fix Number of Directors at Five	Mgmt	For	For	For
2.1	Elect Director Peter G. Meredith	Mgmt	For	For	For
Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.					
2.2	Elect Director Mark A. Davis	Mgmt	For	For	For
Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.					
2.3	Elect Director Elizabeth L. DelBianco	Mgmt	For	For	For
Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.					
2.4	Elect Director Thomas W. Gaffney	Mgmt	For	For	For
Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.					
2.5	Elect Director Karen A. Keilty	Mgmt	For	For	For
Voting Policy Rationale: Vote FOR all proposed nominees as no significant concerns have been identified at this time.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Great Canadian Gaming Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Approve Deloitte LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation Approach	Mgmt	For	For	For
5	Re-approve Share Option Plan	Mgmt	For	Against	Against
Voting Policy Rationale: Based on evaluation of the estimated cost, plan features, grant practices, and overriding negative factors using the Equity Plan Score Card (EPSC), vote AGAINST this stock option plan due to the following key factor(s):* The plan's estimated cost is excessive;* The plan provides for financial assistance to participants;* The vesting provision for full-value awards issued to the CEO is insufficient.					

Ninety One Plc

Meeting Date: 08/04/2021Country: United KingdomPrimary Security ID: G6524E106  
Record Date: 08/02/2021Meeting Type: AnnualTicker: N91

Shares Voted: 5,261

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Common Business: Ninety One plc and Ninety One Limited	Mgmt			
1	Re-elect Hendrik du Toit as Director	Mgmt	For	For	For
Voting Policy Rationale: Items 1,2 and 4-8A vote FOR these directors is considered warranted as no significant concerns have been identified.Item 3A vote FOR the re-election of Gareth Penny is warranted, although it is not without concern for shareholders:* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* The time commitments required at the Director's external board mandates are not considered excessively onerous.					
2	Re-elect Kim McFarland as Director	Mgmt	For	For	For
Voting Policy Rationale: Items 1,2 and 4-8A vote FOR these directors is considered warranted as no significant concerns have been identified.Item 3A vote FOR the re-election of Gareth Penny is warranted, although it is not without concern for shareholders:* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* The time commitments required at the Director's external board mandates are not considered excessively onerous.					
3	Re-elect Gareth Penny as Director	Mgmt	For	For	For
Voting Policy Rationale: Items 1,2 and 4-8A vote FOR these directors is considered warranted as no significant concerns have been identified.Item 3A vote FOR the re-election of Gareth Penny is warranted, although it is not without concern for shareholders:* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* The time commitments required at the Director's external board mandates are not considered excessively onerous.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Ninety One Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Re-elect Idoya Basterrechea Aranda as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 1,2 and 4-8A vote FOR these directors is considered warranted as no significant concerns have been identified.Item 3A vote FOR the re-election of Gareth Penny is warranted, although it is not without concern for shareholders:* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* The time commitments required at the Director's external board mandates are not considered excessively onerous.</i>					
5	Re-elect Colin Keogh as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 1,2 and 4-8A vote FOR these directors is considered warranted as no significant concerns have been identified.Item 3A vote FOR the re-election of Gareth Penny is warranted, although it is not without concern for shareholders:* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* The time commitments required at the Director's external board mandates are not considered excessively onerous.</i>					
6	Re-elect Busisiwe Mabuza as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 1,2 and 4-8A vote FOR these directors is considered warranted as no significant concerns have been identified.Item 3A vote FOR the re-election of Gareth Penny is warranted, although it is not without concern for shareholders:* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* The time commitments required at the Director's external board mandates are not considered excessively onerous.</i>					
7	Re-elect Victoria Cochrane as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 1,2 and 4-8A vote FOR these directors is considered warranted as no significant concerns have been identified.Item 3A vote FOR the re-election of Gareth Penny is warranted, although it is not without concern for shareholders:* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* The time commitments required at the Director's external board mandates are not considered excessively onerous.</i>					
8	Elect Khumo Shuenyane as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Items 1,2 and 4-8A vote FOR these directors is considered warranted as no significant concerns have been identified.Item 3A vote FOR the re-election of Gareth Penny is warranted, although it is not without concern for shareholders:* He holds a significant number of board roles at other publicly-listed companies in addition to his position at the Company. These external time commitments may undermine his ability to serve effectively in his respective roles.The main reason for support is:* The time commitments required at the Director's external board mandates are not considered excessively onerous.</i>					
9	Approve Remuneration Report	Mgmt	For	For	For
10	Approve Remuneration Policy	Mgmt	For	For	For
11	Approve Climate Related Financial Reporting	Mgmt	For	For	For
	Ordinary Business: Ninety One plc	Mgmt			
12	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
13	Approve Final Dividend	Mgmt	For	For	For
14	Reappoint KPMG LLP as Auditors	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Ninety One Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
15	Authorise the Audit and Risk Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
	Special Business: Ninety One plc	Mgmt			
16	Authorise Issue of Equity	Mgmt	For	For	For
17	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
18	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
19	Adopt New Articles of Association	Mgmt	For	For	For
20	Approve Long Term Incentive Plan	Mgmt	For	For	For
	Ordinary Business: Ninety One Limited	Mgmt			
21	Present Financial Statements and Statutory Reports for the Year Ended 31 March 2021	Mgmt			
22	Approve Final Dividend	Mgmt	For	For	For
23	Reappoint KPMG Inc as Auditors with Gawie Kolbe as the Designated Audit Partner	Mgmt	For	For	For
24.1	Re-elect Victoria Cochrane as Member of the Audit and Risk Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted.* All of the members of the Audit Committee are independent.</i>					
24.2	Re-elect Idoya Basterrechea Aranda as Member of the Audit and Risk Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted.* All of the members of the Audit Committee are independent.</i>					
24.3	Re-elect Colin Keogh as Member of the Audit and Risk Committee	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these items is warranted.* All of the members of the Audit Committee are independent.</i>					
	Special Business: Ninety One Limited	Mgmt			
25	Place Authorised but Unissued Ordinary Shares and Special Converting Shares under Control of Directors	Mgmt	For	For	For
26	Authorise Board to Issue Shares for Cash	Mgmt	For	For	For
27	Amend Long Term Incentive Plan	Mgmt	For	For	For
	Special Resolutions	Mgmt			
28	Authorise Repurchase of Issued Share Capital	Mgmt	For	For	For
29	Approve Financial Assistance to Related or Inter-related Company and Directors	Mgmt	For	For	For
30	Approve Non-Executive Directors' Remuneration	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Home First Finance Company India Ltd.

Meeting Date: 08/05/2021Country: IndiaPrimary Security ID: Y3269P107  
Record Date: 07/30/2021Meeting Type: AnnualTicker: 543259

Shares Voted: 416,657

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Deloitte Haskins and Sells, Chartered Accountants as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
3	Reelect Divya Sehgal as Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted because the board independence norms are not met (after ISS re-classification) and Divya Sehgal is a non-independent director nominee.					
4	Approve Grant of the Employee Stock Options Under the ESOP 2012 Scheme	Mgmt	For	For	Against
Voting Policy Rationale: A vote FOR these resolutions is warranted given that the overall terms of the proposed Scheme are considered reasonable.					
5	Approve Grant of the Employee Stock Options Under the ESOP II 2012 Scheme	Mgmt	For	For	Against
Voting Policy Rationale: A vote FOR these resolutions is warranted given that the overall terms of the proposed Scheme are considered reasonable.					
6	Amend Articles of Association - Board Related	Mgmt	For	For	For

Shares Voted: 672,792

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Deloitte Haskins and Sells, Chartered Accountants as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
3	Reelect Divya Sehgal as Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted because the board independence norms are not met (after ISS re-classification) and Divya Sehgal is a non-independent director nominee.					
4	Approve Grant of the Employee Stock Options Under the ESOP 2012 Scheme	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given that the overall terms of the proposed Scheme are considered reasonable.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Home First Finance Company India Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Approve Grant of the Employee Stock Options Under the ESOP II 2012 Scheme	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given that the overall terms of the proposed Scheme are considered reasonable.					
6	Amend Articles of Association - Board Related	Mgmt	For	For	For

Larsen & Toubro Limited

Meeting Date: 08/05/2021	Country: India	Primary Security ID: Y5217N159
Record Date: 07/29/2021	Meeting Type: Annual	Ticker: 500510
Shares Voted: 18,838		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3	Reelect D. K. Sen as Director	Mgmt	For	For	For
Voting Policy Rationale: Item 10: Reelect Narayanan Kumar as DirectorA vote AGAINST the following nominee is warranted because:* Narayanan Kumar (Item 10) serves on a total of more than six public company boards, which could potentially compromise his ability to commit sufficient time to his role in the company.Items 3-9:A vote FOR all other nominees is warranted given the absence of any known issues concerning them.					
4	Reelect Hemant Bhargava as Director	Mgmt	For	For	For
Voting Policy Rationale: Item 10: Reelect Narayanan Kumar as DirectorA vote AGAINST the following nominee is warranted because:* Narayanan Kumar (Item 10) serves on a total of more than six public company boards, which could potentially compromise his ability to commit sufficient time to his role in the company.Items 3-9:A vote FOR all other nominees is warranted given the absence of any known issues concerning them.					
5	Reelect M. V. Satish as Director	Mgmt	For	For	For
Voting Policy Rationale: Item 10: Reelect Narayanan Kumar as DirectorA vote AGAINST the following nominee is warranted because:* Narayanan Kumar (Item 10) serves on a total of more than six public company boards, which could potentially compromise his ability to commit sufficient time to his role in the company.Items 3-9:A vote FOR all other nominees is warranted given the absence of any known issues concerning them.					
6	Reelect R. Shankar Raman to Continue Office as Director	Mgmt	For	For	For
Voting Policy Rationale: Item 10: Reelect Narayanan Kumar as DirectorA vote AGAINST the following nominee is warranted because:* Narayanan Kumar (Item 10) serves on a total of more than six public company boards, which could potentially compromise his ability to commit sufficient time to his role in the company.Items 3-9:A vote FOR all other nominees is warranted given the absence of any known issues concerning them.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Larsen & Toubro Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Elect Preetha Reddy as Director	Mgmt	For	For	For
Voting Policy Rationale: Item 10: Reelect Narayanan Kumar as DirectorA vote AGAINST the following nominee is warranted because:* Narayanan Kumar (Item 10) serves on a total of more than six public company boards, which could potentially compromise his ability to commit sufficient time to his role in the company.Items 3-9:A vote FOR all other nominees is warranted given the absence of any known issues concerning them.					
8	Elect Preetha Reddy as Independent Director	Mgmt	For	For	For
Voting Policy Rationale: Item 10: Reelect Narayanan Kumar as DirectorA vote AGAINST the following nominee is warranted because:* Narayanan Kumar (Item 10) serves on a total of more than six public company boards, which could potentially compromise his ability to commit sufficient time to his role in the company.Items 3-9:A vote FOR all other nominees is warranted given the absence of any known issues concerning them.					
9	Reelect Sanjeev Aga as Director	Mgmt	For	For	For
Voting Policy Rationale: Item 10: Reelect Narayanan Kumar as DirectorA vote AGAINST the following nominee is warranted because:* Narayanan Kumar (Item 10) serves on a total of more than six public company boards, which could potentially compromise his ability to commit sufficient time to his role in the company.Items 3-9:A vote FOR all other nominees is warranted given the absence of any known issues concerning them.					
10	Reelect Narayanan Kumar as Director	Mgmt	For	Against	Against
Voting Policy Rationale: Item 10: Reelect Narayanan Kumar as DirectorA vote AGAINST the following nominee is warranted because:* Narayanan Kumar (Item 10) serves on a total of more than six public company boards, which could potentially compromise his ability to commit sufficient time to his role in the company.Items 3-9:A vote FOR all other nominees is warranted given the absence of any known issues concerning them.					
11	Approve Reappointment and Remuneration of M. V. Satish as Whole-time Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted as the company has failed to specify the quantum of commission that the nominee is entitled to receive. This could lead to discretionary payouts.					
12	Approve Reappointment and Remuneration of R. Shankar Raman as Whole-time Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted as the company has failed to specify the quantum of commission that the nominee is entitled to receive. This could lead to discretionary payouts.					
13	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For	For
14	Approve Remuneration of Cost Auditors	Mgmt	For	For	For

Bandhan Bank Limited

Meeting Date: 08/06/2021	Country: India	Primary Security ID: Y0567Q100
Record Date: 07/30/2021	Meeting Type: Annual	Ticker: 541153
Shares Voted: 13,857,013		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Bandhan Bank Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Approve Dividend	Mgmt	For	For	For
3	Reelect Holger Dirk Michaelis as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
4	Approve M. M. Nissim & Co. LLP, Chartered Accountant as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5	Elect Suhail Chander as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
6	Elect Subrata Dutta Gupta as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
7	Reelect Allamraju Subramanya Ramasastris as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
8	Reelect Santanu Mukherjee as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
9	Reelect Anup Kumar Sinha as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
10	Approve Reappointment and Remuneration of Anup Kumar Sinha as Non-Executive Chairman	Mgmt	For	For	For
11	Approve Reappointment of Chandra Shekhar Ghosh as Managing Director & CEO of the Bank	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominee and his proposed remuneration.					
12	Approve Revision in Remuneration to Chandra Shekhar Ghosh as Managing Director & CEO of the Bank	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominee and his proposed remuneration.					

Equitas Holdings Ltd.

Meeting Date: 08/12/2021	Country: India	Primary Security ID: Y2R9D8109
Record Date: 08/05/2021	Meeting Type: Annual	Ticker: 539844



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Equitas Holdings Ltd.

Shares Voted: 10,184,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Reelect John Alex as Director	Mgmt	For	For	For
3	Confirm Interim Dividend as Final Dividend	Mgmt	For	For	For
4	Approve Charitable Donations	Mgmt	For	Against	For

Voting Policy Rationale: A vote AGAINST this resolution is warranted given the lack of further information on the proposed donation.

Equitas Small Finance Bank Ltd.

Meeting Date: 08/12/2021Country: IndiaPrimary Security ID: Y2R9VF103  
Record Date: 08/05/2021Meeting Type: AnnualTicker: 543243

Shares Voted: 2,089,099

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Reelect Vasudevan P N as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
3	Approve Revision in the Term of T. R. Chadha & Co LLP, Chartered Accountants, Chennai as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted given the absence of any significant concerns.					
4	Approve Varma & Varma, Chartered Accountants, Chennai as Joint Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these proposals is warranted given the absence of any significant concerns.					
5	Elect Ramesh Rangan as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
6	Reelect N Srinivasan as Director	Mgmt	For	For	For

Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Equitas Small Finance Bank Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Reelect N. Balakrishnan as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
8	Reelect Arun Kumar Verma as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
9	Reelect Arun Ramanathan as Part-time Chairman and Non-Executive Independent Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
10	Approve Revision in the Remuneration of Vasudevan P N as Managing Director	Mgmt	For	For	For
11	Authorize Issuance of Debt Securities including Long Term Bonds, Perpetual Debt Instruments, Tier II Capital and Bonds or Such Other Debt Securities on Private Placement Basis	Mgmt	For	For	For
12	Approve Charitable Donations	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST this resolution is warranted given the lack of further information on the proposed donation.					

Pacific Textiles Holdings Limited

Meeting Date: 08/12/2021	Country: Cayman Islands	Primary Security ID: G68612103
Record Date: 08/06/2021	Meeting Type: Annual	Ticker: 1382
Shares Voted: 10,101,000		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3a	Elect Wan Wai Loi as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
3b	Elect Toshiya Ishii as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					
3c	Elect Lau Yiu Tong as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Pacific Textiles Holdings Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3d	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
4	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST the general share issuance mandate in Item 5 is warranted given that the company has not specified the discount limit.A vote AGAINST the share reissuance request in Item 7 is warranted given that the reissuance of repurchased shares would cause the aggregate share issuance limit to exceed 10 percent and the discount limit has not been specified.					
6	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
7	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST the general share issuance mandate in Item 5 is warranted given that the company has not specified the discount limit.A vote AGAINST the share reissuance request in Item 7 is warranted given that the reissuance of repurchased shares would cause the aggregate share issuance limit to exceed 10 percent and the discount limit has not been specified.					

RMG Acquisition Corp. II

Meeting Date: 08/16/2021	Country: Cayman Islands	Primary Security ID: G76083107
Record Date: 07/20/2021	Meeting Type: Extraordinary Shareholders	Ticker: RMGB
Shares Voted: 4,390		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve SPAC Transaction	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST this proposal is warranted given the execution risk and uncertain value inherent in the transaction relative to redemption. The lack of material appreciation in RMGB shares above the redemption value may demonstrate that shareholders do not value the post-transaction shares to be materially above \$10.00 per share or that investors do not view the proposed transaction favorably. As such, shareholders appear to be better off if they choose to forgo the deal, face no operational risk, and select the relatively riskless redemption option that will deliver \$10.00 in cash per share.					
2	Approve Merger Agreement	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST this proposal is warranted given the execution risk and uncertain value inherent in the transaction relative to redemption. The lack of material appreciation in RMGB shares above the redemption value may demonstrate that shareholders do not value the post-transaction shares to be materially above \$10.00 per share or that investors do not view the proposed transaction favorably. As such, shareholders appear to be better off if they choose to forgo the deal, face no operational risk, and select the relatively riskless redemption option that will deliver \$10.00 in cash per share.					
3	Amend Share Capital and Amend Memorandum of Articles	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST this proposal is warranted as it would facilitate the completion of the underlying business combination, which does not warrant support.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

RMG Acquisition Corp. II

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Adjourn Meeting	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST this proposal is warranted as the underlying business combination does not warrant support.					

Sinoma Science & Technology Co., Ltd.

Meeting Date: 08/17/2021	Country: China	Primary Security ID: Y80025102
Record Date: 08/10/2021	Meeting Type: Special	Ticker: 002080
Shares Voted: 14,590,222		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Guarantee Provision Plan	Mgmt	For	For	For
2	Approve Provision of Guarantee for Hunan Zhongli New Material Co., Ltd.	Mgmt	For	For	For
3	Approve Amendments to Articles of Association	Mgmt	For	For	For

ICICI Bank Limited

Meeting Date: 08/20/2021	Country: India	Primary Security ID: Y3860Z132
Record Date: 08/13/2021	Meeting Type: Annual	Ticker: 532174
Shares Voted: 15,591,111		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Dividend	Mgmt	For	For	For
3	Reelect Sandeep Bakhshi as Director	Mgmt	For	For	For
4	Approve MSKA & Associates, Chartered Accountants as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this proposal is warranted given the absence of any significant concerns.					
5	Approve Khimji Kunverji & Co LLP, Chartered Accountants as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this proposal is warranted given the absence of any significant concerns.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

ICICI Bank Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Approve Revision in the Remuneration of Sandeep Bakhshi as Managing Director and Chief Executive Officer	Mgmt	For	For	For
7	Approve Revision in the Remuneration of Vishakha Mulye as Executive Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and their proposed remuneration.					
8	Approve Revision in the Remuneration of Sandeep Batra as Executive Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and their proposed remuneration.					
9	Approve Revision in the Remuneration of Anup Bagchi as Executive Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and their proposed remuneration.					
10	Approve Reappointment and Remuneration of Anup Bagchi as Whole Time Director Designated as Executive Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted given the absence of any known issues concerning the nominees and their proposed remuneration.					
11	Approve Payment of Remuneration to Non-Executive Directors (other than Part-Time Chairman and the Director Nominated by the Government of India)	Mgmt	For	For	For

ICICI Bank Limited

Meeting Date: 08/20/2021Country: IndiaPrimary Security ID: Y3860Z132  
Record Date: 07/30/2021Meeting Type: AnnualTicker: 532174

Shares Voted: 0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Informational Meeting for ADR Holders	Mgmt			
	Accept Financial Statements and Statutory Reports	Mgmt			
Voting Policy Rationale: These are non-voting items.					
2	Approve Dividend	Mgmt			
Voting Policy Rationale: These are non-voting items.					
3	Reelect Sandeep Bakhshi as Director	Mgmt			
Voting Policy Rationale: These are non-voting items.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### ICICI Bank Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Approve MSKA & Associates, Chartered Accountants as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt			
Voting Policy Rationale: These are non-voting items.					
5	Approve Khimji Kunverji & Co LLP, Chartered Accountants as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt			
Voting Policy Rationale: These are non-voting items.					
6	Approve Revision in the Remuneration of Sandeep Bakhshi as Managing Director and Chief Executive Officer	Mgmt			
Voting Policy Rationale: These are non-voting items.					
7	Approve Revision in the Remuneration of Vishakha Mulye as Executive Director	Mgmt			
Voting Policy Rationale: These are non-voting items.					
8	Approve Revision in the Remuneration of Sandeep Batra as Executive Director	Mgmt			
Voting Policy Rationale: These are non-voting items.					
9	Approve Revision in the Remuneration of Anup Bagchi as Executive Director	Mgmt			
Voting Policy Rationale: These are non-voting items.					
10	Approve Reappointment and Remuneration of Anup Bagchi as Whole Time Director Designated as Executive Director	Mgmt			
Voting Policy Rationale: These are non-voting items.					
11	Approve Payment of Remuneration to Non-Executive Directors (other than Part-Time Chairman and the Director Nominated by the Government of India)	Mgmt			
Voting Policy Rationale: These are non-voting items.					

### Embracer Group AB

<b>Meeting Date:</b> 08/23/2021	<b>Country:</b> Sweden	<b>Primary Security ID:</b> W2504N101
<b>Record Date:</b> 08/13/2021	<b>Meeting Type:</b> Extraordinary Shareholders	<b>Ticker:</b> EMBRAC.B
<b>Shares Voted:</b> 23,156		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting	Mgmt			

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Embracer Group AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Voting Policy Rationale: These are routine meeting formalities.				
2	Elect Chairman of Meeting	Mgmt	For	For	For
	Voting Policy Rationale: These are routine meeting formalities.				
3	Prepare and Approve List of Shareholders	Mgmt	For	For	For
	Voting Policy Rationale: These are routine meeting formalities.				
4	Designate Inspector(s) of Minutes of Meeting	Mgmt	For	For	For
	Voting Policy Rationale: These are routine meeting formalities.				
5	Acknowledge Proper Convening of Meeting	Mgmt	For	For	For
	Voting Policy Rationale: These are routine meeting formalities.				
6	Approve Agenda of Meeting	Mgmt	For	For	For
	Voting Policy Rationale: These are routine meeting formalities.				
7	Approve Creation of Pool of Capital without Preemptive Rights	Mgmt	For	For	For
8	Close Meeting	Mgmt			

Kaspi.kz JSC

Meeting Date: 08/25/2021	Country: Kazakhstan	Primary Security ID: Y4S4E7114
Record Date: 07/23/2021	Meeting Type: Extraordinary Shareholders	Ticker: KSPI
Shares Voted: 14,168		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for GDR Holders	Mgmt			
1	Approve Meeting Agenda	Mgmt	For	For	For
2	Approve Dividends	Mgmt	For	For	For
3	I am not a Legal Entity or Having Shareholder Participant, or an Individual which Participates in Legal Entities Incorporated in any Offshore Zones promulgated by the Agency on Financial Supervision of Kazakhstan	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Petroleo Brasileiro SA

Meeting Date: 08/27/2021	Country: Brazil	Primary Security ID: P78331140
Record Date: 07/16/2021	Meeting Type: Extraordinary Shareholders	Ticker: PETR4
Shares Voted: 12,825,335		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Meeting for ADR Holders	Mgmt			
	Elect Directors	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST the proposed management slate is recommended to allow shareholders to cumulate their votes on the election of independent nominees presented under the cumulative voting items (Items 4.9-4.11) to increase minority shareholder representation at the board level.					
2	In Case There is Any Change to the Board Slate Composition, May Your Votes Still be Counted for the Proposed Slate?	Mgmt	None	Against	Against
3	Do You Wish to Adopt Cumulative Voting for the Election of the Members of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law?	Mgmt	None	For	For
Voting Policy Rationale: Under these items, the company presented shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vote recommendations are as follows: * Item 3 (request for cumulative vote): FOR in light of the timely disclosure of minority shareholders nominees presented exclusively under the cumulative voting election; and * Items 4.1-4.8: ABSTAIN of all management candidates to allow shareholders to concentrate their votes on the election of minority shareholder representatives, as further discuss under Items 4.9-4.11.					
4.1	Percentage of Votes to Be Assigned - Elect Eduardo Bacellar Leal Ferreira as Director	Mgmt	None	Abstain	Abstain
Voting Policy Rationale: Under these items, the company presented shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vote recommendations are as follows: * Item 3 (request for cumulative vote): FOR in light of the timely disclosure of minority shareholders nominees presented exclusively under the cumulative voting election; and * Items 4.1-4.8: ABSTAIN of all management candidates to allow shareholders to concentrate their votes on the election of minority shareholder representatives, as further discuss under Items 4.9-4.11.					
4.2	Percentage of Votes to Be Assigned - Elect Joaquim Silva e Luna as Director	Mgmt	None	Abstain	Abstain
Voting Policy Rationale: Under these items, the company presented shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vote recommendations are as follows: * Item 3 (request for cumulative vote): FOR in light of the timely disclosure of minority shareholders nominees presented exclusively under the cumulative voting election; and * Items 4.1-4.8: ABSTAIN of all management candidates to allow shareholders to concentrate their votes on the election of minority shareholder representatives, as further discuss under Items 4.9-4.11.					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Petroleo Brasileiro SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.3	Percentage of Votes to Be Assigned - Elect Ruy Flaks Schneider as Independent Director	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presented shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vote recommendations are as follows: * Item 3 (request for cumulative vote): FOR in light of the timely disclosure of minority shareholders nominees presented exclusively under the cumulative voting election; and * Items 4.1-4.8: ABSTAIN of all management candidates to allow shareholders to concentrate their votes on the election of minority shareholder representatives, as further discuss under Items 4.9-4.11.</i></p>					
4.4	Percentage of Votes to Be Assigned - Elect Sonia Julia Sulzbeck Villalobos as Independent Director	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presented shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vote recommendations are as follows: * Item 3 (request for cumulative vote): FOR in light of the timely disclosure of minority shareholders nominees presented exclusively under the cumulative voting election; and * Items 4.1-4.8: ABSTAIN of all management candidates to allow shareholders to concentrate their votes on the election of minority shareholder representatives, as further discuss under Items 4.9-4.11.</i></p>					
4.5	Percentage of Votes to Be Assigned - Elect Marcio Andrade Weber as Independent Director	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presented shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vote recommendations are as follows: * Item 3 (request for cumulative vote): FOR in light of the timely disclosure of minority shareholders nominees presented exclusively under the cumulative voting election; and * Items 4.1-4.8: ABSTAIN of all management candidates to allow shareholders to concentrate their votes on the election of minority shareholder representatives, as further discuss under Items 4.9-4.11.</i></p>					
4.6	Percentage of Votes to Be Assigned - Elect Murilo Marroquim de Souza as Independent Director	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presented shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vote recommendations are as follows: * Item 3 (request for cumulative vote): FOR in light of the timely disclosure of minority shareholders nominees presented exclusively under the cumulative voting election; and * Items 4.1-4.8: ABSTAIN of all management candidates to allow shareholders to concentrate their votes on the election of minority shareholder representatives, as further discuss under Items 4.9-4.11.</i></p>					
4.7	Percentage of Votes to Be Assigned - Elect Cynthia Santana Silveira as Independent Director	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presented shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vote recommendations are as follows: * Item 3 (request for cumulative vote): FOR in light of the timely disclosure of minority shareholders nominees presented exclusively under the cumulative voting election; and * Items 4.1-4.8: ABSTAIN of all management candidates to allow shareholders to concentrate their votes on the election of minority shareholder representatives, as further discuss under Items 4.9-4.11.</i></p>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Petroleo Brasileiro SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.8	Percentage of Votes to Be Assigned - Elect Carlos Eduardo Lessa Brandao as Independent Director	Mgmt	None	Abstain	Abstain
<p><i>Voting Policy Rationale: Under these items, the company presented shareholders with the option to request cumulative voting for the election of directors under the terms of Article 141 of the Brazilian Corporate Law, in accordance with the rules of the remote voting card issued by the Brazilian Securities Regulator (CVM), and mandatory for all publicly-traded Brazilian companies. Vote recommendations are as follows: * Item 3 (request for cumulative vote): FOR in light of the timely disclosure of minority shareholders nominees presented exclusively under the cumulative voting election; and * Items 4.1-4.8: ABSTAIN of all management candidates to allow shareholders to concentrate their votes on the election of minority shareholder representatives, as further discuss under Items 4.9-4.11.</i></p>					
4.9	Percentage of Votes to Be Assigned - Elect Jose Joao Abdalla Filho as Independent Director Appointed by Minority Shareholder	SH	None	For	For
<p><i>Voting Policy Rationale: Petrobras disclosed a set of board nominees for cumulative voting including eight management nominees and three minority shareholder nominees (the latter being Items 4.9-4.11), representing a total of 11 nominees disputing eight board seats available. Under the cumulative voting election, shareholders can choose to concentrate their votes on one or more nominees to increase their chances of being elected to the board and increase minority shareholder representation at the board level. In addition, management nominees will likely receive the votes of the company's controlling shareholder, the Brazilian federal government, to be elected to the board. To allow shareholders to concentrate their votes on the election of minority representatives to the board, support FOR the election of Marcelo Gasparino da Silva (Item 4.10) and Pedro Rodrigues Galvao de Medeiros (Item 4.11) is recommended, and cautionary support is recommended for minority nominee Jose Joao Abdalla Filho (Item 4.9).</i></p>					
4.10	Percentage of Votes to Be Assigned - Elect Marcelo Gasparino da Silva as Independent Director Appointed by Minority Shareholder	SH	None	For	For
<p><i>Voting Policy Rationale: Petrobras disclosed a set of board nominees for cumulative voting including eight management nominees and three minority shareholder nominees (the latter being Items 4.9-4.11), representing a total of 11 nominees disputing eight board seats available. Under the cumulative voting election, shareholders can choose to concentrate their votes on one or more nominees to increase their chances of being elected to the board and increase minority shareholder representation at the board level. In addition, management nominees will likely receive the votes of the company's controlling shareholder, the Brazilian federal government, to be elected to the board. To allow shareholders to concentrate their votes on the election of minority representatives to the board, support FOR the election of Marcelo Gasparino da Silva (Item 4.10) and Pedro Rodrigues Galvao de Medeiros (Item 4.11) is recommended, and cautionary support is recommended for minority nominee Jose Joao Abdalla Filho (Item 4.9).</i></p>					
4.11	Percentage of Votes to Be Assigned - Elect Pedro Rodrigues Galvao de Medeiros as Independent Director Appointed by Minority Shareholder	SH	None	For	For
<p><i>Voting Policy Rationale: Petrobras disclosed a set of board nominees for cumulative voting including eight management nominees and three minority shareholder nominees (the latter being Items 4.9-4.11), representing a total of 11 nominees disputing eight board seats available. Under the cumulative voting election, shareholders can choose to concentrate their votes on one or more nominees to increase their chances of being elected to the board and increase minority shareholder representation at the board level. In addition, management nominees will likely receive the votes of the company's controlling shareholder, the Brazilian federal government, to be elected to the board. To allow shareholders to concentrate their votes on the election of minority representatives to the board, support FOR the election of Marcelo Gasparino da Silva (Item 4.10) and Pedro Rodrigues Galvao de Medeiros (Item 4.11) is recommended, and cautionary support is recommended for minority nominee Jose Joao Abdalla Filho (Item 4.9).</i></p>					
5	Elect Eduardo Bacellar Leal Ferreira as Board Chairman	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Petroleo Brasileiro SA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Elect Jeferson Luis Bittencourt as Fiscal Council Member and Gildenora Dantas Milhomem as Alternate	Mgmt	For	For	For
7	Amend Remuneration of Audit Committee Members Approved at the April 14, 2021 AGM	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because the company has provided a reasonable rationale for the proposed amended remuneration of the Statutory Audit Committee and other board-advisory committees in light of the increase in the number of members of such committees, in accordance with the company's bylaws and internal regulations.					
8	Amend Remuneration of Other Advisory Committees of the Board of Directors Approved at the April 14, 2021 AGM	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these items is warranted because the company has provided a reasonable rationale for the proposed amended remuneration of the Statutory Audit Committee and other board-advisory committees in light of the increase in the number of members of such committees, in accordance with the company's bylaws and internal regulations.					
9	In the Event of a Second Call, the Voting Instructions Contained in this Remote Voting Card May Also be Considered for the Second Call?	Mgmt	None	For	For

Contemporary Amperex Technology Co., Ltd.

Meeting Date: 08/31/2021	Country: China	Primary Security ID: Y1R48E105
Record Date: 08/20/2021	Meeting Type: Special	Ticker: 300750
Shares Voted: 296,852		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Company's Eligibility for Share Issuance	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.					
	APPROVE PLAN ON ISSUANCE OF SHARES	Mgmt			
2.1	Approve Issue Type and Par Value	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.					
2.2	Approve Issue Manner and Issue Time	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.					
2.3	Approve Target Subscribers and Subscription Method	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Contemporary Amperex Technology Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.4	Approve Pricing Reference Date, Issue Price and Pricing Basis	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
2.5	Approve Issue Size	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
2.6	Approve Lock-up Period	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
2.7	Approve Amount and Usage of Raised Funds	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
2.8	Approve Distribution Arrangement of Undistributed Earnings	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
2.9	Approve Resolution Validity Period	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
2.10	Approve Listing Exchange	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
3	Approve Share Issuance	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
4	Approve Demonstration Analysis Report in Connection to Share Issuance	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
5	Approve Feasibility Analysis Report on the Use of Proceeds	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
6	Approve Report on the Usage of Previously Raised Funds	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
7	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				
8	Approve Shareholder Return Plan	Mgmt	For	For	For
9	Approve Authorization of Board to Handle All Related Matters	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR is merited given that the company has well demonstrated its need for capital.</i>				

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Ganfeng Lithium Co., Ltd.

<b>Meeting Date:</b> 08/31/2021	<b>Country:</b> China	<b>Primary Security ID:</b> Y2690M105
<b>Record Date:</b> 08/25/2021	<b>Meeting Type:</b> Extraordinary Shareholders	<b>Ticker:</b> 1772
<b>Shares Voted:</b> 83,000		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
1	Approve Acquisition of 50% Equity Interest in Netherlands SPV Company by GFL International	Mgmt	For	For	For
2	Approve Proposed Capital Increase in Ganfeng LiEnergy	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this proposal is warranted due to limited disclosure regarding the proposed capital increase.					
3	Approve Proposed Investment in Wealth Management Products with Self-Owned Funds	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST is warranted because the proposed investment could potentially expose the company to unnecessary risks.					
4	Approve Proposed Capital Increase in GFL International	Mgmt	For	For	For
5	Approve Amendments to Articles of Association	Mgmt	For	For	For
6	Approve Proposed Investment and Construction of New-type Lithium Battery Project with 15GWh Annual Capacity by Ganfeng LiEnergy	SH	For	For	For

Jet2 Plc

<b>Meeting Date:</b> 09/02/2021	<b>Country:</b> United Kingdom	<b>Primary Security ID:</b> G5112P101
<b>Record Date:</b> 08/31/2021	<b>Meeting Type:</b> Annual	<b>Ticker:</b> JET2
<b>Shares Voted:</b> 41,280		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Re-elect Stephen Heapy as Director	Mgmt	For	For	For
Voting Policy Rationale: Item 2A vote FOR the re-election of Stephen Heapy is warranted because no significant concerns have been identified.Item 3A vote AGAINST the re-election of Richard Green is warranted because:* Potential independence issue has been identified and he currently sits on the Audit Committee, and the composition of this Committee does not adhere to UK best practice recommendations for a company of this size.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Jet2 Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Re-elect Richard Green as Director	Mgmt	For	Against	Against
<i>Voting Policy Rationale: Item 2A vote FOR the re-election of Stephen Heapy is warranted because no significant concerns have been identified.Item 3A vote AGAINST the re-election of Richard Green is warranted because:* Potential independence issue has been identified and he currently sits on the Audit Committee, and the composition of this Committee does not adhere to UK best practice recommendations for a company of this size.</i>					
4	Reappoint KPMG LLP as Auditors	Mgmt	For	For	For
5	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
6	Authorise Issue of Equity	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST these resolutions is considered warranted because:* The Company has used the general share issuance authority proposed at the 2020 AGM to facilitate a cashbox placing in February 2021, enabling it to circumvent the disapplication authorities previously approved by shareholders.</i>					
7	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST these resolutions is considered warranted because:* The Company has used the general share issuance authority proposed at the 2020 AGM to facilitate a cashbox placing in February 2021, enabling it to circumvent the disapplication authorities previously approved by shareholders.</i>					
8	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	Against	Against
<i>Voting Policy Rationale: A vote AGAINST these resolutions is considered warranted because:* The Company has used the general share issuance authority proposed at the 2020 AGM to facilitate a cashbox placing in February 2021, enabling it to circumvent the disapplication authorities previously approved by shareholders.</i>					

### Watches of Switzerland Group Plc

<b>Meeting Date:</b> 09/02/2021	<b>Country:</b> United Kingdom	<b>Primary Security ID:</b> G94648105
<b>Record Date:</b> 08/31/2021	<b>Meeting Type:</b> Annual	<b>Ticker:</b> WOSG
<b>Shares Voted:</b> 80,475		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Elect Ian Carter as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.</i>					
4	Re-elect Brian Duffy as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.</i>					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Watches of Switzerland Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Re-elect Anders Romberg as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
6	Re-elect Tea Colaanni as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
7	Re-elect Rosa Monckton as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
8	Re-elect Robert Moorhead as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this candidate is warranted as no significant concerns have been identified.					
9	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	For	For
10	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
11	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
12	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
13	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
14	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
15	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
16	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For

Berkeley Group Holdings Plc

Meeting Date: 09/03/2021	Country: United Kingdom	Primary Security ID: G1191G120
Record Date: 09/01/2021	Meeting Type: Annual	Ticker: BKG

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Berkeley Group Holdings Plc

Shares Voted: 378

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Re-elect Glyn Barker as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3A vote FOR the re-election of Glyn Barker is warranted, but is not without concern for shareholders.* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review. The main reason for support is:* The Company states that "it is anticipated that female representation on the Board will have reached at least 33% by June 2022." Although this is not a firm commitment, the Board turnover has been taken into account, which includes the appointment of two women NEDs. This matter will be kept under review.Items 4 - 17A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
4	Re-elect Diana Brightmore-Armour as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3A vote FOR the re-election of Glyn Barker is warranted, but is not without concern for shareholders.* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review. The main reason for support is:* The Company states that "it is anticipated that female representation on the Board will have reached at least 33% by June 2022." Although this is not a firm commitment, the Board turnover has been taken into account, which includes the appointment of two women NEDs. This matter will be kept under review.Items 4 - 17A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
5	Re-elect Andy Myers as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3A vote FOR the re-election of Glyn Barker is warranted, but is not without concern for shareholders.* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review. The main reason for support is:* The Company states that "it is anticipated that female representation on the Board will have reached at least 33% by June 2022." Although this is not a firm commitment, the Board turnover has been taken into account, which includes the appointment of two women NEDs. This matter will be kept under review.Items 4 - 17A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
6	Re-elect Rob Perrins as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3A vote FOR the re-election of Glyn Barker is warranted, but is not without concern for shareholders.* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review. The main reason for support is:* The Company states that "it is anticipated that female representation on the Board will have reached at least 33% by June 2022." Although this is not a firm commitment, the Board turnover has been taken into account, which includes the appointment of two women NEDs. This matter will be kept under review.Items 4 - 17A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
7	Re-elect Richard Stearn as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3A vote FOR the re-election of Glyn Barker is warranted, but is not without concern for shareholders.* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review. The main reason for support is:* The Company states that "it is anticipated that female representation on the Board will have reached at least 33% by June 2022." Although this is not a firm commitment, the Board turnover has been taken into account, which includes the appointment of two women NEDs. This matter will be kept under review.Items 4 - 17A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Berkeley Group Holdings Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8	Re-elect Sean Ellis as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3A vote FOR the re-election of Glyn Barker is warranted, but is not without concern for shareholders.* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review. The main reason for support is:* The Company states that "it is anticipated that female representation on the Board will have reached at least 33% by June 2022." Although this is not a firm commitment, the Board turnover has been taken into account, which includes the appointment of two women NEDs. This matter will be kept under review.Items 4 - 17A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
9	Re-elect Karl Whiteman as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3A vote FOR the re-election of Glyn Barker is warranted, but is not without concern for shareholders.* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review. The main reason for support is:* The Company states that "it is anticipated that female representation on the Board will have reached at least 33% by June 2022." Although this is not a firm commitment, the Board turnover has been taken into account, which includes the appointment of two women NEDs. This matter will be kept under review.Items 4 - 17A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
10	Re-elect Justin Tibaldi as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3A vote FOR the re-election of Glyn Barker is warranted, but is not without concern for shareholders.* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review. The main reason for support is:* The Company states that "it is anticipated that female representation on the Board will have reached at least 33% by June 2022." Although this is not a firm commitment, the Board turnover has been taken into account, which includes the appointment of two women NEDs. This matter will be kept under review.Items 4 - 17A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
11	Re-elect Paul Vallone as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3A vote FOR the re-election of Glyn Barker is warranted, but is not without concern for shareholders.* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review. The main reason for support is:* The Company states that "it is anticipated that female representation on the Board will have reached at least 33% by June 2022." Although this is not a firm commitment, the Board turnover has been taken into account, which includes the appointment of two women NEDs. This matter will be kept under review.Items 4 - 17A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
12	Re-elect Sir John Armitt as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3A vote FOR the re-election of Glyn Barker is warranted, but is not without concern for shareholders.* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review. The main reason for support is:* The Company states that "it is anticipated that female representation on the Board will have reached at least 33% by June 2022." Although this is not a firm commitment, the Board turnover has been taken into account, which includes the appointment of two women NEDs. This matter will be kept under review.Items 4 - 17A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
13	Re-elect Rachel Downey as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3A vote FOR the re-election of Glyn Barker is warranted, but is not without concern for shareholders.* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review. The main reason for support is:* The Company states that "it is anticipated that female representation on the Board will have reached at least 33% by June 2022." Although this is not a firm commitment, the Board turnover has been taken into account, which includes the appointment of two women NEDs. This matter will be kept under review.Items 4 - 17A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Berkeley Group Holdings Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
14	Elect Elizabeth Adekunle as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3A vote FOR the re-election of Glyn Barker is warranted, but is not without concern for shareholders:* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review. The main reason for support is:* The Company states that "it is anticipated that female representation on the Board will have reached at least 33% by June 2022." Although this is not a firm commitment, the Board turnover has been taken into account, which includes the appointment of two women NEDs. This matter will be kept under review.Items 4 - 17A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
15	Elect William Jackson as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3A vote FOR the re-election of Glyn Barker is warranted, but is not without concern for shareholders:* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review. The main reason for support is:* The Company states that "it is anticipated that female representation on the Board will have reached at least 33% by June 2022." Although this is not a firm commitment, the Board turnover has been taken into account, which includes the appointment of two women NEDs. This matter will be kept under review.Items 4 - 17A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
16	Elect Sarah Sands as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3A vote FOR the re-election of Glyn Barker is warranted, but is not without concern for shareholders:* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review. The main reason for support is:* The Company states that "it is anticipated that female representation on the Board will have reached at least 33% by June 2022." Although this is not a firm commitment, the Board turnover has been taken into account, which includes the appointment of two women NEDs. This matter will be kept under review.Items 4 - 17A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
17	Elect Andy Kemp as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 3A vote FOR the re-election of Glyn Barker is warranted, but is not without concern for shareholders:* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review. The main reason for support is:* The Company states that "it is anticipated that female representation on the Board will have reached at least 33% by June 2022." Although this is not a firm commitment, the Board turnover has been taken into account, which includes the appointment of two women NEDs. This matter will be kept under review.Items 4 - 17A vote FOR these Directors is warranted as no significant concerns have been identified.</i>					
18	Reappoint KPMG LLP as Auditors	Mgmt	For	For	For
19	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For
20	Authorise Issue of Equity	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
21	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
22	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
23	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Berkeley Group Holdings Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
24	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For
25	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
26	Adopt New Articles of Association	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this resolution is warranted as no significant concerns have been identified.					
27	Approve Matters Relating to the B Share Scheme and Share Consolidation	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this resolution is warranted as no significant concerns have been identified.					
28	Approve Terms of the Option Agreement	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR this resolution is warranted as no significant concerns have been identified.					

Re:NewCell AB

Meeting Date: 09/03/2021	Country: Sweden	Primary Security ID: W7S25G113
Record Date: 08/26/2021	Meeting Type: Extraordinary Shareholders	Ticker: RENEW
Shares Voted: 11,270		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Elect Chairman of Meeting	Mgmt	For	For	For
Voting Policy Rationale: These are routine meeting formalities.					
2	Prepare and Approve List of Shareholders	Mgmt	For	For	For
Voting Policy Rationale: These are routine meeting formalities.					
3	Approve Agenda of Meeting	Mgmt	For	For	For
Voting Policy Rationale: These are routine meeting formalities.					
4.a	Designate Henrik Norlin as Inspector of Minutes of Meeting	Mgmt	For	For	For
Voting Policy Rationale: These are routine meeting formalities.					
4.b	Designate Boris Gyllhamn as Inspector of Minutes of Meeting	Mgmt	For	For	For
Voting Policy Rationale: These are routine meeting formalities.					
5	Acknowledge Proper Convening of Meeting	Mgmt	For	For	For
Voting Policy Rationale: These are routine meeting formalities.					
6	Approve Warrant Plan for Key Employees	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Re:NewCell AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7	Authorize the Company to Take up Loans in Accordance with Section 11 (11) of the Swedish Companies Act	Mgmt	For	For	For
8	Close Meeting	Mgmt			

Yageo Corp.

Meeting Date: 09/07/2021	Country: Taiwan	Primary Security ID: Y9723R100
Record Date: 08/06/2021	Meeting Type: Special	Ticker: 2327
Shares Voted: 4,460,000		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Amend Procedures Governing the Acquisition or Disposal of Assets	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST is warranted because:* The proposed increase of caps in securities investment may expose the company to unnecessary risks; and* The company has failed to provide a compelling rationale for such changes.					
2	Approve Plan to Issue New Shares to Complement a Share Exchange to Obtain 100% Shares of Chilisn Electronics Corp.	Mgmt	For	For	For

IIFL Wealth Management Limited

Meeting Date: 09/14/2021	Country: India	Primary Security ID: Y3R77A103
Record Date: 09/07/2021	Meeting Type: Annual	Ticker: 542772
Shares Voted: 123,679		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Reelect Nirmal Jain as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR both nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
3	Reelect Venkataraman Rajamani as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR both nominees is warranted given the absence of any known issues concerning the nominees and the company's board and committee dynamics.					
4	Approve Issuance of Non-Convertible Debentures on Private Placement Basis	Mgmt	For	For	For

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Embracer Group AB

**Meeting Date:** 09/16/2021      **Country:** Sweden      **Primary Security ID:** W2504N150  
**Record Date:** 09/08/2021      **Meeting Type:** Annual      **Ticker:** EMBRAC.B

**Shares Voted:** 41,154

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting	Mgmt			
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
2	Elect Chairman of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
3	Prepare and Approve List of Shareholders	Mgmt			
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
4	Designate Inspector(s) of Minutes of Meeting	Mgmt			
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
5	Acknowledge Proper Convening of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
6	Approve Agenda of Meeting	Mgmt	For	For	For
<i>Voting Policy Rationale: These are routine meeting formalities.</i>					
7	Receive Presentation on Business Activities	Mgmt			
<i>Voting Policy Rationale: These are routine, non-voting items.</i>					
8	Receive Financial Statements and Statutory Reports	Mgmt			
<i>Voting Policy Rationale: These are routine, non-voting items.</i>					
9.a	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
9.b	Approve Allocation of Income and Omission of Dividends	Mgmt	For	For	For
9.c.1	Approve Discharge of David Gardner	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>					
9.c.2	Approve Discharge of Ulf Hjalmarsson	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>					
9.c.3	Approve Discharge of Jacob Jonmyren	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

## Embracer Group AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9.c.4	Approve Discharge of Matthew Karch	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
9.c.5	Approve Discharge of Erik Stenberg	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
9.c.6	Approve Discharge of Kicki Wallje-Lund	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
9.c.7	Approve Discharge of Lars Wingefors	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted as there is no evidence that the board or the management have not fulfilled their fiduciary duties.</i>				
10.1	Determine Number of Members (7) and Deputy Members (0) of Board	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted because of a lack of controversy concerning the size of the board or the number of auditors.</i>				
10.2	Determine Number of Auditors (1) and Deputy Auditors (0)	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR these proposals is warranted because of a lack of controversy concerning the size of the board or the number of auditors.</i>				
11.1	Approve Remuneration of Directors in the Aggregate Amount of SEK 4 Million	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST this item is warranted, as the proposed director fees can be considered excessive in relation to comparable domestic peers.</i>				
11.2	Approve Remuneration of Auditors	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST the remuneration of the auditors is warranted given that the non-audit fees are 59.6 percent of the total fees received by the audit firm during the fiscal year, raising substantial doubts over the independence of the auditor.</i>				
12.1	Reelect David Gardner as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR candidates Lars Wingefors, David Gardner, Ulf Hjalmarsson, Jacob Jonmyren, Matthew Karch and Erik Stenberg is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidate Kicki Wallje-Lund is warranted due to her status as executives on the audit and remuneration committees.</i>				
12.2	Reelect Ulf Hjalmarsson as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR candidates Lars Wingefors, David Gardner, Ulf Hjalmarsson, Jacob Jonmyren, Matthew Karch and Erik Stenberg is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidate Kicki Wallje-Lund is warranted due to her status as executives on the audit and remuneration committees.</i>				
12.3	Reelect Jacob Jonmyren as Director	Mgmt	For	For	For
	<i>Voting Policy Rationale: A vote FOR candidates Lars Wingefors, David Gardner, Ulf Hjalmarsson, Jacob Jonmyren, Matthew Karch and Erik Stenberg is warranted due to a lack of concern regarding the suitability of these individuals in particular. A vote AGAINST candidate Kicki Wallje-Lund is warranted due to her status as executives on the audit and remuneration committees.</i>				

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Embracer Group AB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
12.4	Reelect Matthew Karch as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR candidates Lars Wingefors, David Gardner, Ulf Hjalmarsson, Jacob Jonmyren, Matthew Karch and Erik Stenberg is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote AGAINST candidate Kicki Wallje-Lund is warranted due to her status as executives on the audit and remuneration committees.					
12.5	Reelect Erik Stenberg as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR candidates Lars Wingefors, David Gardner, Ulf Hjalmarsson, Jacob Jonmyren, Matthew Karch and Erik Stenberg is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote AGAINST candidate Kicki Wallje-Lund is warranted due to her status as executives on the audit and remuneration committees.					
12.6	Reelect Kicki Wallje-Lund (Chair) as Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote FOR candidates Lars Wingefors, David Gardner, Ulf Hjalmarsson, Jacob Jonmyren, Matthew Karch and Erik Stenberg is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote AGAINST candidate Kicki Wallje-Lund is warranted due to her status as executives on the audit and remuneration committees.					
12.7	Reelect Lars Wingefors as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR candidates Lars Wingefors, David Gardner, Ulf Hjalmarsson, Jacob Jonmyren, Matthew Karch and Erik Stenberg is warranted due to a lack of concern regarding the suitability of these individuals in particular.A vote AGAINST candidate Kicki Wallje-Lund is warranted due to her status as executives on the audit and remuneration committees.					
12.8	Ratify Ernst & Young as Auditors	Mgmt	For	For	For
13	Amend Articles Re: Set Minimum (SEK 1.4 Million) and Maximum (SEK 5.6 Million) Share Capital; Set Minimum (1 Billion) and Maximum (4 Billion) Number of Shares	Mgmt	For	For	For
14	Approve 2:1 Stock Split	Mgmt	For	For	For
15	Approve Creation of Pool of Capital without Preemptive Rights	Mgmt	For	For	For
16	Close Meeting	Mgmt			

SK Innovation Co., Ltd.

Meeting Date: 09/16/2021	Country: South Korea	Primary Security ID: Y8063L103
Record Date: 08/18/2021	Meeting Type: Special	Ticker: 096770
Shares Voted: 183,283		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Amend Articles of Incorporation	Mgmt	For	For	For
2	Approve Split-Off Agreement	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Accsys Technologies Plc

Meeting Date: 09/17/2021      Country: United Kingdom      Primary Security ID: G01012130  
Record Date: 09/15/2021      Meeting Type: Annual      Ticker: AXS

Shares Voted: 422,686

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Approve Remuneration Policy	Mgmt	For	For	For
4	Elect Alexander Wessels as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election/re-election of these Directors is warranted because no significant concerns have been identified.					
5	Elect Robert Harris as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election/re-election of these Directors is warranted because no significant concerns have been identified.					
6	Re-elect Susan Mair (Sue Farr) as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election/re-election of these Directors is warranted because no significant concerns have been identified.					
7	Re-elect Michael Christie as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR the election/re-election of these Directors is warranted because no significant concerns have been identified.					
8	Reappoint PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
9	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
10	Authorise Issue of Equity	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
11	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.					
12	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
13	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For



Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Alibaba Group Holding Limited

Meeting Date: 09/17/2021      Country: Cayman Islands      Primary Security ID: G01719114  
Record Date: 08/04/2021      Meeting Type: Annual      Ticker: 9988

Shares Voted: 6,132,581

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Joseph C. Tsai	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST non-independent director nominees J. Michael (Mike) Evans and Chung Tsai (Joe, Joseph) is warranted for failing to establish a board on which a majority of the directors are independent directors.A vote AGAINST Chung Tsai (Joe, Joseph) is warranted for serving as a non-independent member of certain key board committees.A vote FOR the remaining nominee, E. Borje Ekholm, is warranted.					
1.2	Elect Director J. Michael Evans	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST non-independent director nominees J. Michael (Mike) Evans and Chung Tsai (Joe, Joseph) is warranted for failing to establish a board on which a majority of the directors are independent directors.A vote AGAINST Chung Tsai (Joe, Joseph) is warranted for serving as a non-independent member of certain key board committees.A vote FOR the remaining nominee, E. Borje Ekholm, is warranted.					
1.3	Elect Director E. Borje Ekholm	Mgmt	For	For	For
Voting Policy Rationale: A vote AGAINST non-independent director nominees J. Michael (Mike) Evans and Chung Tsai (Joe, Joseph) is warranted for failing to establish a board on which a majority of the directors are independent directors.A vote AGAINST Chung Tsai (Joe, Joseph) is warranted for serving as a non-independent member of certain key board committees.A vote FOR the remaining nominee, E. Borje Ekholm, is warranted.					
2	Ratify PricewaterhouseCoopers as Auditors	Mgmt	For	For	For

Alibaba Group Holding Limited

Meeting Date: 09/17/2021      Country: Cayman Islands      Primary Security ID: G01719114  
Record Date: 08/04/2021      Meeting Type: Annual      Ticker: 9988

Shares Voted: 399,507

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt			
1.1	Elect Director Joseph C. Tsai	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST non-independent director nominees J. Michael (Mike) Evans and Chung Tsai (Joe, Joseph) is warranted for failing to establish a board on which a majority of the directors are independent directors.A vote AGAINST Chung Tsai (Joe, Joseph) is warranted for serving as a non-independent member of certain key board committees.A vote FOR the remaining nominee, E. Borje Ekholm, is warranted.					
1.2	Elect Director J. Michael Evans	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST non-independent director nominees J. Michael (Mike) Evans and Chung Tsai (Joe, Joseph) is warranted for failing to establish a board on which a majority of the directors are independent directors.A vote AGAINST Chung Tsai (Joe, Joseph) is warranted for serving as a non-independent member of certain key board committees.A vote FOR the remaining nominee, E. Borje Ekholm, is warranted.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Alibaba Group Holding Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.3	Elect Director E. Borje Ekholm	Mgmt	For	For	For
Voting Policy Rationale: A vote AGAINST non-independent director nominees J. Michael (Mike) Evans and Chung Tsai (Joe, Joseph) is warranted for failing to establish a board on which a majority of the directors are independent directors.A vote AGAINST Chung Tsai (Joe, Joseph) is warranted for serving as a non-independent member of certain key board committees.A vote FOR the remaining nominee, E. Borje Ekholm, is warranted.					
2	Ratify PricewaterhouseCoopers as Auditors	Mgmt	For	For	For

Imperial Logistics Ltd.

Meeting Date: 09/17/2021	Country: South Africa	Primary Security ID: S38127122
Record Date: 09/10/2021	Meeting Type: Special	Ticker: IPL
Shares Voted: 417,432		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Scheme of Arrangement in Terms of Sections 114(1) and 115(2)(a) of the Companies Act	Mgmt	For	For	For
2	Approve Revocation of Special Resolution 1	Mgmt	For	For	For
3	Amend Memorandum of Incorporation	Mgmt	For	For	For

Midea Group Co. Ltd.

Meeting Date: 09/17/2021	Country: China	Primary Security ID: Y6S40V103
Record Date: 09/10/2021	Meeting Type: Special	Ticker: 000333
Shares Voted: 131,500		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Amend Articles of Association	Mgmt	For	For	For
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			
2.1	Elect Fang Hongbo as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					
2.2	Elect Yin Bitong as Director	Mgmt	For	For	For
Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.					

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Midea Group Co. Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2.3	Elect Gu Yanmin as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.				
2.4	Elect Wang Jianguo as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.				
2.5	Elect He Jianfeng as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.				
2.6	Elect Yu Gang as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.				
	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			
3.1	Elect Xue Yunkui as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.				
3.2	Elect Guan Qingyou as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.				
3.3	Elect Han Jian as Director	Mgmt	For	For	For
	Voting Policy Rationale: A vote FOR all nominees is warranted given the absence of any known issues concerning the nominees.				
	ELECT SUPERVISORS VIA CUMULATIVE VOTING	Mgmt			
4.1	Elect Dong Wentao as Supervisor	Mgmt	For	For	For
	Voting Policy Rationale: VOTE RECOMMENDATIONA vote FOR both nominees is warranted given the absence of any known issues concerning the nominees.				
4.2	Elect Zhao Jun as Supervisor	Mgmt	For	For	For
	Voting Policy Rationale: VOTE RECOMMENDATIONA vote FOR both nominees is warranted given the absence of any known issues concerning the nominees.				
5	Approve Remuneration of Independent Directors and External Directors	Mgmt	For	For	For

Zomato Ltd.

Meeting Date: 09/18/2021	Country: India	Primary Security ID: Y9899X105
Record Date: 08/13/2021	Meeting Type: Special	Ticker: 543320

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021

Location(s): All Locations

Institution Account(s): All Institution Accounts

## Zomato Ltd.

Shares Voted: 2,438,670

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Postal Ballot	Mgmt			
1	Approve Article 103A of the Articles of Association	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST this resolution is warranted given the following concern:* The articles provide for the permanent appointment of Deepinder Goyal as the founder director of the company. This could reduce his accountability.</i>				
2	Approve Grant of Options Under Foodie Bay Employee Stock Option Plan 2014 (ESOP 2014)	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST these resolutions is warranted:* The scheme permits stock options to be issued with an exercise price at a deep discount to the current market price.* The exact performance targets for vesting have not been disclosed</i>				
3	Approve Extension of Benefits of Foodie Bay Employee Stock Option Plan 2014 (ESOP 2014) to the Employees of the Subsidiary Company(ies)	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST these resolutions is warranted:* The scheme permits stock options to be issued with an exercise price at a deep discount to the current market price.* The exact performance targets for vesting have not been disclosed</i>				
4	Approve Grant of Options Under Zomato Employee Stock Option Plan 2018 (ESOP 2018)	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST these resolutions is warranted:* The scheme permits stock options to be issued with an exercise price at a deep discount to the current market price.* The exact performance targets for vesting have not been disclosed</i>				
5	Approve Extension of Benefits of Zomato Employee Stock Option Plan 2018 (ESOP 2018) to the Employees of the Subsidiary Company(ies)	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST these resolutions is warranted:* The scheme permits stock options to be issued with an exercise price at a deep discount to the current market price.* The exact performance targets for vesting have not been disclosed</i>				
6	Approve Grant of Options Under Zomato Employee Stock Option Plan 2021 (ESOP 2021)	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST these resolutions is warranted:* The scheme permits stock options to be issued with an exercise price at a deep discount to the current market price.* The exact performance targets for vesting have not been disclosed</i>				
7	Approve Extension of Benefits of Zomato Employee Stock Option Plan 2021 (ESOP 2021) to the Employees of the Subsidiary Company(ies)	Mgmt	For	Against	Against
	<i>Voting Policy Rationale: A vote AGAINST these resolutions is warranted:* The scheme permits stock options to be issued with an exercise price at a deep discount to the current market price.* The exact performance targets for vesting have not been disclosed</i>				

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Beijing Capital Land Ltd.

Meeting Date: 09/23/2021	Country: China	Primary Security ID: Y0771C103
Record Date: 09/16/2021	Meeting Type: Extraordinary Shareholders	Ticker: 2868
Shares Voted: 456,000		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Merger Agreement and Related Transactions	Mgmt	For	For	For

Beijing Capital Land Ltd.

Meeting Date: 09/23/2021	Country: China	Primary Security ID: Y0771C103
Record Date: 09/16/2021	Meeting Type: Special	Ticker: 2868
Shares Voted: 456,000		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt			
1	Approve Merger Agreement and Related Transactions	Mgmt	For	For	For

The Phoenix Mills Limited

Meeting Date: 09/24/2021	Country: India	Primary Security ID: Y6973B132
Record Date: 09/17/2021	Meeting Type: Annual	Ticker: 503100
Shares Voted: 4,247,616		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For	For
Voting Policy Rationale: While the auditors' report includes an emphasis of matter, their opinion remains unqualified. As such, a vote FOR these resolutions is warranted.					
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For
Voting Policy Rationale: While the auditors' report includes an emphasis of matter, their opinion remains unqualified. As such, a vote FOR these resolutions is warranted.					
3	Approve Dividend	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

The Phoenix Mills Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Reelect Rajendra Kalkar as Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST both nominees is warranted because:* The board independence norms are not met (based on ISS reclassification), and Rajendra Kalkar and Rajesh Kulkarni are non-independent director nominees.					
5	Approve Reappointment and Remuneration of Shishir Shrivastava as Managing Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted as:* There is no disclosure on the amount of stock options that the executive is entitled to receive each year.* The estimated pay is considered to be aggressively positioned against market practices.* There is lack of sufficient justification for the minimum remuneration in the event of loss or inadequacy of profits.					
6	Elect Rajesh Kulkarni as Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST both nominees is warranted because:* The board independence norms are not met (based on ISS reclassification), and Rajendra Kalkar and Rajesh Kulkarni are non-independent director nominees.					
7	Approve Appointment and Remuneration of Rajesh Kulkarni as Whole-Time Director	Mgmt	For	Against	Against
Voting Policy Rationale: A vote AGAINST this resolution is warranted in view of the following concerns in the executive's remuneration:* Rajesh Kulkarni is a non-independent director nominee and the board independence is less than adequate (based on ISS reclassification).* There is no disclosure on the amount of stock options that the executive is entitled to receive each year.* There is lack of sufficient justification for the proposed minimum remuneration in the event of loss or inadequacy of profits.					

Prestige Estates Projects Limited

Meeting Date: 09/27/2021	Country: India	Primary Security ID: Y707AN101
Record Date: 09/20/2021	Meeting Type: Annual	Ticker: 533274
Shares Voted: 1,059,950		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For	For
Voting Policy Rationale: While the auditors' report includes an emphasis of matter, their opinion remains unqualified. As such, a vote FOR these resolutions is warranted.					
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For
Voting Policy Rationale: While the auditors' report includes an emphasis of matter, their opinion remains unqualified. As such, a vote FOR these resolutions is warranted.					
3	Reelect Irfan Razack as Director	Mgmt	For	For	For
4	Approve Remuneration of Cost Auditors	Mgmt	For	For	For
5	Approve Final Dividend	Mgmt	For	For	For
6	Approve Issuance of Non-Convertible Debentures on Private Placement Basis	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Zhongji Innolight Co., Ltd.

Meeting Date: 09/27/2021      Country: China      Primary Security ID: Y7685V101  
Record Date: 09/22/2021      Meeting Type: Special      Ticker: 300308

Shares Voted: 3,496,210

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Elect Zhan Shuping as Independent Director	SH	For	For	For

Moonpig Group Plc

Meeting Date: 09/28/2021      Country: United Kingdom      Primary Security ID: G6225S107  
Record Date: 09/24/2021      Meeting Type: Annual      Ticker: MOON

Shares Voted: 2,056

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Approve Remuneration Policy	Mgmt	For	For	For
4	Elect Kate Swann as Director	Mgmt	For	For	For

Voting Policy Rationale: Item 4A vote FOR the re-election of Kate Swann is considered warranted, although it is not without concerns.\* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review which recommends that at least one-third of the Board should comprise women directors.\* The composition of the Remuneration Committee is not considered compliant with the UK Code due to the membership of Kate Swann as she is not classified by ISS as independent upon her appointment as Chair.The main reasons for support are:\* The Group has committed to meeting the target set by the Hampton-Alexander Review by no later than the 2022 AGM.\* It is taken into account that the Company is newly-listed and some degree of flexibility is conserved warranted at this time.Items 5-10A vote FOR these directors is warranted as no significant concerns have been identified.

5	Elect Nickyl Raithatha as Director	Mgmt	For	For	For
Voting Policy Rationale: Item 4A vote FOR the re-election of Kate Swann is considered warranted, although it is not without concerns.* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review which recommends that at least one-third of the Board should comprise women directors.* The composition of the Remuneration Committee is not considered compliant with the UK Code due to the membership of Kate Swann as she is not classified by ISS as independent upon her appointment as Chair.The main reasons for support are:* The Group has committed to meeting the target set by the Hampton-Alexander Review by no later than the 2022 AGM.* It is taken into account that the Company is newly-listed and some degree of flexibility is conserved warranted at this time.Items 5-10A vote FOR these directors is warranted as no significant concerns have been identified.					

## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
 Location(s): All Locations  
 Institution Account(s): All Institution Accounts

### Moonpig Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Elect Andy MacKinnon as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 4A vote FOR the re-election of Kate Swann is considered warranted, although it is not without concerns.* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review which recommends that at least one-third of the Board should comprise women directors.* The composition of the Remuneration Committee is not considered compliant with the UK Code due to the membership of Kate Swann as she is not classified by ISS as independent upon her appointment as Chair. The main reasons for support are:* The Group has committed to meeting the target set by the Hampton-Alexander Review by no later than the 2022 AGM.* It is taken into account that the Company is newly-listed and some degree of flexibility is conserved warranted at this time.Items 5-10A vote FOR these directors is warranted as no significant concerns have been identified.</i>					
7	Elect David Keens as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 4A vote FOR the re-election of Kate Swann is considered warranted, although it is not without concerns.* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review which recommends that at least one-third of the Board should comprise women directors.* The composition of the Remuneration Committee is not considered compliant with the UK Code due to the membership of Kate Swann as she is not classified by ISS as independent upon her appointment as Chair. The main reasons for support are:* The Group has committed to meeting the target set by the Hampton-Alexander Review by no later than the 2022 AGM.* It is taken into account that the Company is newly-listed and some degree of flexibility is conserved warranted at this time.Items 5-10A vote FOR these directors is warranted as no significant concerns have been identified.</i>					
8	Elect Susan Hooper as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 4A vote FOR the re-election of Kate Swann is considered warranted, although it is not without concerns.* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review which recommends that at least one-third of the Board should comprise women directors.* The composition of the Remuneration Committee is not considered compliant with the UK Code due to the membership of Kate Swann as she is not classified by ISS as independent upon her appointment as Chair. The main reasons for support are:* The Group has committed to meeting the target set by the Hampton-Alexander Review by no later than the 2022 AGM.* It is taken into account that the Company is newly-listed and some degree of flexibility is conserved warranted at this time.Items 5-10A vote FOR these directors is warranted as no significant concerns have been identified.</i>					
9	Elect Niall Wass as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 4A vote FOR the re-election of Kate Swann is considered warranted, although it is not without concerns.* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review which recommends that at least one-third of the Board should comprise women directors.* The composition of the Remuneration Committee is not considered compliant with the UK Code due to the membership of Kate Swann as she is not classified by ISS as independent upon her appointment as Chair. The main reasons for support are:* The Group has committed to meeting the target set by the Hampton-Alexander Review by no later than the 2022 AGM.* It is taken into account that the Company is newly-listed and some degree of flexibility is conserved warranted at this time.Items 5-10A vote FOR these directors is warranted as no significant concerns have been identified.</i>					
10	Elect Simon Davidson as Director	Mgmt	For	For	For
<i>Voting Policy Rationale: Item 4A vote FOR the re-election of Kate Swann is considered warranted, although it is not without concerns.* Women comprise less than 33% of the Board, falling short of the target set by the Hampton-Alexander Review which recommends that at least one-third of the Board should comprise women directors.* The composition of the Remuneration Committee is not considered compliant with the UK Code due to the membership of Kate Swann as she is not classified by ISS as independent upon her appointment as Chair. The main reasons for support are:* The Group has committed to meeting the target set by the Hampton-Alexander Review by no later than the 2022 AGM.* It is taken into account that the Company is newly-listed and some degree of flexibility is conserved warranted at this time.Items 5-10A vote FOR these directors is warranted as no significant concerns have been identified.</i>					
11	Appoint PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
12	Authorise the Audit Committee to Fix Remuneration of Auditors	Mgmt	For	For	For



## Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

### Moonpig Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
13	Authorise Issue of Equity	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
14	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
15	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	Mgmt	For	For	For
<i>Voting Policy Rationale: A vote FOR these resolutions is warranted because the proposed amounts and durations are within recommended limits.</i>					
16	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For	For
17	Authorise the Company to Call General Meeting with Two Weeks' Notice	Mgmt	For	For	For
18	Authorise UK Political Donations and Expenditure	Mgmt	For	For	For

### Beijing Jingyuntong Technology Co., Ltd.

<b>Meeting Date:</b> 09/29/2021	<b>Country:</b> China	<b>Primary Security ID:</b> Y0773E107
<b>Record Date:</b> 09/23/2021	<b>Meeting Type:</b> Special	<b>Ticker:</b> 601908
<b>Shares Voted:</b> 46,565,370		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Draft and Summary of Employee Share Purchase Plan	Mgmt	For	Against	For
<i>Voting Policy Rationale: A vote AGAINST is warranted because the terms in the ESPP is deemed not in the best interest of shareholders.</i>					
2	Approve Management Method of Employee Share Purchase Plan	Mgmt	For	Against	For
<i>Voting Policy Rationale: A vote AGAINST is warranted because the terms in the ESPP is deemed not in the best interest of shareholders.</i>					
3	Approve Authorization of the Board to Handle All Related Matters	Mgmt	For	Against	For
<i>Voting Policy Rationale: A vote AGAINST is warranted because the terms in the ESPP is deemed not in the best interest of shareholders.</i>					
4	Approve Issuance of Non-financial Corporate Debt Financing Instruments	Mgmt	For	For	For

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Mercari, Inc.

Meeting Date: 09/29/2021      Country: Japan      Primary Security ID: J42305102  
Record Date: 06/30/2021      Meeting Type: Annual      Ticker: 4385

Shares Voted: 90

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Amend Articles to Amend Business Lines - Allow Virtual Only Shareholder Meetings	Mgmt	For	Against	For
Voting Policy Rationale: A vote AGAINST this proposal is warranted because:* Japanese companies are currently allowed to hold virtual only meetings using temporary regulatory relief (without amending articles) for two years, but the passage of this proposal will authorize the company to hold virtual only meetings permanently, without further need to consult shareholders, even after the current health crisis is resolved.* The proposed language fails to specify situations under which virtual meetings will be held, raising concerns that meaningful exchange between the company and shareholders could be hindered, especially in controversial situations such as when shareholder proposals are submitted, a proxy fight is waged, or a corporate scandal occurs.					
2.1	Elect Director Yamada, Shintaro	Mgmt	For	For	For
2.2	Elect Director Koizumi, Fumiaki	Mgmt	For	For	For
2.3	Elect Director Takayama, Ken	Mgmt	For	For	For
2.4	Elect Director Shinoda, Makiko	Mgmt	For	For	For
2.5	Elect Director Murakami, Norio	Mgmt	For	For	For
3.1	Appoint Statutory Auditor Fukushima, Fumiyuki	Mgmt	For	For	For
3.2	Appoint Statutory Auditor Tsunoda, Daiken	Mgmt	For	For	For
4	Appoint Alternate Statutory Auditor Igi, Toshihiro	Mgmt	For	For	For

Ujjivan Financial Services Limited

Meeting Date: 09/29/2021      Country: India      Primary Security ID: Y9T34H105  
Record Date: 09/22/2021      Meeting Type: Annual      Ticker: 539874

Shares Voted: 8,710,515

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Reelect Narayan Anand as Director	Mgmt	For	For	For

Voting Policy Rationale: A vote FOR both nominees is warranted given the absence of any known issues concerning the nominees.

Vote Summary Report

Reporting Period: 10/01/2020 to 09/30/2021  
Location(s): All Locations  
Institution Account(s): All Institution Accounts

Ujjivan Financial Services Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Approve Varma & Varma, Chartered Accountants as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
4	Elect Ittira Davis as Director	Mgmt	For	For	For

*Voting Policy Rationale: A vote FOR both nominees is warranted given the absence of any known issues concerning the nominees.*