

TT International Asset Management Ltd

Slavery and Human Trafficking Statement

Background

TT International Asset Management Limited (“TT”) is a UK registered limited company which is required to comply with the Modern Slavery Act 2015 (the “Act”) of the United Kingdom. The Act requires certain businesses that satisfy the thresholds espoused in the Act to provide disclosures concerning their efforts to address the issues of Slavery and Human Trafficking in their supply chain.

This document comprises the Slavery and Human Trafficking Statement of TT for the financial year ending 31st Dec 2020.

TT’s business

TT is an asset management partnership authorised and regulated by the Financial Conduct Authority (“FCA”). TT International Investment Management LLP (“TTILLP”) is a subsidiary of TT International Asset Management Limited also authorised and regulated by the FCA.

TT is a wholly-owned subsidiary of the Sumitomo Mitsui Financial Group (“SMFG”) who also adhere to the Modern Slavery Act 2015. Further information pertaining to the SMFG policies can be found here:

https://www.smfg.co.jp/english/sustainability/group_sustainability/forrights/

Further information on TT’s business can be found on the TT website: www.ttint.com

TT’s policies on slavery and human trafficking

TT recognises the ethical responsibility to support and respect the protection of internationally proclaimed human rights, and to prevent complicity in human rights violations. TT supports the principles of the “United Nations Global Compact” in the areas of human rights, labour standards, environmental welfare and anti-corruption measures.

Our policies reflects our commitment to acting ethically and with integrity in all our business relationships and to implementing and enforcing effective systems and controls to ensure slavery and human trafficking is not taking place anywhere in our supply chains.

TT complies with applicable laws and regulations in the regions and countries in which it does business.

TT is committed to maintaining and improving Systems and processes to mitigate the risk that it might be involved, wittingly or unwittingly, in the commission of Slavery and Human Trafficking in any part of its operations, customers and supply chain (including contractors and suppliers), products, services and staff activities.

TT also expects its staff, suppliers and business partners to adhere to the same high standards and to take reasonable steps to ensure that other third parties they do business with adhere to those standards as well.

Due diligence processes for slavery and human trafficking

As part of our initiative to identify and mitigate risk we have systems in place to:

- Identify and assess potential risk areas in our supply chains.
- Mitigate the risk of slavery and human trafficking occurring in our supply chains.
- Monitor potential risk areas in our supply chains.
- Protect whistle blowers.

Supplier adherence to our values

We have a zero tolerance approach to slavery and human trafficking. To ensure all those in our supply chain and our contractors comply with our values, we complete due diligence on all suppliers and contractors, which includes an analysis of the activity carried out and a detailed review of publicly available information, in order to identify instances that may give cause for concern in relation to Slavery and Human Trafficking.

Training

To ensure a high level of understanding of the risks of modern slavery and human trafficking in our supply chains and our business, we provide training to our staff on a regular basis. We expect our staff to adhere to the firm's standards and ensure that any suspicion of suppliers or staff breaching our policy is reported at the earliest opportunity to allow for further investigation.

Confirmation

This statement has been signed on behalf of the company by Eric Mackay, Managing Director for TT.

A handwritten signature in black ink that reads "E. Mackay". The signature is written in a cursive, slightly slanted style.

Eric Mackay

Managing Director

TT International Asset Management Limited